



# Mobile Optical Communication projects at DLR and prospects on future developments

D. Giggenbach, German Aerospace Center (DLR)  
Optical Communications Group,  
Institute of Communications and Navigation

presented at "**BroadSky Workshop**", in conjunction with the **13th Ka and Broadband Communications Conference**, 24.09.2007, Turin, Italy



## Content

- Introduction of DLR's OCG,  
their fields of work and methodology
- Projects
  - HAP-Downlinks: CAPANINA
  - LEO-Downlinks: KIDDO
- Technological options for future optical  
space communications with emphasis on  
EO-downlink





# Optical Communications Group (OCG) at IKN

## - Fields of Works:

- Atmospheric Optical Freespace Communications with OOK- and Phase-Modulation, at 850nm / 1064nm / 1550nm
- Mobile Links between Ground / HAP / UAV / Balloon / Aircraft / Satellite / GroundVehicle
- Optical Space Communications with LEOs and Studies on GEO- and Deep-Space-Links
- Simulation and Analysis of the Propagation through the turbulent Atmosphere -> Index-of-Refraction Turbulence, Pressure Turbulence around AC
- Methods for Fading-Mitigation:
  - Diversity (Space, Wavelengths, Time)
  - asynchronous Transmission with FEC and Interleaving
- Pointing, Acquisition and Tracking (PAT): Optical / GPS / INS / Orbit
- System Demonstrations, Field Trials, and System Tests

# The Three Principals to Investigate IRT Propagation

## Analytical theory

theory inherent  
restrictions apply

(The Rytov theory is valid  
only under weak fluctuations  
(the multiple scattering effect  
is ignored)

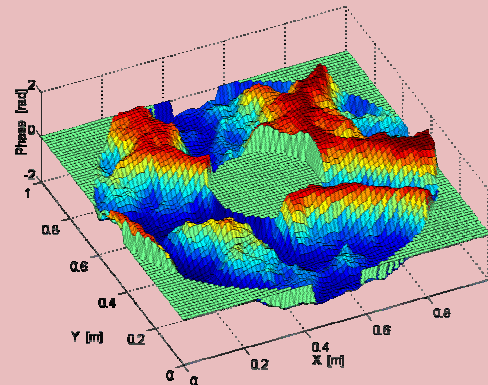
$$\nabla^2 U(P) + k^2 n^2(P) \cdot U(P) = 0$$

$$\sigma_R^2 = 1.23 C_n^2 k^{7/6} L^{11/6}$$

## Numerical simulation

*PILab*

split-step Fresnel  
propagation



## Field trials and measurement campaigns

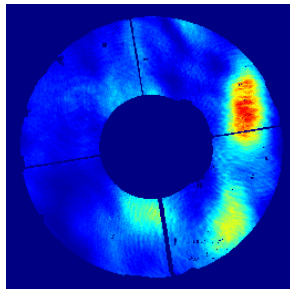
results only valid for  
one specific scenario



-> Verification by measurements is indispensable



# Measurements of IRT: The *Atmospheric Transmission Monitor (ATM)*



## Pupil Camera

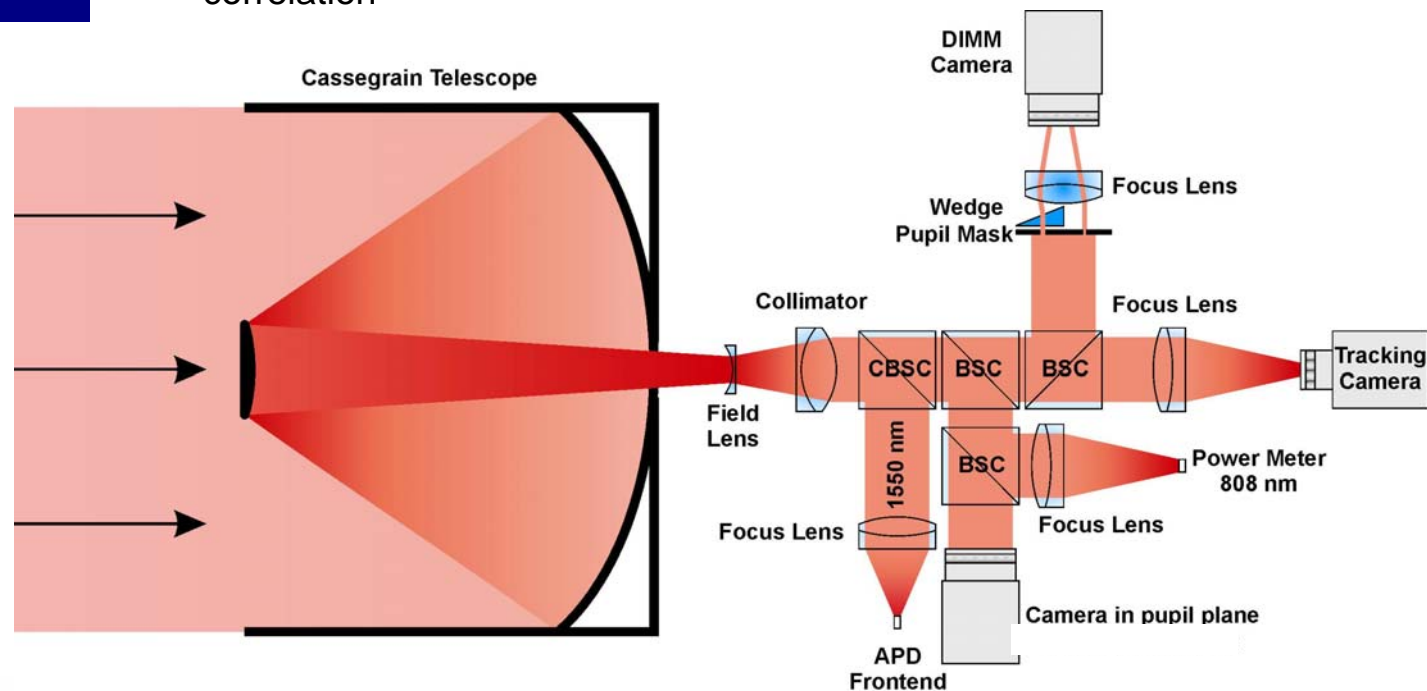
- Estimates  $C_n^2$ -profiles
- Calculates scintillation indices
- Calculates intensity correlation



## DIMM

(Differential Image Motion Monitor)

- measures parameters of the wave-front distortion
- 2b replaced by Shack-Hartm.





