Dynamic node discovery for the open source framework RCE for usage at the German Aerospace Center (DLR)

Report on Master Project

Computer Science

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Abstract

Distributed computing environments allow collaborative problem solving across teams and organisations. A fundamental precondition for collaboration is the ability to find available participants and be able to exchange information. One way to approach this conceptual formulation are central directories or registry services. A major disadvantage of centralized components is, that they limit the flexibility to form ad hoc networks that are targeted to solve a specific problem. To facilitate flexible and dynamic collaborations, ideas from decentralized and self-organising networks can be combined with concepts of service oriented computing. This project aims to investigate potential solutions for dynamic discovery of network participants and outlines how to manage challenges associated with the development of a discovery protocol for distributed systems. During the course of this project a prototypical implementation was created that integrates into the open source distributed, collaborative problem solving environment RCE [9]. It is currently developed at the German Aerospace Center (DLR) but is planned to make the framework available to broader community.

"Technology always develops from the primitive via the complicated to the simple."
Antoine de Saint-Exupéry

II
1 Introduction

The German Aerospace Center (DLR) is currently developing the open source software framework RCE (Remote Component Environment) [9]. RCE is a generic component based software framework that is designed to allow reuse of central software components and to be extendible with domain-specific components. Multiple potentially specialized RCE instances can be combined into distributed workflows. RCE assists the progress of orchestration of computational components from different research groups and institutions. A common example for such collaboration are engineers from different disciplines, that work towards a common design goal like an overall more efficient aircraft. A number of projects have been successfully realized with RCE. Among them are SESIS [15], Chameleon [8] and Virtual Satellite [11]. A general overview together with applications in the aeronautics and space domain is given in [10]. The number of components that are integrated into the RCE framework constantly increases and new domains of applications are planned for the near future.

1.1 Problem Statement

A central requirement to the RCE framework is the capability to run distributed across different locations [10]. Multiple instances can be combined into distributed workflows where the output of one instance serves as the input for another instance. Different instances may provide different domain specific components with different capabilities. To enable communication between instances, they must be capable to discover each other within the network. This is currently implemented as a decentralized peer-to-peer (P2P) mechanism. When an instance is started, a predefined list of nodes (i.e. instances) is used to discover instances that are currently online. If an instance is not available at start up, it is simply removed from the list and is not considered at any later point in time. Also nodes that have no preconfigured a priori knowledge about each other, have currently no chance to discovering each other dynamically. The simplest example for such a scenario is, when two nodes do not know each other, but both know the same third node. In this case the two nodes do not discover that they could potentially communicate with each other via the third node. This rather static approach offers potential for improvement.

The following work discusses first how to design an improved mechanism for node discovery. Potential alternatives are discussed and their advantages are weighted with respect to the application in distributed computing environments (section 2). Subsequently section 3 discusses the chosen approaches, suggests an implementation and models the convergence behaviour (def. 2) of a simple implementation. The section concludes with possible improvements to the discovery process and briefly illustrates how routing in a converged
networks can be achieved. Finally section 4 gives insights on the development process that can and should be accompanied with automated testing. The concept of virtualized RCE nodes and virtualized transport channels are explained and it is exemplified how those can be used for simulation of networks and automated test execution.

1.2 Context

Distributed computing environments are generally based on the idea that computing services are provided and consumed. Registration and discovery of services are often handled by a central component that acts as a broker between service consumers and service providers. Such a mediating central entity is often referred to as directory service or service registry in the terminology of service oriented architectures. UDDI is a well-known directory service that has been designed for service listing and discovery. A central requirement of the RCE framework is to operate without any centralized registry. Avoiding central components in a network can potentially help to overcome issues that are generally associated with shared usage of resources such as single point of failure and bottlenecks. On the other hand it introduces an array of challenges that decentralized and self-organizing systems face. Among them are inconsistent and unsynchronized knowledge, access management and security considerations. In this context the goal is to develop a robust foundation for node discovery in distributed networks of RCE instances. The discovery procedure must be reliable in situations where instances join and leave the network dynamically while supporting long running, distributed computational workflows.

1.3 Related Work

Node discovery in dynamic networks is often not treated as a separate area of research but as a part of the design of routing protocols. Thus literature about different types of routing protocols provides valuable insights in design of a discovery procedure. Especially in the context of TCP/IP based routing much work has gone into specification and development of routing protocols. Over the past decades TCP/IP based routing has been standardized in a large number of different RFCs that have been constantly evaluated and improved through practical applications. Particularly interesting are protocols that operate within autonomous systems called interior gateway protocols (IGP). Examples of standards that influenced this project include Optimized Link State Routing (OLSR) [6], Intermediate System To Intermediate System (IS-IS) [19], Open Shortest Path First (OSPF) RFC 2328 [18], Routing Information Protocol (RIP) RFC 2453 [16] and IGRP (Interior Gateway Routing Protocol). These RFCs serve as a solid foundation to develop a
node discovery for distributed computing environments. In contrast to TCP/IP protocols, that are typically located below the the application layer in the OSI reference model, the node discovery is implemented in the application layer (layer seven of the OSI model). Nodes communicate on the application level via, for instance, remote procedure calls or SOAP.

Bringing together the ideas of peer-to-peer networks and grid computing has been suggested by many authors. Advantages from both areas can be combined. For instances static and manually configured grid computing environments can benefit from concepts of self-organizing P2P networks allowing a more ad hoc formation of distributed computing environments [23]. The authors of [22] suggest that some of the issues of SOC, that rely on centralized infrastructure, such as fault tolerance can be addressed with concepts from P2P networks.

A framework that brings together web service infrastructures with P2P communication is JXTA [13]. The standard creates a virtual overlay network to enable P2P communication with nodes that may be hidden behind firewalls or trough network address translation. Similar motivations are driving this project, but the task is less general. Developing an independent solution allows optimizing the discovery of nodes for a homogeneous environment of RCE instances and at the same time gives full control over the details of the behaviour.

1.4 Technology and Background

The RCE framework is based on the Eclipse Rich Client Platform (RCP) [17]. It uses Equinox which is a implementation of the OSGi specification [2]. Because RCE is based on Eclipse technology, it is satisfying the requirement of platform independence [10]. Figure 1 shows a high level overview of the framework.

![Figure 1: High level architecture of RCE](image-url)
The distribution layer handles communication between RCE instances across a network. It currently supports communication via SOAP (Apache CXF [7]) for RCE-to-RCE communication and principally allows to integrate other computing environments. Alternatively the Java based RPC implementation RMI is used for efficient RCE-to-RCE communication. Communicating via RMI avoids overhead that is inherently associated with SOAP based communication. Currently it is planned to extend these two existing transport methods by a Java based messaging middleware that implements the JMS specification [21]. Such a transport has several advantages over direct synchronous remote method invocation using RMI. A detailed discussion of advantages is out of the scope of this work but the proposed discovery mechanism must generally support transport via messaging middleware.
2 Requirements and Design Decisions

Originally communication between RCE instances was designed as a peer-to-peer like network where every instance provides and consumes computing services. This requires every instance to be able to act as a client when consuming services and as a server when providing services. Therefore nodes in a peer-to-peer network are sometimes referred to as servants [4]. Nodes that do not provide a server (i.e. that cannot actively be connected to) were originally not intended. In practice this concept cannot always be applied. RCE instances that characterize more as clients often never provide any services but are still required to provide a server. On the other hand network setups exist, that may not allow RCE instances to be connected to from outside a subnet/intranet. In summary, not every instance can directly communicate with every other instance in the network, but must rely on intermediate instances that forward communication. Such observations from practical applications guided the development of a dynamic discovery protocol for RCE-to-RCE communication. As the discussion about the discovery mechanism gets more abstract RCE instances are referred to as instances or nodes subsequently.

