

Concept Design of Space-Borne Radars for Tsunami Detection

DLR German Aerospace Agency
+Microwaves and Radar Institute
***Remote Sensing Institute**




Deutsches Zentrum
für Luft- und Raumfahrt e.V.
in der Helmholtz-Gemeinschaft

+Michele Galletti
+Gerhard Krieger
+Nicolas Marquart
+Thomas Boerner
*Johannes Schultz-Stellenfleth
+Manfred Zink

michele.galletti@dlr.de

GITEWS: German-Indonesian Tsunami Early-Warning System

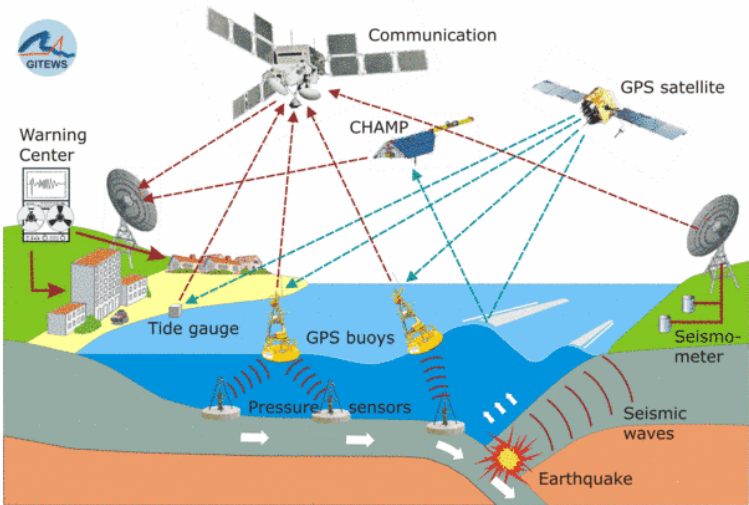
Address <http://www.gitews.org/index.php?id=5&L=1>

 10°N
German Indonesian Tsunami Early Warning System

[Homepage](#) | [Press & Media](#) | [Events](#) | [Contact](#) | [Partners](#) | [International](#) | [Internal Area](#) | [Sitemap](#) | [Suche](#) | [Imprint](#)

15.09.2006 :: [German](#) :: [Print](#)
Site:

[Concept](#)
[Seismology](#)
[Ocean Instrumentation](#)
[GPS Technology](#)
[Warning Center](#)
[Modelling](#)
[Capacity Building](#)



GITEWS components

www.gitews.org

- Seismic component
 - GPS technologies
 - Tsunami models
 - Ocean Instrumentation
-
- WP 4400
New earth-observing technologies




GOAL: Development of new, radar-based concepts for future Tsunami Warning Systems



Overview

- Principles of Tsunami Detection for Space-Borne Radars (4)
- Tsunami Early-Warning Systems:
Requirements on spatial and temporal coverage (3)
- NESTRAD: Near-Space Radar for Tsunami Detection (1)
- G-SAR: Geosynchronous SAR for Tsunami Detection (6)
- Conclusions (1)



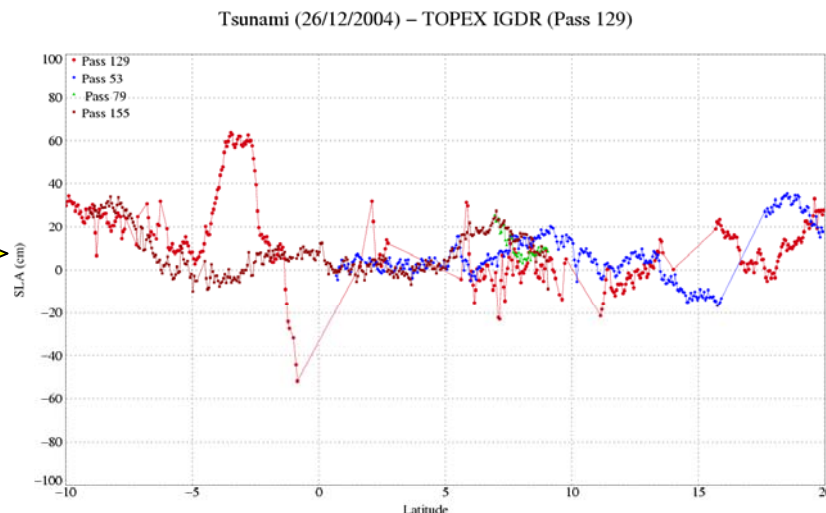
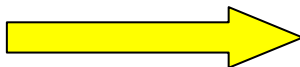
Principles of Detection for Space-Borne Radars:

What can we 'see' ?