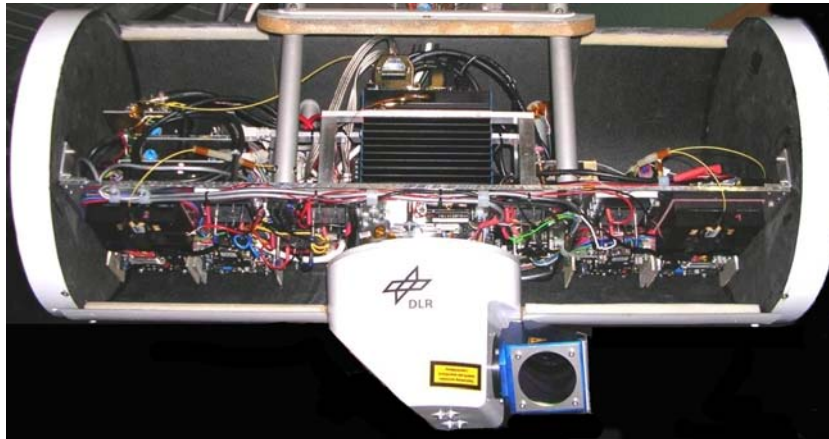
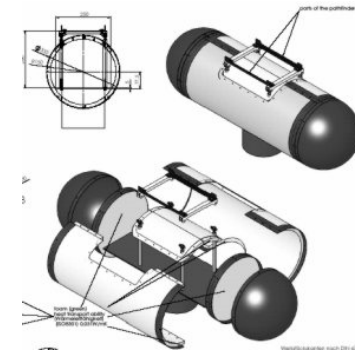
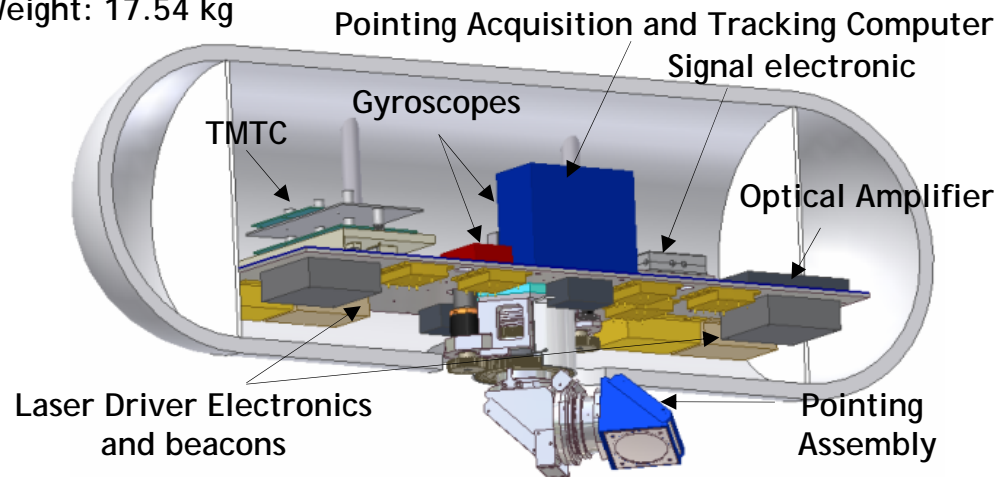


## Projects:

- **CAPANINA: Stratospheric Optical Downlink**
- **KIODO: LEO Downlink from Japanese *OICETS***

# CAPANINA - Trial: Stratospheric tests "STROPEX", Optical Downlink at 1.25Gbps (IM/DD)

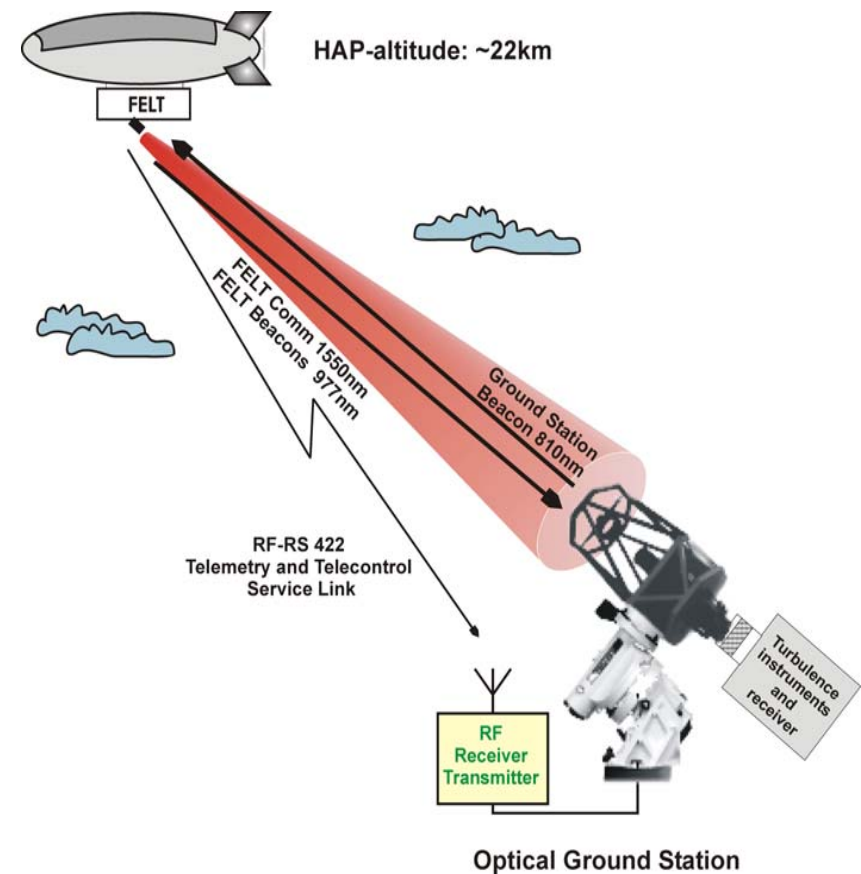
Weight: 17.54 kg





## Measurement campaign at Site “ESRANGE” (Sweden)

- Downlink from a stratospheric test-bed
- Nacelle with Backup systems for Acquisition
- Turning mechanism for secure landing
- Transportable ground station







## Acquisition of ground station from balloon terminal

- Typical acquisition time 30 s (with no heading information available!)
- Tracking accuracy of HAP-terminal was better than  $142 \mu\text{rad}$  ( $0.0081^\circ$ )



