

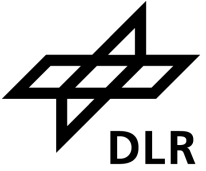
ADAPTIVE OPERATIONAL DESIGN DOMAIN

Institute for AI Safety and Security

13-14 of November 2024, Safetronic

Ryan Mut, Gerald Sauter, Yannick Kees, Frank Köster and Sven Hallerbach





safetronic[®]
13.-14. November 2024, Stuttgart

“
My presentation topic
at Safetronic 2024 is
"Adaptive operational
design Domain".

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German Aerospace Center (DLR)

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Agenda



- **Introduction**
- Terms and Definitions
- Adaptive Operational Design Domain (AODD) and Resilience
- Subsystem
- Demonstration
- Summary



AI Engineering



Providing AI engineers with **robust process models, procedures and tools to accelerate the development** of safe and secure AI-based systems for a wide range of application domains.

Assessment & Test



Supporting engineers to **find vulnerabilities in AI eco-systems** before others will do. This includes all components that influences AI decision making.

Human-in-the-Loop



Focusing on **AI scenarios within different domains** where human interaction and judgement is required and **identifying potential for improvements** in the context of safety and security.



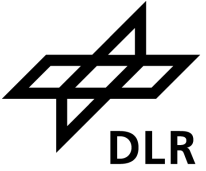
Motivation



- Strategy for system and AI safety e.g., automotive domain
 - Address violations of safety conditions
 - Provide solutions for handling exceptional system behavior
 - Not considered here Minimum Risk Manoeuvre (ISO 23793-1:2024)
 - Increase system availability and reducing interruptions
- Increase the availability and safety of the AI-based system



Agenda



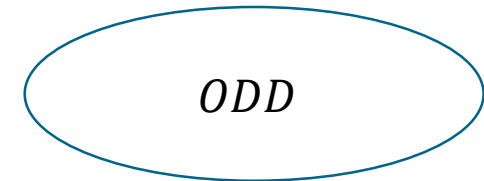
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Operational Design Domain



- Different standards have proposed definitions for an Operational Design Domain (ODD) over the years:
 - ISO 21448:2022 - Safety of the intended functionality
 - SAE J3016 Taxonomy and definitions for Terms Related to Driving Automation Systems for On-Road Motor Vehicles
 - or the UL4600 Evaluation of Autonomous Products



- In SAE J3016 the ODD is defined:

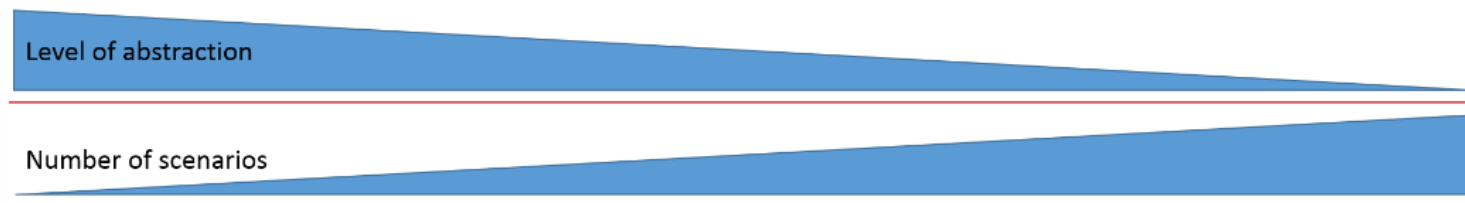
"Operating conditions under which a given driving automation system or feature thereof is specifically designed to function, including, but not limited to, environmental, geographical, and time-of-day restrictions, and/or the requisite presence or absence of certain traffic or roadway characteristics"



