

# TanDEM-X DEM Calibration Concept and Height References



Deutsches Zentrum  
für Luft- und Raumfahrt e.V.  
in der Helmholtz-Gemeinschaft

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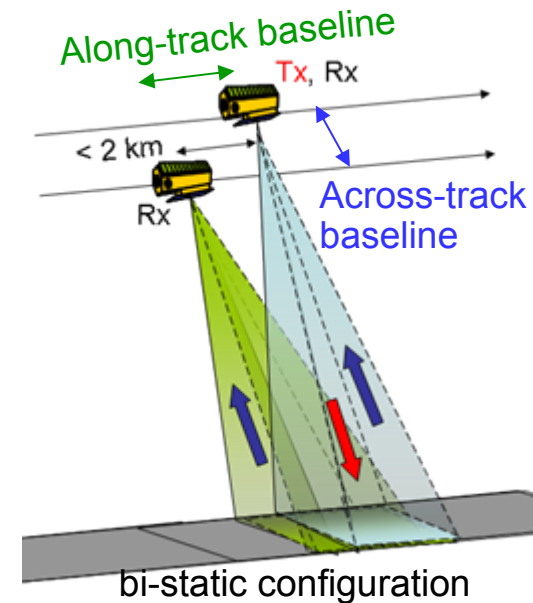
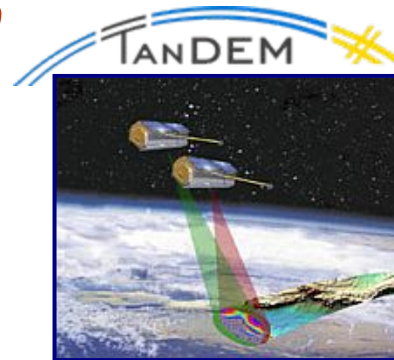
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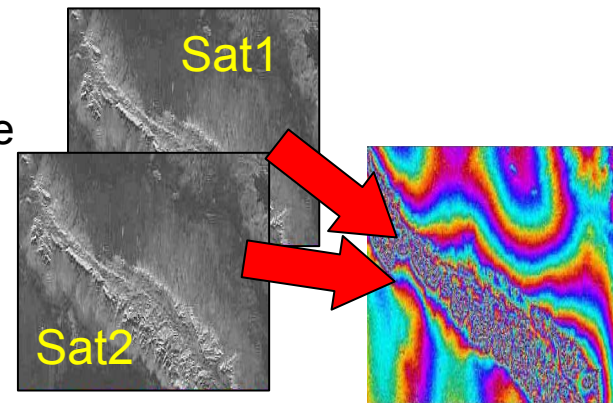
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# 1. Introduction



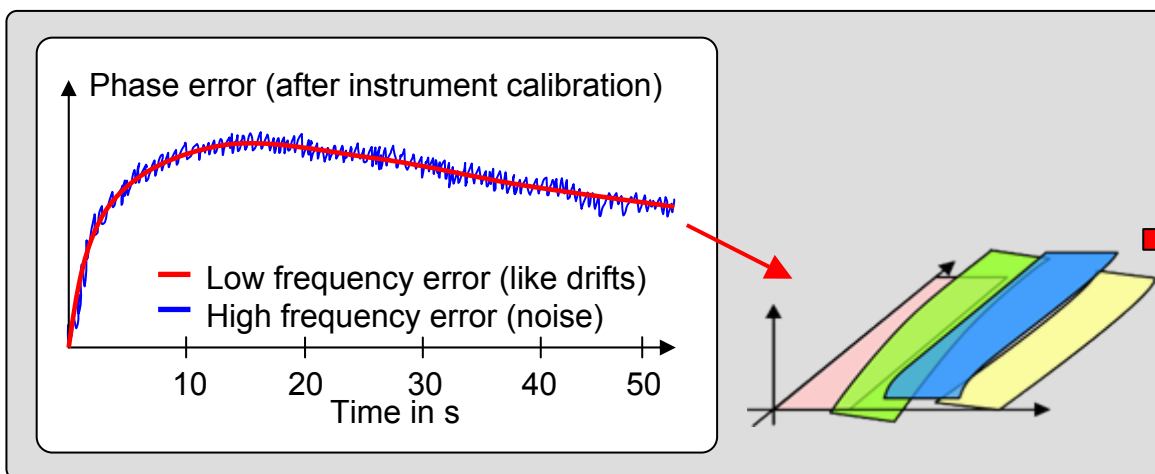
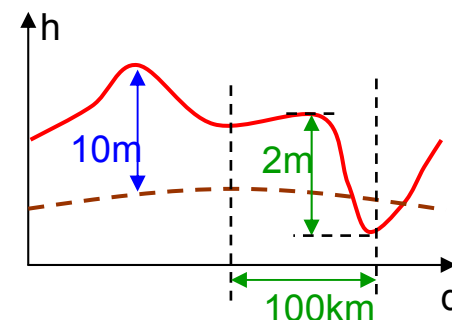
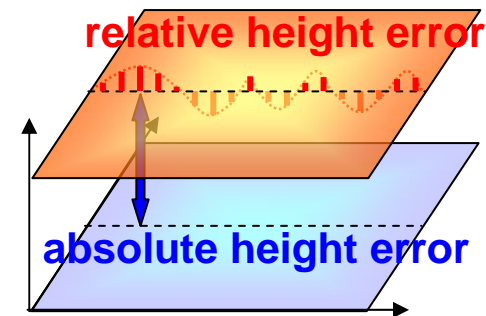
- Bi-static satellite operation:  
TerraSAR-X (launched June 2007) and TanDEM-X  
(previewed for September 2009)
- SAR-DataTake → Sat 1: Tx+Rx  
Sat 2: Rx
- Synchronisation required
- Processing of both images
- Calculation of an interferometric image via phase  
difference of images
- Derivation of DEM
- Remaining errors after instrument calibration:  
baseline and phase errors → Height errors



