



**GITEWS**

**WP 4430 Ground Based HF-Radar System**

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German Aerospace Center (DLR)

# • Introduction

- Background – HF Radar Observables - Motivation

# • Surface Model

- Ocean Wave Spectra (2D)
- Calculation-PO-Bragg Lines-Doppler Shift
- Principle of HF Surface Waves

# • Numerical Results

- Tsunami induced currents:  $V_c=18\text{cm/s}$  and  $5.1\text{cm}$

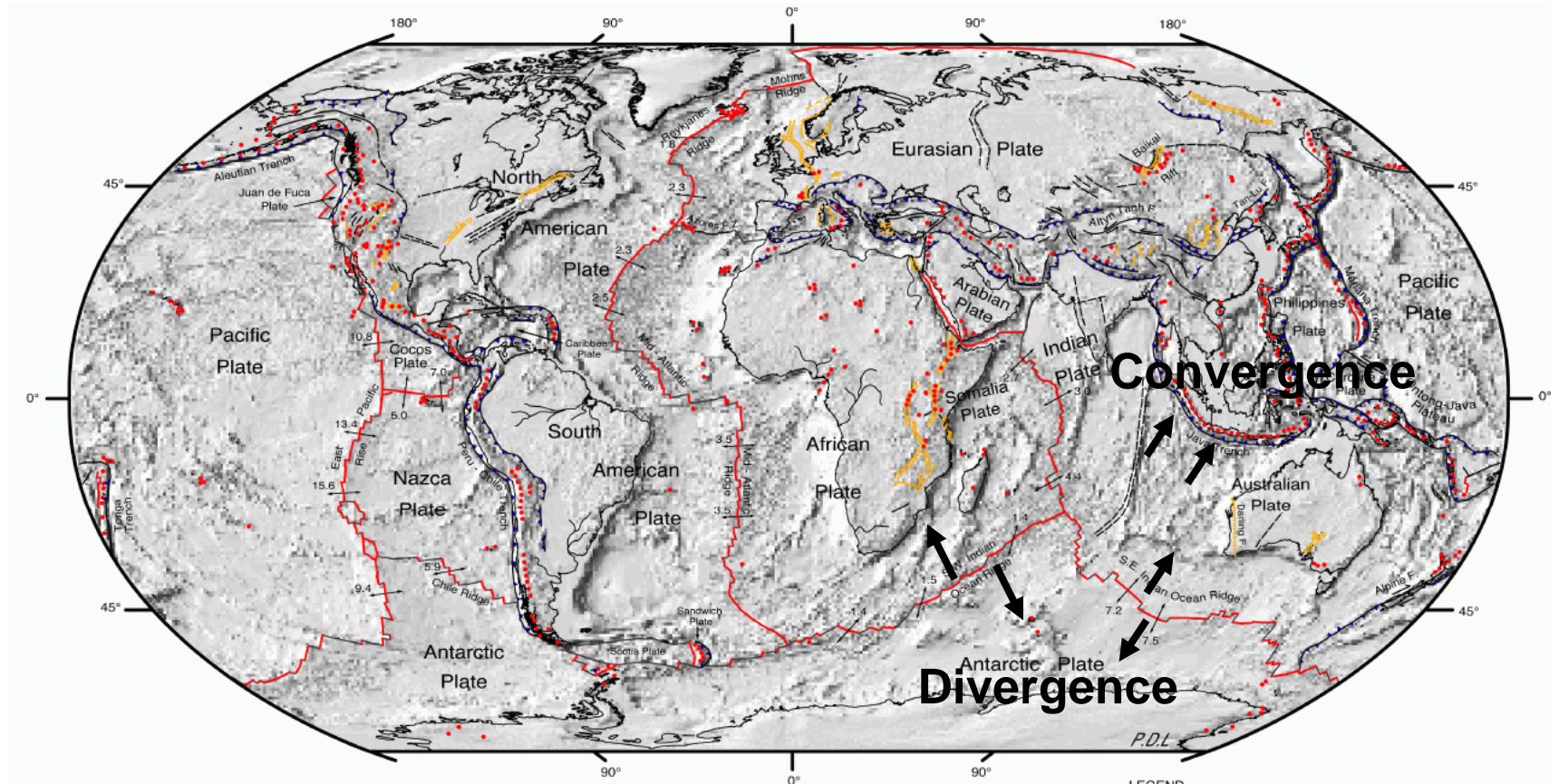
# • Commercial Ground Based System

- Ocean Remote Sensing System WERA-Configuration

# • HF-OTHR Radar

# • Conclusions

# Tectonic Activity



**DIGITAL TECTONIC ACTIVITY MAP OF THE EARTH**  
Tectonism and Volcanism of the Last One Million Years

**DTAM**



NASA/Goddard Space Flight Center  
Greenbelt, Maryland 20771

Robinson Projection  
October 1998

- LEGEND**
- Actively-spreading ridges and transform faults
  - Total spreading rate, cm/year, NUVEL-1 model (DeMets et al., Geophys. J. International, 101, 425, 1990)
  - Major active fault or fault zone; dashed where nature, location, or activity uncertain
  - Normal fault or rift; hachures on downthrown side
  - Reverse fault (overthrust, subduction zones); generalized; bars on upthrown side
  - Volcanic centers active within the last one million years; generalized. Minor basaltic centers and seamounts omitted.

Oceanic Lithosphere 5-7km    Continental Lithosphere 30-60km

G221.001

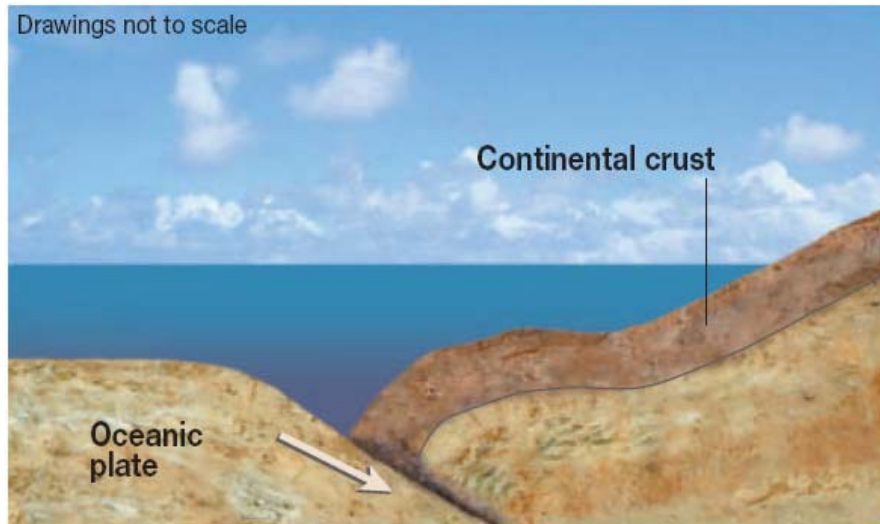


Deutsches Zentrum  
für Luft- und Raumfahrt e.V.  
in der Helmholtz-Gemeinschaft



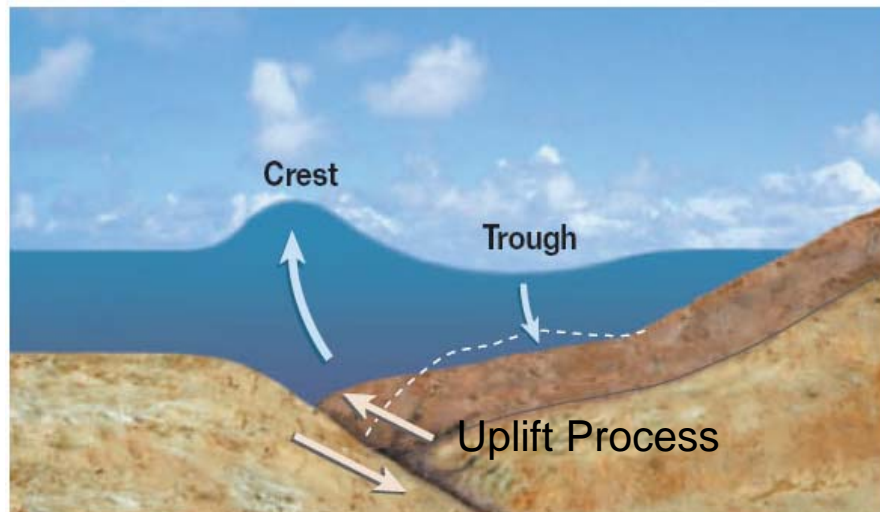
# Boxing Day 2004 (Sunda Trench)

Drawings not to scale



## 1 Before the earthquake

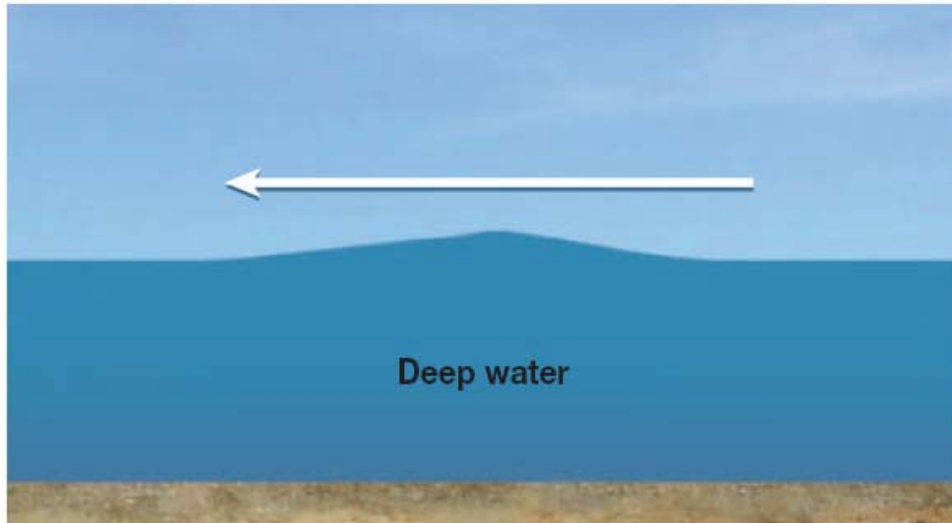
The plate holding the Indian Ocean was sliding under the continental plate (holding Indonesia and much of Asia) at about 6 cm per year. The continental crust was bent thanks to the constant pressure of collision.



## 2 During the quake

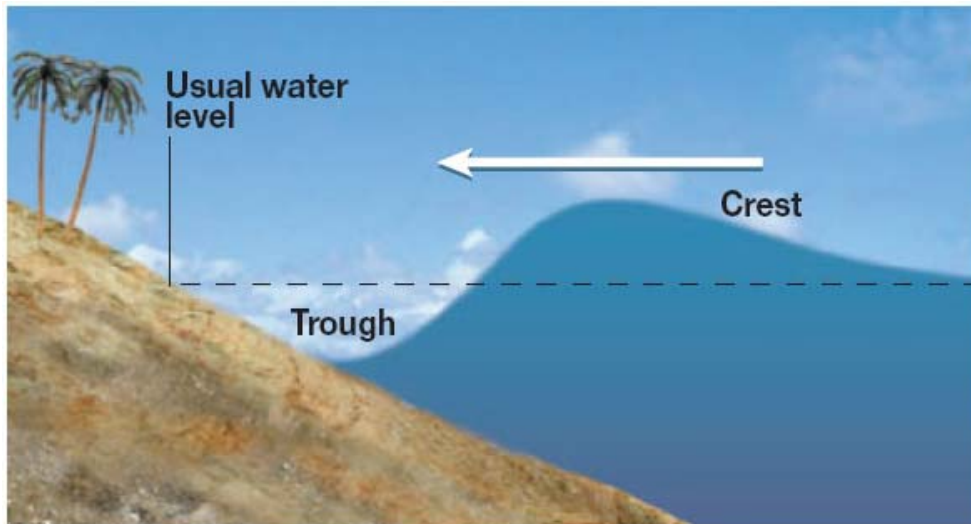
The fault ruptured violently, allowing the continental crust to unbend and causing portions of the sea floor to move up or down by several metres. The water above the fault responded in kind, creating a wave crest and trough.

© Nature Jan.2005



### 3 The wave travels

One wave crashed towards the nearby shore of Indonesia. Another barrelled westwards at about 800 km per hour in deep water, with a wavelength of 100 km and an average wave height of just tens of centimetres.

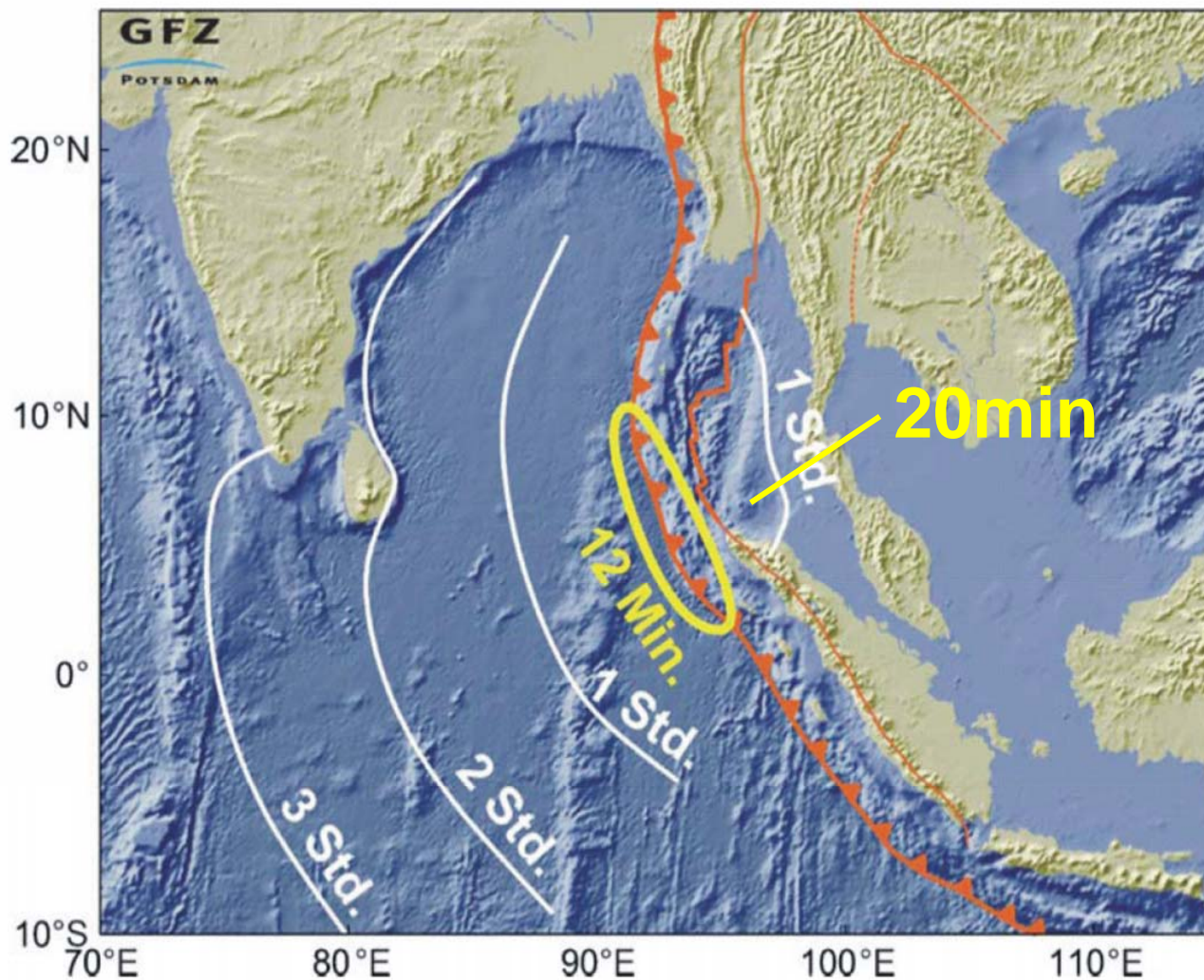


### 4 Collision

When the wave entered shallow waters, it slowed to tens of kilometres per hour, its wavelength shortened to about 5 km, and its height is thought to have soared to more than ten metres. The trough of the wave often hits before the crest (as shown).