Scenario Descriptions



Functional scenarios	Logical scenarios	Concrete scenarios
<u>Base road network:</u> three-lane motorway in a curve, 100 km/h speed limit indicated by traffic signs	<u>Base road network:</u> Lane width [2.3..3.5] m Curve radius [0.6..0.9] km Position traffic sign [0..200] m	<u>Base road network:</u> Lane width [3.2] m Curve radius [0.7] km Position traffic sign [150] m
<u>Stationary objects:</u> -	<u>Stationary objects:</u> -	<u>Stationary objects:</u> -
<u>Moveable objects:</u> Ego vehicle, traffic jam; Interaction: Ego in maneuver „approaching“ on the middle lane, traffic jam moves slowly	<u>Moveable objects:</u> End of traffic jam [10..200] m Traffic jam speed [0..30] km/h Ego distance [50..300] m Ego speed [80..130] km/h	<u>Moveable objects:</u> End of traffic jam 40 m Traffic jam speed 30 km/h Ego distance 200 m Ego speed 100 km/h
<u>Environment:</u> Summer, rain	<u>Environment:</u> Temperature [10..40] °C Droplet size [20..100] µm	<u>Environment:</u> Temperature 20 °C Droplet size 30 µm



PEGASUS, "Scenario Description", 2018. [Online]. Available:
https://www.pegasusprojekt.de/files/tmpl/PDF-Symposium/04_Scenario-Description.pdf. Accessed on: March 03, 2020.

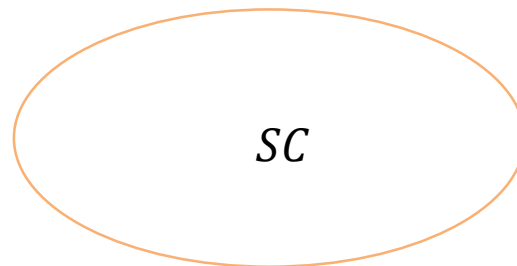


System Capability



- The system capability (SC) is derived from the capabilities of hardware and software e.g., Advanced Driving Systems (ADS).
- Builds on DoDAF and ISO 9000:2015
- **System Capability:**

“The ability to achieve specified performance metrics within a specific operating environment or condition”





Set of Scenarios determine SC and ODD



<u>Logical scenarios</u>	
<u>Base road network:</u>	
Lane width	[2.3..3.5] m
Curve radius	[0.6..0.9] km
Position traffic sign	[0..200] m
<u>Stationary objects:</u>	
-	
<u>Moveable objects:</u>	
End of traffic jam	[10..200] m
Traffic jam speed	[0..30] km/h
Ego distance	[50..300] m
Ego speed	[80..130] km/h
<u>Environment:</u>	
Temperature	[10..40] °C
Droplet size	[20..100] μm

SC

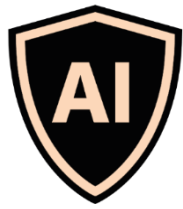
Braking force [0 kN .. 3 kN]

PEGASUS, "Scenario Description", 2018. [Online]. Available: https://www.pegasusprojekt.de/files/tmpl/PDF-Symposium/04_Scenario-Description.pdf. Accessed on: March 03, 2020.

<u>Logical scenarios</u>	
<u>Base road network:</u>	
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Position traffic sign	[0..200] m
<u>Stationary objects:</u>	
-	
<u>Moveable objects:</u>	
End of traffic jam	[10..200] m
Traffic jam speed	[0..30] km/h
Ego distance	[50..300] m
Ego speed	[80..130] km/h
<u>Environment:</u>	
Temperature	[10..40] °C
Droplet size	[20..100] μm

ODD

Braking force [0 kN .. 2 kN]