## 2. Objectives – DEM Calibration

➤ Global DEM HRTI-3-"like" within mission time (3 years)

Requirement HRTI-3	Specification	HRTI-3
Absolute vertical accuracy (global)	90% linear error	10m
Relative vertical accuracy (100 km x 100 km)	90% linear point-to-point error	2m (slope<20%) 4m (slope>20%)
Horizontal accuracy	90% circular error	10m
Post spacing	Independent pixels	12m



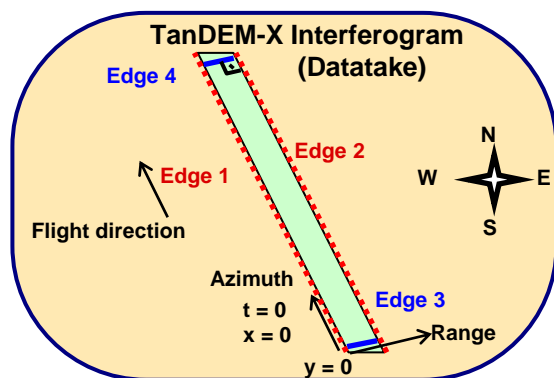
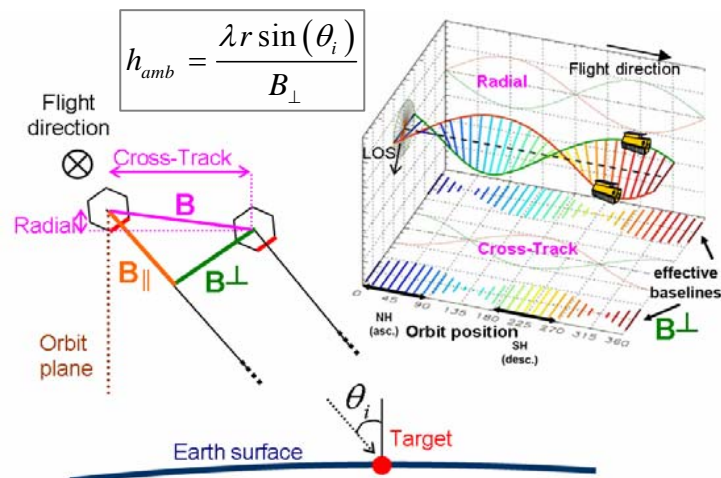
### DEM Calibration Concept

- Adjustment methods
- Height references



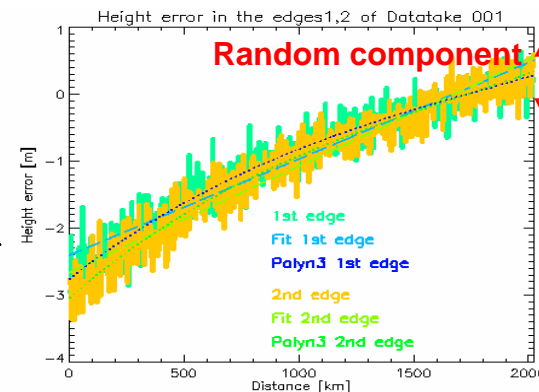
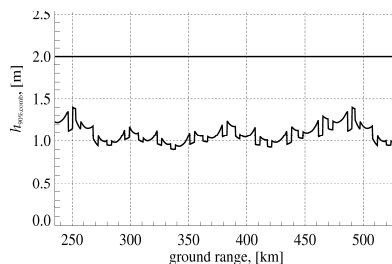
### 3. Phase and Baseline Errors to Height Errors

- Baseline errors  $(\Delta B_{\parallel})$ 
  - Azimuth modulation:  $\Delta h = \frac{h_{amb}}{\lambda} \cdot \Delta B_{\parallel}$
  - Elevation tilt:  $\varphi_{tilt} = \frac{\Delta h}{\Delta s} = \frac{\Delta B_{\parallel}}{B_{\perp}}$
- Instrument errors  $\rightarrow$  Azimuth modulation:  $\Delta h = \frac{h_{amb}}{2\pi} \cdot \Delta \varphi$