2.1 Central Requirements and Design Goals

As a starting point central requirements were gathered that provide the context for the proposed architecture in the following section. Thus architectural decisions should always be traceable back to these requirements. Table 1 summarizes six high level requirements and framework conditions.

RE1 The following transport methods must be supported:
   SOAP, RMI, JMS, virtual (details in section 4.2).
RE2 No central dedicated server or registry can be used so that RCE can operate decentralized. Thus any middleware must be provided as RCE instance.
RE3 RCE instances must be able to join a network although they do not provide a server and are not visible outside of a sub network.
RE4 No confidential information about intranet networks must be broadcasted across the network of RCE instances.
RE5 Quality requirements such as reliability, scalability and performance must meet current and known future use cases.
RE6 Third party libraries must be compatible with the Eclipse Public License 1.0.

Table 1: Central requirements and framework conditions for the design process.
For the design of the discovery and routing protocol suggestions from the available literature on protocol development were reviewed. This led to a list of central properties that a protocol should have (table 2). In the following sections design decisions are justified with respect to those design goals.

(1) Optimality
(2) Simplicity and low overhead
(3) Robustness and stability
(4) Rapid convergence
(5) Flexibility

Table 2: Common goals for routing protocol design and development [24].

2.2 Software Architecture and Architectural Decisions

The overall architecture of RCE is depicted in figure 1. The distribution layer will be replaced by a single new layer called communication layer. The architecture of the communication layer is based on four sub layers: management, routing, connection and transport (see figure 2) and is realized as a OSGI bundle. The implementation of the discovery and routing protocol, which is the focus of this work, is located in the routing layer (coloured grey in figure 2).

![Diagram of RCE communication layer](image)

Figure 2: The architectural design of the RCE communication layer introduces four layers: management, routing, connection and transport.

Each of the layers has different responsibilities and is used from higher level layers. The
transport layer abstracts the concrete communication (e.g. SOAP, RMI, JMS, virtual) in order to provide a common API for the connection service. Based on that, the connection layer provides functionality to establish direct connections to remote nodes without making assumptions about the underlying transport protocol. On top of that the routing layer uses these direct connections to discover nodes in the networks and to allow communication between nodes that are, potentially, not directly connected to each other. The management layer serves as a container to provide functionally for live cycle management of an instance (e.g. start up, shut down) and to register for network based events (e.g. new instance discovered).

In order to allow different implementations of the layers as well as injection of "mock" implementations for tests, the inversion of control (IoC) pattern is applied. Using IoC every layer publishes its dependencies that are then resolved by the context in which the communication layer is operated. This greatly facilitates testing of each layer in isolation (details in section 4).

2.3 Supported Transport Methods

One central requirement for the design of the discovery and routing protocol is that it must be general enough to support different transport methods. Currently RCE is supporting remote procedure calls via RMI and SOAP based communication. These methods are used for different kinds of communication such as exchanging messages and notifications as well as bulk data transmission. Most communications are done synchronously on the coding level, but the notification layer also allows to register for events in a publish/subscribe style.

In most practical use cases RCE is operated as a homogeneous network of RCE instances. In such cases both RMI and SOAP are not the most efficient ways of communication. When using RMI remote procedure calls are done synchronously. If the remote instance is not available in that moment, the call fails. The same is true for SOAP based point to point communication without any intermediate infrastructure like an enterprise service bus (ESB). In order to counter these issues the transport methods are planned to be extended by Java Messaging Service (JMS) based communication. This will allow communicating fast and efficiently in a homogeneous RCE network. JMS does not bear the overhead associated with web service based communication. At the same time it loosens the coupling between instances by providing a message querying system. Receivers of messages must not be available in the very moment when the message is being send, but can fetch the message from the query at a later point in time.

Finally a "virtual" transport method must be supported to simulate and test the discovery
2.4 Challenges in Design and Implementation

protocol behaviour, without actual remote communication. The goal is to provide a virtual transport that allows to observe the behaviour of the discovery protocol and to detect potential implementation flaws in an early stage of development.

<table>
<thead>
<tr>
<th>Transport</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOAP</td>
<td>SOAP/RPC communication via HTTP, Apache CXF [7]</td>
</tr>
<tr>
<td>RMI</td>
<td>JAVA based, synchronous remote procedure calls</td>
</tr>
<tr>
<td>JMS</td>
<td>Asynchronous, message based communication</td>
</tr>
<tr>
<td>virtual</td>
<td>Simulation of a remote communication within a JVM</td>
</tr>
</tbody>
</table>

Table 3: Four types of transports that must be supported.

Since very different transport methods must be provided, it is advisable to design the discovery and routing mechanisms on a very abstract level without making any assumption about how a specific transport method works. Especially because multi hop communication between nodes may consist of combinations of different underlying transport protocols.

2.4 Challenges in Design and Implementation

Designing and implementing protocols is generally a challenging task compared to the implementation of sequential programs. Every possible interleaving of an arbitrary number of concurrently running protocol instances must considered. This makes it difficult to reason about the correctness of a protocol implementation. Correctness in this context means that an implementation has the properties stated in its specification.

A popular example for a protocol that did not meet its specification is the Needham–Schroeder protocol. The protocol is a very simple procedure to exchange public keys and should guarantee authenticity of public keys using a trusted third party (server). Only in 1996, more than fifteen year after its initial proposal, it was discovered that the protocol is susceptible to man-in-the-middle attacks [3].

An advice taken from the Internetworking Technology Handbook makes it particularly apparent, that often no structured approaches exist for protocol design and implementation, other than intensive testing: "The best routing algorithms are often those that have withstood the test of time and that have proven stable under a variety of network conditions" [24]. This motivates development of test scenarios that closely resemble real world use cases.

Table 2 summarizes common properties that are associated with well-designed routing
protocols. Some of these properties may challenge each other such as low overhead and rapid convergence (definition 2). A discovery procedure that is focused on rapid convergence might send many messages across the network causing substantial overhead. Inconsistent, incomplete or incorrect knowledge about the network state (i.e. topology) cannot be avoided and must be handled within applications. This enforces an asynchronous and defensive programming style that is relying on callbacks and timeouts increasing the complexity of client/application code. Also error handling, forwarding and interpretation are difficult due to the fact that everything in a distributed system can potentially fail at any time.

2.5 Link State Versus Distance Vector Protocols

Routing protocols can be grouped into two general categories: 'link state' and 'distance vector' protocols [24]. Nodes in distance vector protocols forward routed messages based on a distance metric. A message is forwarded to the node that is expected to be the next hop (stopover) on the shortest path to the final receiver. When using a distance vector protocol a node is only aware of its direct neighbours and a distance metric to any destination node. Distance and direction can be interpreted as a vector hence the name distance vector. Opposed to that, nodes in a link state protocol send information about their direct neighbours (i.e. their link state) across the entire network. Nodes that receive updated information about the link state of other nodes usually forward the update to their neighbours (i.e. flooding). This eventually allows every node to reconstruct the topology of the network (a topology map). Routing a message is then reduced to finding the shortest path in a graph that represents the network.