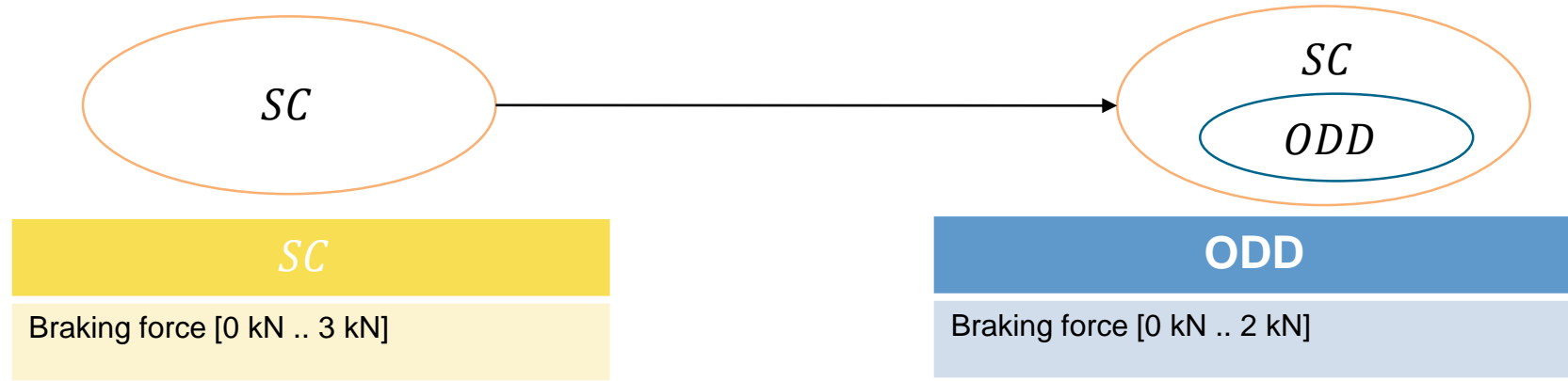
SC and ODD



- The SC is a set of safe scenarios for which the ADS is functioning safely.
- The safe functionality is determined by the developer of ADS.
- The ODD defines a set of safe scenarios and is controlled by a third party.

Logical scenarios	
Base road network:	
Lane width	[2.3..3.5] m
Curve radius	[0.6..0.9] km
Position traffic sign	[0..200] m
Stationary objects:	
-	
Moveable objects:	
End of traffic jam	[10..200] m
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Environment:	
Temperature	[10..40] °C
Droplet size	[20..100] µm

System Capability (SC)



Logical scenarios	
Base road network:	
Lane width	[2.3..3.5] m
Curve radius	[0.6..0.9] km
Position traffic sign	[0..200] m
Stationary objects:	
-	
Moveable objects:	
End of traffic jam	[10..200] m
Traffic jam speed	[0..30] km/h
Ego distance	[50..300] m
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Environment:	
Temperature	[10..40] °C
Droplet size	[20..100] µm