# Warning (26.12.2004)



## Causes for Tsunami

- Earth/Seequakes  $M > 7$  (90%)

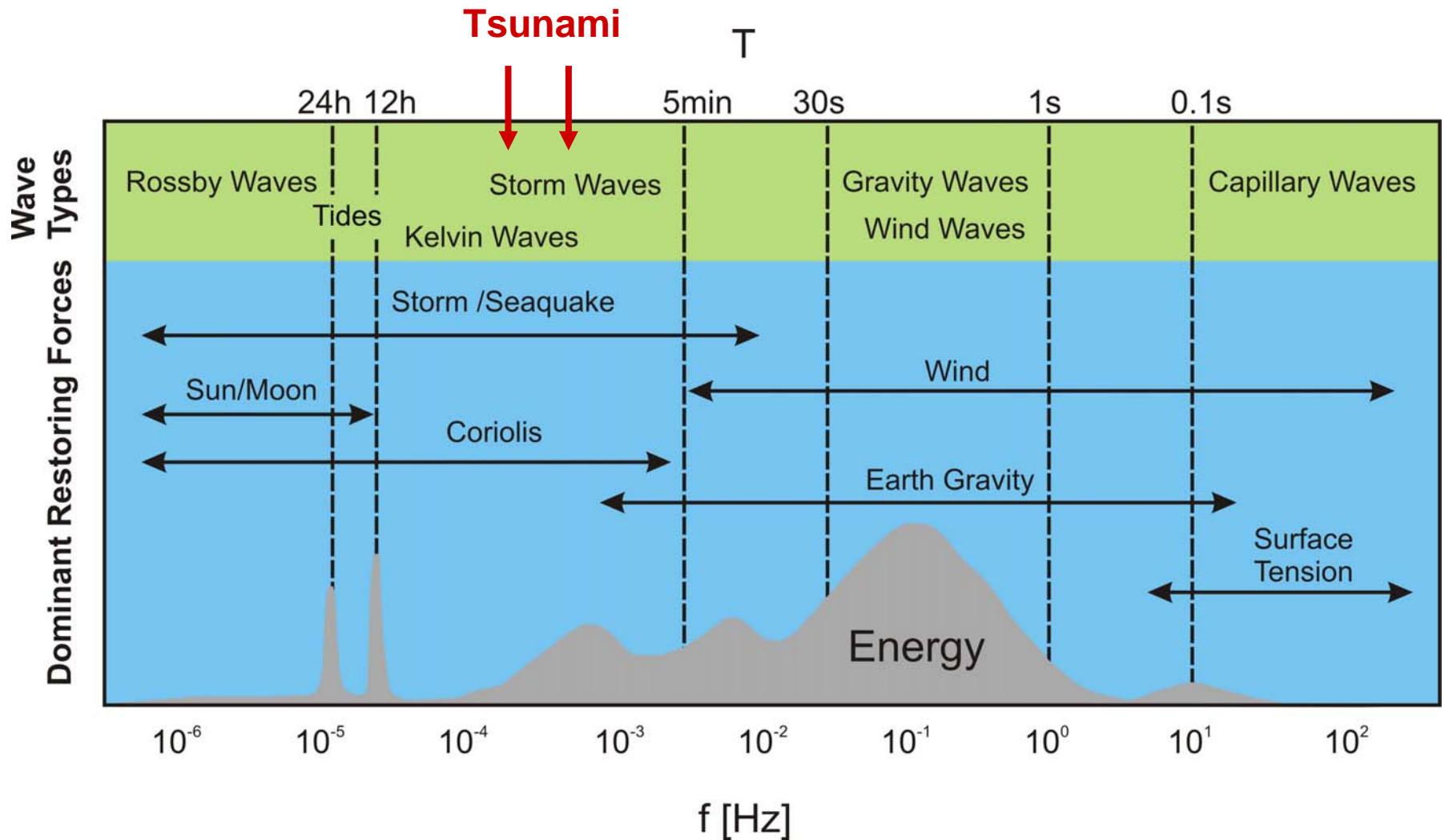
Date	Cause	Height (m)	Site	Deaths
12. December 1992	Earthquake	26	Indonesia	<1000
02. June 1994	Earthquake	14	Indonesia	238
26. December 2004	Earthquake	5	Indonesia	>230.000

- Volcanic Eruption and/or Landslide

Date	Cause	Height (m)	Site	Deaths
27. August 1883	Krakatau Eruption	35	Indonesia	3600

- Meteorit Impact

# Ocean Wave Types



*Shallow water: Friction force!*



# Dispersion Relation

$$c_p = \pm \sqrt{\frac{2\pi g}{\lambda} \tanh\left(\frac{2\pi\lambda}{H}\right)}$$

J.R. Apel, *Principles of Ocean Physics*  
Academic Press, 1987

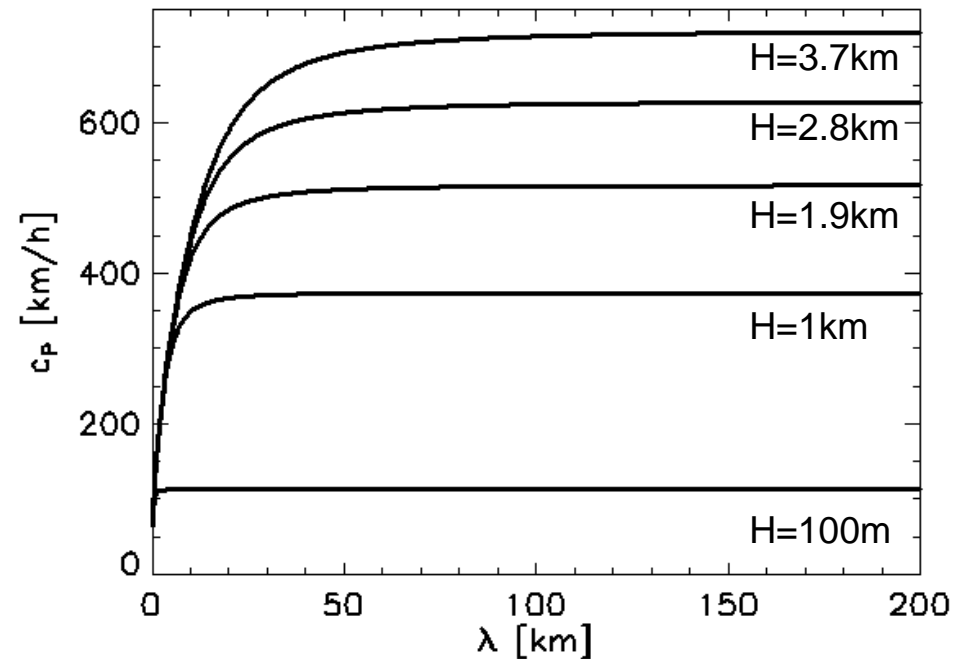
## Case 1: Deep Water ( $H \gg \lambda$ )

$$k_h H \gg 1 \rightarrow \tanh(k_h H) \approx 1$$

$$c_p = \pm \sqrt{\frac{g k_h}{2\pi}} = \pm 1.25 \sqrt{\lambda}$$

-- Dispersion !

$$c_g = \frac{\partial \omega}{\partial k_h} = \frac{c_p}{2}$$

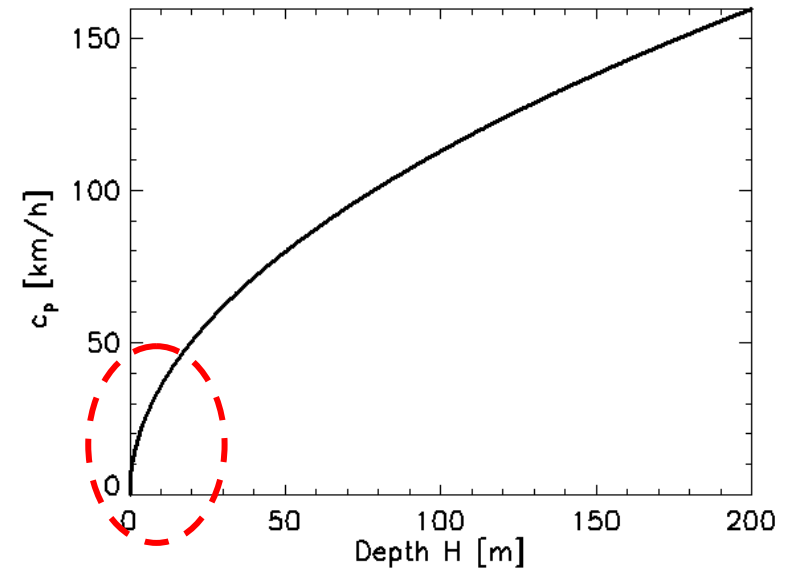
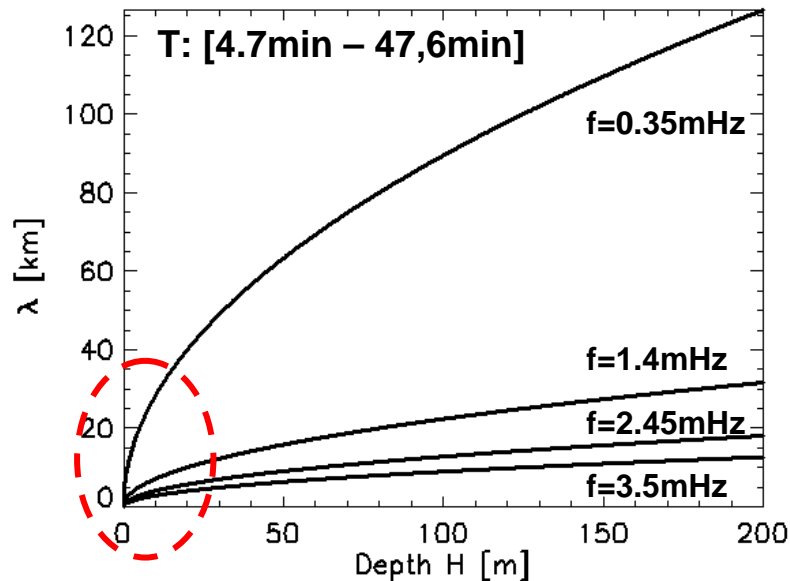


## Case 2: Shallow Water ( $H \ll \lambda$ )

$$k_h H \ll 1 \rightarrow \tanh(k_h H) \approx k_h H$$

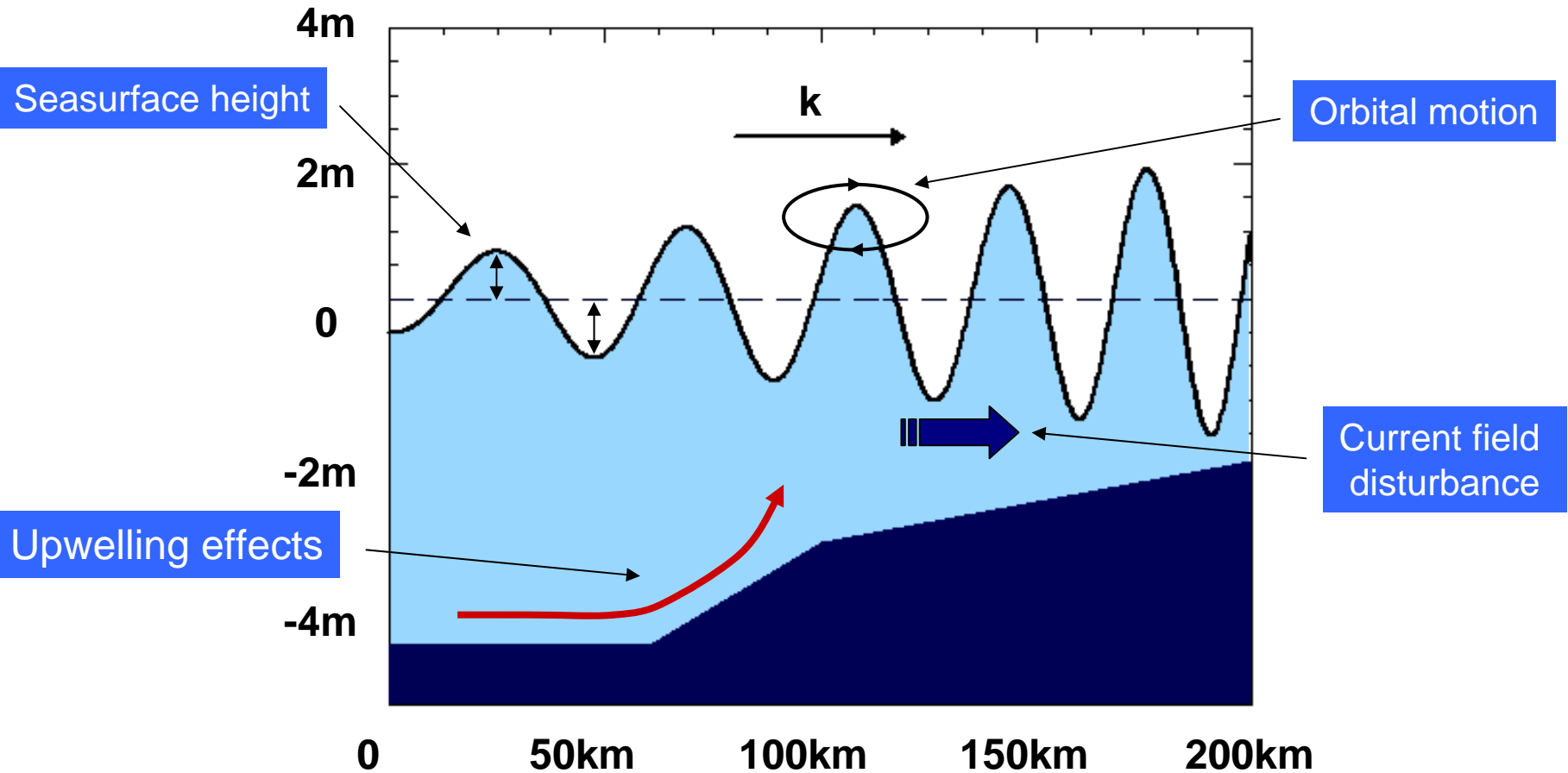
$$c_p = \pm \sqrt{g H} \rightarrow c_g$$