ALTIMETER MODE (measuring tsunami wave height)

Radar Altimeters measured
tsunami wave height !



Okal, E. A., A. Piatanesi, and P. Heinrich, Tsunami detection by satellite altimetry, *J. Geophys. Res.*, 104, 599-615, 1999.

Smith, W.H.F., R. Scharroo, V.V. Titov, D. Arcas, and B.K. Arbic, Satellite altimeters measure tsunami. *Oceanography*, 18(2), 11-13, 2005.

Cautionary Notes:

Data not immediately available
-Geophysical Noise
-Motion Compensation



Deutsches Zentrum
für Luft- und Raumfahrt e.V.
in der Helmholtz-Gemeinschaft

DOPPLER MODE (measuring tsunami orbital velocities)

Tsunami horizontal orbital velocities
are in the order of

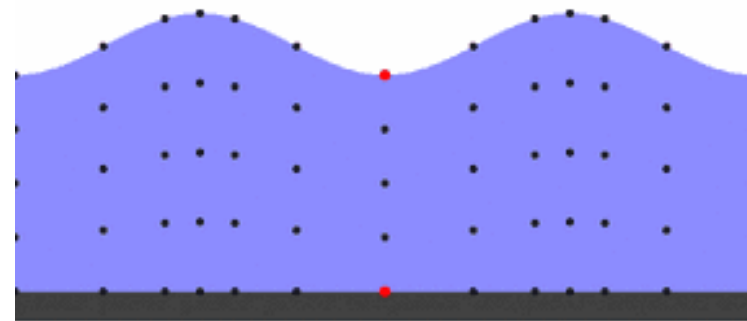
Units of cm/s
Tens of cm/s

(high seas)
(continental shelf)

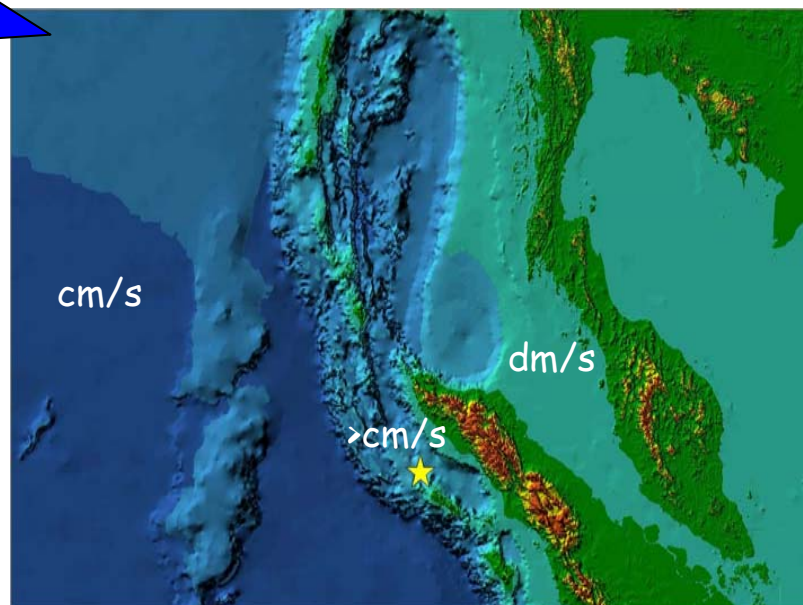
ATI-SAR has the potential to detect a tsunami !
Doppler Precision in the order of cm/s
(after multi-looking)

Cautionary Notes:

Flight track must be parallel to the wave-front !!



Wikipedia



Deutsches Zentrum
für Luft- und Raumfahrt e.V.
in der Helmholtz-Gemeinschaft

IGARSS 2007 Barcelona Spain

23 July 2007

TSUNAMI SHADOWS (measuring Radar Cross Section)

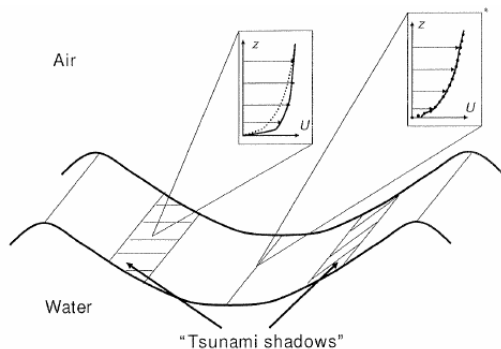


Figure 1. A conceptual representation of "tsunami shadows" and their theoretically predicted relation to the tsunami-induced wind velocity perturbations. "Tsunami shadows" (hatched) are parallel to the tsunami wave front and occur in between the tsunami troughs and crests where the wind perturbation is maximal. Perturbed (solid lines) and unperturbed (dotted lines) wind velocity is shown as a function of height above the ocean surface.