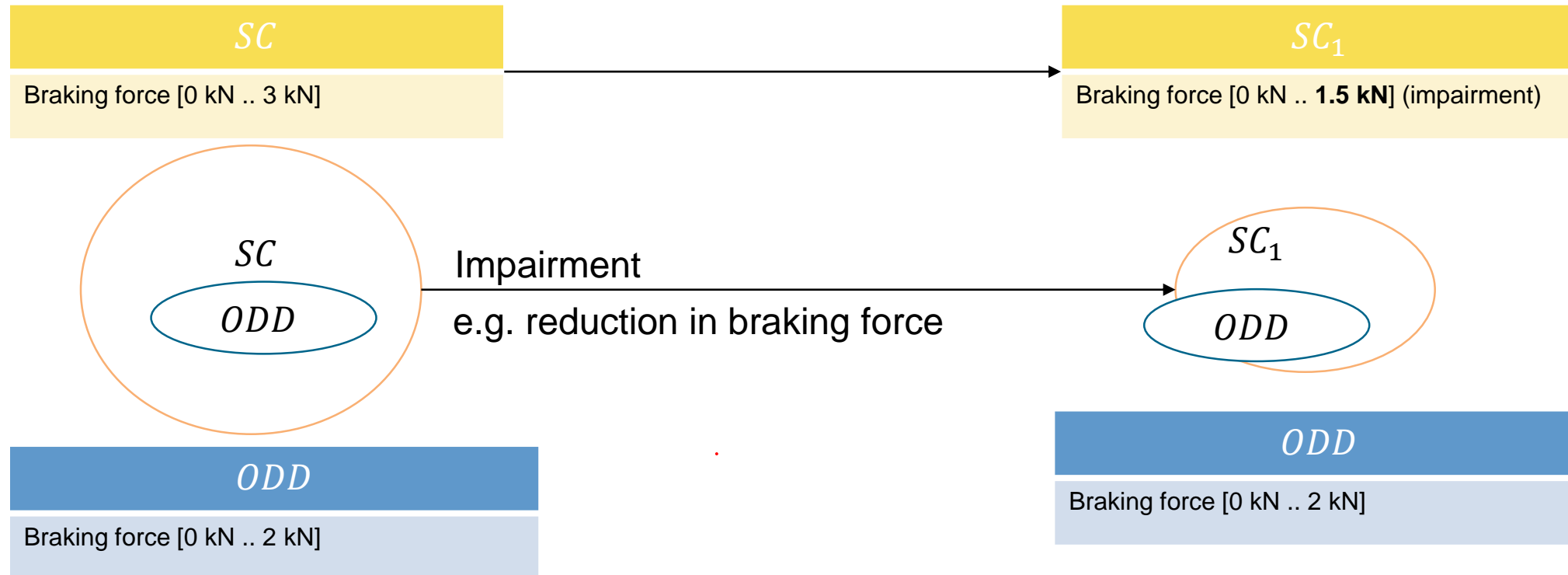
PEGASUS, "Scenario Description", 2018. [Online]. Available: https://www.pegasusprojekt.de/files/tmpl/PDF-Symposium/04_Scenario-Description.pdf. Accessed on: March 03, 2020.

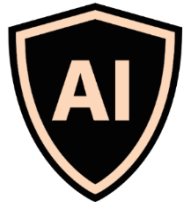