2.6 Weighting Advantages and Disadvantages

Compared to link state protocols, distance vector protocols are generally simpler and are consuming less computational resources (CPU time and memory). This simplicity comes at a price though. Distance vector protocols do not scale to larger networks [20]. In fact the simple distance vector protocol Routing Information Protocol (RIP) [16] limits the networks diameter to 15 nodes. Any two nodes with a greater distance cannot communicate with each other. IGRP (Interior Gateway Routing Protocol) is another distance vector protocol that extends the maximum network diameter (definition 1) to as much as 255.

Definition: 1. The maximum network diameter is the maximum distance to travel (for instance measured in hop counts) [20].
Distance vector protocols are based on periodic updates (RIP: every 30 seconds, IGRP: every 90 seconds). This generally limits speed of the convergence process (definition 2). Slow convergence behaviour in turn increases the likelihood of inconsistent topology information in different nodes. This makes distance vector protocols generally susceptible to the count to infinity problem where messages are forwarded in a loop (potentially forever). A number of techniques have been implemented to counter routing loops (max hop count, split horizon, etc.).

**Definition:** 2. Convergence is achieved when all routes within a routing domain agree on reachability information [20].

Link state protocols address some of the disadvantages of distance vector protocol at the cost of higher resource consumption (CPU time, memory) and a more complex implementation. Increased complexity stems mainly from the fact, that link state protocols do not rely purely on timed updates, but publish topology changes without delay. This requires a node to trigger and interpret topology related events correctly. An example for such an event would be a node that discovers a neighbour to be not available currently. It must decide whether to retry later or to interpret the connection as 'broken' and publish the change.

The increased CPU usage associated with link state protocols is mainly caused by the computation of routes. Every node knows about all other nodes in the network and their connections. From this information every node must compute a route to the final receiver when sending a message. Using Dijkstra’s algorithm to find the shortest path this requires a running time of $O(n \cdot \log(n))$. The requirement to know the topology of the entire network graph, only to compute the next hop, might eventually have limiting influence to the scalability in large networks.

When designing a discovery and routing protocol for distributed computing the increased resource consumption is an acceptable trade-off, since routing nodes are typically servers or at least strong desktop computers. The increased complexity of the protocol implementation can be handled with high level technologies such as JAVA or C++ in the application layer of the TCP/IP stack. Obviously any specification and implementation must undergo extensive automated testing.

The fact that, when using link state protocols, every node maintains a topology map of the entire network facilitates monitoring and debugging. The entire network with all nodes and channels can easily be visualized allowing user interaction and selecting routes preferred by the user. In addition, when a node computes a route, it can take into account more dynamic and sophisticated route computations based on weighted edges representing bandwidth, reliability or preferred transport methods (e.g. JMS over SOAP)
without changing the actual protocol implementation.

These considerations together with the central requirements collected in section 2.1 led to the decision to design a node discovery protocol that is based on the ideas of link state routing protocols.
3 Modelling and Implementation

The following sections show how a discovery procedure that is based on exchanges of topology information can be realized. First some basic terminologies are introduced along with their definitions. Based on those, a procedure for node discovery in static networks is proposed that is as simple as possible. Then the procedure is step by step extended to cope with dynamics in the network. The focus is to suggest a robust protocol that is as simple as possible and to avoid premature optimizations. Finally potential optimizations are outlined and the actual routing of messages is briefly discussed.

3.1 Basics and Definitions

As a foundation for a discovery mechanism, nodes must be identifiable. Therefore the concept of a Universally Unique Identifier (UUID) was introduced. In general a UUID allows identifying resources unambiguously in a distributed system. Nodes generate an ID once and are from then on identifiable via this ID. The ID must be persistent across application restarts. When the ID is reset the node is treated as a new and different node. This concept allows addressing nodes logically. A central goal of this work is to enable nodes to communicate with each other using a logical addressing. Logical addresses are translated into network routes by the routing layer. The ID be used not only in the communication layer but may be used in other parts of the software for instance for identification in distributed workflows or for references in experimental data.

In addition to logical addresses nodes must provide physical address for communication. These physical addresses are referred to as a network contact points (NCP). They are composed of a host address (i.e. IP address), a port number and a transport protocol (e.g. SOAP, RMI, JMS).

**Definition:** 3. A network contact point (NCP) can be provided by a node to enable establishment of connections. It consists of a host identifier (IP address), a port identifier and a transport protocol. It is not globally unique, but sensitive to the context it is used in.

As currently implemented in the RCE framework, a node sends messages to another node by connecting to one of its NCP. Thus, to receive a message, a node is required to provide at least one NCP. In terms of a SOAP communication, for instance, this means that every node must provide a SOAP server to receive messages. The set of NCPs that is provided by a node may dynamically change and the same node might be visible (addressable) via different NCPs depending on the location of the connecting node. It is important to note,
that NCPs are not globally unique as, for instance, the NCP (192.168.0.1, 4711, SOAP) may resolve to different nodes in different local area networks. A connection from a node to a network contact point will be referred to as link (def. 4), because this notation fits best in the terminology of routing protocols.

**Definition:** 4. A link is a connection from a node, identified by its ID, to a network contact point (NCP). A node can potentially establish and maintain multiple links to the same NCP.

Figure 3 exemplifies how nodes and network contact points can relate to each other. In the following sections images of network topologies will not include NCPs to provide a better overview.

Connections (i.e. links) are always established towards a NCP. To identify the node that is contactable using a NCP, the remote node must send its ID as a response after a connection was established. Only then the connecting node can be sure of the identity of its communication partner. Storing and managing relations between NCP and node IDs is achieved with the help of a topology map. Details of the topology map are discussed below. A link does not necessarily represent an open TCP connection. It represents more abstractly the observation that a communication attempt has succeeded at least once.

### 3.2 Link State Advertisements

Based on the concept of UUIDs for node identification and NCPs for physical addressing, every instance must be equipped with a list of one or more initial NCPs. This list serves as an entry point to an existing network. A node can connect to these NCPs and request
information about the remote node including its UUID. The list of initial NCPs is \textit{a priori} knowledge and must be configured manually. It can be extended at runtime to allow users to add RCE instance for direct connection. Based on the NCPs that are known to a node it can build up a list of its neighbouring nodes (def. 5) by trying to connect to each NCP and retrieving the remote node ID. This process is also referred to as \textit{neighbour sensing}.

\textbf{Definition: 5.} The \textit{neighbourhood} (or \textit{adjacency}) of a node is defined as the set of nodes, that are directly addressable using all NCPs that are known to the node. Only nodes that could successfully be connected to are included in the neighbourhood.

At the time when a node builds up a list of neighbouring nodes, some of these nodes may not be reachable and are therefore not part of the neighbourhood. All currently established connections to NCPs (e.g. links) together with the neighbourhood of a node makes up the \textit{link state} of a node. Potential connections to a node are not part of the \textit{link state}.

\textbf{Definition: 6.} The \textit{link state} of a node are the currently established connections to known NCPs (i.e. links) together with the set of nodes that are reachable using the known NCPs (i.e. the neighbourhood).

The current link state of a node summarizes its connection to other nodes. It can be interpreted as a tree shaped graph with a central node as the root and directed edges to the leaves. The fundamental principle of link state routing protocols is that nodes advertise their link state to other nodes. In order to achieve this, a network message can be created from the link state of a node. The message contains all information about the link state of the node, as well as some meta information in order to allow different interpretations. Such a network message will be referred to as link state advertisement (LSA) and are sometimes also known as topology control messages (TC messages).