- “Slow-changing” errors  $\rightarrow$  drifts, slow/periodical changes
- “Fast” random errors  $\rightarrow$  thermal noise/performance

90% height error for soil and rock after combination of 2 interferometric acquisitions



### 3. Phase and Baseline Errors to Height Errors (cted.)

- Random errors (1.5m) almost exhaust all the relative height error specification (2m)
- Assumptions:
  - DEM is calibrated in absolute height (**Height references**)
  - Processing solves most of the phase unwrapping errors
- Rest of the remaining errors have a systematic nature
- Example:

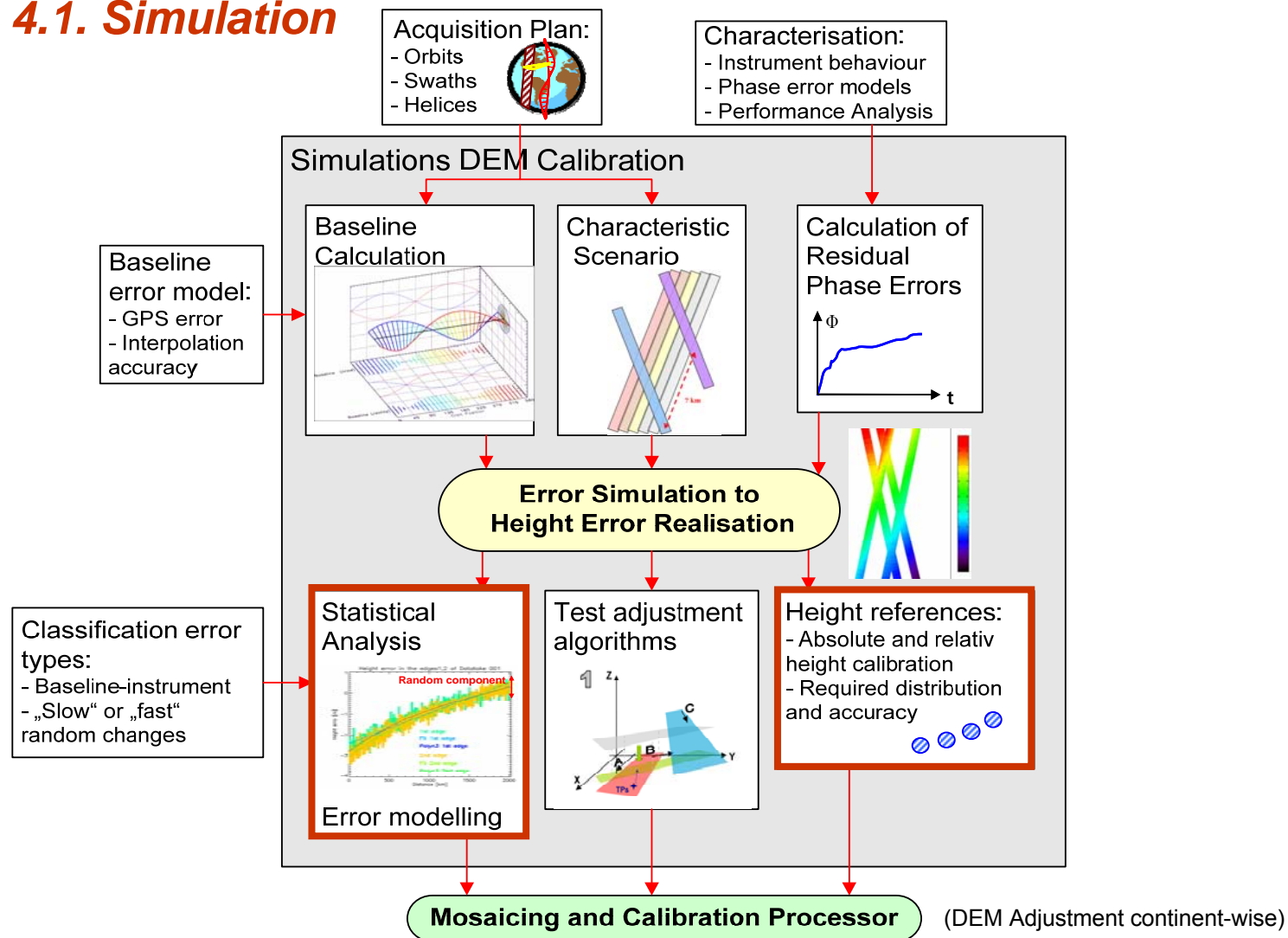
Incident Angle	Normal Baseline ( $h_{amb}=35m$ )	Height Errors (for $h_{amb}=35m$ )		
		$\Delta B_{  } = 1mm$		$\Delta B_{\perp} = 1mm$
		$\Delta h$	$\Delta h/\Delta s$ (tilt)	$\Delta h$ ( $h=9km$ )
30°	260 m	1.1 m	3.8 mm/km	3.5 cm
45°	439 m		2.3 mm/km	2.1 cm