STROPEX Control room

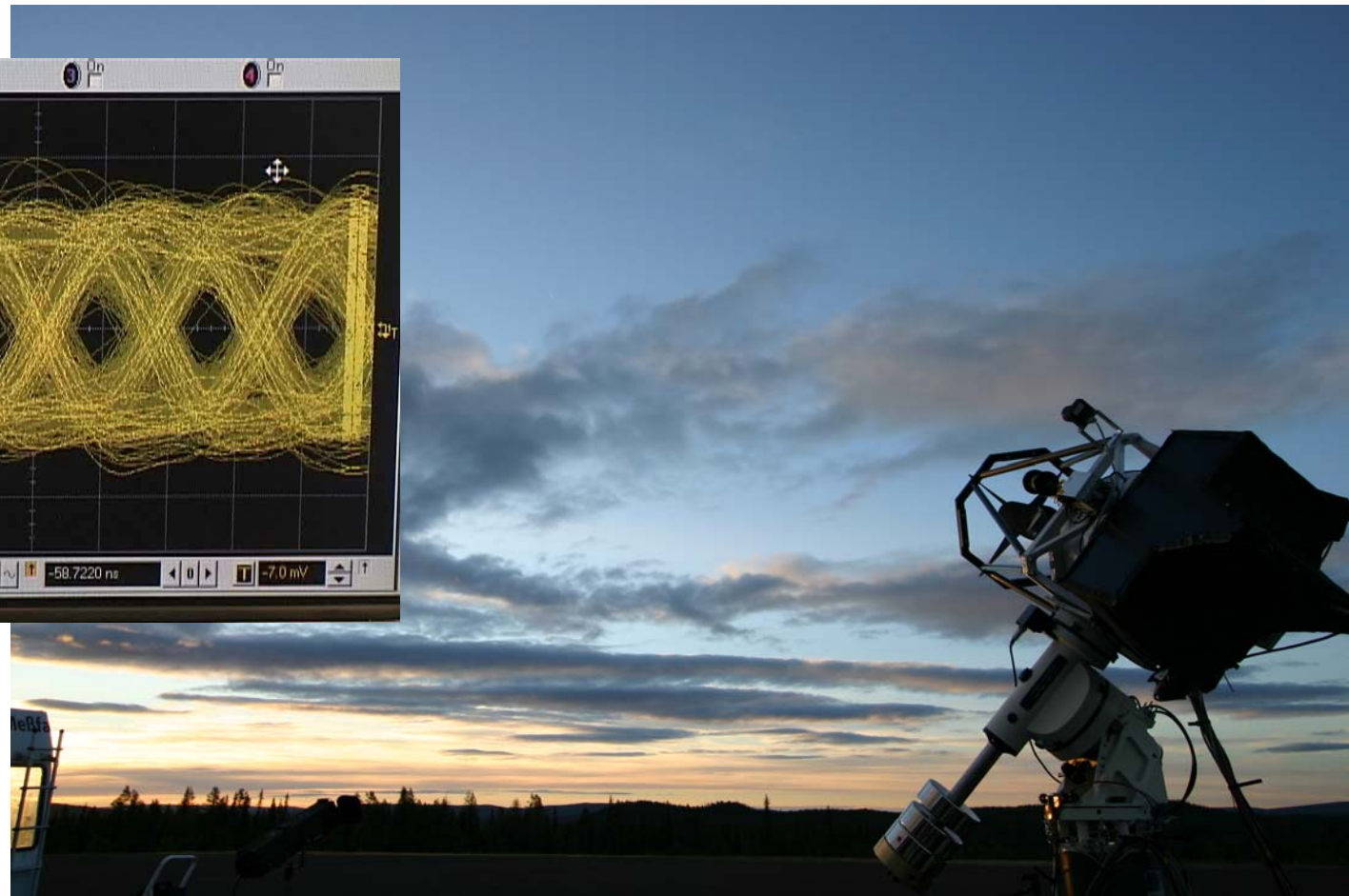
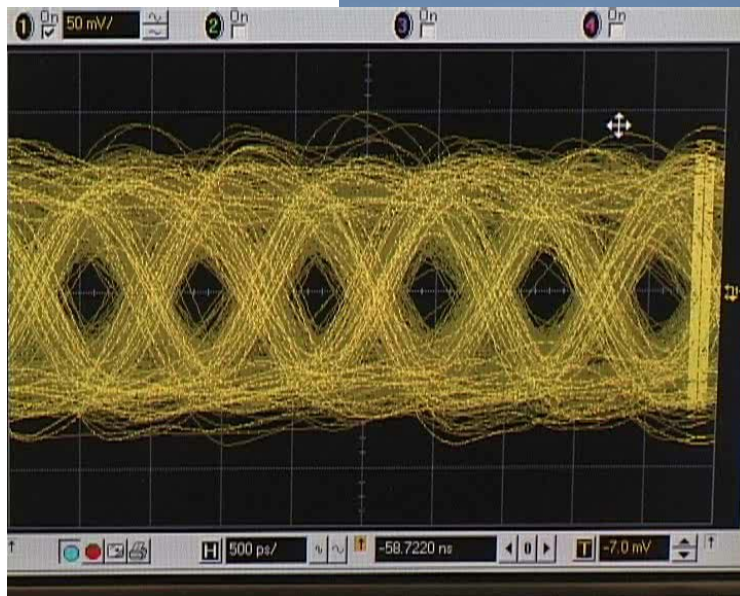


Video: Acquisition sequence

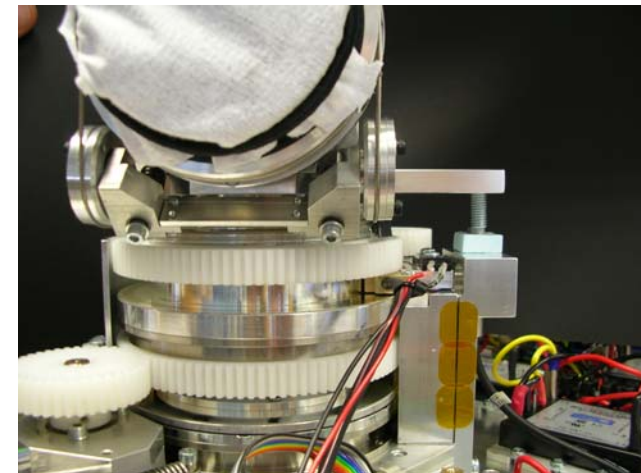
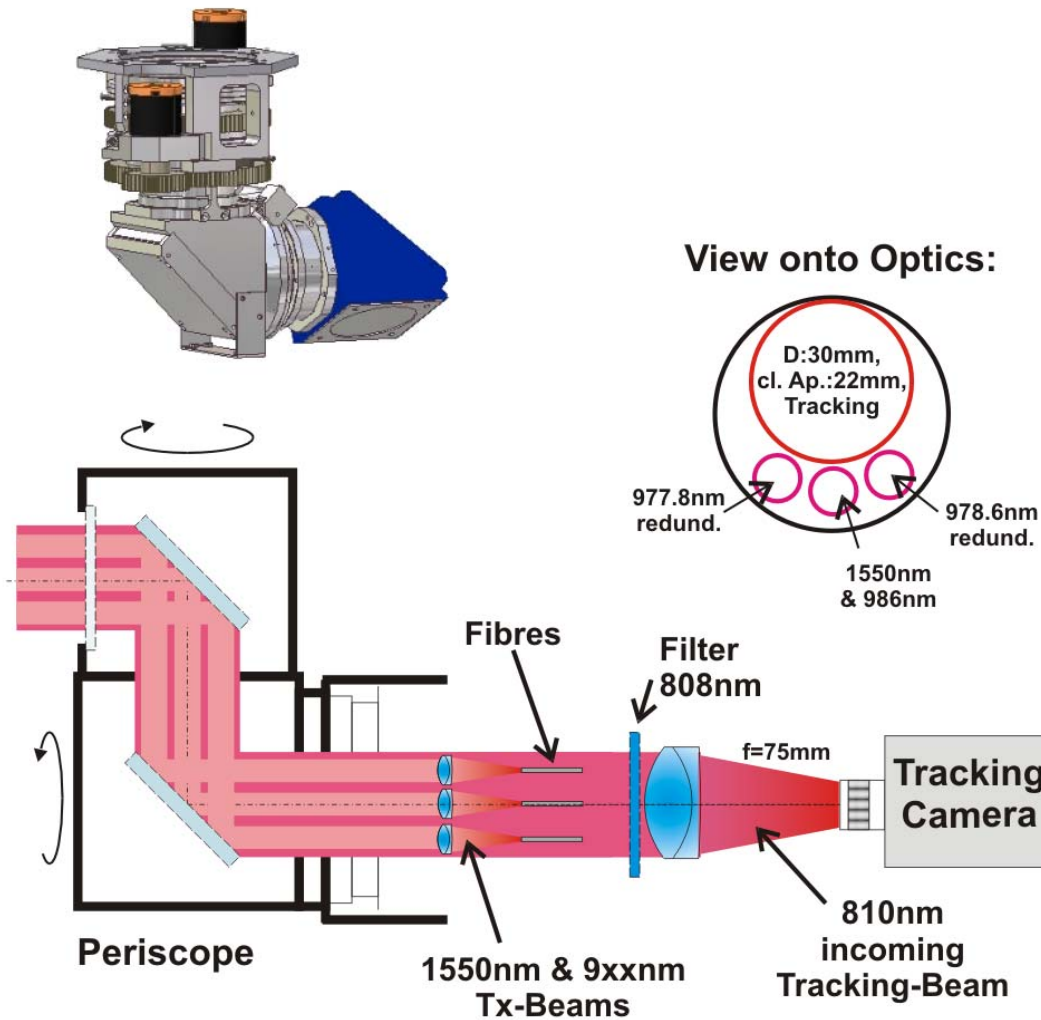