\textbf{Definition: 7.} A \textit{link state advertisement} (LSA) or \textit{topology control message} is a network message, that contains all information about the link state of a node and additional meta data (e.g. sequence number).

When a node is producing a LSA message from its current link state it also increments a sequence number. The sequence number is then attached to the LSA message. When another node receives the LSA it can decide whether it is the first time that it received this particular LSA message.
3.3 The Network Topology Map

The principle of link state protocols is that each node develops a view of the network that is called topology map (or link state database). The topology map is built and adopted over time from received links state advertisements. It is essentially a directed graph that contains all links (edges) and nodes (vertices) that are currently present in the network. Because more than one link can exist between two nodes the topology map must be a multigraph. Edges are directed from the node that established the connection to the remote node. Although it is desirable that the topology map eventually models the current network state, it is not required to do so at any point in time. Also a node does not have any notion of its topology map being in sync with the current state of the system.

The following sections describe the discovery process that allows building up the topology map. Once a map is built it serves as foundation of the actual routing algorithm. Finding a route can be reduced to the computation of a shortest path on a weighted graph which is a solved problem. Details on the routing procedure are described in section 3.7.

For the implementation of the topology map the Java framework JUNG (Java Universal Network/Graph Framework) [25] was used. It provides a mature library of network and graph data structures as well as algorithms that work on the data structures. It is particularly handy, that the framework provides shortest path computation like Dijkstra’s algorithm out of the box.

3.4 The Discovery Process in Static Scenarios

As described in [14] the simplest way to implement a decentralized node (i.e. peer) discovery procedure is using network flooding. In this case every node broadcasts its knowledge about the network to its neighbourhood. Nodes may forward the received information to their neighbours whereby the knowledge eventually propagates across the whole network. In the first design stage of a discovery procedure, a number of simplifying assumptions are made. First, the network must be static, which means that the number of nodes is fixed and that the links between nodes is determined in advance. Second, nodes are always started and do not change their state, which means that they cannot shut down, restart or crash. Finally, from every node there must be a path to any other node. This condition can easily be satisfied when two nodes are always connected bidirectionally. These assumptions allow to develop a simple decentralized discovery procedure based on sending and forwarding LSAs as shown in listing 1. The procedure is described from the view of a single node. Every node is required to execute this procedure.
As mentioned above, scenarios where some nodes are still preoccupied in the start-up or initialization phase while others are already started up and ready to send LSAs are excluded at this stage. It is still interesting to investigate the convergence behaviour in such a simplified scenario. On the one hand it resembles the optimal case, where sending only one single LSA per node always leads to convergence. On the other hand it serves as a reference point for future optimizations in the flooding process that may try to reduce the total number of send LSA messages.

Figure 4 shows, as an example, a topology where four nodes \((N_1, N_2, N_3, N_4)\) are connected in a bidirectional "chain" topology. Every node has two neighbours excluding the first and last node that have only one neighbour. At every discrete time step \((t = 0, t = 1, t = 2, t = 3)\) a node is triggered to create and send a LSA message from its current link state. First \(N_1\) sends its LSA to \(N_2\). \(N_2\) then broadcasts the LSA to \(N_1\) and \(N_3\). \(N_1\) will ignore the LSA, because it does not contain new information (dashed arrow), but \(N_3\) updates its topology map and broadcasts the LSA (solid arrow) and so forth. This procedure continues until every node has at least once received the LSA. Only when the LSA message is not broadcasted any more, the next time step is reached \((t = 1)\).

![Scenario with four network nodes that are connected in a bidirectional chain.](image)

**Figure 4**: Scenario with four network nodes that are connected in a bidirectional chain. The graphic depicts how LSAs travel, after they are issued by a node.
In order to characterize the flooding process some simple metrics are very informative. First, it is interesting to measure how many LSAs a node has sent in total. The number of sent LSAs summarizes all LSAs that have been sent by a node in order to advertise its own link state, as well as those LSAs that have been received and broadcasted. Broadcasting a single LSA to \(n\) direct neighbours increases the number of sent LSA by \(n\) (not by 1). Next, the total number of received LSAs is helpful. It can be further split into LSAs that contained new topology information and are therefore forwarded (accepted) and LSAs, that do not contain new information and are therefore not forwarded (ignored). The following equation holds true: \(\text{received} = \text{accepted} + \text{ignored}\). Finally messages, as they travel through the network, pass a number of nodes which is referred to as the hop count. Based on the procedure from listing 1 the highest hop count that a node can observe can be determined (\(\text{max hop count}\)). The maximum observed hop count of any node cannot be larger than the number of nodes. A more restrictive upper bound for the max hop count is the diameter of the network. Such metrics give a first insight into the flooding process and allow comparing flooding behaviour in different topologies. Table 4 summarizes the four metrics.

<table>
<thead>
<tr>
<th>Metric</th>
<th>Interpretation</th>
</tr>
</thead>
<tbody>
<tr>
<td>sent</td>
<td>Number of sent LSAs (own LSAs and forwarded LSAs). Forwarding/broadcasting to (n) nodes increases (\text{sent}) by (n).</td>
</tr>
<tr>
<td>received</td>
<td>Number of received LSAs ((\text{received} = \text{accepted} + \text{ignored}))</td>
</tr>
<tr>
<td>ignored</td>
<td>LSAs that do not contain new information</td>
</tr>
<tr>
<td>accepted</td>
<td>LSAs that contain new information and are broadcasted</td>
</tr>
<tr>
<td>max hop count</td>
<td>The highest hop count of a LSA message that a node can observe</td>
</tr>
</tbody>
</table>

Table 4: Metrics to describe the flooding process. The numbers reflect observations of single nodes.

In case of a chain topology, as in figure 4, after \(n\) time steps every node has sent (either generated or forwarded) \(2n\) LSAs, received \(2n\) LSAs and ignored \(n - 1\) LSAs. Exceptions are the first and last node, that send and receive only half as many LSAs (namely \(n\)) and ignore only one LSA. The max hop count observed by the first and last node is \(n - 1\) and for any other node it equals to the distance of the node that is farthest away (i.e.\(\text{min}(n - i, i) - 1\)).

Along with chain topologies other characteristic topologies can be investigated. Figure 5 compares the discussed chain topology (a) to a bidirectional ring or token topology (b), a bidirectional star or client/server topology (c) and a directed ring topology (d). Note that topologies are deliberately chosen so that from any node there exists a path to every
other node, as required by the simplifying assumptions above.

![Diagram](image)

**Figure 5**: Examples for different network topologies: a) bidirectional chain, b) bidirectional ring, d) bidirectional star, c) directed ring

Based on the derived metrics above (Table 4), the flooding process can be modelled for any of these topologies. Table 5 summarizes results from the modelling for different topologies. Note that the table omits accepted messages for the sake of brevity because it is just a derived value: accepted = received − ignored.