- 1 mm  $\Delta B_{||}$  → height offset of 1.1 m in the datatake  
Translated to specification region (100 km × 100 km) → potential non-compliance
- The vertical displacement and the tilt in range would also directly follow the time evolution of the parallel baseline error

- Necessity of DEM Calibration
  - absolute : height references
  - relative : overlapping regions of DEMs

# 4. DEM Calibration Concept

## 4.1. Simulation



# 4. DEM Calibration Concept

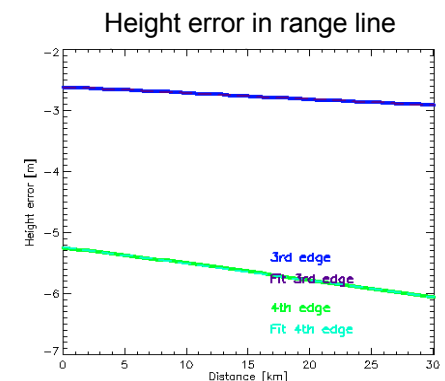
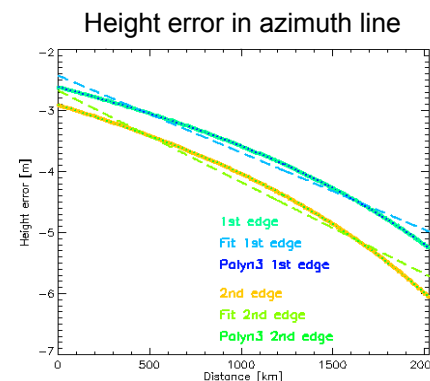
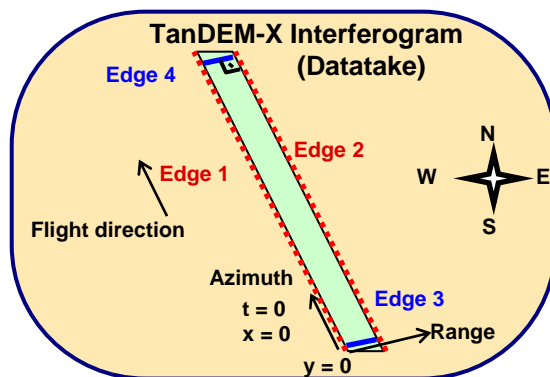
## 4.2. Error Modeling

- Statistical study of the **systematic** height error behaviour in different zones (latitudes)
- Confirmed assumptions regarding height error evolution (see table)
- Therefore → 2D height error evolution can be approximated by functional descriptions
- Statistical analysis → derive coefficients of the following **functional model** (to be implemented in the MCP)

$$g(x, y) = a_0 + a_1 \cdot x + a_2 \cdot x^2 + a_3 \cdot x^3 + b_1 \cdot y + k \cdot x \cdot y$$

Height error evolution	Azimuth	Range
Fitting function	3 <sup>rd</sup> order polynomial	linear

- Least-squares adjustment with constraints
- Principle: heights in overlapping areas should be nearly identical after correction → correction parameters can be found independent from terrain types

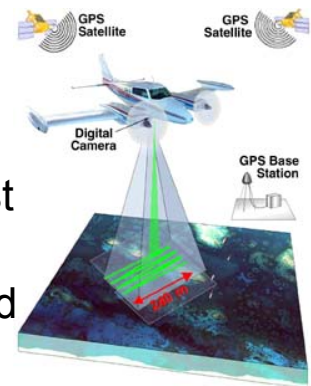
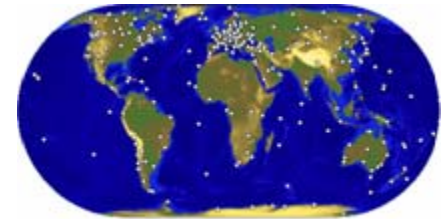
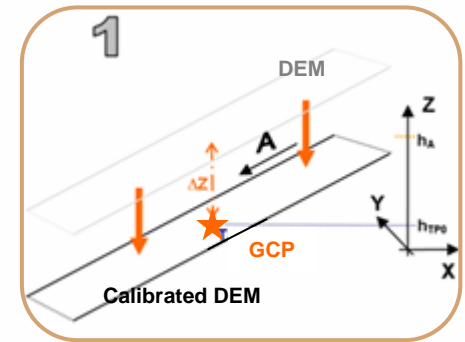




# 5. Height References

## 5.1. Types

- Absolute and relative height calibration requires accurate height references:
  - Adequate distribution depending on data take scenario
  - Coverage on all significant isolated land masses
  - Controlled accuracy are pursued
  - Independent from sources used for validation
- Global data sets
  - Good coverage for hooking in the DEM
  - GPS stations, ICESat...: very useful in regions of the planet where local height data are limited/unreliable/unavailable
  - Open terrain height references preferable: uncertainties between terrain and surface models do not need to be considered
- Local DEMs and references
  - Airborne Lidar DEMs, GPS tracks...: more accurate, but more cost
  - Limited coverage
  - Certain interest regions: highly accurate height references required to fulfil a HRTI-4 standard (secondary mission goal)