# 1.25 Gbps Signal received at Optical Ground Station

distance 64km, from 22km altitude, 100mW Tx-power



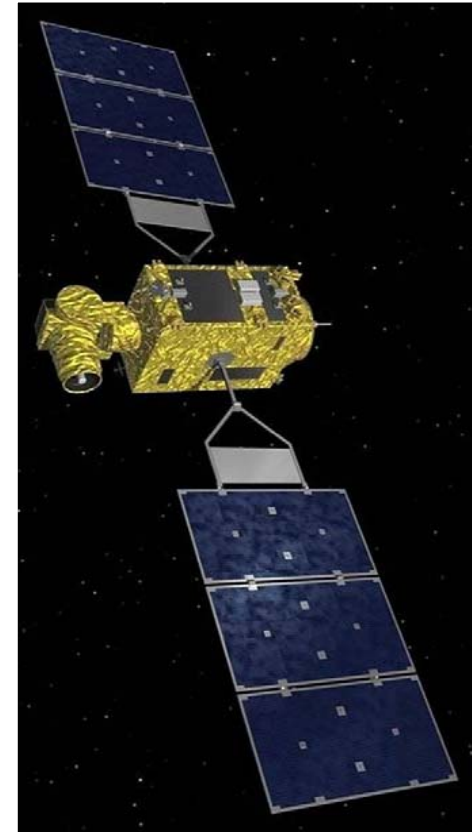
# Details of the Stratospheric Downlink Terminal







# ***KIODO* - LEO-Downlink** **(Kirari Optical Downlink to Oberpfaffenhofen)** - Joint Experiment with *JAXA*, in June 2006



**JAXA's *Kirari* Satellite  
(=OICETS)**

**OGS-OP: Optical Ground Station Facilities at DLR-Site  
Oberpfaffenhofen (near Munich)**

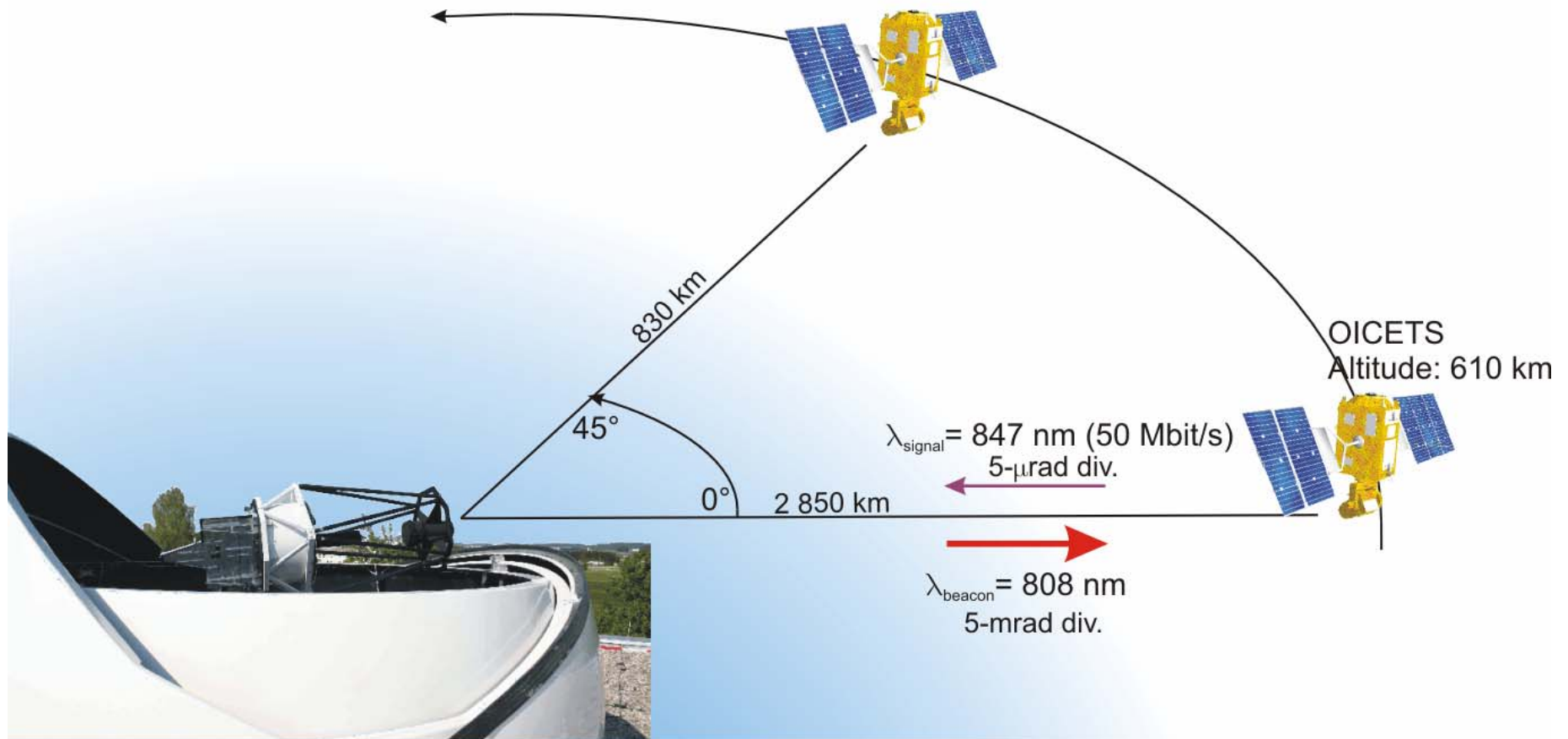


**Deutsches Zentrum  
für Luft- und Raumfahrt e.V.**  
in der Helmholtz-Gemeinschaft

Mobile Optical Communication projects at DLR and prospects on future developments, D. Giggenbach, Slide 12