Impairment: Operational Design Domain



- System impairments reduce the range of safe Logical Scenarios for the ADS





Agenda



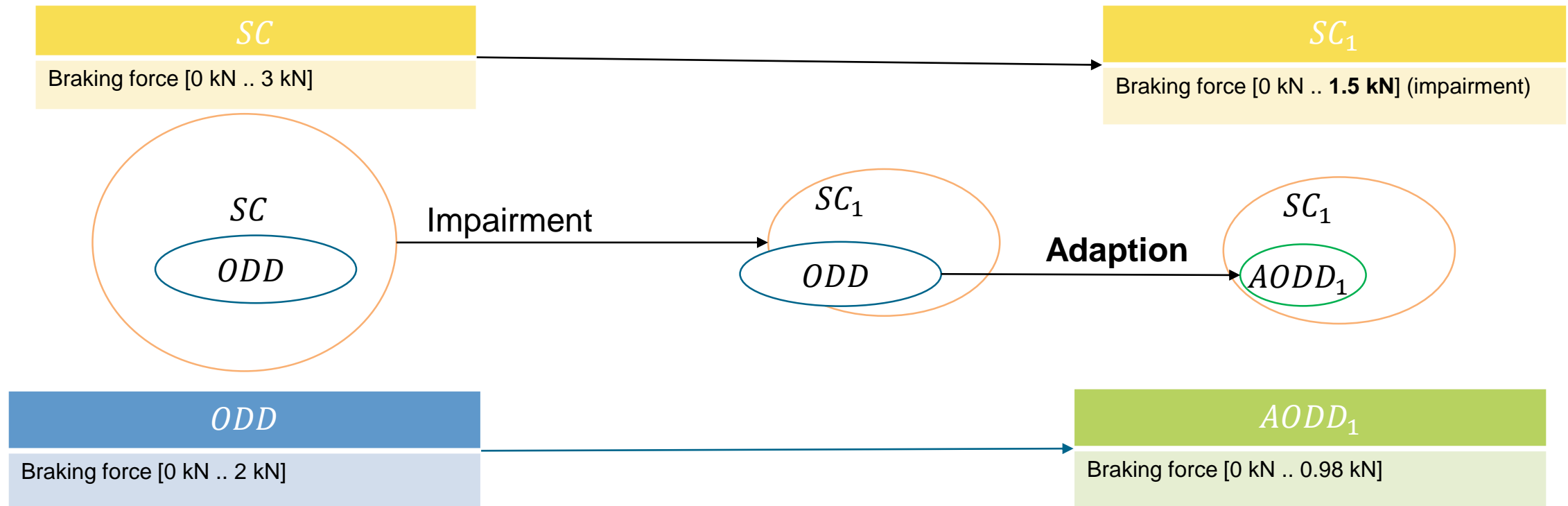
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Impairment: Adaptive Operational Design Domain (AODD)