# 5. Height References

## 5.2. ICESat

- Satellite with a laser altimeter (GLAS) , Launched in January 2003 performing global elevation measurements of land, sea and ice
- Elliptical footprints of 60 m diameter, 170 m in along track distance, 80 km across track separation; 91 day repeat cycle
- Good absolute **accuracy**:

$< 0.5 \text{ m}$  (slope  $< 3 \text{ m}$ )  
 $< 1.0 \text{ m}$  (slope  $< 10 \text{ m}$ )

Slopes determinable from ICESat products

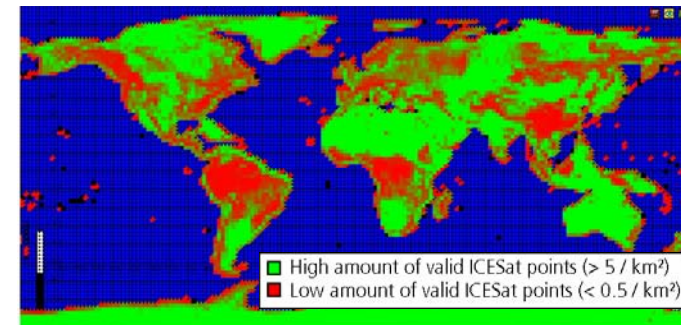


### Bibliography:

- J. Abshire, et al. "Geoscience Laser Altimeter System (GLAS) on the ICESat Mission: On-orbit measurement performance", Geophysical Research Letters, Vol. 32, 2005.
- E. Rodriguez, et al. "An assessment of the SRTM topographic products", Technical Report JPL D-31639, Jet Propulsion Laboratory, Pasadena, California, 143 pp.

- Improved DEM accuracy as a secondary mission goal (HRTI-4 standard)  
→ ICESat database can be applied

- **Global coverage**  
(actually over 1 billion measurement points)

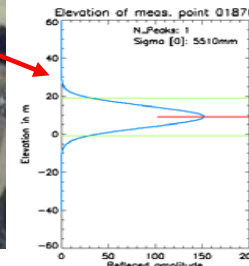
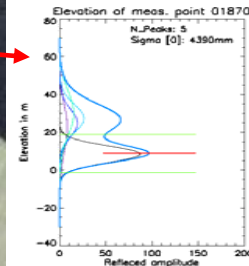
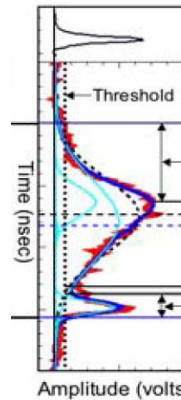


# 5. Height References

## 5.3. ICESat Data Application

- Main height reference source for TanDEM-X
- Elliptical footprints of 60 m diameter
- Pulse characteristics

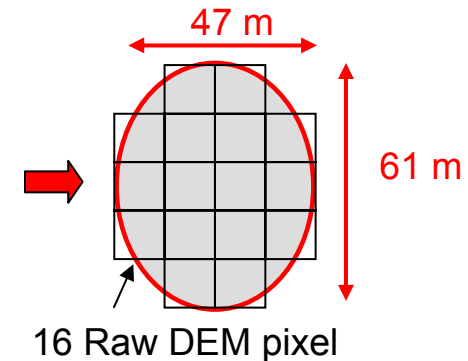
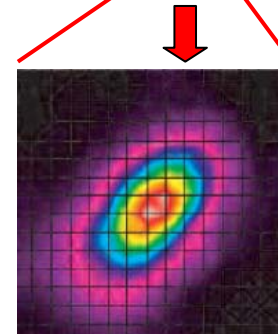
- Decomposed in 6 Gaussians
- 1 peak (flat ground)
- More peaks (trees, slope, scattering)



- **ICESat Data Packet Parameters:**  
Evaluation and classification information for each measurement point

- DEM height
- SRTM height
- N. Peaks
- Sigma width/saturation
- Slope
- Cloud layers
- Surface properties
- Region type