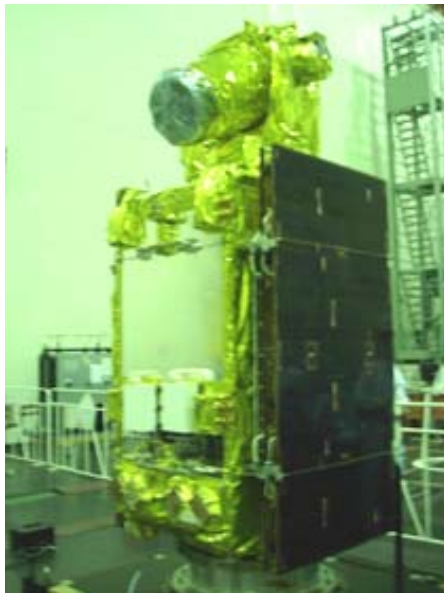
# KIODO - Parameters



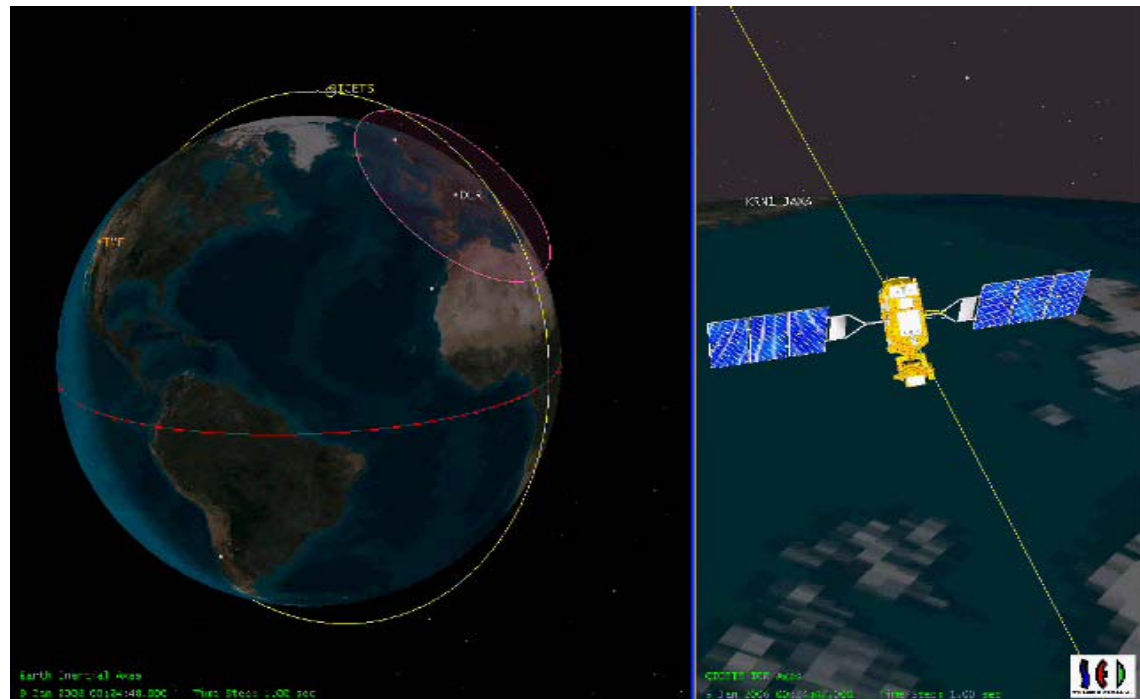


## Measurements in the IM/DD satellite downlink channel

- 8 Downlinks from JAXA's Kirari to OGS-OP in June06
- Successful data-reception (50Mbps, 847nm)
- Channel-measurements