Recent works give an analytical description of tsunami-induced RCS modulations present in the open ocean as well as in coastal areas: **Tsunami Shadows.**

Godin, O. A. (2004), Air-sea interaction and feasibility of tsunami detection in the open ocean, J. Geophys. Res., 109.

**Size of tsunami shadows:
Tens × Thousands of km**



Deutsches Zentrum
für Luft- und Raumfahrt e.V.
in der Helmholtz-Gemeinschaft

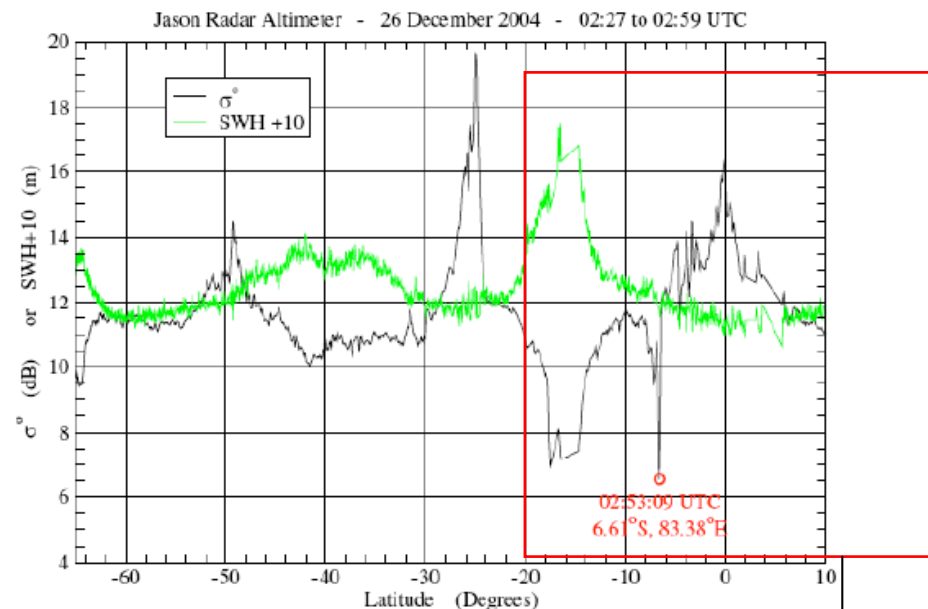
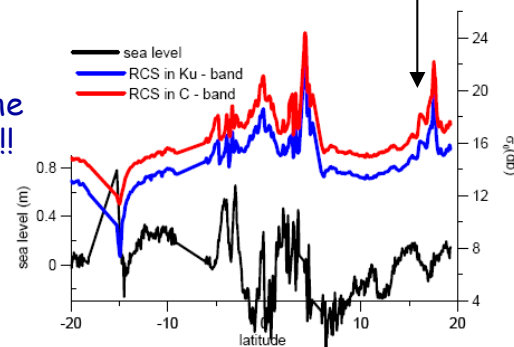


Figure 12. Along-track Jason significant wave height and radar back scatter σ_0 .

Tsunami Shadows were observed in the Geophysical Data Record of Jason-1 !!!!



Troitskaya, Yuliya I.; Ermakov, Stanislav A., Manifestations of the Indian Ocean tsunami of 2004 in satellite nadir-viewing radar backscatter variations, Geophys. Res. Lett., Vol. 33, No. 4, 24 February 2006

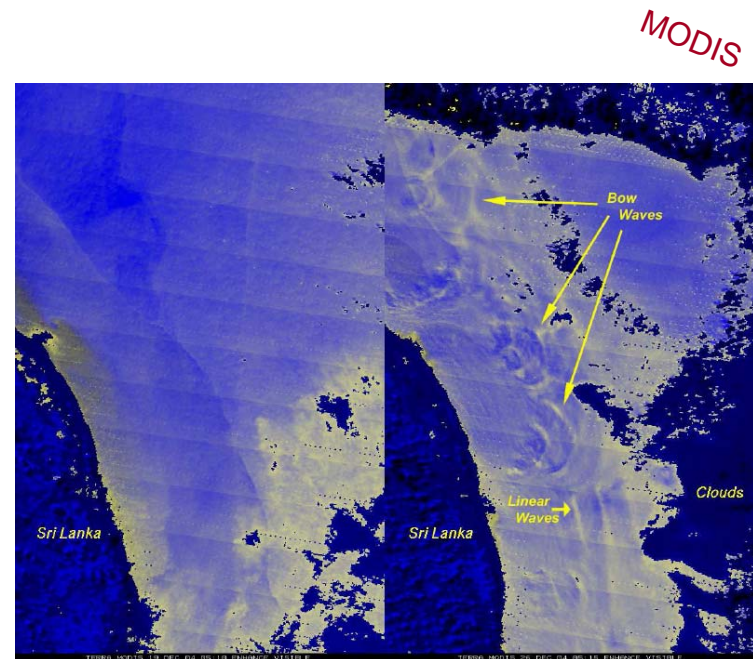
Cautionary Notes about Tsunami Shadows
Robust against sea-state ?
Robust against atmosphere state ?
Robust against Tsunami magnitude ?
Can we timely filter geophysical noise ?
Can we use the effect for early-warning ?