<table>
<thead>
<tr>
<th>nodes $N_i$</th>
<th>$1 &lt; i &lt; n$</th>
<th>$1 \leq i \leq n$</th>
</tr>
</thead>
<tbody>
<tr>
<td>sent</td>
<td>$n$</td>
<td>$2n$</td>
</tr>
<tr>
<td>received</td>
<td>$n$</td>
<td>$2n$</td>
</tr>
<tr>
<td>ignored</td>
<td>$1$</td>
<td>$n - 1$</td>
</tr>
<tr>
<td>max hop count</td>
<td>$n - 1$</td>
<td>$\min(n - i, i) - 1$</td>
</tr>
<tr>
<td>(a) Bidirectional Chain (see fig. 5.a)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>nodes $N_i$</th>
<th>$1 \leq i \leq n$</th>
</tr>
</thead>
<tbody>
<tr>
<td>sent</td>
<td>$n$</td>
</tr>
<tr>
<td>received</td>
<td>$n^2 - n$</td>
</tr>
<tr>
<td>ignored</td>
<td>$1$</td>
</tr>
<tr>
<td>max hop count</td>
<td>$2$</td>
</tr>
<tr>
<td>(c) Bidirectional Star (see fig. 5.c)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>nodes $N_i$</th>
<th>$1 \leq i \leq n$</th>
</tr>
</thead>
<tbody>
<tr>
<td>sent</td>
<td>$n$</td>
</tr>
<tr>
<td>received</td>
<td>$n$</td>
</tr>
<tr>
<td>ignored</td>
<td>$1$</td>
</tr>
<tr>
<td>max hop count</td>
<td>$n - 1$</td>
</tr>
<tr>
<td>(d) Directed Ring (see fig. 5.d)</td>
<td></td>
</tr>
</tbody>
</table>

Table 5: Metrics for different network topologies. It is assumed that every node in the network issues exactly one LSA.
The results indicate that the number of sent messages per node linearly scales with the network size in realistic network topologies. A realistic network topology in this context is a graph that has substantially less edges than a fully mashed network. When the network graph would become fully mashed the number of sent messages per node is $\Theta(n^2)$.

When considering realistic topologies, the traffic volume increases quadratic as a function of network size (node count) from a global network perspective. On the one hand modelling the most basic discovery algorithm like this gives a lower limit for the efficiency of the process. Any procedure that requires more than $n^2$ messages to reach convergence is undesirable. On the other hand it is obvious, that the procedure must be further optimized to scale to larger networks.

Another noteworthy observation is the message volume in the bidirectional star topology. This topology resembles a client/server architecture. Client/serve topologies are not uncommon based on practical experience from current RCE deployments. A server might coordinate a distributed computing workflow or may itself provide computational services. In such cases the central node might become a bottleneck, because the central node must forward messages in the order of $\Theta(n^2)$ (table 5(c)). Section 3.6 will discuss optimizations that address these kinds of shortcomings.

An additional benefit of the derived flooding metrics and their modelled dimensions in different topologies is that they facilitate to formulate test cases. Equipped with predicted values for the metrics, a network topology can be simulated and tested against observed values. Being able to formulate such expectations is the foundation for automated tests that can be executed after a simulation. Section 4 describes the developed methods for automated testing in greater detail.

### 3.5 Node Discovery in a Dynamic Network

Based on some simplifying assumptions the section above describes how nodes in a network can be notified about each other’s presence in a network, when the network is static. This discovery algorithm meets three of the five desired design goals that were identified in the introduction (table 2). It is simple and produces little overhead, it is robust and stable (under the given simplifications) and it converges rapidly. It is however neither optimal nor flexible. The latter is subject to this section. The ambition is to maintain simplicity, robustness and rapid convergence while introducing flexibility.

The flexibility goal requires the discovery process to be able to adopt to dynamics in the network. Reasons for dynamic changes in a distributed computing environment are manifold. Nodes may join or leave the network on a regular basis. Nodes may also go
offline and later reconnect from a different location in the network. Another source for changes are failures of network components, that can have again a variety of causes. Nodes might get temporary unavailable or connections might fail or become unstable. In short, everything can fail any time. Accounting for such dynamic changes brings the discovery algorithm closer to real world applications.

Section 2.5 highlighted the differences between link state and distance vector routing protocols. One major difference is how changes are propagated across the network. Distance vector protocols exchange information periodically. Whenever changes occur the next update will communicate these changes. The static discovery protocol from the above section can be extended by such a mechanism easily. Based on a fixed time period the protocol in listing 1 could simply be repeated. Proceeding like this, inherently limits the speed of the convergence process and restricts scalability as $\Theta(n^2)$ messages are sent on each update. Link state protocols, on the other hand, rely on an event based propagation of topology information. Whenever a node observes a change in its link state, this change is broadcasted immediately. While this speeds up convergence, events must be carefully interpreted and translated into network messages. Next to reaching quick convergence it becomes necessary to show that convergence is always eventually reached by a given protocol. It must be excluded that any arbitrary sequence of events may cause a topology change to be not properly communicated.

The static flooding procedure from the above section can be reused as a general foundation for a more dynamic discovery. It specifies that received LSAs are forwarded if they are new to the node and are otherwise ignored. The following listing is identical to listing 1 excluding the first line. It will be extended in the following paragraphs.

```
1 AFTER receiving any LSA DO
2 IF LSA contains new information THEN
3 update topology map AND broadcast received LSA;
```

Listing 2: The basic LSA flooding algorithm.

The simplest and most frequently occurring reason for network dynamics are joining and leaving nodes. They must properly advertise their state after joining and before leaving. The semantics of joining and leaving nodes are reflected in annotated LSA messages that are referred to as `init`- and `shutdown`-LSA respectively. Listing 3 shows how these events trigger message sending.

```
1 AFTER starting up DO send init–LSA;
2 BEFORE shutting down DO send shutdown–LSA;
```

Listing 3: Protocol extension for joining and leaving nodes.
3.5 Node Discovery in a Dynamic Network

Sending init- and shutdown-LSAs is sufficient to let every node in the existing network know about leaving and joining nodes but the joining nodes themselves do not receive any information about the network. One approach to account for this would be to let every node issue a LSA to advertise their own state whenever they receive a init-LSA. This would be sufficient to converge the network but does cause considerable traffic when nodes join ($\Theta(n^2)$ per node). A more optimal procedure is, to let a joining node be updated only by its direct neighbour(s). In the OSPF specification this is also referred to as the "database exchange process" emphasizing that the topology knowledge of two nodes is synchronized. Neighbours respond to a init-LSA by sending a list of LSAs that represents the entire topology (i.e. one LSA for every node). A list of LSAs that represents the entire view of a node on the network is referred to as batch-LSA. Listing 4 extends the protocol with such behaviour. At this stage nodes that receive a batch-LSA do never forward it.

1 \textbf{AFTER} receiving init--LSA \textbf{DO}
2 \hspace{1em} \textbf{IF} sender is direct neighbour \textbf{THEN} send batch--LSA as a response;
3 \textbf{AFTER} receiving batch--LSA \textbf{DO} update topology map;

Listing 4: Protocol extension to update joining nodes.

Interpreting a shutdown-LSA message is as simple as removing the node and links to and from that node from the topology map (listing 5).

1 \textbf{AFTER} receiving shutdown--LSA \textbf{DO}
2 \hspace{1em} remove node and links from topology map.

Listing 5: Protocol extension for leaving nodes.

The concept of init-, shutdown- and batch-LSAs allows single isolated nodes to join and leave the network while ensuring that a quick convergence is reached with a low number of messages.