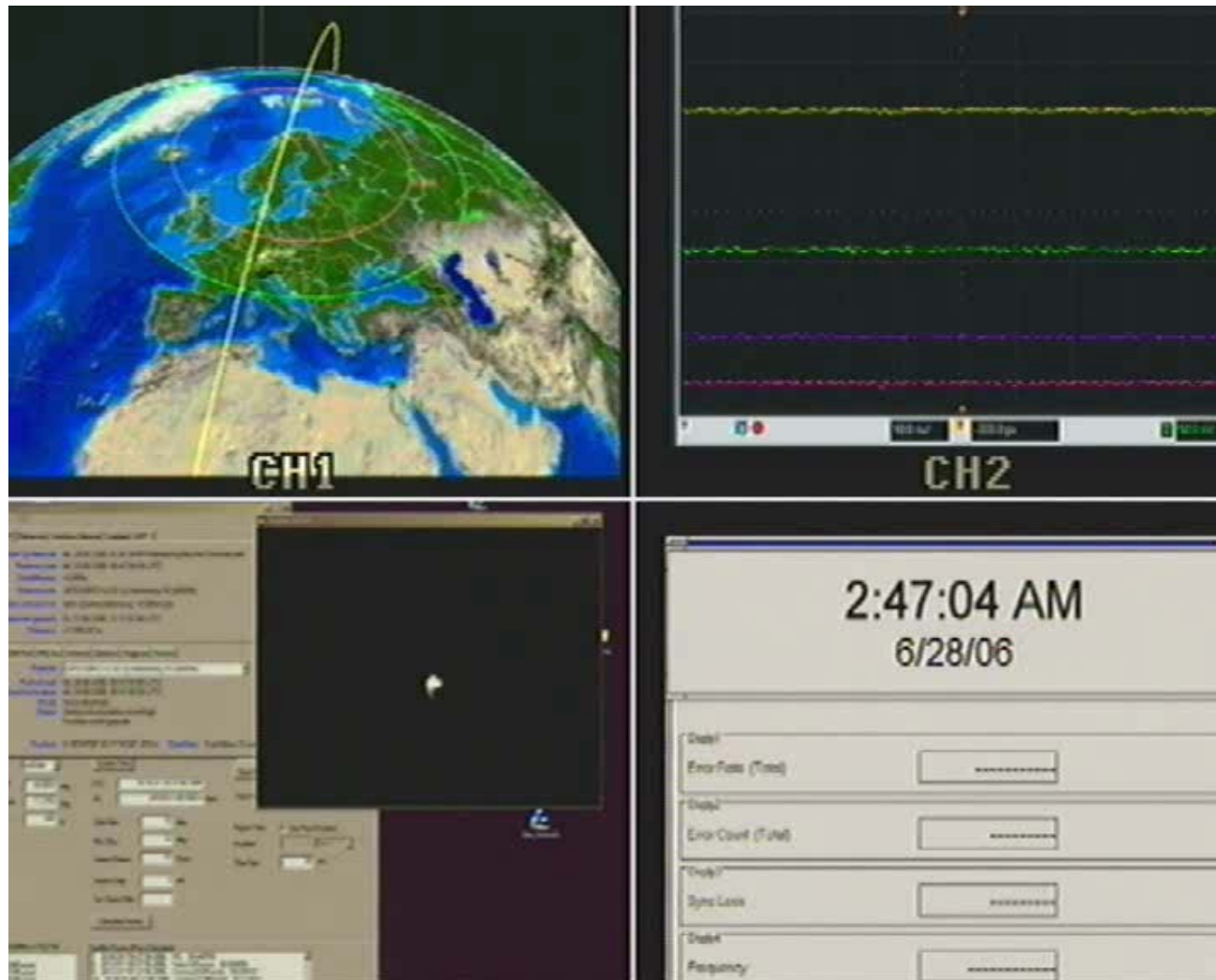


**JAXA's Kirari Satellite  
with LUCE on top**



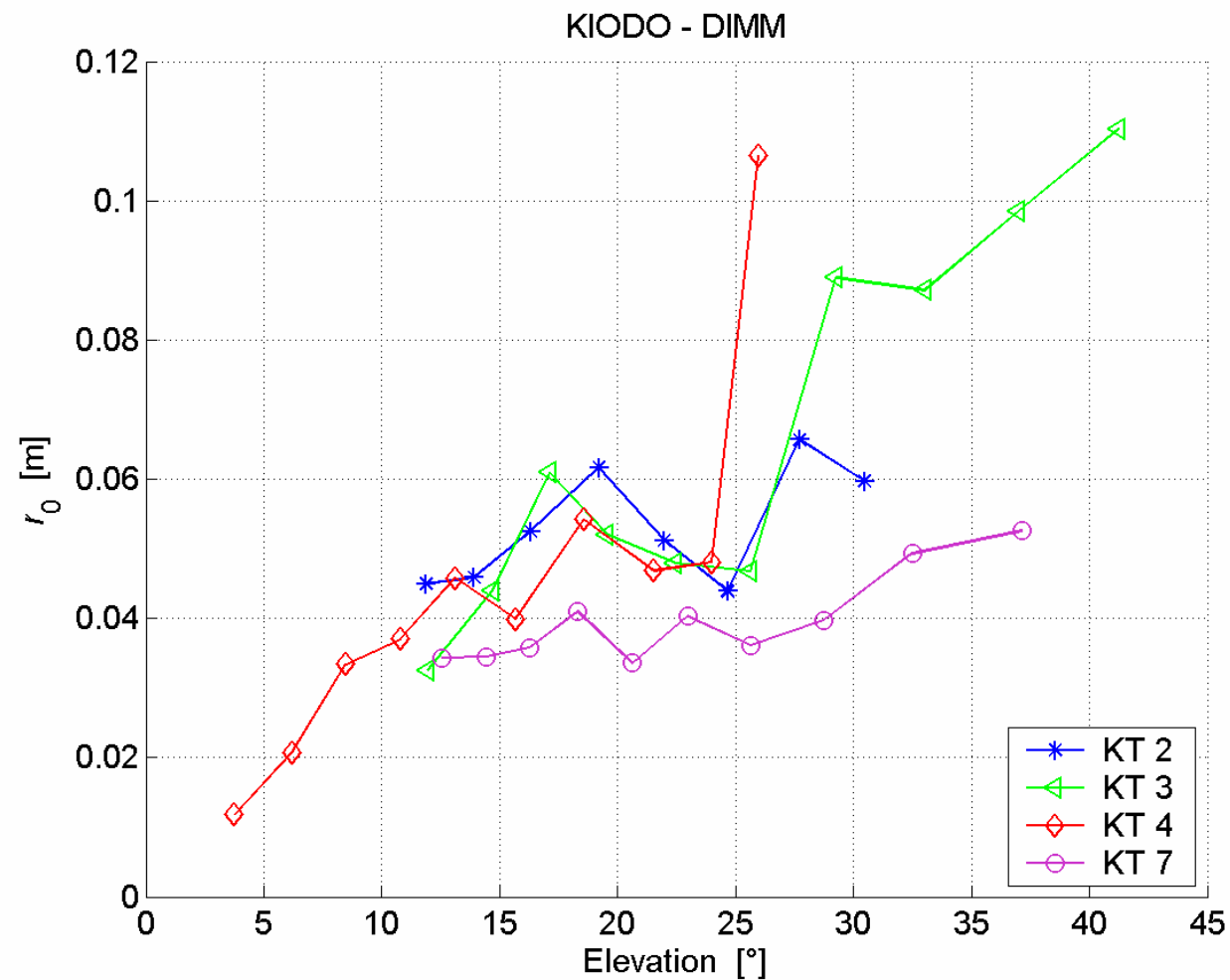


## Measurement-Monitoring during KIODO-Trial 7





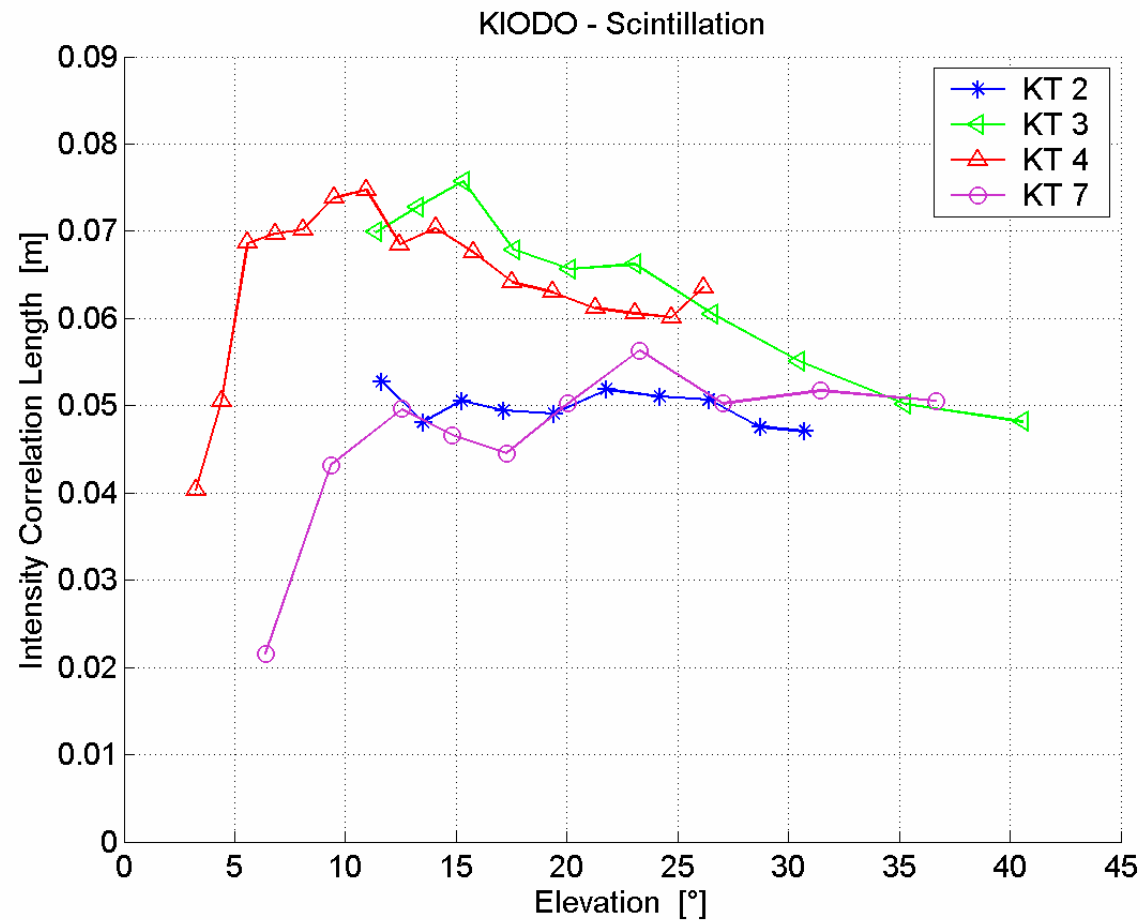
## Downlink: $r_0$ -Estimations from DIMM-data