- Additionally **MODIS** vegetation coverage data

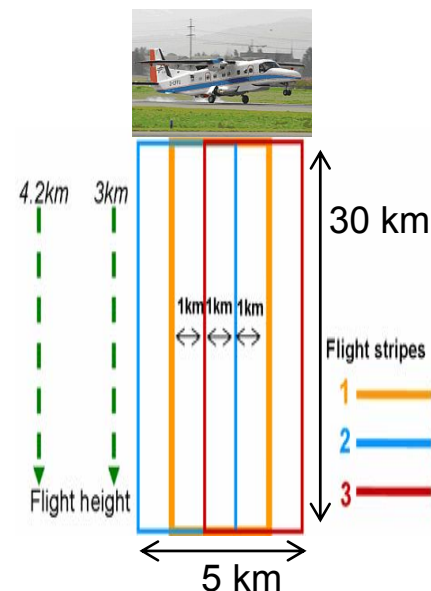




# 5. Height References

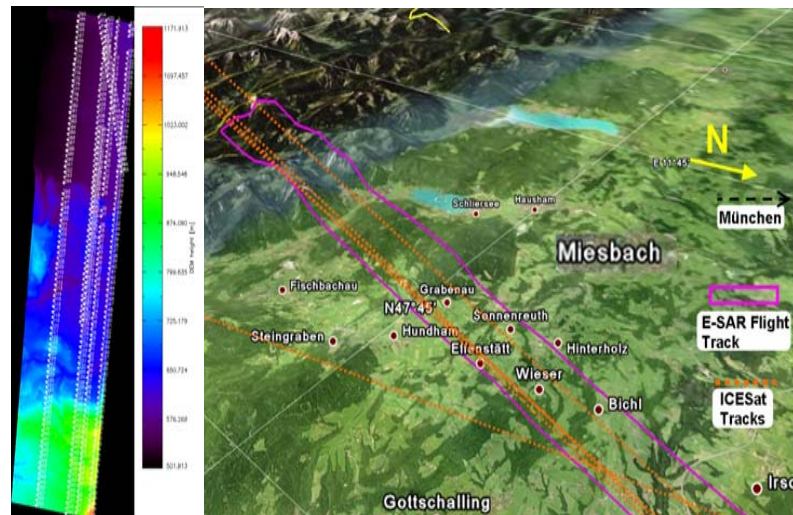
## 5.4. ESAR Campaign Miesbach

- Flight campaign of the Experimental Airborne Radar System (E-SAR) close to Miesbach, Munich
- Acquisition region: flat land, forests and mountainous areas
- Three parallel overlapping stripes of 3 km width and 30 km length (two acquisitions/strip, with different flight heights)
- ICESat height references available over this area (several tracks)



- Goals of this campaign:

- Assess the accuracy of ICESat data
- Precision over different terrain types
- Dual baseline phase unwrapping
- Averaging of the ICESat footprint pattern
- Averaging of E-SAR/TDX DEMs around tie/control points
- Height calibration/mosaicing/trend identification
- Identify highly forested regions with MODIS vegetation coverage data

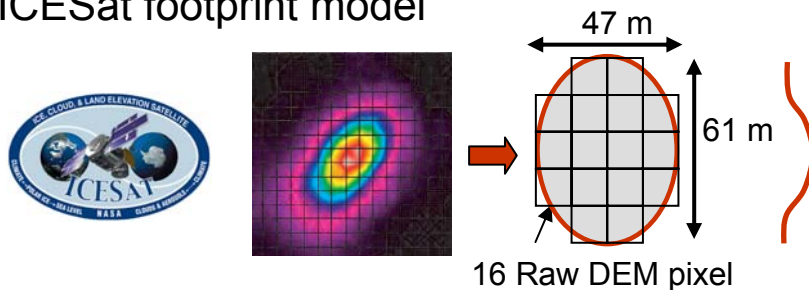




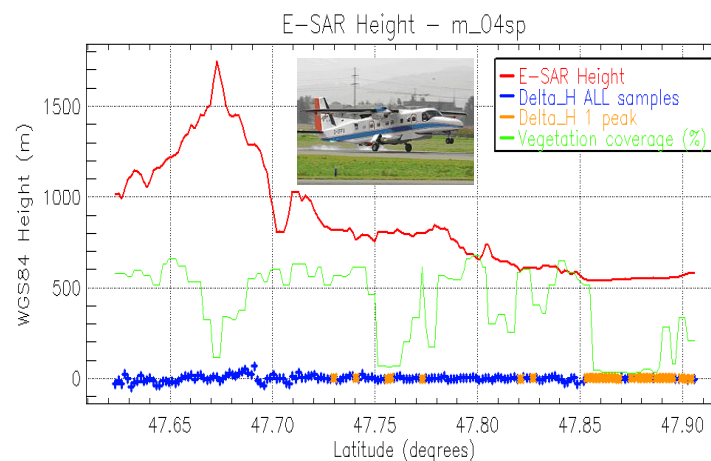
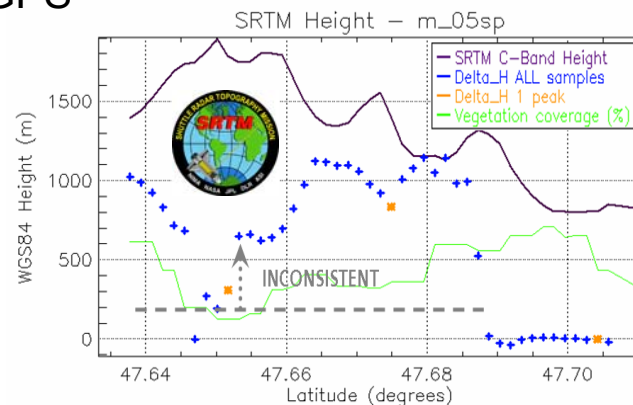
# 5. Height References