So far the protocol assumes that a network only grows and contracts by single nodes but a common use case is also merging of existing networks. In such a case new links are established between nodes that are already running. These changes in the own link state of a node must also trigger LSA messages. The situation is similar to the scenario of single joining nodes where one joining node must receive the information about the entire topology because it knows nothing about the network. When networks merge then both sides of the new link must receive a batch-LSA from its remote counterpart. This is achieved with line 2 in the following listing. Sending a init-LSA triggers the remote node to send a batch-LSA response (see listing 4). When the link state changes such, that links are removed, the new link state is simply broadcasted using a update-LSA (listing
3.6 Optimized Node Discovery

Listing 6 shows how changes in the link state trigger message sending. Newly connecting nodes are updating themselves via *batch*-LSA messages. In order to inform all other nodes in the two merged networks about the nodes in the other network, *batch*-LSAs must be forwarded so that they travel through the whole network. Every node that receives a *batch*-LSA must filter this LSA list to exclude already known link states (by comparing sequence numbers) before forwarding it. This enables merging networks to quickly discover participants in the newly connected network.

Listing 7: Forwarding the filtered *batch*-LSA.

Table 6 summarizes the four different types of LSA message that are used in the discovery process.

<table>
<thead>
<tr>
<th>Message Type</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>init</em>-LSA</td>
<td>Node broadcasts initial link state to new links triggering a <em>batch</em>-LSA in response.</td>
</tr>
<tr>
<td><em>update</em>-LSA</td>
<td>Node broadcasts current link state.</td>
</tr>
<tr>
<td><em>shutdown</em>-LSA</td>
<td>Node broadcasts intend to shut down/leave the network.</td>
</tr>
<tr>
<td><em>batch</em>-LSA</td>
<td>A list of LSAs, that represents the topology map of the sending node.</td>
</tr>
</tbody>
</table>

Table 6: Different types of LSA messages.

3.6 Optimized Node Discovery

Section 3.4 described the fundamentals of a flooding process without taking any topology changing events into account. Each node simply sends one LSA. This most basic procedure leads to a converged network. Section 3.5 extends this procedure with a number of events that trigger the nodes to send LSA updates in order to respond to topology changes. This allows handling more realistic scenarios where nodes join and leave the network frequently. How such a basic discovery protocol performs in real world scenarios remains to be investigated. The discovery procedure can be used as a starting point for
optimization. Proposed optimizations can be benchmarked against this implementation in order to evaluate the benefits.

At this stage it is difficult to speculate about potential optimization because they may heavily depend on the domain of application. For instances a routing mechanism might be optimized to find routes that are as short as possible in terms of hop counts. Such a protocol applied in wireless Mobile At-hoc NETworks (MANET) will favour routes where intermediate nodes have high geographical distance in order to reduce hop count. In consequence routes that are less reliable are selected over routes that are more reliable but require more intermediate nodes. Another example is the Optimized Link State Routing Protocol OLSR [1] that introduces improvements for link state based routing protocols that are applied in MANETs. The authors of [12] argue that the Multi Point Relay (MPR) optimization, that makes the flooding process more efficient, is in fact unsuitable in practical applications for MANETs.

Such examples empathize that optimization must be applied with caution and should be evaluated in practice. A starting point for optimization is to generally reduce the number of messages that have to be exchanged. One step in this direction, as also suggested by the authors of [20], is to not flood messages backwards to the origin. This optimization is currently not considered in the protocol above. Such directed flooding appears to be particularly beneficial in star-like topologies as it relieves the central node. Another approach to reduce the number of messages sent is to aggregate messages before forwarding. The OLSR is using this technique to reduce the messages numbers. Furthermore the protocol might be extended by time based periodic updates complementing the event based link state updates. When such a timer is introduces it is advisable to use a random jitter in order to avoid unwanted synchronisation over time as done by OSPF.

Another category of improvements is the optimization of the code base. Refactoring the code base with respect to optimized data structures and introducing caches instead of re-computing information from the topology map might offer potential for improvement.

### 3.7 Message Routing

Through flooding link state advertisements across the network, every node is able to build a topology map of the network. This topology map is the input for the routing algorithm. In most cases this is a shortest path algorithm that can account for (positively) weighted edges such as Dijkstra’s algorithm (the OSPF protocol for instances uses Dijkstra’s algorithm). Table 8 outlines a high level view on the routing process. An important fact is that the distributed algorithm requires each node on a route to re-compute the shortest path from its perspective only to find the most optimal subsequent node.
### 3.8 Optimized Routing

As with the suggested optimizations for the discovery process in section 3.6, optimizing the routing process must be done in close interplay with practical evaluation. The design goals in table 2 can be used as a general guidepost for improvements. In the near future

---

Listing 8: The routing procedure.

```plaintext
AFTER receiving a message DO BEGIN
  IF current node is receiver THEN process message ELSE
  BEGIN
    compute shortest path to receiver;
    IF path can be found THEN forward message ELSE fail;
  END
END
```

Routing protocols can be classified using the categories listed in table 7. The described protocol would classify as dynamic, due to the fact that nodes can join and leave the network while the protocol is operating. Because messages are not routed across possible alternatives, but only along the shortest path, the implementation corresponds to single-path routing. The network is composed of homogeneous RCE instances that do not allow to introduce any hierarchies, thus the topology is flat. Since every router must maintain a topology map and must reason on the best path to a destination node, it the protocol is best described as router-intelligent. The network of RCE instances is interpreted as a single network forming only one domain without any logical sub networks, therefore the protocol is an intra-domain protocol. Finally for reasons described in section 2.5 the protocol categorizes as link-state.

(1) static vs. dynamic
(2) single-path vs. multipath
(3) flat vs. hierarchical
(4) host-intelligent vs. router-intelligent
(5) intra-domain vs. inter-domain
(6) link-state vs. distance-vector

Table 7: Six different criteria to describe routing protocols [24]. Categories that apply to the developed routing protocol are marked in bold.
it will be interesting to add weighting to the routing algorithm. Generally this should not be a problem, because the used algorithm is able to account for weighted edges in the topology graph. As done in OSPF, it is advisable to derive a single dimensionless metric for link weights that can be communicated within link state advertisements. Every node calculates weights for its links state to favour, for instances, fast JMS connections over relatively slow SOAP communication.

The current implementation is aimed to be as simple as possible. Routes through the graph are directly computed from the topology map without building up a routing table. While this is sufficient for a prototypical implementation, it offers potentials for improvement to introduce a routing table as a cache for computed routes.

Reaching robustness is at this point certainly an open task. A concept for handling failures during message routing must cover a variety of failure scenarios. Every component whether hardware or software can potentially fail in any moment.
4 Simulation and Test

Section 2.4 already highlighted that specification, design and implementation of communication protocols is in general challenging. This motivated to focus on the development of testing and simulation methods within the scope of this project. Automated testing has gained wide adoption in the software engineering community. Writing test cases next to the actual implementation or even before (e.g. Test Driven Development) can result in a significant increase of quality. Today mature frameworks (e.g. JUnit [5]) are available, that facilitate to write and execute automated tests. Despite its name JUnit is suited not only for unit test execution but also for automation of any kind of tests. In this project JUnit was used as a framework to author and execute automated tests and proved to be a good choice.

4.1 Unit Testing

Tests can be characterized by the degree of isolation they require for their execution. At the lower end of the scale are unit tests that examine small parts of complex systems in isolation. Unit tests allow increasing the confidence in the correctness of a system by assuring that the decomposed parts of a system behave as expected. With the help of unit tests, refinements of APIs can be done at an early stage of development making them more stable in later stages. Furthermore changes and optimizations of the data structures can be done with more confidence since regression issues can be discovered quickly.