- The Adaptive Operational Design is a reduced set of safe Logical Scenarios of the Operational Design Domain, due to the reduction of System Capability.

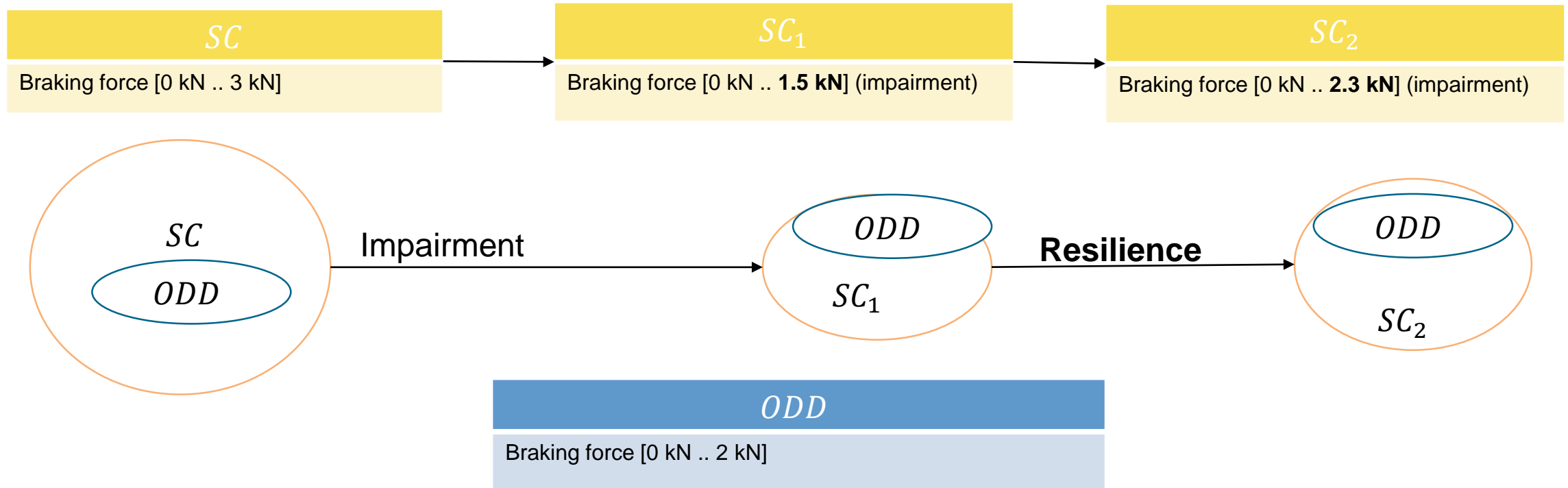




Impairment: Deriving Resilience

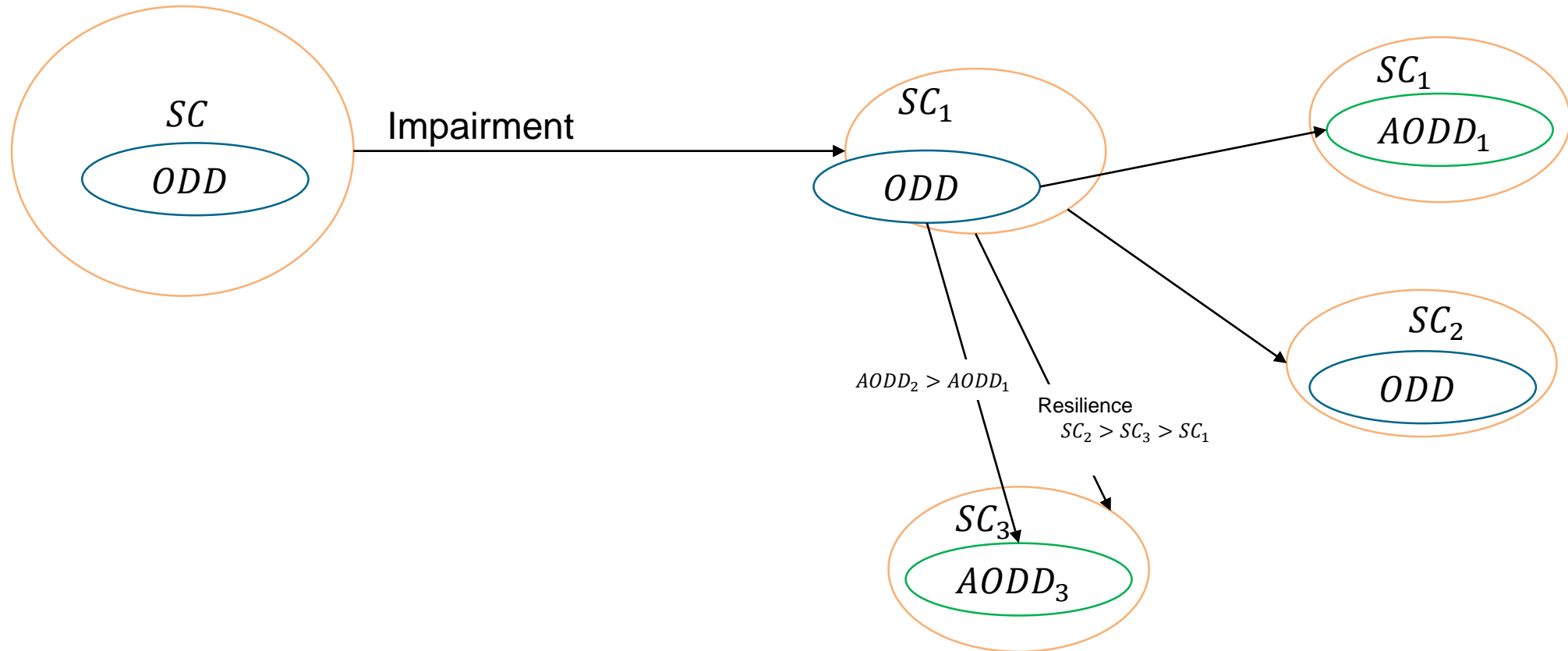


- Resilience: System impairment does not reduce the range of safe Logical Scenarios for ADS