## 5.5. ICESat – ESAR – SRTM Comparison

- **E-SAR DEMs** calibrated in absolute height by means of several corner reflector ground control points measured with differential GPS
- First check with **SRTM C-band DEM** data (90 m resolution and  $\pm 8.5$  m vertical accuracy at 90%)
  - Inconsistence of several ICESat points
  - Possible cloud reflections. But NO flag
- **Height difference** ICESat – ESAR/SRTM after averaging ESAR samples with the ICESat footprint model



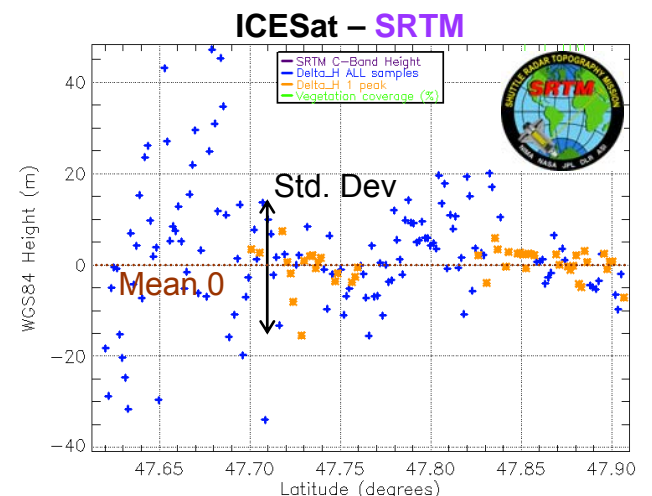
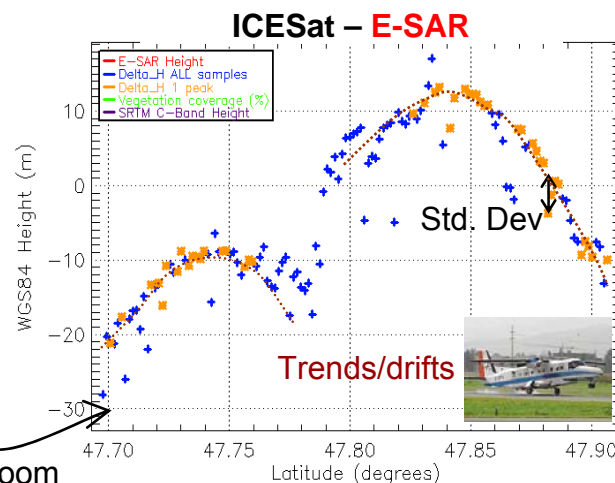
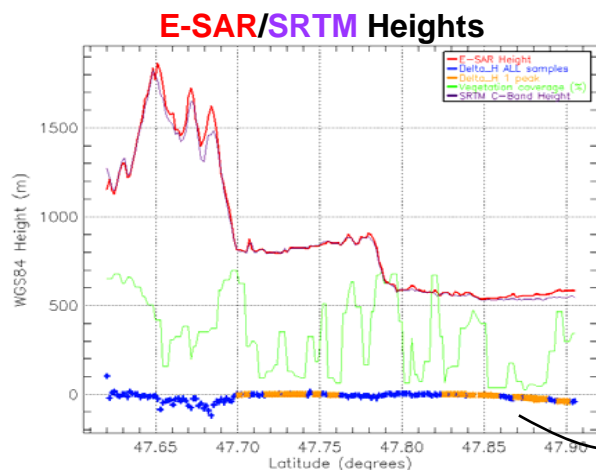
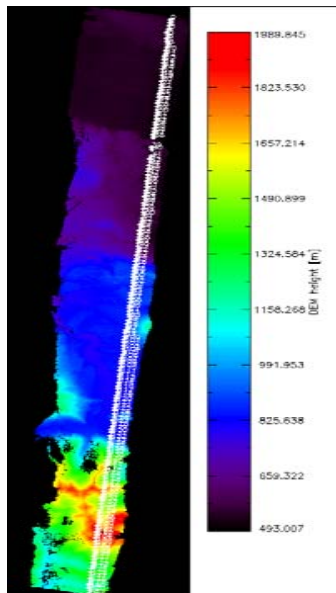
- Comparison plots with difference points
  - **Orange points**: “good quality”
  - **Blue points**: scattered echo



# 5. Height References

## 5.5. ICESat – ESAR – SRTM Comparison (cted.)

- Results ICESat (track Autumn 2005) – ESAR / – SRTM comparison :
  - Drift in the E-SAR DEM, due to plane motion compensation methods
  - SRTM DEM mean differences are  $\approx 0$  (shows no trends)
  - However stddev of I-ESAR differences ( $\sim 2\text{m}$ ) < I-SRTM ( $\sim 10\text{m}$ )
  - If drifts solved, accuracy of ESAR is higher, more suitable for ICESat accuracy study



## 5. Height References

### 5.5. ICESat – ESAR – SRTM Comparison (cted. 2)

#### ➤ Statistic SRTM Differences

- “Good” points have much better results than scattered
- Better than accuracy specifications
- Validates ICESat height values, but not exact accuracy