Designing for unit testing requires a system to be decomposable. A central aspect of modularity and decomposability is the management of dependencies. Tightly coupled and highly dependent modules that make assumptions about implementation details are difficult to isolate and hinder decomposition. To counter this problem control about dependencies is moved out of the components and is left to a central component or the executing context. This architectural pattern is often referred to as Inversion of Control (IoC) and promotes loose coupling. When executing isolated system components in a test context, dependencies can be resolved by "mock" implementations. This focuses the execution onto the unit under test.

Architectural decisions in the RCE network layer (figure 2) were guided by these principles leading to a layered architecture where layers are relatively loose coupled. Basic classes and data structures such as the topology map (section 3.3) or the link state advertisement (section 3.2) were implemented directly next to their unit tests. This facilitated designing APIs that were suitable in later stages of development. Many potential design flaws could
be discovered and fixed early with the help of a test driven approach.

The data structure that was used to represent the topology map encapsulates a data structure that is provided by the Java Universal Network/Graph Framework (JUNG [25]). It is essentially a directed sparse multigraph to which analysis algorithms like shortest path computation can be applied. Test driven development made unexpected behaviour and side effects observable during implementation (e.g. removing a vertex from a graph also removes incident edges).

4.2 Virtual Transport and Virtual Instances

Appropriate unit testing with reasonable code coverage (lines of code that are covered by tests) serves as solid foundation for the implementation of a discovery and routing protocol. But unit tests are not suitable to test the message exchanging in a protocol because components are only tested in isolation. To investigate interaction and message exchange between multiple participants in a protocol, higher level tests are required. For instance it is interesting to test, if a LSA message eventually propagates across the entire network. Such a test might then be executed on different network topologies that might occur in practical situations. Table 8 summarized some test scenarios that are desirable for automated testing.

| TEST1 | Does every node eventually receive the LSA that one node has sent? |
| TEST2 | Does the highest observed hop count of a message not exceed a given threshold (e.g. size of the network)? |
| TEST3 | Do all nodes in the network have the same view on the network at a given time point? |
| TEST4 | Does routing a message across a converged and stable network always succeed? |
| TEST5 | Does starting protocol instances in an arbitrary order eventually result in a converged network? |

Table 8: Examples for test scenarios.

While these test cases are more integrated than the classical unit tests above, they still require isolation on a higher level. Although multiple instances of the network layer are subject to these test cases, the underlying transport protocol (i.e. SOAP, RMI, JMS) should not be a part of the test execution. The architecture of the network layer (figure 2) supports this isolation by providing a "virtual" transport method in the transport layer. A virtual transport can simulate communication on a single machine in a single process.
This technique allows testing and analysing the logical protocol flow in isolation. Results and observations from tests can deliver valuable hints on performance and scalability of the discovery process. Also potential optimizations in later stages can be investigated and measured without distortions through physical network connection.

The virtual transport simulates message passing by directly triggering events that process incoming messages. Serialization and deserialization of the transmitted message payload is included to resemble remote communication as close as possible.

Complementary to a virtual transport, the concept of virtual instances has been introduced. Virtual instances model real nodes (i.e. RCE instances) as state machines (see figure 7) and thereby serve as a host for the communication layer (figure 6). The model provides all aspects that are relevant for test execution, but omits details that are not subject to the tests. The behaviour of an instance is entirely determined by its state machine. The model in figure 7 can be extended with additional states to simulate behaviour that might be interesting to investigate. In contrast to modelled instance, the communication layer that is hosted within a virtual instance, executes the actual implementation. State changes in virtual instances are delegated to the communication layer implementation, so that it can react to them. Using the actual implementation within a modelled instance permits to test not only the specified protocol behaviour, but also its concrete implementation.

Figure 6: Virtual instances, modelled by a state machine, simulate network nodes. The virtual transport allows to simulate remote communication.
The virtual transport together with virtual instances enables execution of automated tests on a single machine. This approach allows setting virtual instances into a well-defined state by the test author. Scenarios that are difficult to set up in an actual deployment are easily created with virtual instances. An example for such a scenario would be an instance that "crashes" without further ado.

Like the virtual transport, virtual instances allow to execute tests that focus only on the logical execution of the protocol flow. Based on virtualized components a library for tests authoring was developed. This library helps, for example, to create virtual topologies for efficient test authoring.

A test can be generally divided into phases. First every test starts with a setup phase where the test context is initialized. Setting up the test context usually includes creating a virtual topology and setting virtual instances to a defined state. After the test context is set up, the part of the system under test is executed. In the execution phase some or all instances may execute parts of the discovery protocol. After the execution phase, assumptions about the state of the system are tested. Test authors can formulate one or more assertions that test the current state. A common assertion, when testing the discovery procedure, is to assert that topology maps of all nodes are equal to each other. This consensus is only reached when the network is converged. The last phase is the tear down phase. During this phase resources that were acquired for the test, are released. No state must be shared between test executions, to guarantee the absence of any side effects between multiple test executions.

4.3 Test Authoring

It is important to create a well-defined scenario in the set up phase of every test. The test library provides methods to create such scenarios. Among them are methods to
create arbitrary numbers of virtual instances and to initialize these instances to a given state. Also methods that create virtual topologies are provided. Examples of virtual topologies include client/server topologies (or star topologies), ring topologies and chain topologies. These fundamental topologies were taken from the modelling phase where the flooding procedure was modelled (section 3.4). With the aid of the modelled behaviour of the flooding process, test scenarios can be created and tested against their predicted behaviour. For instances, the predicted maximum observed hop count or the number of LSAs, that are sent by every node can be asserted in a test.

These assertions are possible through the concept of a virtual transport. The virtual transport provider can easily observe the global network traffic because every virtual instance is represented by a thread in the same process. This is a major advantage of virtual test execution over tests executed in an actual deployment. The global perspective on the network allows implementing additional features for test authoring. One obvious example is counting the number of messages that are sent across the network. Besides such basic test scenarios, the test library also facilitates authoring more sophisticated test logics. An example for such a test is to wait until no more messages are exchanged anywhere in the network for a given period of time. Such a method can be used to deliberately wait before making assertions on the current state of the network.

Virtualizing instances, transport and topologies helps to create parameterized test cases. In order to make tests parameterizable they should not, if possible, use fixed numbers for the network size (i.e. number of nodes) or absolute addressing of instances. An example for a parameterized test case would be a test that creates a chain topology with \( n \) nodes in its set up phase. Afterwards the test might start every instance in an arbitrary order, wait until no more messages are exchanged in the network and then assert that the first node can send a routed message to the last node in the chain (i.e. relative addressing). Such test cases are then very flexible and reusable. An obvious advantage is that the discovery procedure can be easily tested and analysed at different scales. A protocol that performs well with ten instances can be quickly evaluated in a scenario with 200 instances. Another advantage of flexible basic topologies is that they can be composed to more complex topologies. Two independent ring topologies might, for example, be connected via a chain topology. Such compositions of simple topologies to more complex, large topologies give a great freedom to the test author.

Next to parameterized tests randomization is useful when authoring tests. Concatenating basic topologies to more complex topologies might be done with randomization in order to increase variability in the tested scenarios. Randomization of topology composition can be achieved by selecting nodes for concatenation randomly. While such a randomized composition allows generating a large variety of test cases, it makes it also
easy to guarantee certain properties of the network graph. For instance when connecting
two topologies where it is known that each node can communicate to every other node.
When these two topologies are connected, then this property is conserved in the resulting
topology.