TSUNAMI-INDUCED INTERNAL WAVES (measuring Radar Cross Section)

Tsunamis are long gravity waves.
As well as tides, tsunamis can trigger internal waves.

Tsunami-induced internal waves were observed by
MODIS for the 2004 Boxing Day tsunami.

Single channel SAR systems and
Optical passive sensors can image
tsunami-related features !



D. A. Santek; Winguth A.,
A satellite view of internal waves induced by the Indian Ocean tsunami,
International Journal of Remote sensing,

CAUTIONARY NOTES:

Even though they both appear as radar cross section modulations,
Tsunami Shadows and Tsunami-induced internal waves are generated by
different physical mechanisms !!!



Tsunami Early-Warning Systems: Requirements on temporal and spatial coverage



Requirements for Tsunami Early-Warning

Tsunamigenic areas



NEAR-FIELD TSUNAMI

➤ Indonesian government requires first warning to be issued within 5 min from the quake.....

➤ Temporal Coverage: 24/7, for immediate response...

➤ Spatial Coverage dictated by plate tectonics:

we need to cover tsunamigenic areas lying close to densely populated coasts: **new problem!!**

FAR-FIELD TSUNAMI

➤ Tsunamis can happen anytime but trans-oceanic propagation can take hours...

we need to track propagation to assess the tsunami hazard in the far-field.

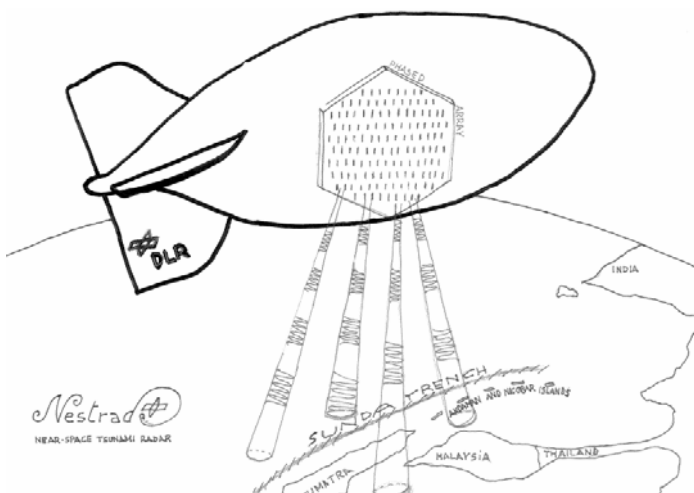
CONCEPT DESIGN OF SPACE-BORNE RADARS FOR TSUNAMI DETECTION

- Implementing one or more of the above-mentioned principles of detection from a platform capable of providing adequate temporal and spatial coverage