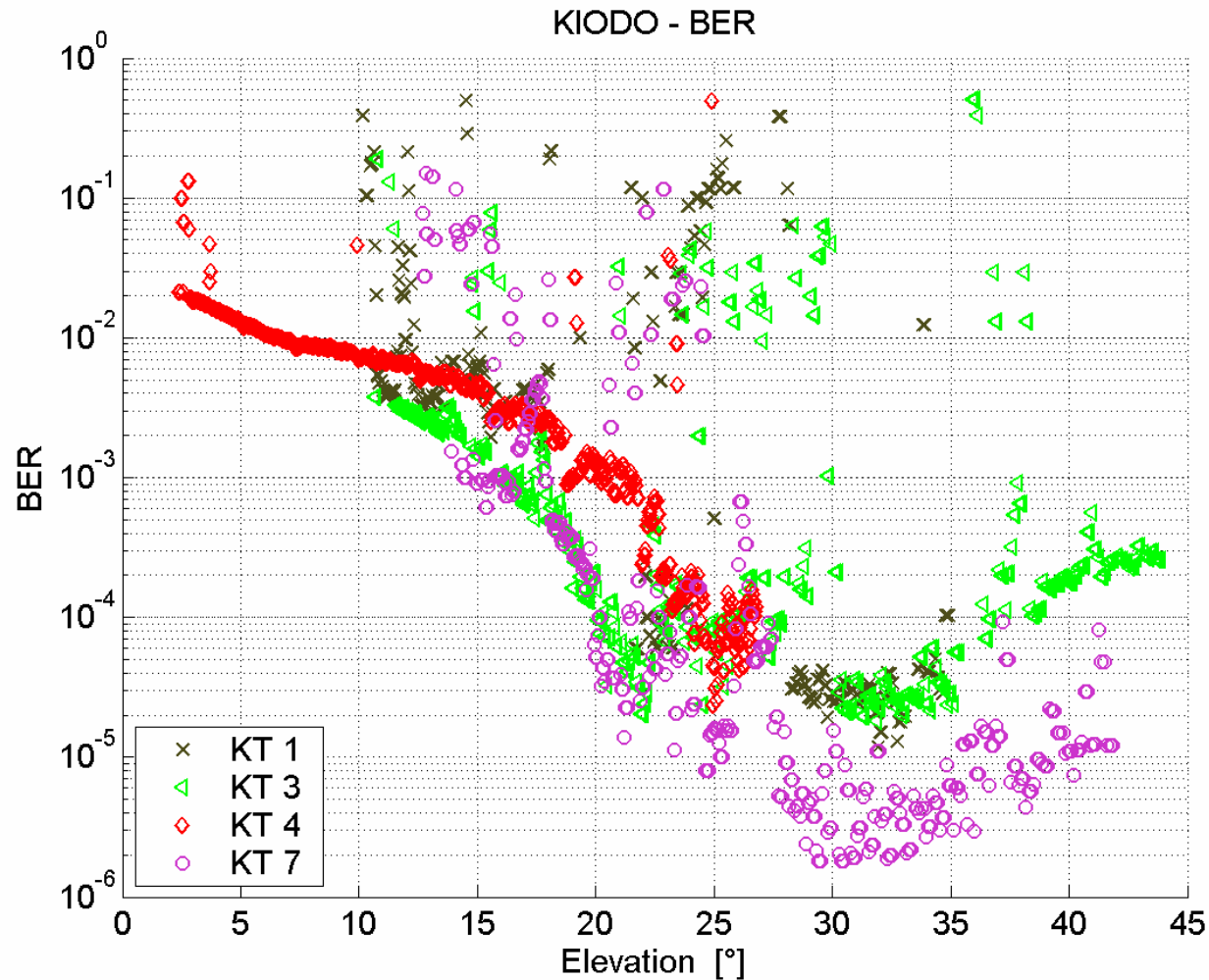




## Downlink (847nm): Intensity Correlation Length (from PROF-Data)



## Downlink: Measured BER



- mean Rx-power was more than enough for error free reception
- BER was limited by Rx-electronic's spectral behaviour; electronics and algorithms were optimized between trials
- ongoing research in lab testbed at DLR to optimize Rx-electronics



## KIODO-Results:

- Successful optical downlink from LEO to "lowland" OGS-site
- Optical Downlink from LEOs proved feasible with low effort on ground
- Measurements of the Atmospheric Optical Index-of-Refractive-Turbulence Fading Channel during LEO-Overflight
- Remark: Japan's *NICT* conducted similar trials in 2006 to their OGS near Tokyo



## Current and future projects at DLR-OCG:

- Downlink experiments with the coherent DLR-LCT onboard TerraSAR-X, to OGS-OP (at DLR-Oberpfaffenhofen) and to Calar Alto (Spain), ongoing
- Aeronautical optical links investigations with in-flight tests (MINERVAA-project)
- Adaptive Optics and other concepts for link quality enhancement
- Stratospheric Optical Inter-Platform Links
- Optical downlinks from UAVs





## Future Scenarios (1-3)

### EO-Sat Downlinks over optical GEO-Relays

- pros:
  - real-time data connection to the EO-Sat during half of its orbit
  - increased data throughput
  - simple operations planning when using  $\mu$ wave downlink from GEO to GND: no dealing with hard-to-predict cloud blockage
- cons:
  - strained link-budget over long distance (40,000km)
  - several link-components need to be in place in time