*No Dispersion !*



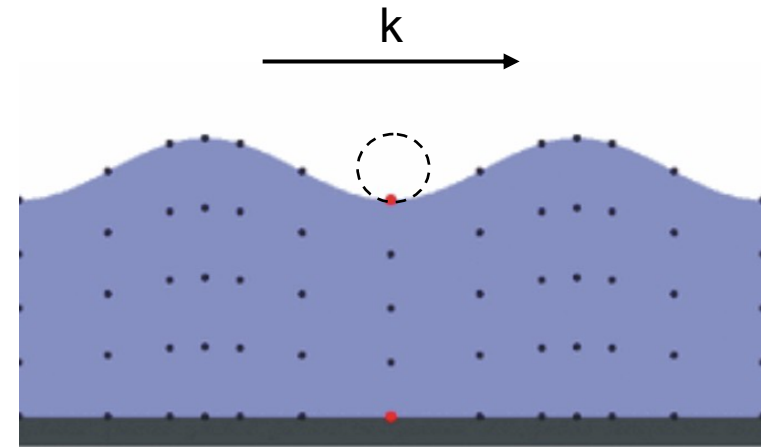
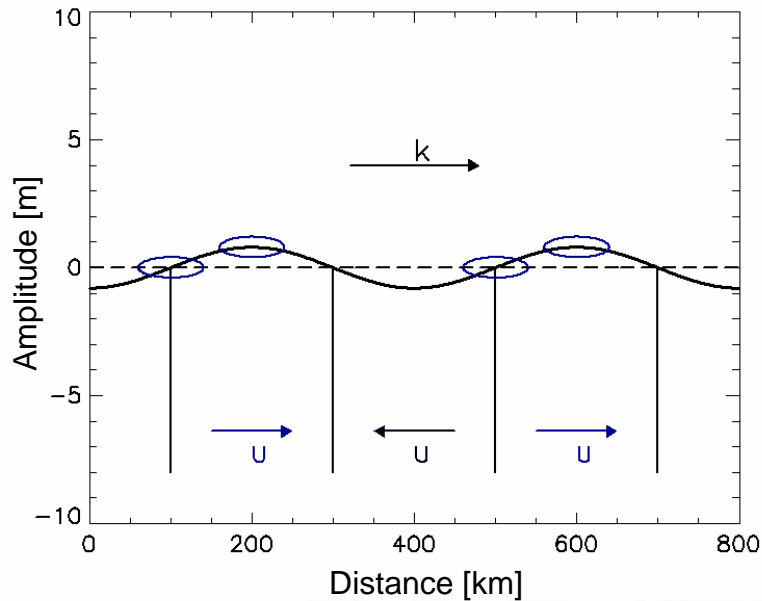
**„Waterberg“  
Phenomenon**

# Geophysical Observables

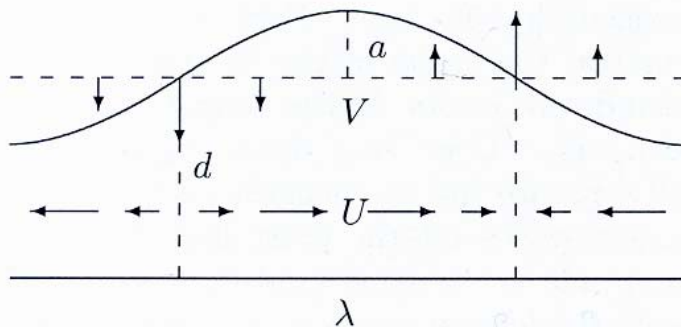




# Tsunami Parameters



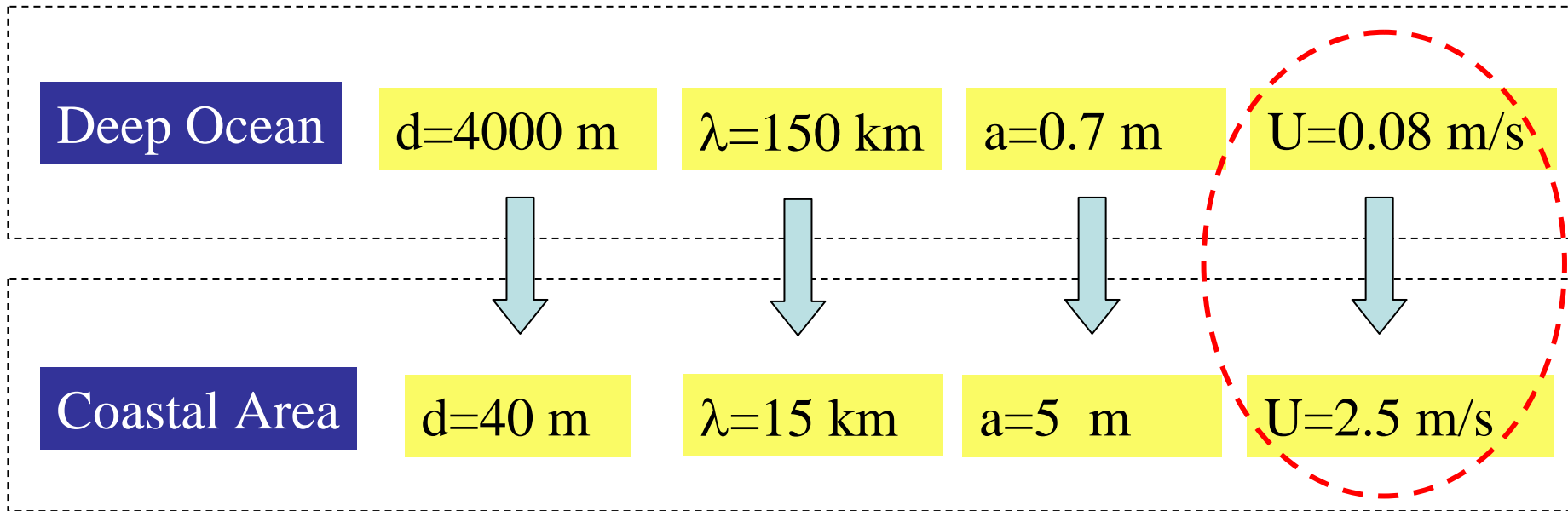
<http://de.wikipedia.org/wiki/Tsunami>



A: amplitude  
d: water depth  
U: horizontal velocity  
V: vertical velocity  
 $\lambda$ : wave length

# Tsunami Scale

Benny Lautrup, *Tsunami Physics*  
Kvant, Jan 2005



Tsunamis are more easily detectable in coastal areas

The spatial propagation of a tsunami is done in such a way that all the water particles of the water column undergo an elliptical orbital motion. The tsunami-induced horizontal water column motion and upwelling effects in the coastal area offshore Indonesia will give an additional horizontal water flow superimposed to the actual surface current field. The WP 4430 investigates the requirements of a possible ground based tsunami early warning radar system as required for a continuous observation over a large ocean area. Here, the observable interaction of a High Frequency field HF (3–30 MHz) with ocean waves is of particular interest.

Can we separate the current perturbations due to an approaching Tsunami from the effective surface current field?



Ocean Surface Model  
HF-Radar



Scattered  
HF Field



Doppler Spectrum  
( $V_B$ )

Ocean Surface Model  
HF-Radar + Tsunami



Scattered  
HF Field



Doppler Spectrum  
( $V_B + V_T$ )



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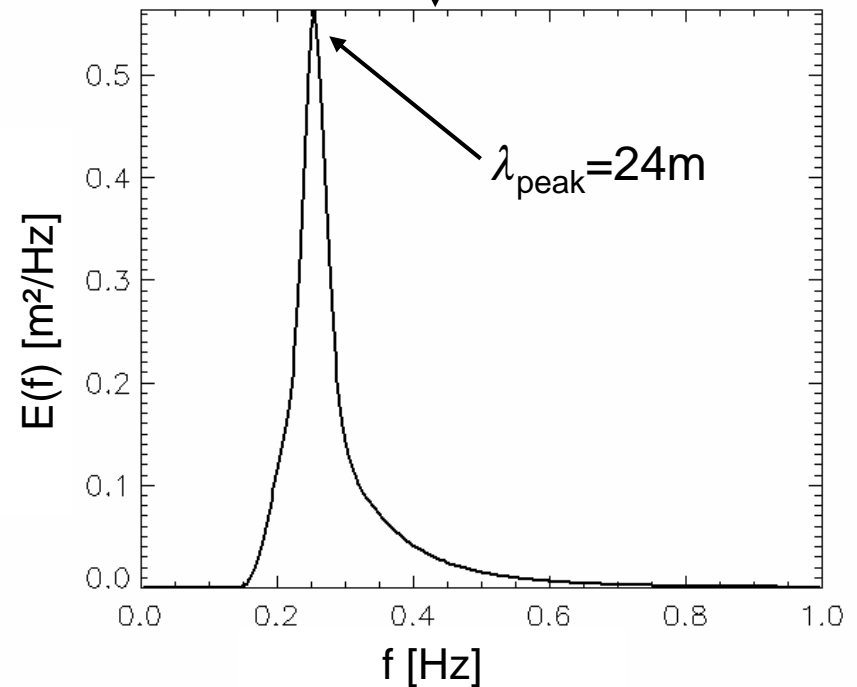
## • HF-OTHR Radar

## • Conclusions

# Joint North Sea Wave Project (1968-69) (JONSWAP)

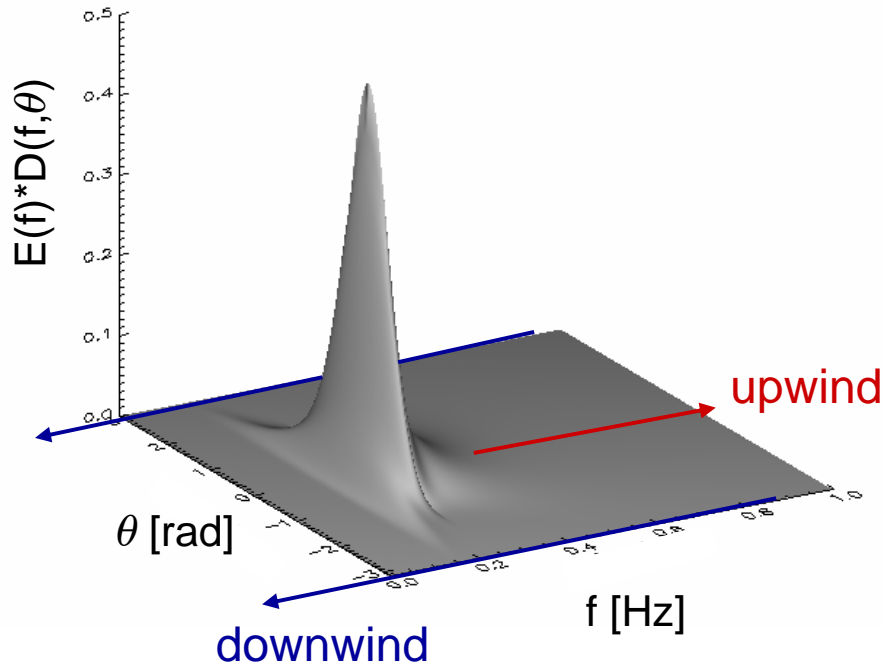


1D ocean surface spectrum for a single bouy at  
wind/wave equilibrium [ $U_{10}=5\text{m/s}$ ]



(K. Hasselmann et al)

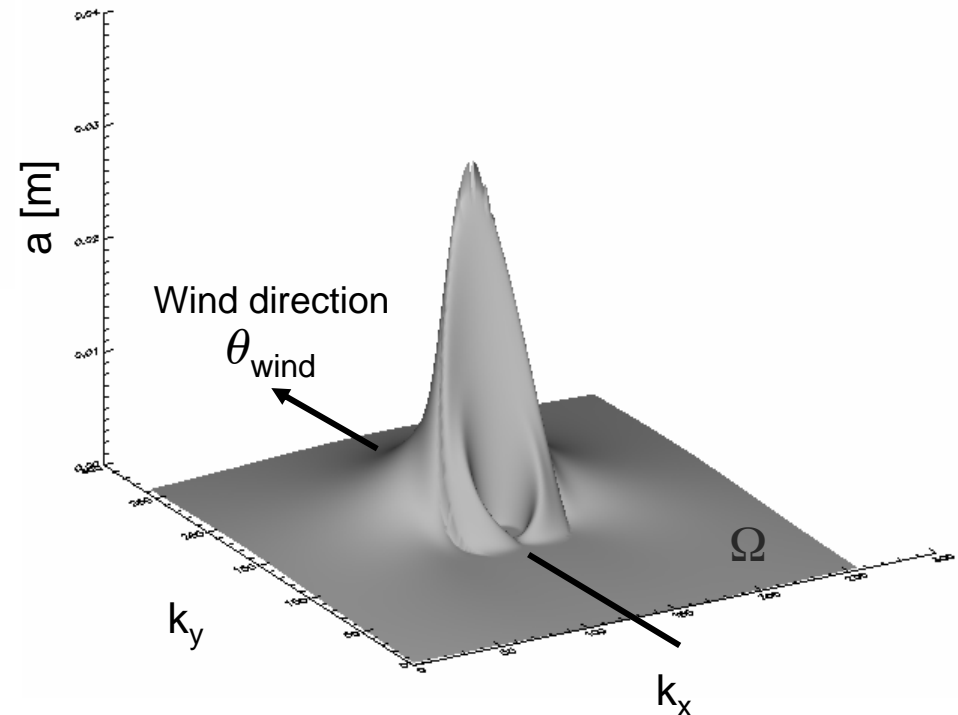
# Amplitude Distribution



Wind direction dependence of the JONSWAP spectrum  $E(f)$  according to Mitsuyasu  $D(f, \theta)$

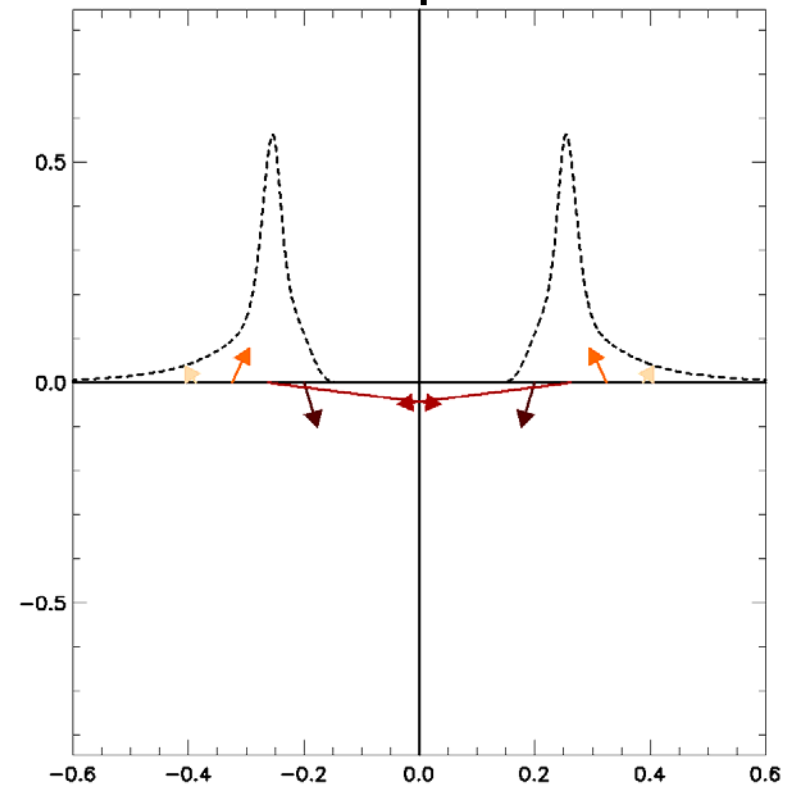
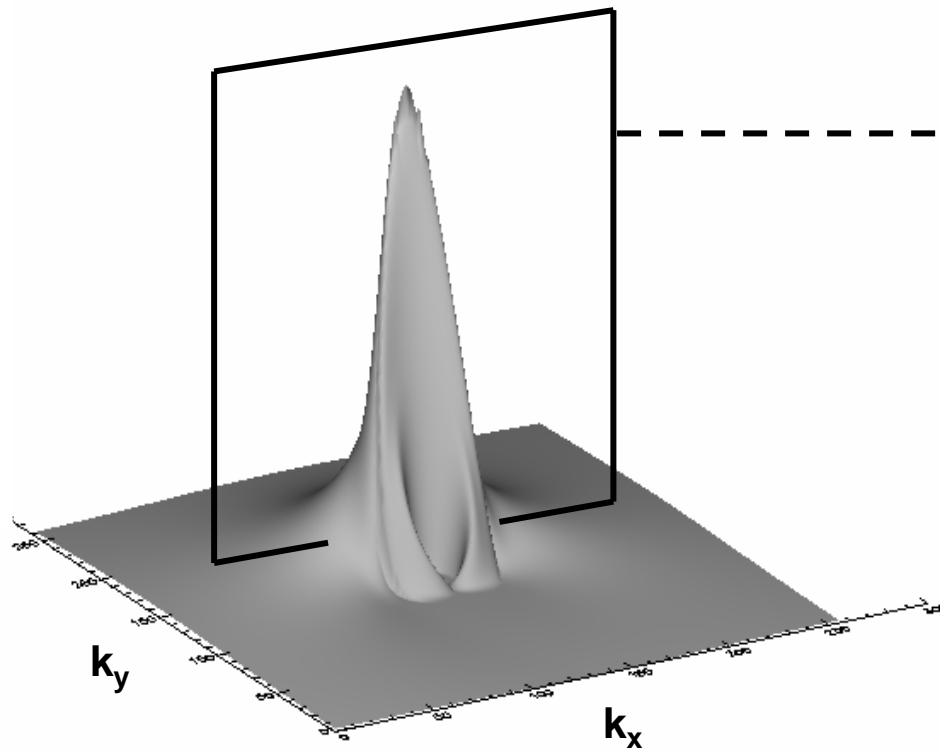
$$\theta = \theta_{\text{wind}} - \theta_{\text{k-wave}}$$