Track	$\Delta$ ICESat – SRTM C-Band Heights (m)			
	Reliable points (1pk)		All points	
	Mean	StdDev ( $1\sigma$ )	Mean	StdDev ( $1\sigma$ )
All	-0.002	3.5	-0.061	10.0



#### ➤ Selection criteria for ICESat Data:

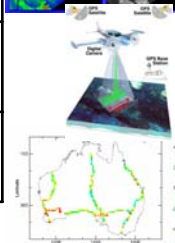
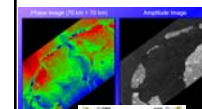
1. Inconsistencies pre-selection with SRTM C-Band;  
threshold : 200m difference  
(Web SRTM Database is more accurate than the parameter in ICESat data package)
2. Only good echoes with 1 peak and narrow sigma (threshold)
3. If not enough “good” ICESat height samples available in a certain region: the best “scattered” samples can be extracted by relaxing the *n.peaks* and *sigma* thresholds
4. *Vegetation, terrain type, saturation, cloud layer* parameters as a quality selection criteria (work ongoing)

# 6. Conclusions Height References

## 6.1. Summary and Fall-back solutions

- SRTM (C-Band, X-Band) for coarse absolute height offset calibration of the TanDEM-X DEM
- Main source of height references in the fine DEM Calibration: ICESat
- Fall-back: Ocean-land Transitions, local Lidar DEMs
- Validation: GPS Tracks

Function	GCP source	Coverage	Accuracy	Quality parameters
<b>PRELIMINARY</b> absolute height calibration	SRTM	C-Band: almost Global (56°S-60°N ) X-Band: 56°S-60°N , but big gaps	8.5 m ~ surface slope and roughness	Height specifications
<b>MAIN</b> absolute and relative height calibration	ICESat	Global	0.1 m - 1 m (weather/terrain)	Accuracy info/sample HRTI-3 (even HRTI-4) – after pre-selection
<b>SECONDARY</b> absolute and relative height calibration	Ocean-land	Global (theory); restricted to optimal along-track distance and no ocean currents	0.5 m	TBD
	Lidar/Airborne DEM	Local	0.1 m – 0.5 m	HRTI-4
<b>VALIDATION</b>	GPS tracks	SRTM campaigns; selected regions	0.5 m	Height specifications HRTI-3

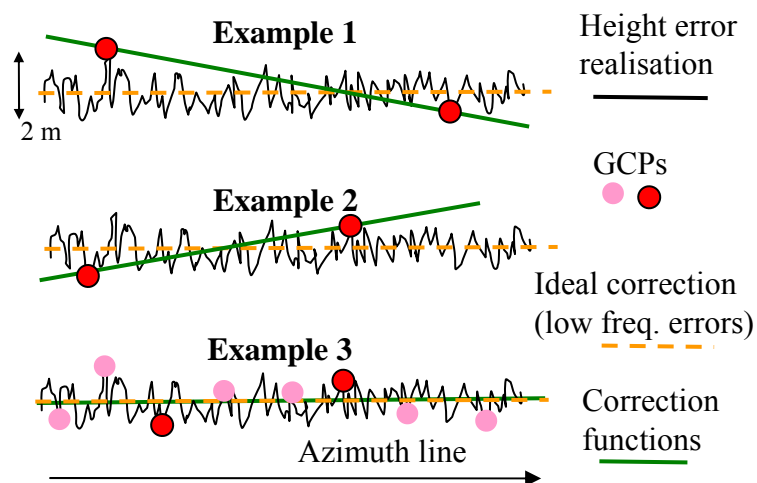




# 6. Conclusions Height References

## 6.2. Other recommendations

- Max distance between GCPs: 200 km  
Regions with lower density of high quality height references: crossing orbits
- Averaging of GCP height values: increase their stability minimizing the random height error



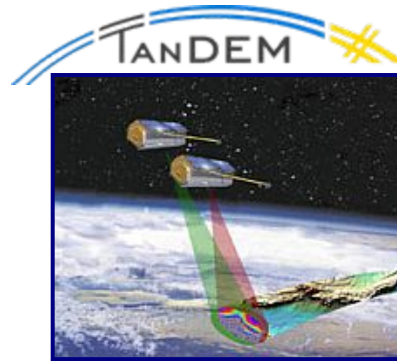
- Flat areas: TanDEM-X heights can be averaged with neighbouring pixels to compare its height with ICESat  
(implicitly done: ICESat footprint has a bigger surface than the TanDEM-X DEM resolution )



## 7. Outlook

- Improvement in the ESAR DEM: more reliable ICESat accuracy study
- ESAR analysis → ICESat selection criteria
- Other validation activities related to the ESAR experiment:
  - Test multi-baseline PU
  - Mosaicing
  - Test Mosaicing and Calibration Processor execution chain (functional correction model)
  - Assessment of the X-Band height accuracy over forests.
- Laser DEM

*End of the presentation*



Questions?  
Suggestions?



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