1. Stratospheric Airships
2. MEO orbits
3. GEO orbits

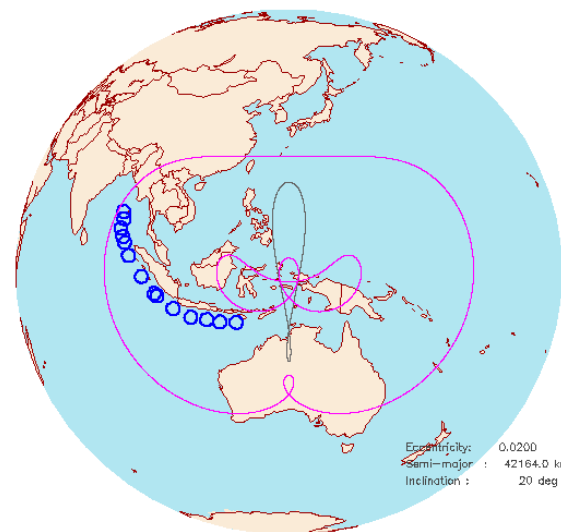
NESTRAD

Concept Design of a Near-Space Radar
for Tsunami Detection



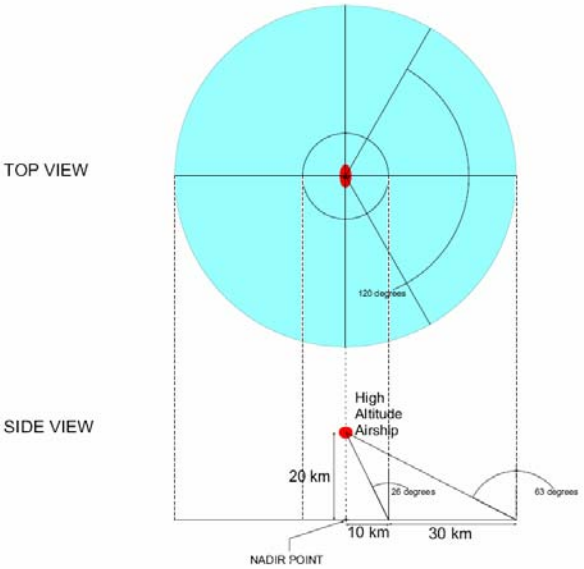
G-SAR

Concept Design of a Geosynchronous SAR
for Tsunami Detection



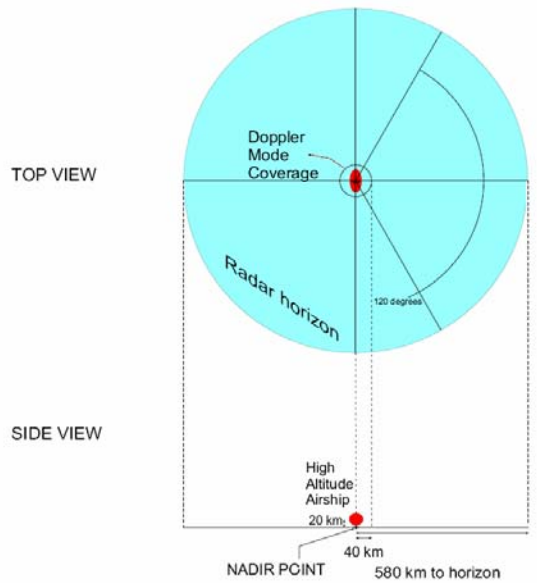


NESTRAD



DOPPLER MODE
ALTIMETER MODE

- Wave Height at Nadir
- Orbital Velocities
- Tsunami Shadows
- Tsunami-induced internal waves



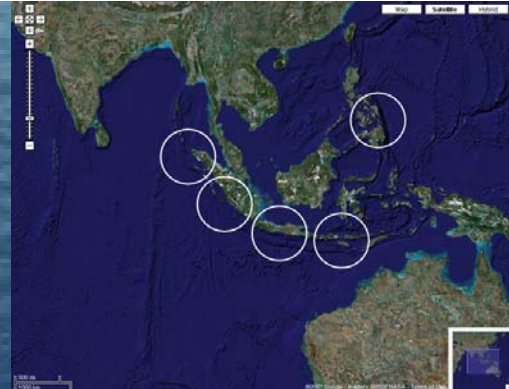
RADAR CROSS SECTION



NESTRAD coverage (NEAMTWS)

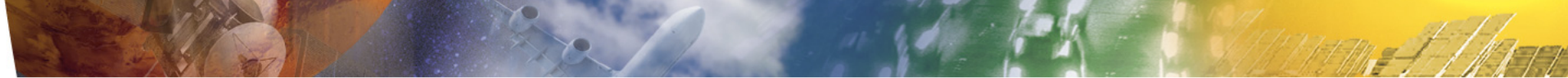
NESTRAD consists of a real aperture phased array radar accommodated inside a stationary stratospheric airship. It provides all-weather, day-and-night coverage.

Stratospheric Airships are unmanned, untethered, lighter-than-air vehicles expected to persist 12 months on station providing continuous, real-time coverage.



NESTRAD coverage (IOTEWS)

More on IGARSS 07 Conference Proceedings !!