$$|a| = \sqrt{\frac{E(f) D(f, \theta) g}{k f}} * \frac{\pi^2}{\Omega}$$

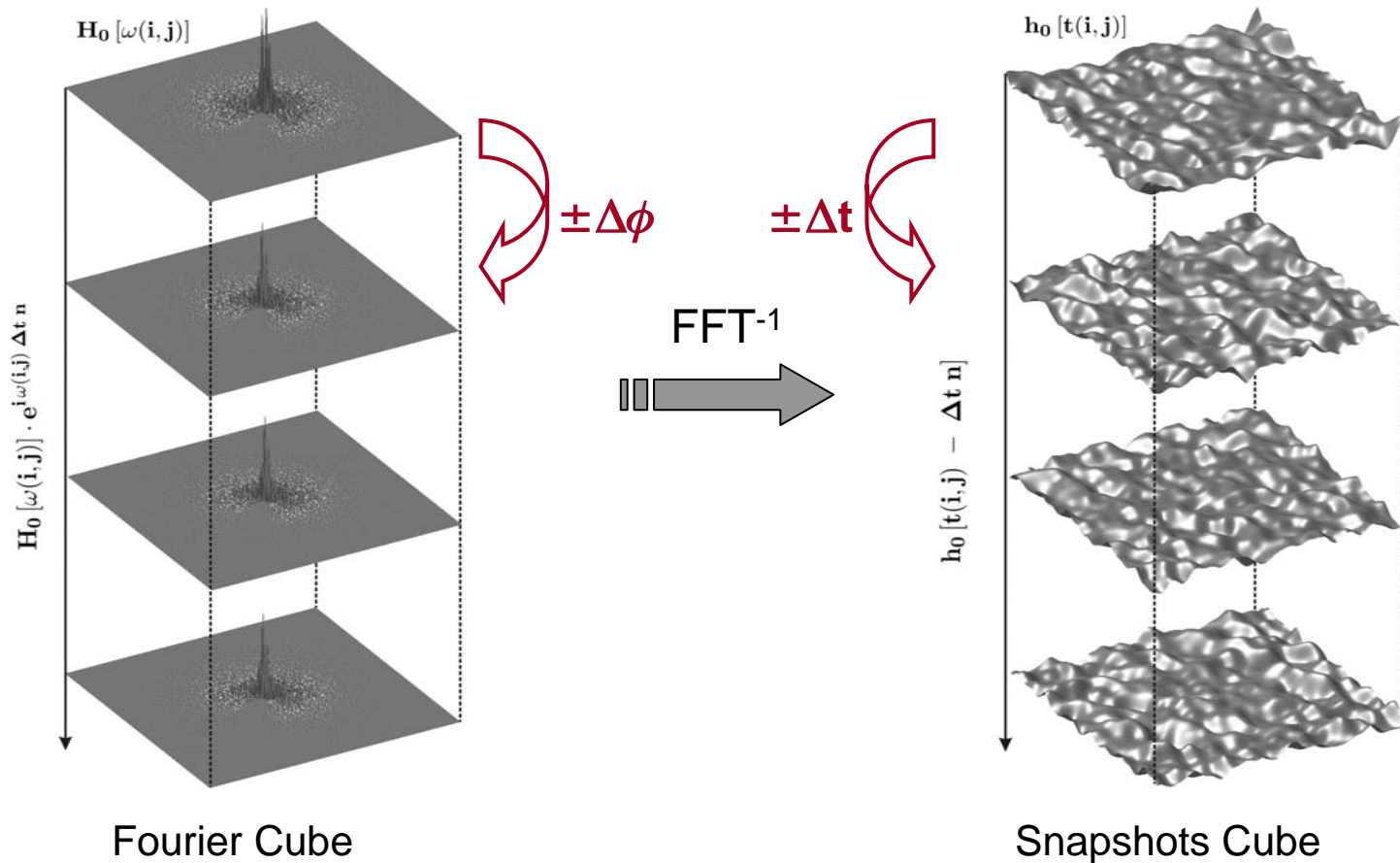




# Spectrum Dispersion

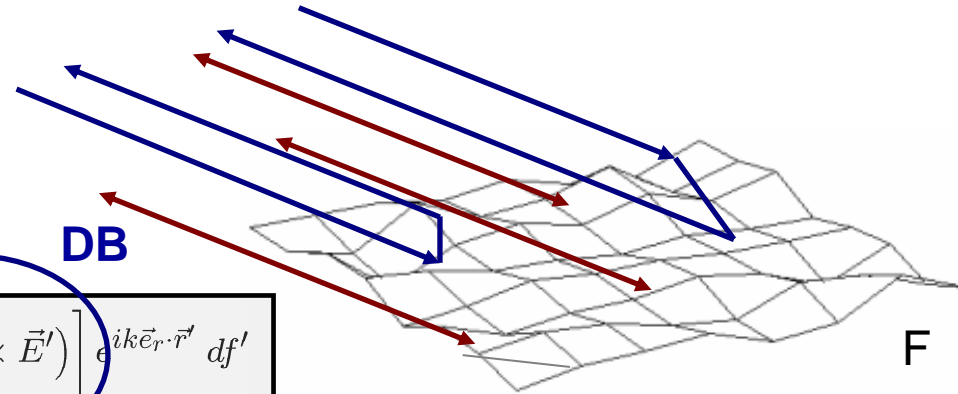


$$H(k_x, k_y, \omega_{i,j}) \Leftrightarrow h(x, y, t)$$



The temporal variation of the sea surface is calculated by a direct phase modulation in the wave number space instead of sequential computed time steps in the time domain.

# EM-Scattering



$$\vec{E}_T(\vec{r}) = \vec{E}_S(\vec{r}) + \vec{E}_I(\vec{r})$$

$$\vec{E}_S(\vec{r}) = \frac{i\omega\mu}{4\pi} \frac{e^{-ikr}}{r} \vec{e}_r \times \int_F \left[ \vec{e}_r \times (\vec{n}' \times \vec{H}') - \frac{1}{Z_0} (\vec{n}' \times \vec{E}') \right] e^{ik\vec{e}_r \cdot \vec{r}'} df'$$

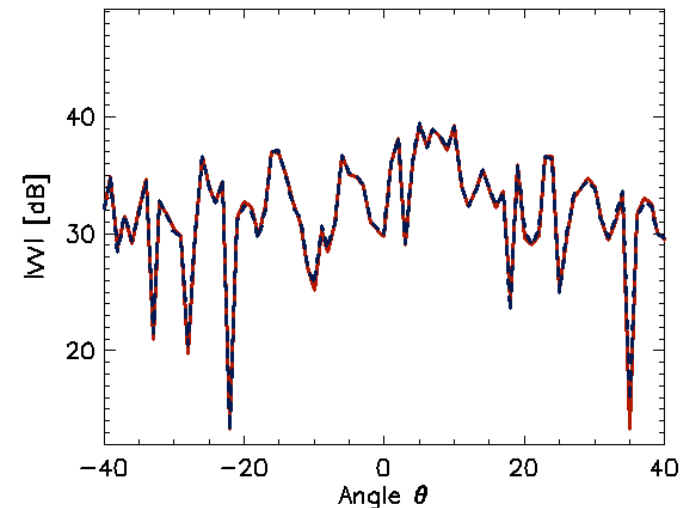
PO
DB

The total field is given by the Physical Optic field (PO) and the double bounced waves (DB) for a monostatic transmitter-receiver alignment.

$f = 10\text{MHz}$ ;  $U_{10} = 8.0\text{m/s}$ ;  $\lambda_{\min} = 8.1\text{m}$

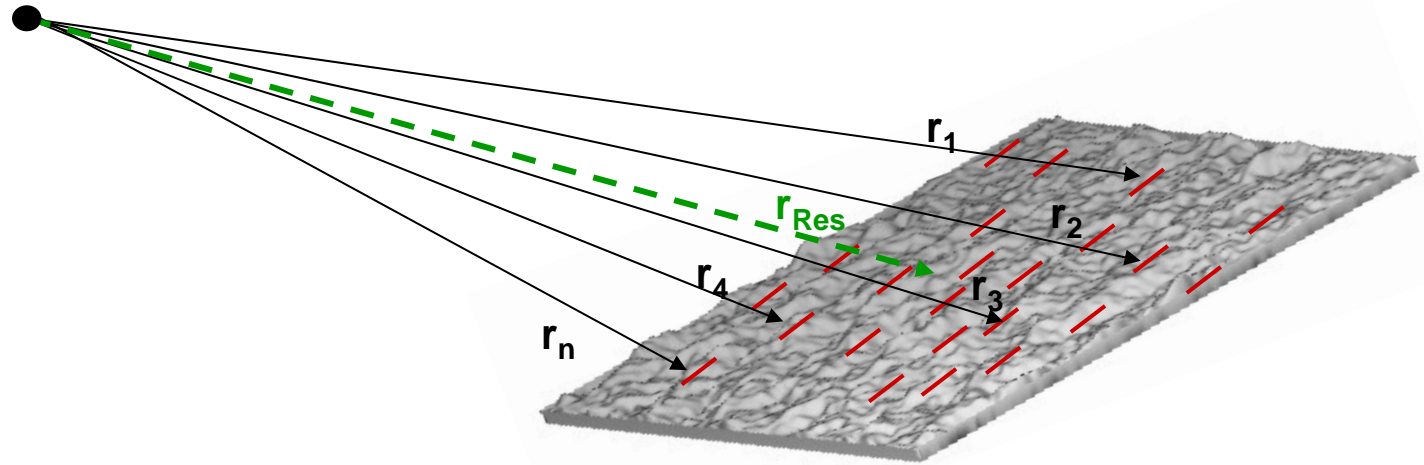
$\lambda_{\text{peak}} = 61.4\text{m}$ ;  $\lambda_{\max} = 164.2\text{m}$ ; Grid: 402;

Width: 1.0m; Triangles: 321 602



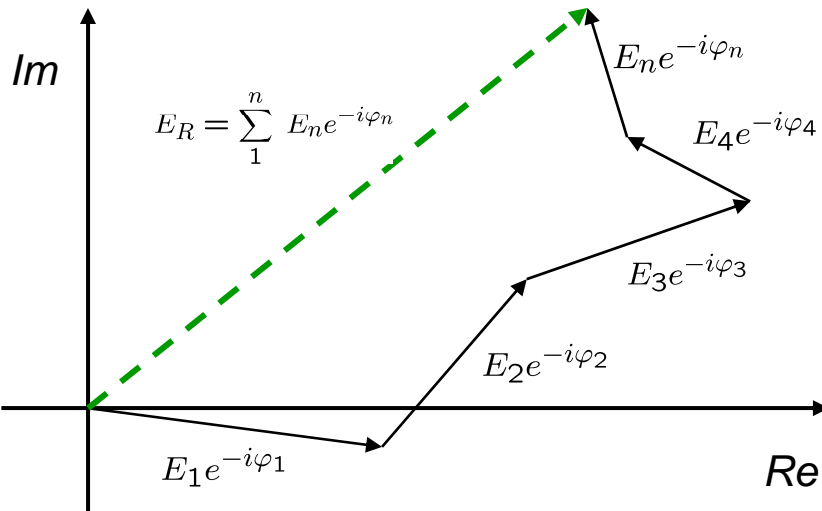


Transceiver



**Bragg Resonance**

**(Constructive superposition)**



$$E_R = |E_R| e^{-i\varphi_R} \quad \text{where} \quad \varphi_R = 2k_i r_R - w_i \cdot t + \delta$$

**Bragg-Wave:**

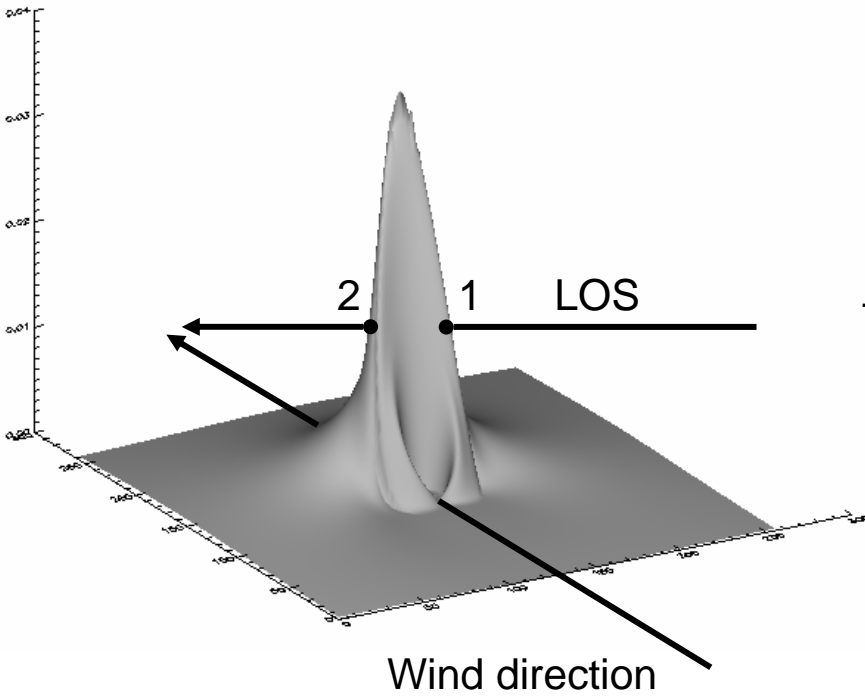
$$\varphi_R = 2k_i (r_R \pm v_B \cdot t) - w_i \cdot t + \delta$$

$$\varphi_R = 2k_i r_R - \left( w_i \pm \frac{4\pi v_B}{\lambda_B} \right) \cdot t + \delta$$