Randomization of tests might potentially introduce nondeterminism to the test execution.
A test might only fail or succeed in some cases but not in others. To discover failures
with the help of randomized topologies is a part of the benefit of these kinds of tests. It is
guarantees that test cases are not limited by the creativity of the test author and increases
the chance to cover unexpected scenarios. Therefore a nondeterministic test execution is
inherent to randomized tests. To encounter this issue the test library supports repeated
test execution. The setup phase is executed once. Then the two phases of test logic
execution and assertions are executed repeatedly over a number of iterations. After
executing all iterations, the tear down phase of the test is reached. Each execution is
called referred to as a *epoch*. An example for a scenario where epochs are useful is, when
two random nodes are selected and it must be asserted that they are able to communicate.
The following section discusses concrete test scenarios that make use of these methods.

### 4.4 Simulation and Test of Node Discovery

Equipped with virtualized transports and instances, high level test cases that cover parts
of the protocol can be formulated. Listing 9 shows a simple test case, where a number
of virtual instances are connected into a ring topology (see figure 5.b) and then started.
Eventually it is asserted that every node has the same view (topology map) on the
network. This test only succeeds when all messages necessary for node discovery are
triggered after start-up of an instance. These kinds of test cases are very valuable when
investigating different possible discovery procedures. Link state routing protocols are
based on immediate propagation of topology changes. A well designed protocol should
be as simple as possible, achieve network convergence quickly and should be optimal
in terms of the number of send messages (table 2). Automated tests serve as a direct
feedback as to which extends these objectives are achieved.

```plaintext
1 Connect nodes into a ring topology;
2 PARALLEL (Start up all nodes);
3 observe network and wait until no more messages are exchanged;
4 IF every node has the same view of the network THEN
5  succeed ELSE fail;
```

Listing 9: Simple test case for a ring topology.
A test case like the listing above is not a synchronous and sequential execution but is - at least partially - executed in parallel (line 2). Therefore such a test case does not necessarily fail or succeed deterministically although it is executed with the same parameters. To achieve a deterministic test behaviour explicit global synchronization must be introduced. Again the virtual transport provider can be extended to support such synchronisation features, as it can observe the entire traffic on the network. This allows to implement methods that enable statements such as in listing 9 line 3. The statement waits until no more messages are exchanged. After such an explicit synchronization it can be deterministically tested for network convergence (i.e. listing 9 line 4).

Another interesting simulation is a continuously growing network. Starting with a single node, new nodes are added iteratively. Every new node is connected to a random node in the existing network. This produces a spanning tree topology. After each iteration it is tested for convergence (listing 10).

```
1 WHILE (NOT reached max epochs) BEGIN
2   connect new node to random existing node;
3   start up the newly connected node;
4   observe network and wait until no more messages are exchanged;
5   IF NOT every node has the same view on the network THEN fail;
6 END
```

Listing 10: Simple test case with randomly added nodes.

More advanced simulations can be formulated easily. Listing 11 initially creates a ring topology. After that, the following epoch is repeated: shut down random node, test for convergence, send a routed message, start up the random node again. In a ring topology two nodes have two alternatives to communicate with each other. One way is to communicate along a path that is directed clockwise and the alternative is to use a path that is directed counter clockwise. This property of ring topologies makes it possible do shut down a single node without disconnecting any two nodes. When a node is shut down it should, based on the discovery procedure proposed in section 3, send a shutdown-LSA that will flood the network. When the information of the disappearing node is correctly flooded across the network, every remaining node should be aware that one of the two communication path is not available any more. No node should attempt to send messages towards the node that has shut down. This is tested by selecting a random sender and receiver node and sending a message from sender and receiver. If this does not fail, the node that has been shut down is started up again. It will advertise itself after start up with an init-LSA. Now the first epoch is finished. This procedure will be repeated several times. Only when the procedure of starting and stopping instances causes the right LSA messages to be flooded across the network, this test will succeed in...
4.5 Concluding Remarks

every iteration.

1 Connect nodes into a ring topology;
2 \textbf{PARALLEL} (Start up all nodes);
3 observe network and wait until no more messages are exchanged;
4 \textbf{WHILE} (\textbf{NOT} reached max epochs) \textbf{BEGIN}
  5 shut down a random node;
  6 observe network and wait until no more messages are exchanged;
  7 \textbf{IF NOT} every node has the same view on the network \textbf{THEN} fail;
  8 send a routed message from a random sender to a random receiver
  9 \textbf{IF NOT} sending succeeds \textbf{THEN} fail;
 10 start up the random node again;
11 \textbf{END}

Listing 11: More advanced shut down/start up scenario.

Test cases like the the one above (listing 11) are the most stable once, because they make little assumptions on the underlying protocol specification. Therefore adoptions in the protocol specification do not require to rewrite the test cases. Instead of testing \textit{shutdown-} and \textit{init-}LSAs in isolation, this test case asserts that, when nodes leave and join, the network always reaches a converged state. It is irrelevant to the test, how exactly the discovery mechanism achieves this. Like unit tests, these high level tests should focus on one particular aspect. Test scenarios that mix different aspects make it harder to attribute test failure to a concrete aspect of the software. They might also hide other failing assertions, because the test execution is stopped at the first failing assertion.

4.5 Concluding Remarks

During the course of this project much effort has gone into the implementation of unit tests and the design of test scenarios. When compared by lines of code, test cases made up approximately two thirds of the developed code base. In addition to test scenarios, a library for test execution was developed, in order to allow high level test authoring as described above. Well constructed test scenarios turned out to be vital to the development of the dynamic discovery protocol. Changes and refinements of the protocol could be quickly investigated in a verity of well documented use cases. Many issues that have not been apparent in the design phase could be addressed and fixed at an early stage with the aid of test driven development. Intensive automated testing not only helped to develop sound components but also revealed underspecification of parts of the components and the discovery protocol.

Without such a large battery of tests cases, development would be disproportionately
more time consuming and error prone. One conclusion was, that test scenarios were most useful when they described what properties a system should have at a given point in time, rather than what specifying exactly what it should do or how it should behave.

Collecting requirements and modelling the behaviour of the discovery protocol, as done in section 3, were helpful when authoring test scenarios and choosing which properties to assert after execution of the test logic.

At the time of writing it remains an open task to test the node discovery in real deployments using transport protocols like SOAP or RMI for communication.
List of Acronyms

API  Application Programming Interface
CXF  CeltiXFire
ESB  Enterprise Service Bus
IGRP  Interior Gateway Routing Protocol
IoC  Inversion of Control
IS-IS  Intermediate System To Intermediate System
JMS  Java Messaging Service
JUNG  Java Universal Network/Graph Framework
JVM  Java Virtual Machine
LSA  Link State Advertisement
MANET  Mobile At-hoc NETworks
NCP  Network Contact Point
OLSR  Optimized Link State Routing
OSI  Open Systems Interconnection
OSPF  Open Shortest Path First
P2P  Peer-to-Peer
RCE  Remote Component Environment
RCP  Rich Client Platform
RFC  Request for Comments
RIP  Routing Information Protocol
RMI  Remote Method Invocation
RPC  Remote Procedure Call
SOC  Service Oriented Computing
TC message  Topology Control Message
TDD  Test Driven Development
UDDI  Universal Description, Discovery and Integration
UUID  Universally Unique Identifier
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Erklärung
Hiermit erkläre ich an Eides statt, dass ich die vorliegende Arbeit selbst angefertigt habe; die aus fremden Quellen direkter oder indirekt übernommenen Gedanken sind als solche kenntlich gemacht.

Die Arbeit wurde bisher keiner Prüfungsbehörde vorgelegt und auch noch nicht veröffentlicht.

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