G-SAR

Concept Design of a Geosynchronous SAR for Tsunami Detection



G-SAR: Concept Design of a Geosynchronous SAR for Tsunami Detection

Detected feature: Tsunami Shadows

Spatial Resolution

$\Delta r \sim 10 \text{ km}$

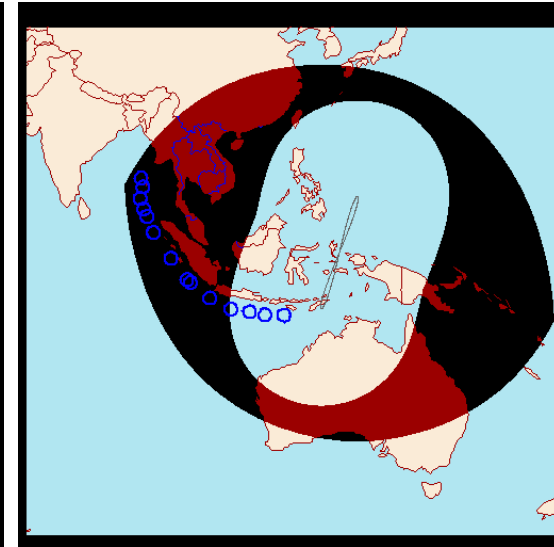
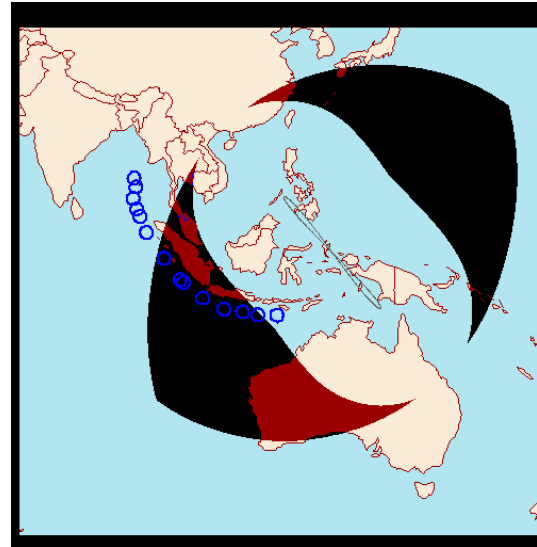
$\Delta az \sim 10 \text{ km}$

Temporal Coverage

24/7 for Near-field tsunami

Spatial Coverage

As large as possible



we can choose eccentricity, inclination and argument of perigee to optimize the coverage....

A Synthetic Aperture Radar in a geosynchronous orbit...

incidence angle range:

$20^\circ \leq \eta \leq 50^\circ$

Max scan angle off nadir:

$6.6^\circ \rightarrow$ Nadir looking antenna

Accessible area:

two sectors, right and left of flight track



Ambiguities Constraints

La	antenna length	La	= 5 m	(antenna aperture = 10 m ²)
Wa	antenna width	Wa	= 2 m	
λ	wavelength	λ	= 0.03 (X band)	
c	speed of light	c	= 299792458. m/s	
η	incidence angle	η	= 20° - 50° (SAR)	
PRF	pulse repetition frequency	PRF	= 4000 Hz	
R	slant range	R	= dependent on η	
V	platform velocity	V	= 15 m/s	

Range Ambiguities: $Wa > 2\lambda R (PRF) \tan(\eta)/c$

Azimuth Ambiguities: $La > 2V/(PRF)$

Antenna Aperture: $(La \times Wa) > 4\lambda RV \tan(\eta)/c$

Nadir Interference: okay

Transmit Interference: okay

SNR Signal-to-Noise Ratio

P_t	transmitted power	P_t	= 100 W
τ	pulse width	τ	= 50 μ s (duty cycle 20%)
N	noise figure	N	= 3 dB
T	noise temperature	T	= 300 K
L	loss	L	= 3 dB (dependent on atmosphere state)
σ^0	normalized RCS	σ^0	= - 20 dB (dependent on η , pol and sea state)
SNR	Signal-to-Noise Ratio	Pol	VV

$$SNR = \overbrace{\left(\frac{P_t G^2 \lambda^2}{(4\pi)^3 R^4} \right) \left(\frac{1}{L} \right) \sigma^0 \left(\frac{c \tau}{2 \sin(\eta)} \right) \left(\frac{\lambda R}{L_a} \right)}^{\text{Signal Power (radar equation)}} \overbrace{\left(\frac{1}{kNTB} \right)}^{\text{Noise Power}}$$

$$SNR \approx 30 \text{ dB}$$

Spatial Resolution

B bandwidth
 La antenna Length
 R slant range
 Re Earth radius
 h platform height
 Ls synthetic aperture length
 Ts integration time

B = 200 MHz
 La = 5 m
 R dependent on η
 Re = 6400 km
 h = 20 km

	20°	50°
$\Delta r = \frac{c}{2B \sin(\eta)}$	11 km	4.9 km
$\Delta az = \left(\frac{L_a}{2}\right) \left(\frac{R_e}{R_e + h}\right)$	0.53 m	0.53 m
$T_s = \frac{L_s}{v}$	2031 s	2128 s
$L_s = \left(\frac{\lambda R}{L_a}\right) \left(\frac{R_e + h}{R_e}\right)$	1.02e6 m	1.06e6 m

Not needed, and further,

requires very long integration times.

not suitable for tsunami early-warning !!