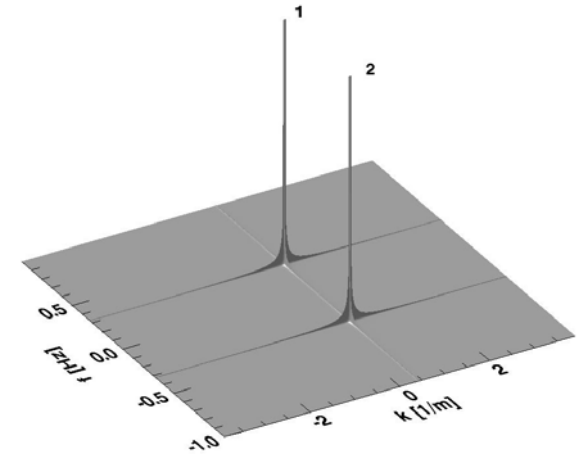
$$f_D = \pm \frac{2v_B}{\lambda_i}$$

**Doppler Shifts**

# Surface Current Measurements

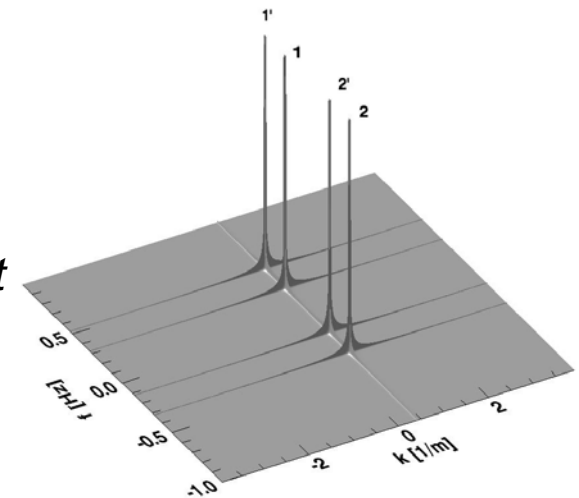


Two opposite travelling waves

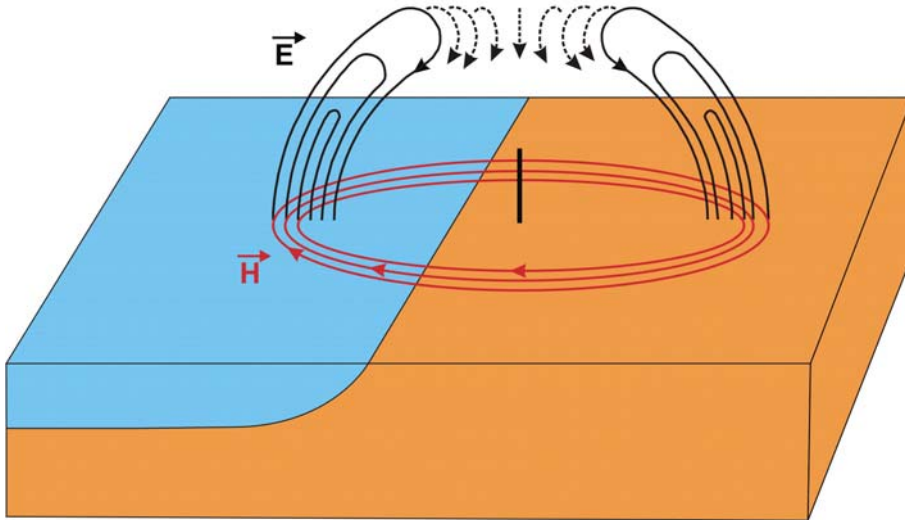


Underlying  
surface current  $v_C$

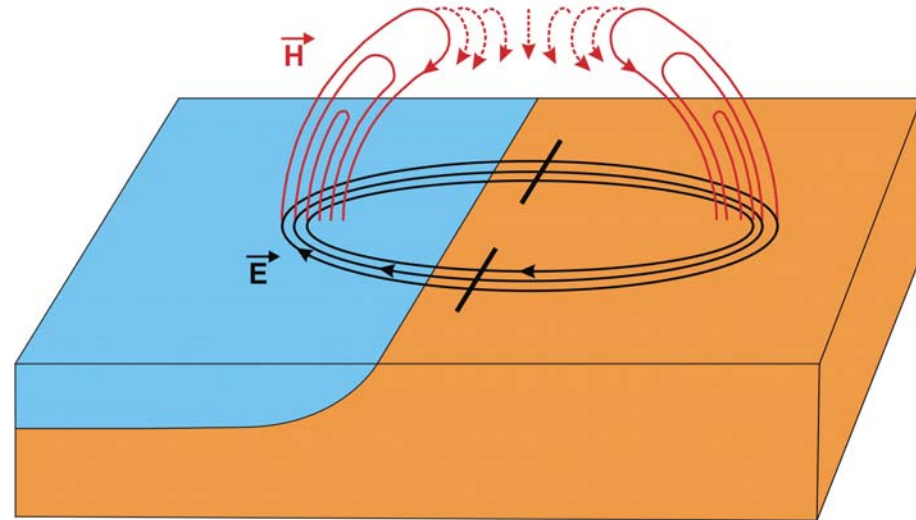
*Additional Doppler shift*



# Surface Waves



Sommerfeld Identity (1909)

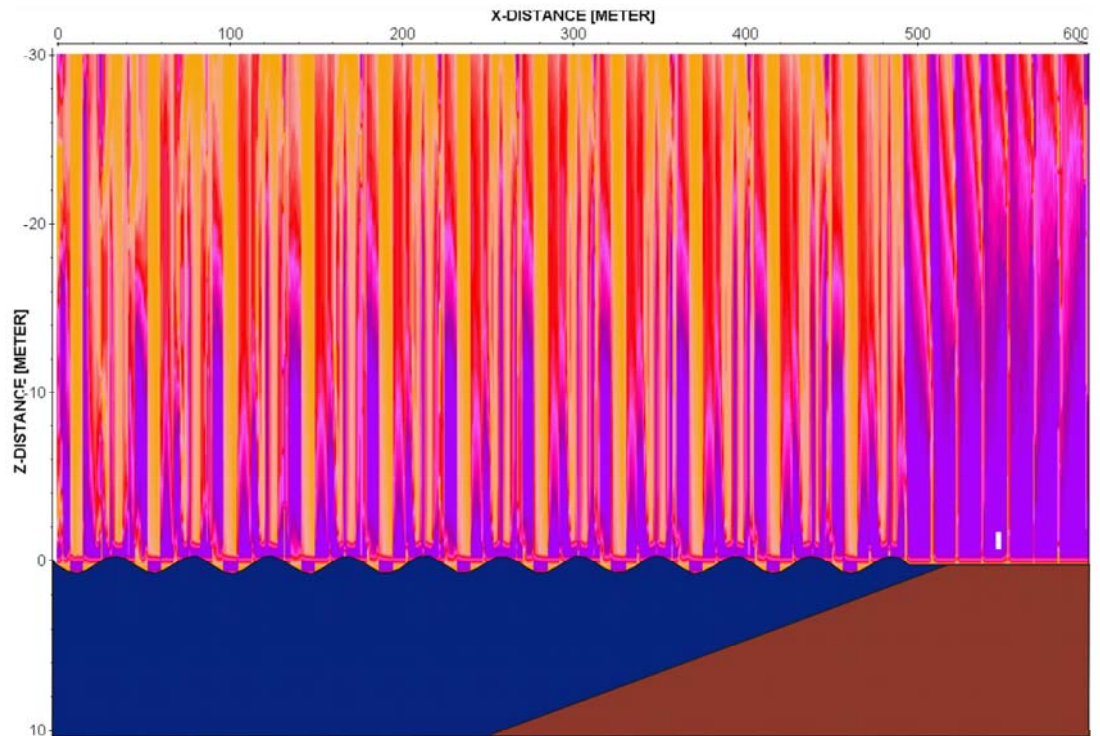
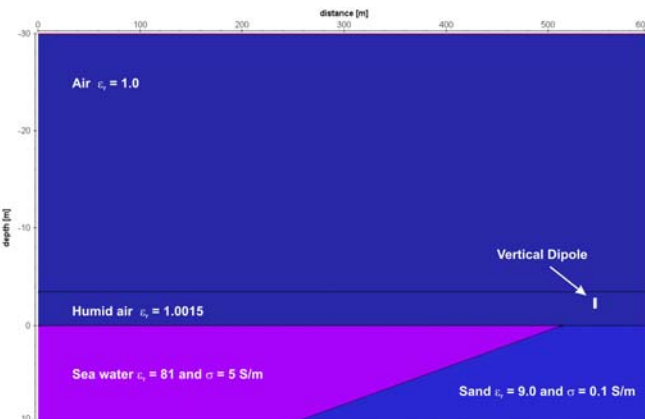
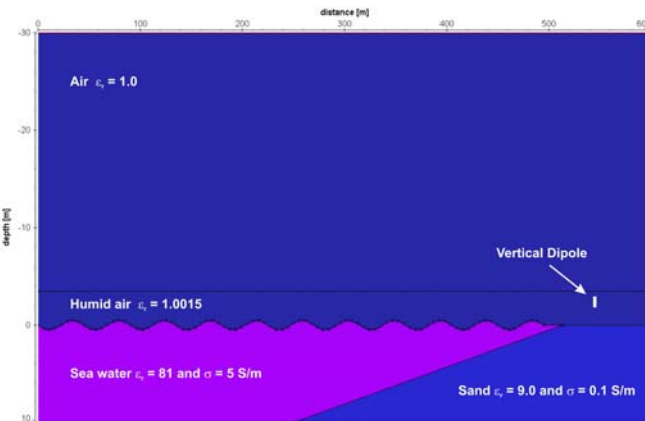


Hörschelmann (1911)

$$\frac{e^{ikR}}{R} = \frac{1}{2\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\gamma} e^{-\gamma|z| + i(k_x \hat{x} + k_y \hat{y})} dk_x dk_y$$

# Resonant Bragg Scattering

$$E_z^{bragg}(t) = E_z^{rough\_tot}(t) - E_z^{flat\_tot}(t)$$



(1) - (2) = Bragg scattering

Continuous Wave (CW) Modus  $f=10\text{MHz}$   $\Delta\text{grid}=0,4\text{m}$ ,  
 $\Delta t=0,5\text{ns}$ ,  $T_{\text{max}}=2000\text{ns}$

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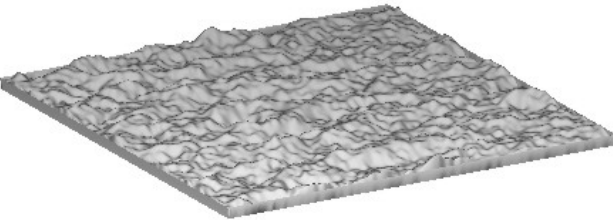
## • Conclusions



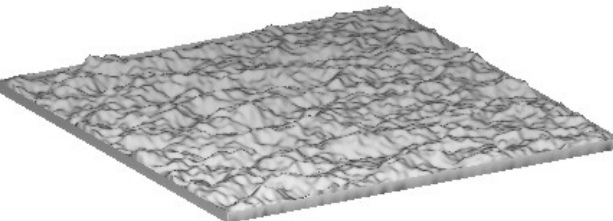


$T_{\text{meas}}=90\text{sec}; f=10\text{MHz}$   
 $V_c = 18\text{cm/s (12mHz)}$

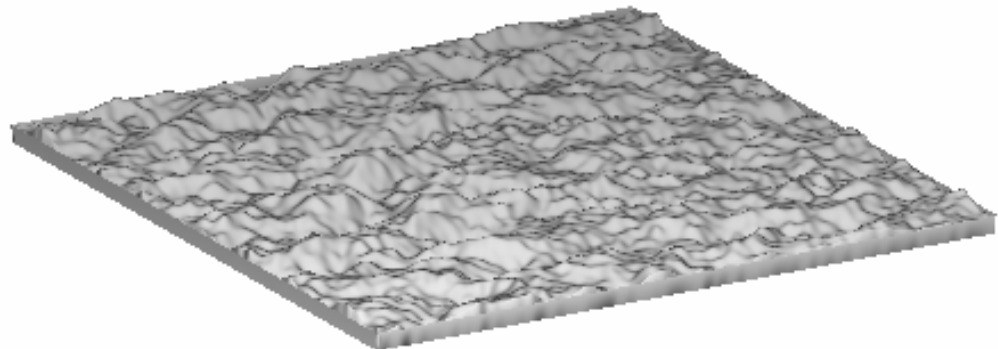
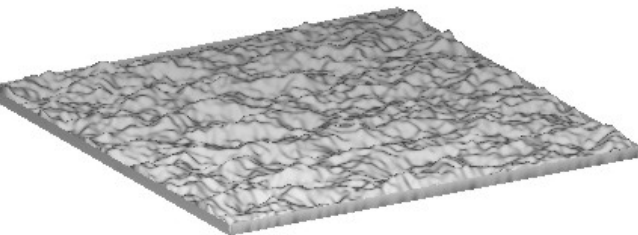
**T=0s**