## Future Scenarios (2-3)

### Optical EO-LEO Downlinks directly to Ground

- pros:
  - short distance allows low power consumption with small Tx-terminals
  - easy to be installed also on *very small* LEOs
  - agile LEOs can do the pointing to the OGS – no CPA needed (this feature is mission dependent)
  - low costs for Sat-Tx-Terminal and for OGS
- cons:
  - cloud blockages require several ground stations ("OGS-Diversity") for secured downlink-throughput
  - large data storage capacity required if only few/one OGS is used, to overcome blockage periods
  - atmospheric IRT reduces performance at low elevation  
-> specialized FEC required
- further issues:
  - special protocols to be developed for ensured data throughput (FEC, efficient retransmission, buffering) -> is a current research objective at DLR

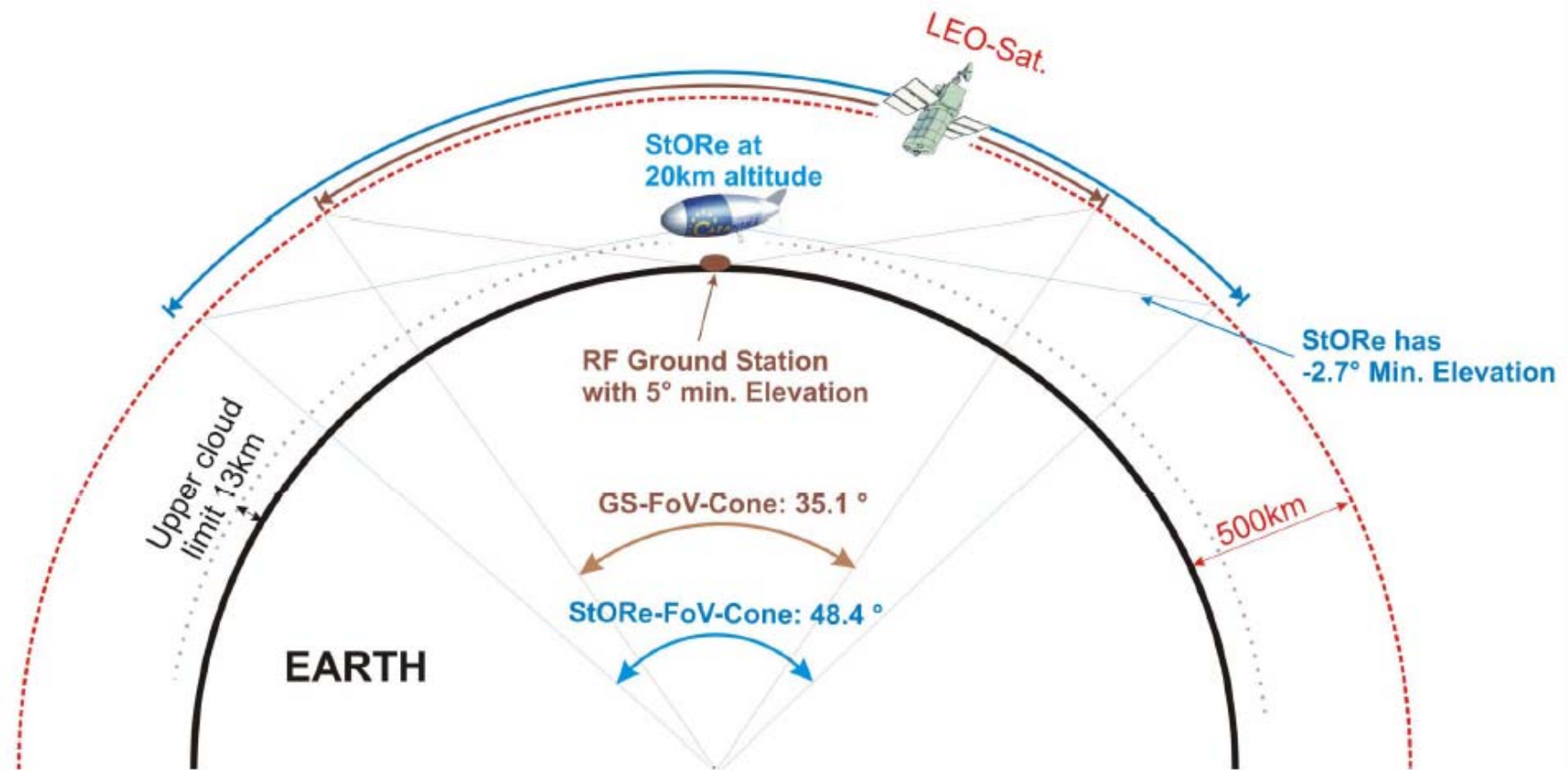


## Future Scenarios (3-3)

### Optical Sat-Downlinks to Stratospheric Relays (HAP)

- pros:
  - cloud-blockage is no problem as HAPs are located far above cloud-ceiling
  - IRT only a minor problem and only at very low/negative elevations
  - enhanced contact time for downlinks by factor two (5700km footprint-diameter, as LEO-visibility starts at  $-2^\circ$  elevation)
  - also beneficial for bidirectional (communications-) links from satellites
  - very low costs for simple Sat-Tx-Terminal; HAP also used for other tasks
- cons:
  - HAP-technology not mature yet, many problems still to be solved
- further issues:
  - link from HAP to ground can be done by "short-range" ( $\sim 30\text{km}$ )  $\mu\text{wave}$ -links or also optical (combined with buffering onboard the HAP when clouds block the direct downlink to ground) or with re-routing to other HAPs w/o cloud blockage underneath
  - one can also use unsteered stratospheric balloons which can be kept in a loose station keeping box for several weeks by altitude-control

# Geometrical Relations with OGS and Stratospheric Optical Relays







## Technological Considerations

- highest sensitivity is required for LEO-GEO OISLs which can be delivered by coherent BPSK better than by OOK/DD
- for shorter distances like in LEO-downlinks, larger ground-based Rx-telescopes (in the order of few dm) together with the reduced freespace-loss relax the link budget
- an open technology is desirable, which allows simple transmission schemes (e.g. OOK, DPSK) where applicable but can be upgraded to sophisticated schemes (e.g. BPSK) where necessary
- when considering transmission through (thin) clouds, inter-symbol-interference (ISI) has to be evaluated to chose the most robust modulation format



## Summary – Technological Options for EO-Downlinks

- Optical downlink-terminals can provide an immense increase in operational usability of EO-sensors, but are restricted by cloud cover over the OGS
- Direct optical downlinks can provide simple online region-of-interest access for optical sensors (e.g. forest fire detection, boarder control, ...)
  - "when optical sensors can see the ground also the optical downlink works"
- An internationally organized OGS-Network for civil EOSat-Missions would be very beneficial for all members; could also be used for deep-space missions
- Even geographical areas with high average cloud coverage can benefit from optical downlinks when using OGS-diversity on national territory with optimally chosen OGS-sites  
(e.g. optical downlinks to Germany with four OGS: 96% combined availability in summer-term and 75% in winter-term)
- International cooperation is required for coordination of wavelengths and modulation formats