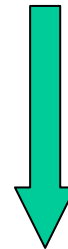
~ 35 min

Then go for sublooks →

Sublook Azimuth Resolution

L_a Antenna Length 7 m } SAR antenna radiation pattern
 λ wavelength 0.03 m }
 PRF 200 Hz } Ambiguity positions
 v 500 m/s }
 T_s integration time } main lobe 3dB beamwidth

Inc. angles Int. times (s)	20°	50°
0.1	10.8 km	11.4 km
0.2	5.4 km	5.7 km
0.5	2.2 km	2.3 km
1	1.1 km	1.1 km

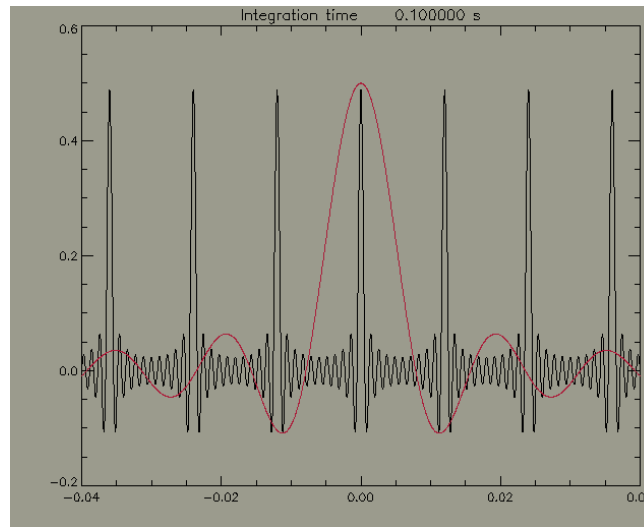
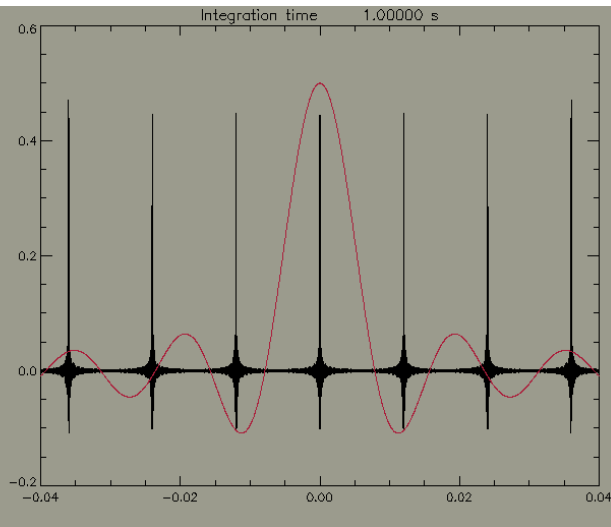


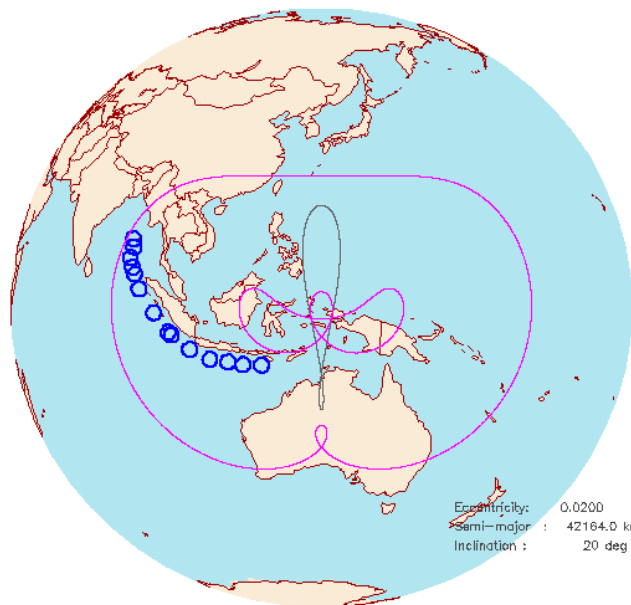
Minimum integration times to match the (10×10) km resolution constraint