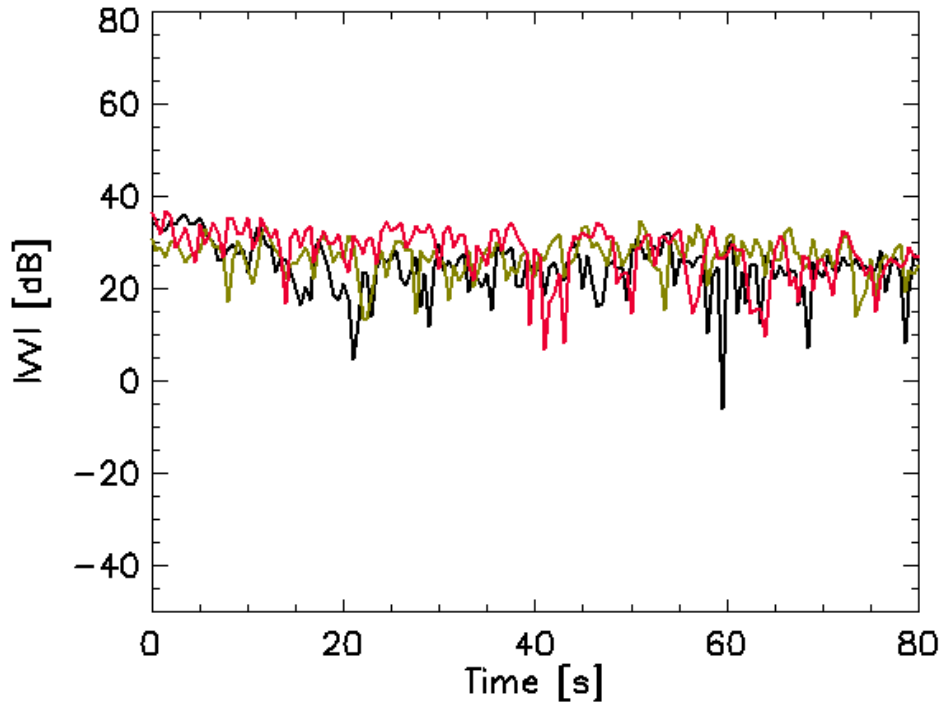
**T=50s**



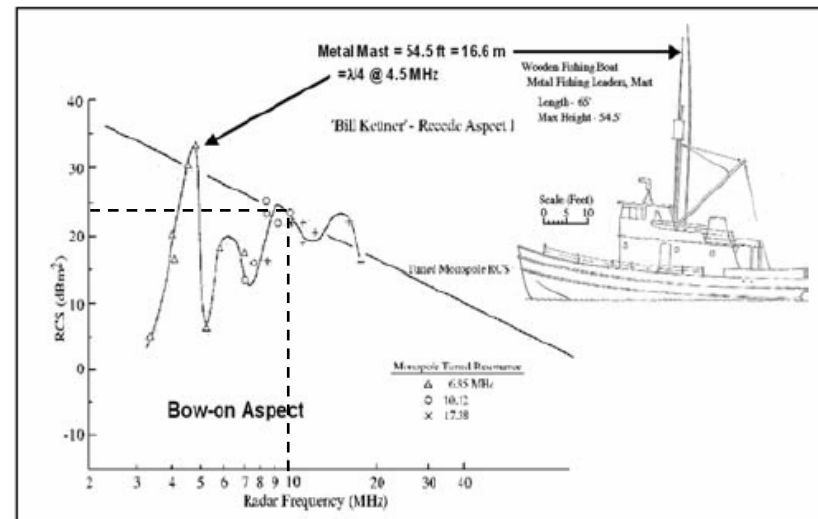
**T=90s**



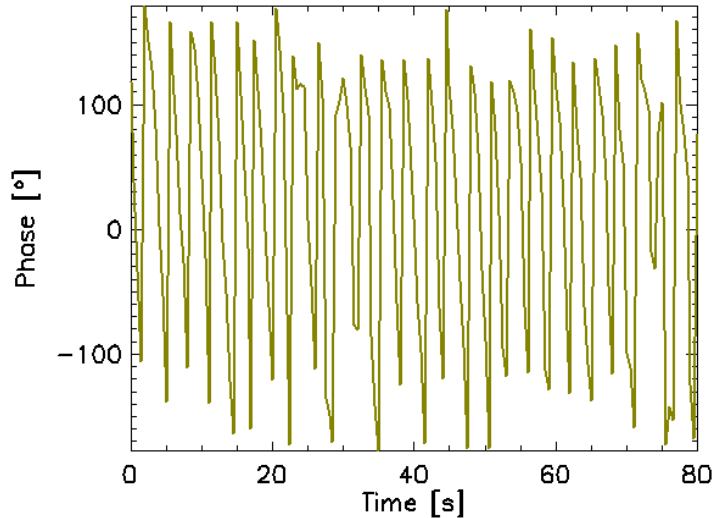
$V_c = \pm 18 \text{ cm/s}$  (12mHz)  
 $T=90\text{s}; f=10\text{MHz}; f_B=0.3220\text{Hz}; A=25\text{cm}$



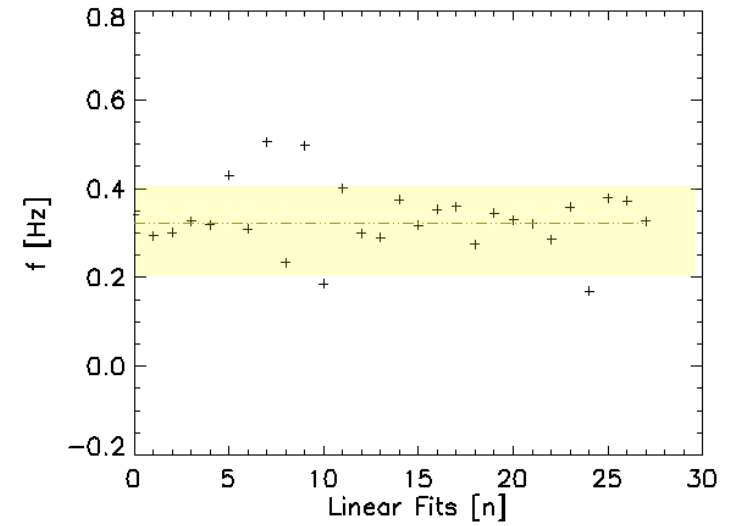
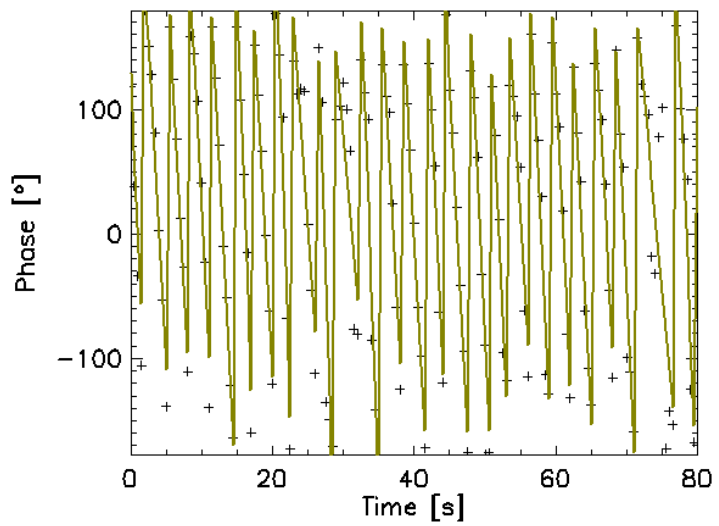
$V_c = +18 \text{ cm/s}$   
 $V_c = 0 \text{ cm/s}$   
 $V_c = -18 \text{ cm/s}$

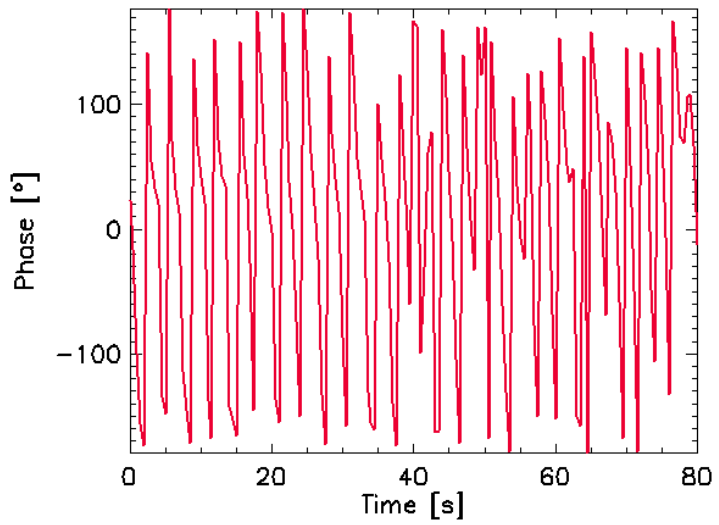


Dennis B. Trizna, *Microwave and  
 HF Multi-Frequency Radars, U.R.S.I. Proceedings 2005*

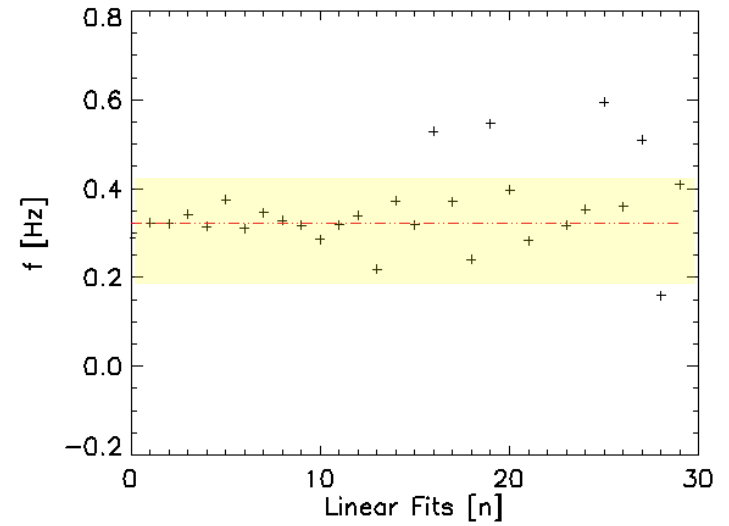
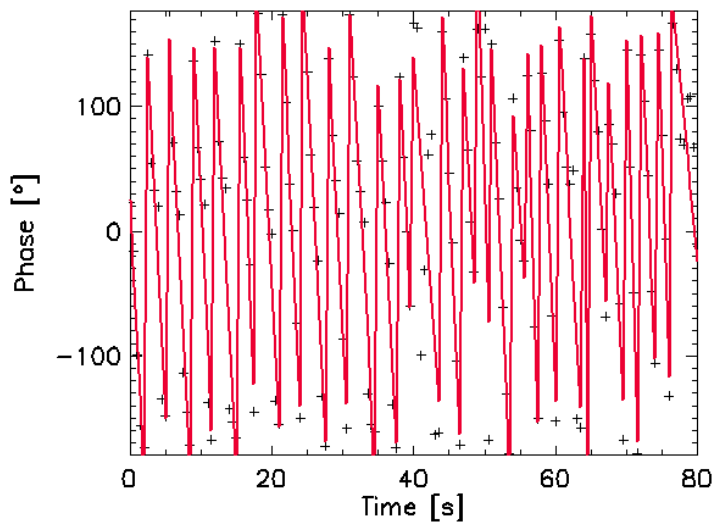


**$V_c = 18\text{cm/s}$  (12mHz)**  
 **$T=90\text{s}$ ;  $f=10\text{MHz}$ ;  $f_B=0.3220\text{Hz}$ ;  $A=25\text{cm}$**

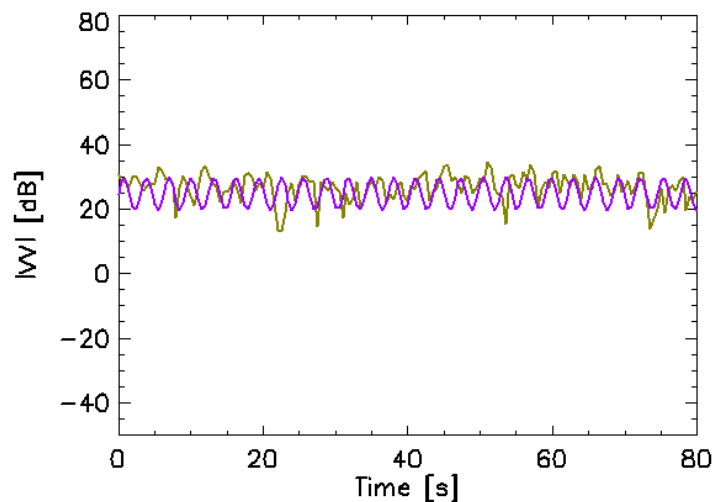




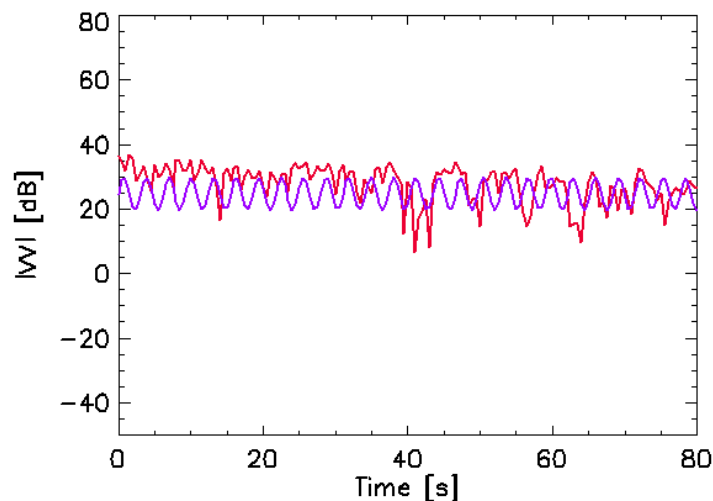
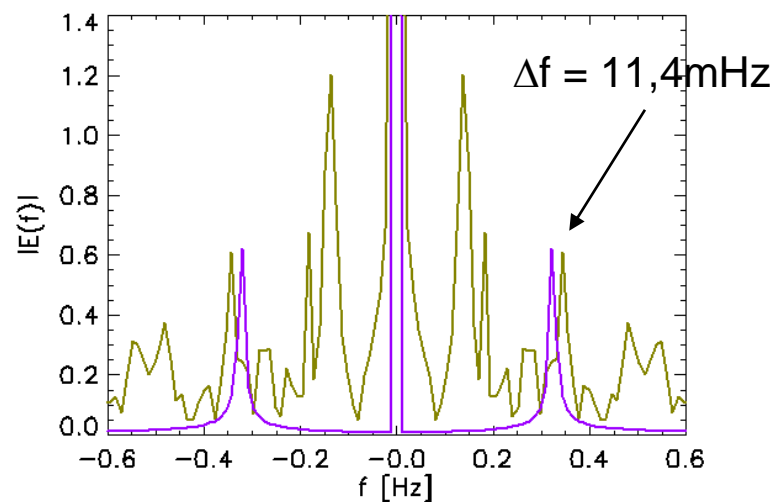
**$V_c = -18\text{cm/s} (-12\text{mHz})$**   
 **$T=90\text{s}; f=10\text{MHz}; f_B=0.3220\text{Hz}; A=25\text{cm}$**



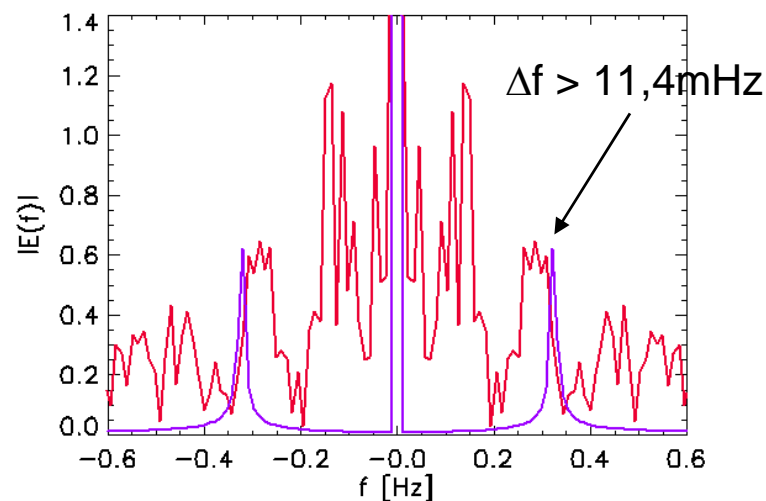
**$V_c = \pm 18 \text{ cm/s}$  (12mHz)**  
 **$T=90\text{s}; f=10\text{MHz}; f_B=0.3220\text{Hz}; A=25\text{cm}$**



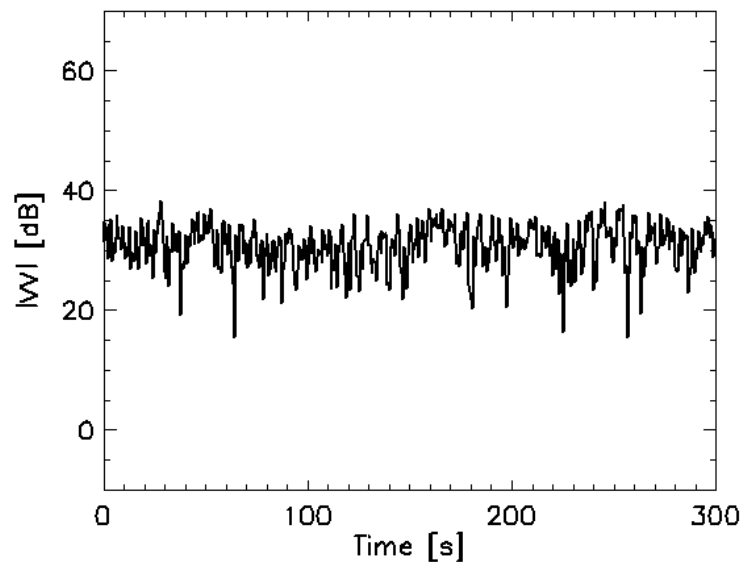
$V_c = 18 \text{ cm/s}$



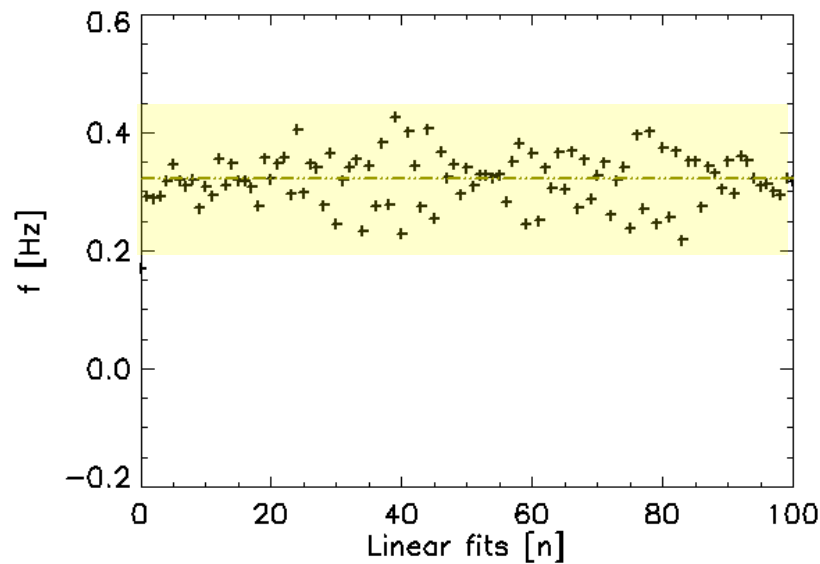
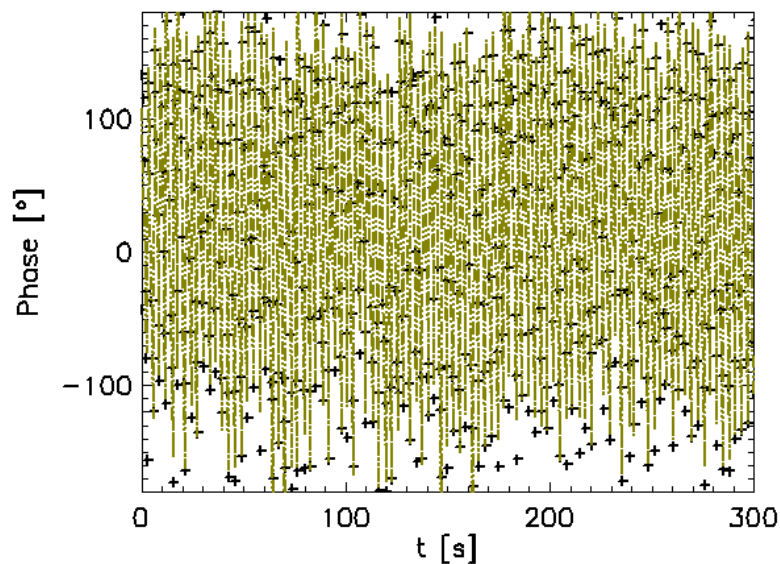
$V_c = -18 \text{ cm/s}$



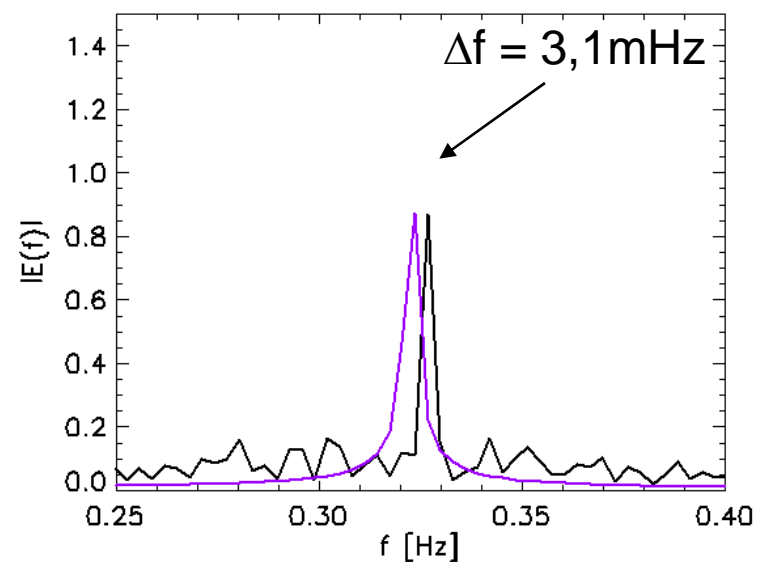
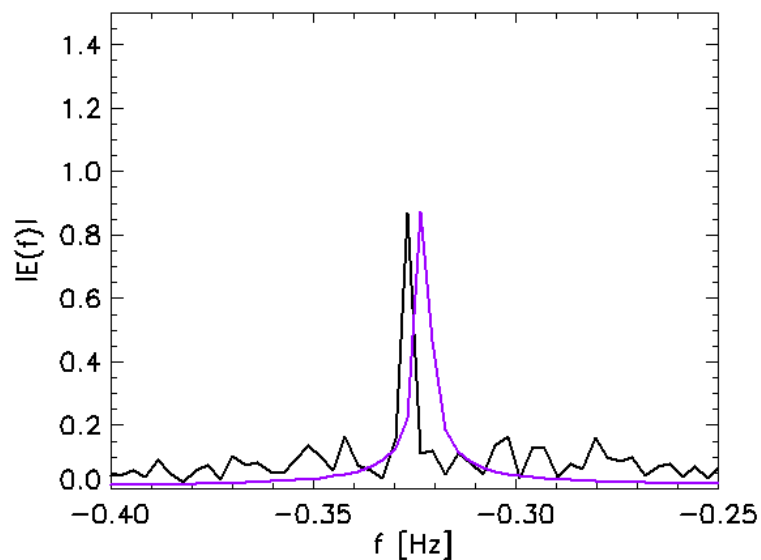
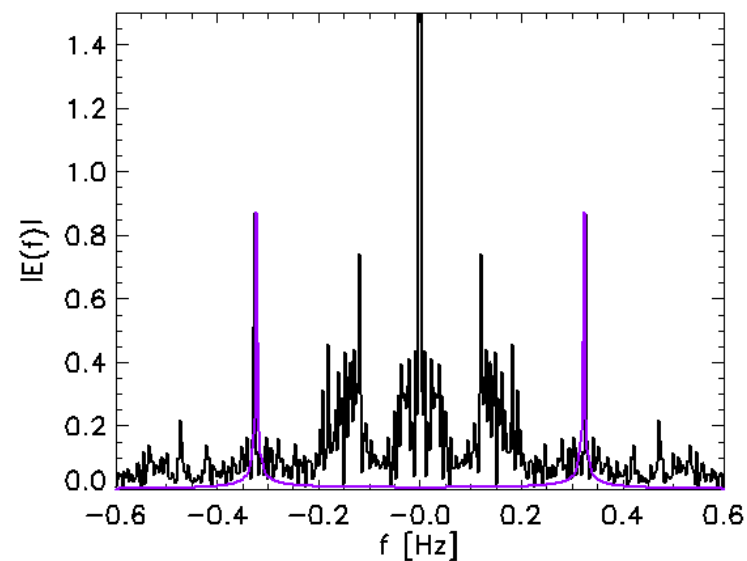
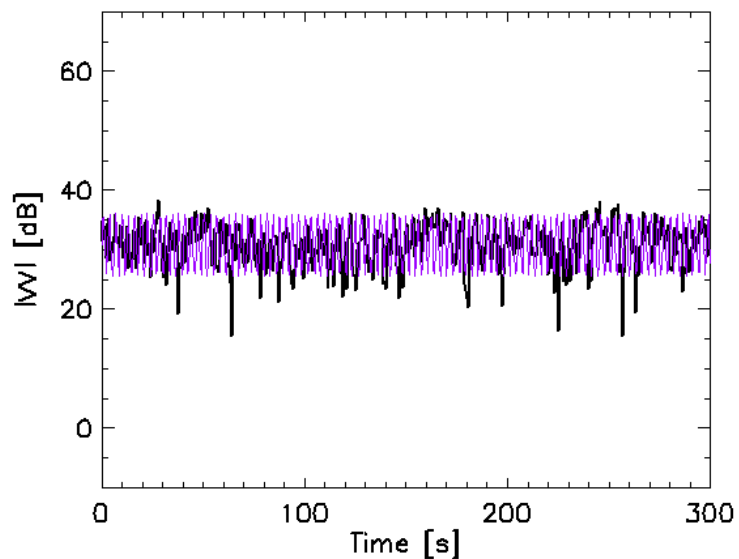




**$V_c = 5.1 \text{ cm/s}$  (3.4 mHz)**  
 **$T=300 \text{ s}$ ;  $f=10 \text{ MHz}$ ;  $f_B=0.3220 \text{ Hz}$ ;  $A=10 \text{ cm}$**



$$V_c = 5.1 \text{ cm/s (3.4 mHz)}$$



## Observation Time

$V_{\text{radial}}$	$f_{\text{Doppler}}$ [mHz] $f_{\text{Radar}}$ : 5MHz ( $\lambda=60\text{m}$ ) 10MHz ( $\lambda=30\text{m}$ )	$T_{\text{min.}}$ [s]	Bathymetry
5 cm/s	1,667 3,333	10min 5min	Indonesia?
10 cm/s	3,333 6,667	5min 2min30s	
20 cm/s	6,667 13,667	2min30s 1min15s	Shelf-edge e.g. Phuket
50 cm/s	16,667 33,333	1min 30s	
100 cm/s	33,333 66,666	30s 15s	

$$\Delta T = \frac{1}{\Delta f}$$

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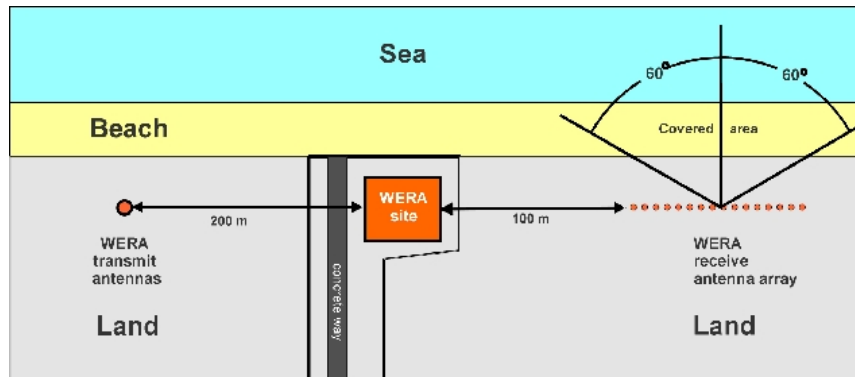
- Ocean Remote Sensing System WERA-Configuration

## • HF-OTHR Radar

## • Conclusions

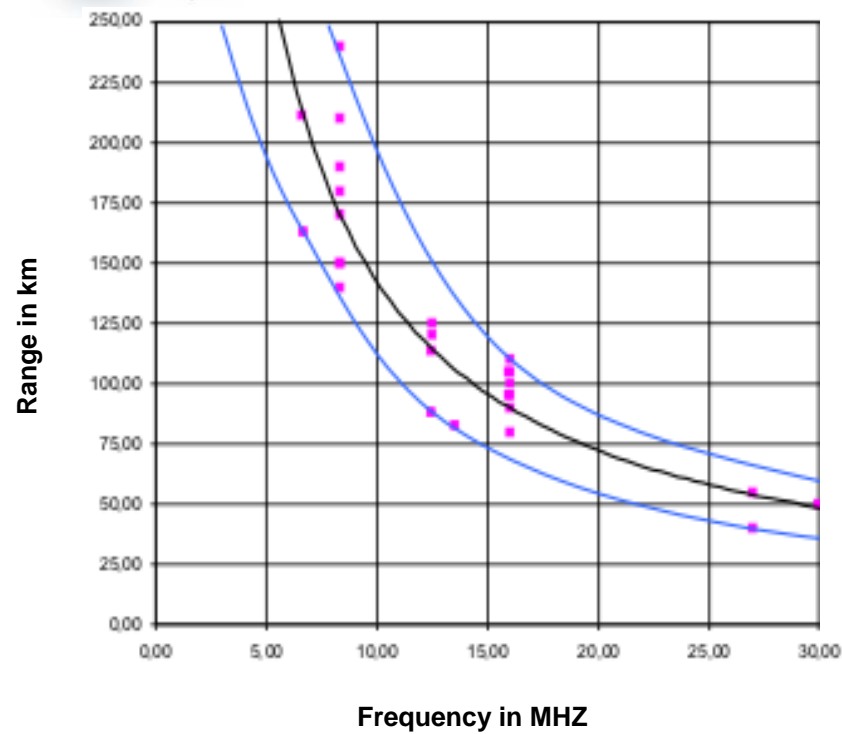


Helzel L.L.C.  
Germany

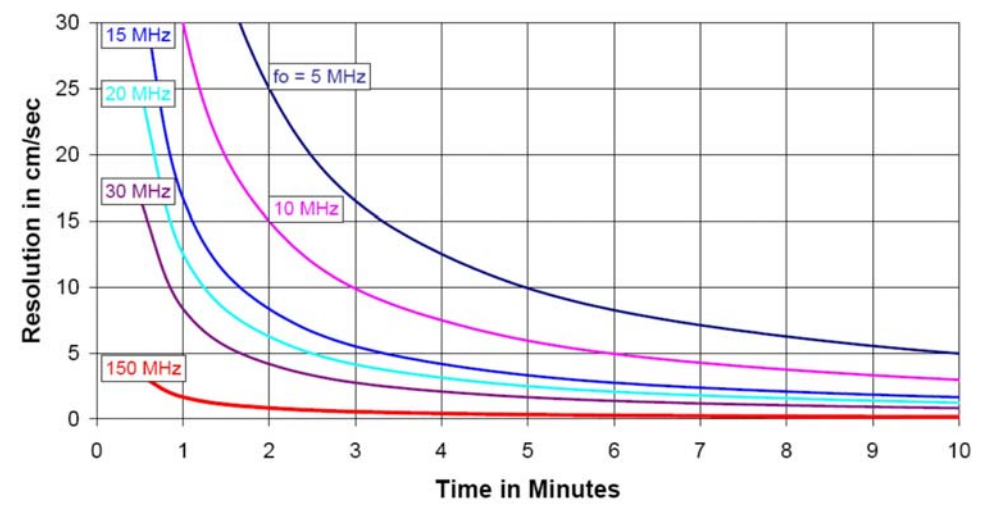


The WERA system (*WavE RAdar*) is a shore-based HF radar to monitor ocean surface currents, waves and wind direction. The system is manufactured by Helzel GmbH. The vertically polarised radiated wave couples to the conductive ocean surface and propagates as a surface wave with a maximum range of about 200 km and a field of view of about 120°. Radar performance depends on site geometry, system configuration and environmental conditions. There is a trade-off between Doppler resolution and Integration time.