500 m/s → 0.1 s
 50 m/s → 1 s
 5 m/s → 10 s

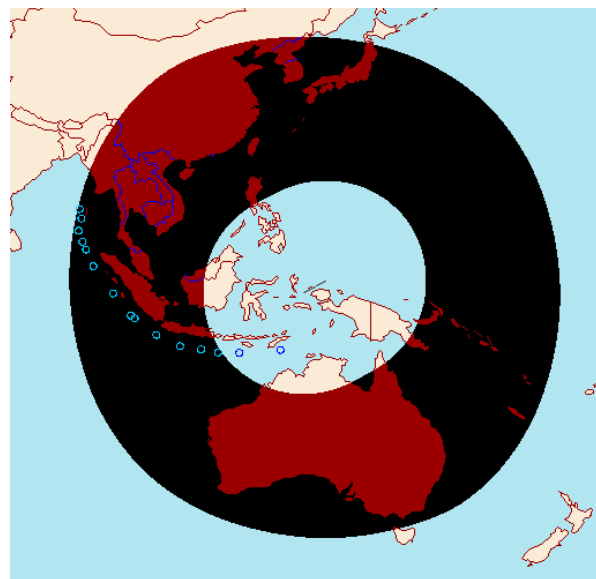
range of allowed platform velocities !!

$50 \text{ m/s} < V < 500 \text{ m/s}$





G-SAR: 2 SAR satellites in geosynchronous orbit



v_min: 50.7m/s
 v_max: 115.2m/s
 Inclination: 1.0°
 Semi major: 42164.0km
 Eccentricity: 0.0165
 Ascend. Node: 132.3°
 Arg. of Perigee: 0.0°

System Parameters	
Antenna	(7×2)m phased array
Frequency	10 GHz
Polarization	VV
Path Loss	3 dB
Noise Figure	3 dB
Antenna Parameters	
Antenna Aperture	14 m ²
Antenna Gain	53 dBi
Side lobe level	-13 dB
Max. scan angle	7°
Waveform Parameters	
Range resolution	~ 10 km
Azimuth resolution	<10 km (depending on V)
Peak Power	2 kW
Bandwidth	40 kHz
Pulse width	1 ms
PRF	200 Hz
Power Duty cycle	20 %





CONCLUSIONS

- A number of sensors (passive and active) can provide valuable information about tsunami
 - RADAR ALTIMETRY (tsunami shadows and wave height)
 - GPS REFLECTOMETRY (tsunami shadows and maybe wave height)
 - SCATTEROMETERS (tsunami shadows)
 - ATI-SAR (tsunami shadows and orbital velocities)
 - single channel SAR (tsunami shadows)
 - RADIOMETERS
- It is mandatory to know more about tsunami-related features (especially tsunami shadows !)
 - Airborne SAR campaigns
 - Theoretical modeling
- A Geosynchronous SAR is proposed as a concept for tsunami early-warning.
- NESTRAD, another concept for tsunami early-warning is illustrated in the proceedings
- BOTH CONCEPTS ARE DESIGNED AS MULTI-PURPOSE SENSORS
- Always consider the possibility of implementing the same concepts with parasitic signals from communication and navigation !! (GPS signals or TV signals)

TSUNAMI
HIGH
WATER
March 28
1964

TSUNAMI HAZARD ZONE



IN CASE OF EARTHQUAKE, GO
TO HIGH GROUND OR INLAND

地震を感じたら
津波に注意
Be careful about Tsunami
when you feel the earthquake
神戸市

STOP
TSUNAMI EVACUATION AREA
IN CASE OF EARTHQUAKE, STAY
ON HIGH GROUND OR INLAND

TSUNAMI
EVACUATION
ROUTE

PERINGATAN TSUNAMI ALAMI

- SEGERALAH MENINGGALKAN PANTAI (LARI
KETEMPAT LEBIH TINGGI) JIKA :
1. ANDA MERASAKAN GETARAN GEMPA YANG
SANGAT KUAT SEMENTARA ANDA DI PANTAI.
 2. PERMUKAAN AIR DI PANTAI SURUT MEN-
DAPAK TIDAK SEPERTI BIASANYA.
 3. MELIHAT OMBAK YG KUAT DAN TIDAK
SEPERTI BIASANYA BISA JADI MERUPAKAN
GELOMBANG PENDAHULUAN AWAL
TSUNAMI DARI GEMPA JAUH.
 4. MENDENGAR SUARA GEMURUH/GENDERANG/
LEDAKAN DARI ARAH PANTAI.
 5. ANGIN DINGIN BERTIUP DENGAN BAU
GARAM MENYENGAT.

INFORMASI HUB. PUSAT PEP KAR. GIAN YAR TPA/FAX/061-545113

THANKS, and go to high ground !!

津波ひなん場所
Tsunami Evacuation

Entering A
TsunamiReady
Community

IN CASE OF EARTHQUAKE, GO TO
HIGH GR

TSUNAMI HAZARD ZONE

IN CASE OF EARTHQUAKE, GO
TO HIGH GROUND OR INLAND

非常階段

入口