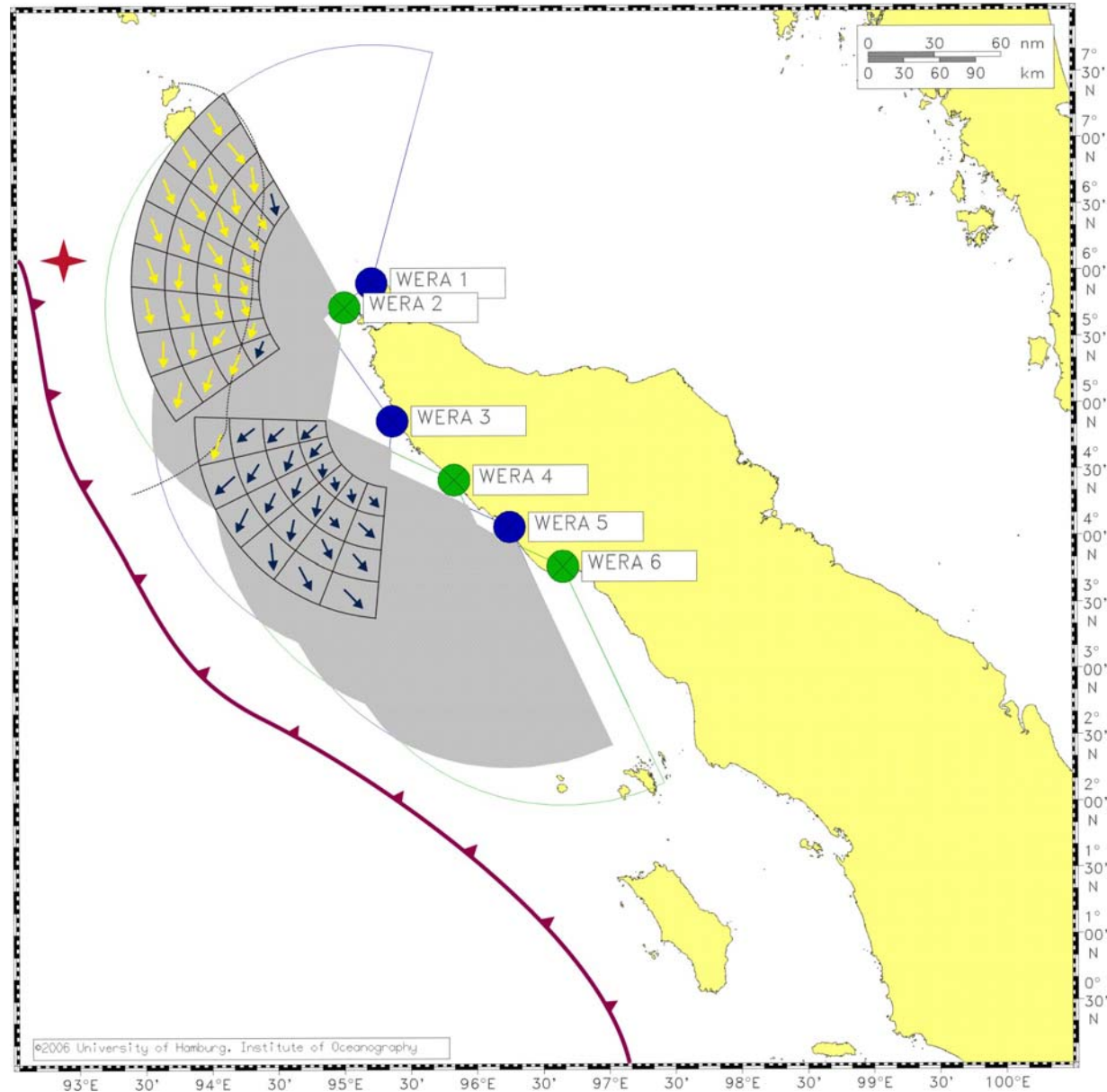


**HF - Radar Resolution of Current Velocity versus Averaging Time**  
@ various centre frequencies



## Possible Configuration (Banda Aceh)

Possible WERA configurations for northern Sumatra. After a hypothetical seaquake (red dot marks the epicenter) the tsunami alters the local surface current field. The tsunami-induced pattern can be observed over time.



## • Introduction

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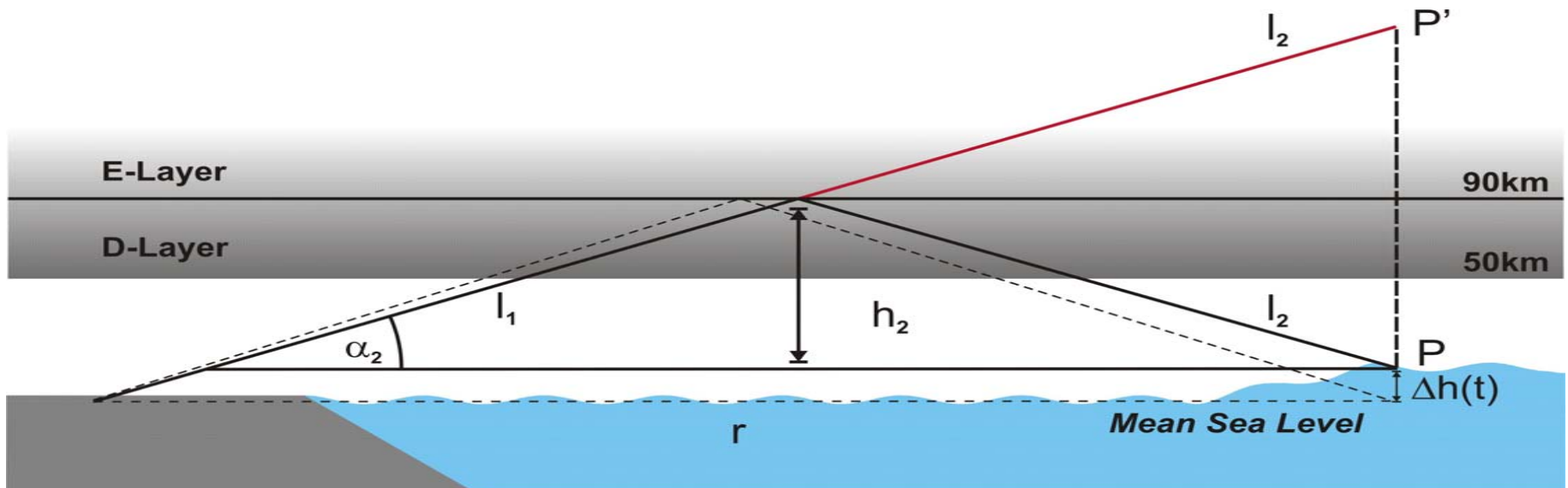
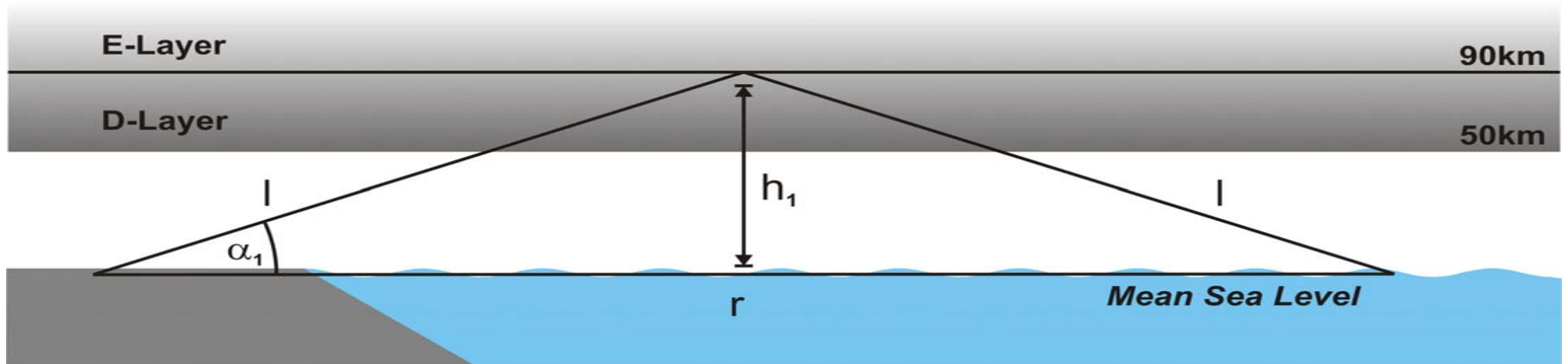
## • Commercial Ground Based System

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## • **HF-OTHR Radar**

## • Conclusions

# HF-OTH Radar



## HF-OTH Radar

$$h_1 = \frac{c_0}{4} t_1 \sin \left[ \cos^{-1} \left( \frac{2r}{c_0 t_1} \right) \right]$$

$$h_2 = \frac{c_0}{4} t_2 \sin \left[ \cos^{-1} \left( \frac{2r}{c_0 t_2} \right) \right]$$

Continuous mapping of the temporal variance of the em mean sea level

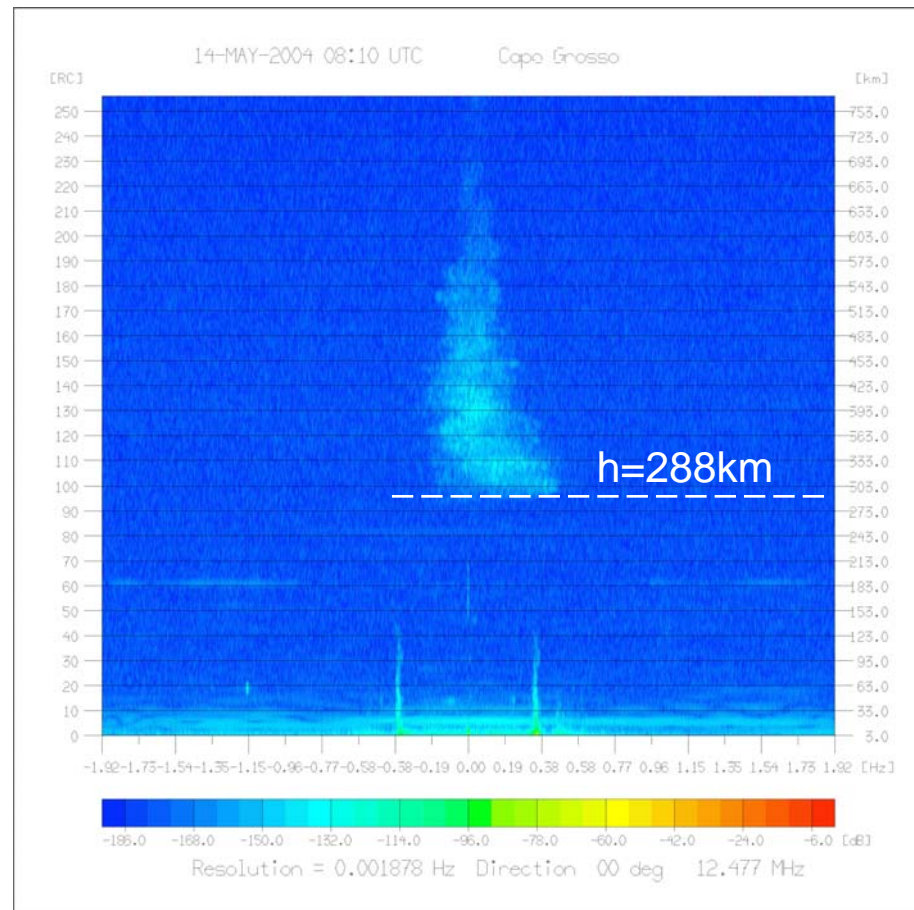
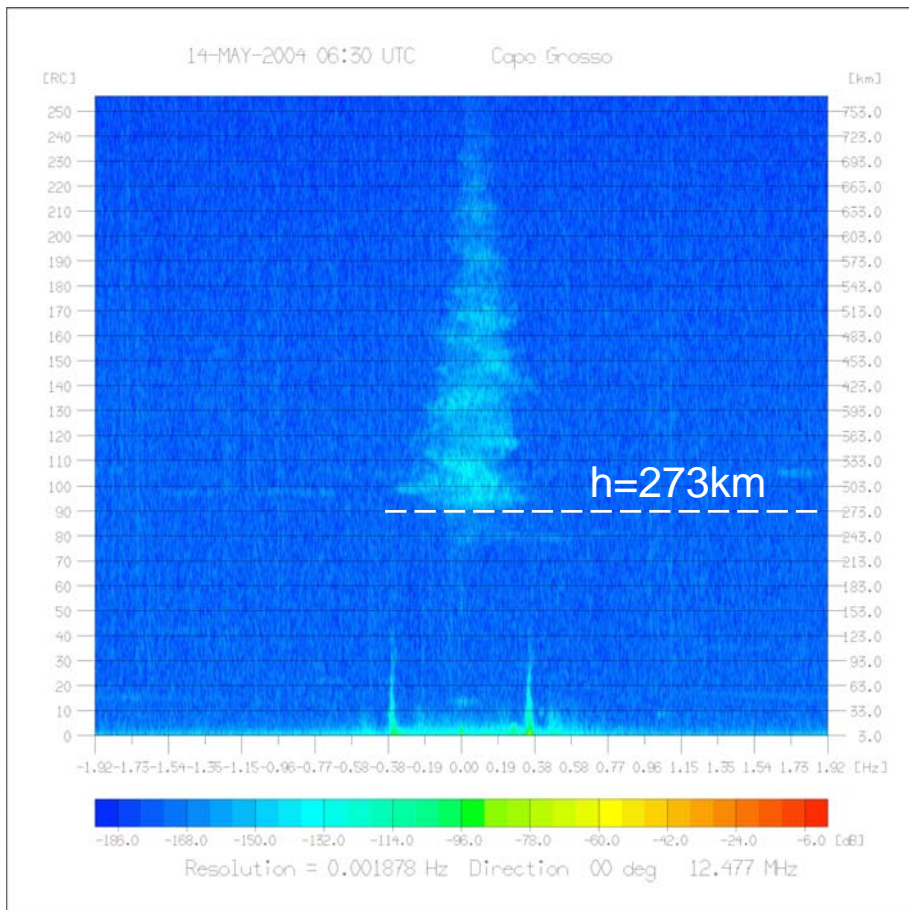
$$\Delta h(t) = h_1 - h_2$$

Problems:

- Sensitive to frequency
- $F_2$  layer instability
- Military frequency range
- ...



# Reflection at the F2-Layer



WERA HF Radar System  
(Capo Grosso-Marina di Camerota)

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# Conclusions

- The used 2D Wave spectra is suitable for HF-Radar signatures according to the PO
- The implemented algorithm computes either approaching or outgoing waves relative to the LOS
- The surface waves couple at the sea water and follow the curvature of the ocean
- The measurement period is reciprocal to the Doppler frequency resolution
- Current field perturbation  $v_c > 10\text{cm/s}$ , where  $T_{\text{tot}} = T_{\text{meas}} + T_{\text{proc}}$ , should be accurately timed. What's about  $v_c < 10\text{cm/s}$ ?
- Current field estimations can be integrated with the buoy system

## Final Remarks

- Combination with other remote sensing data
- The continuously generated current maps assure the reliability of the hardware
- Multipurpose use of such a ground based system  
e.g. WERA (ship tracking, current and wave information)
- **Recommendation: Installation of a basic HF-system and performing in situ measurements**

**Thanks for your attention!**  
**Questions?**



**GITEWS**

**WP 4430 Ground Based Hf-Radar System**

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Microwave and Radar Institute

German Aerospace Center (DLR)