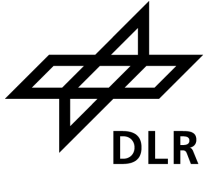


Impairment: Adaptive Operational Design Domain and Resilience





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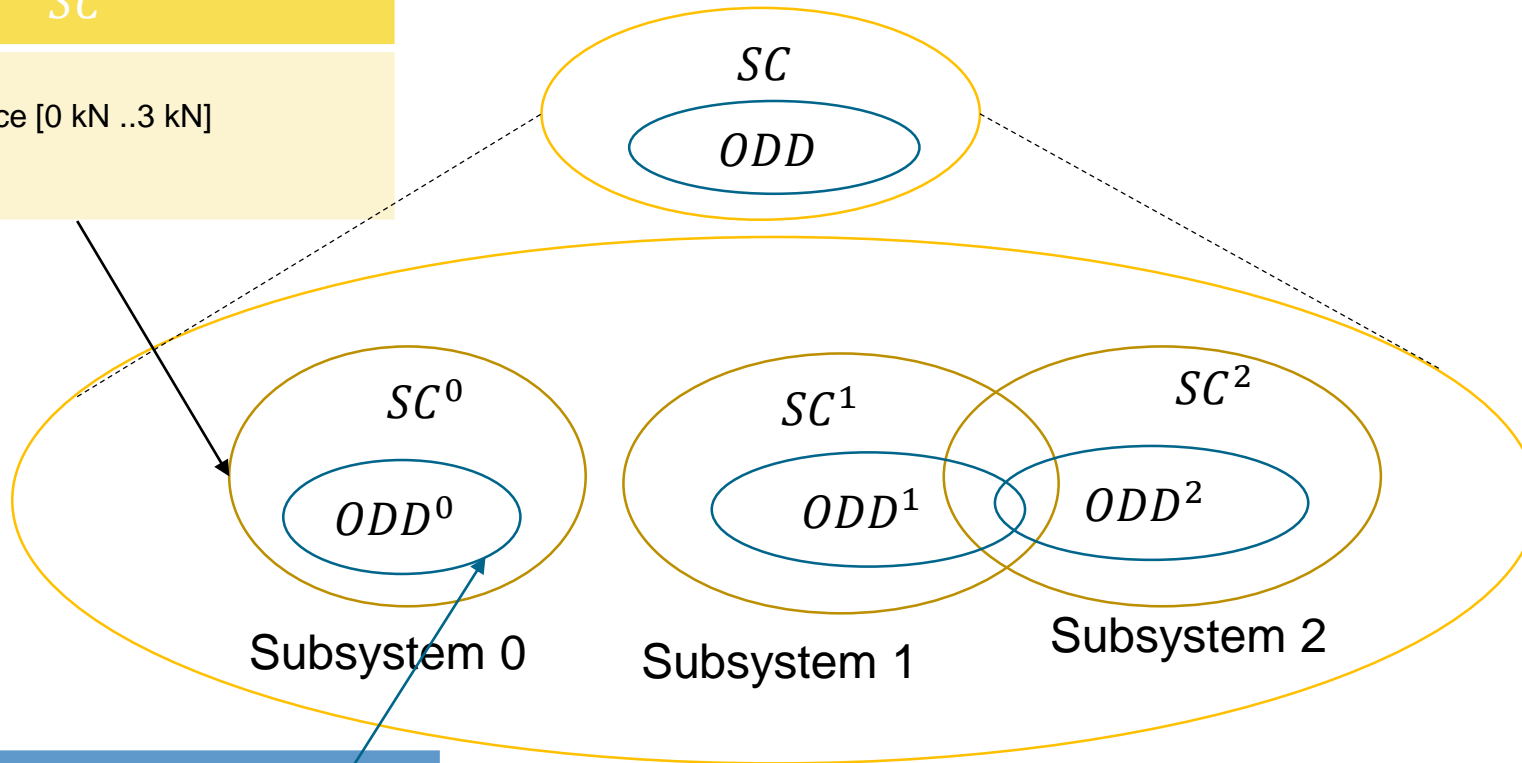


System Capability, ODD and Subsystem



SC

Subsystem:
 SC^1 Braking force [0 kN ..3 kN]
 Subsystem:



ODD

Subsystem:
 ODD^1 Braking force [0 kN .. 2 kN]
 Subsystem:

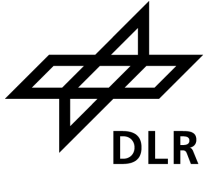
Logical scenarios	
Base road network:	
Lane width	[2.3..3.5] m
Curve radius	[0.6..0.9] km
Position traffic sign	[0..200] m
Stationary objects:	
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Logical scenarios	
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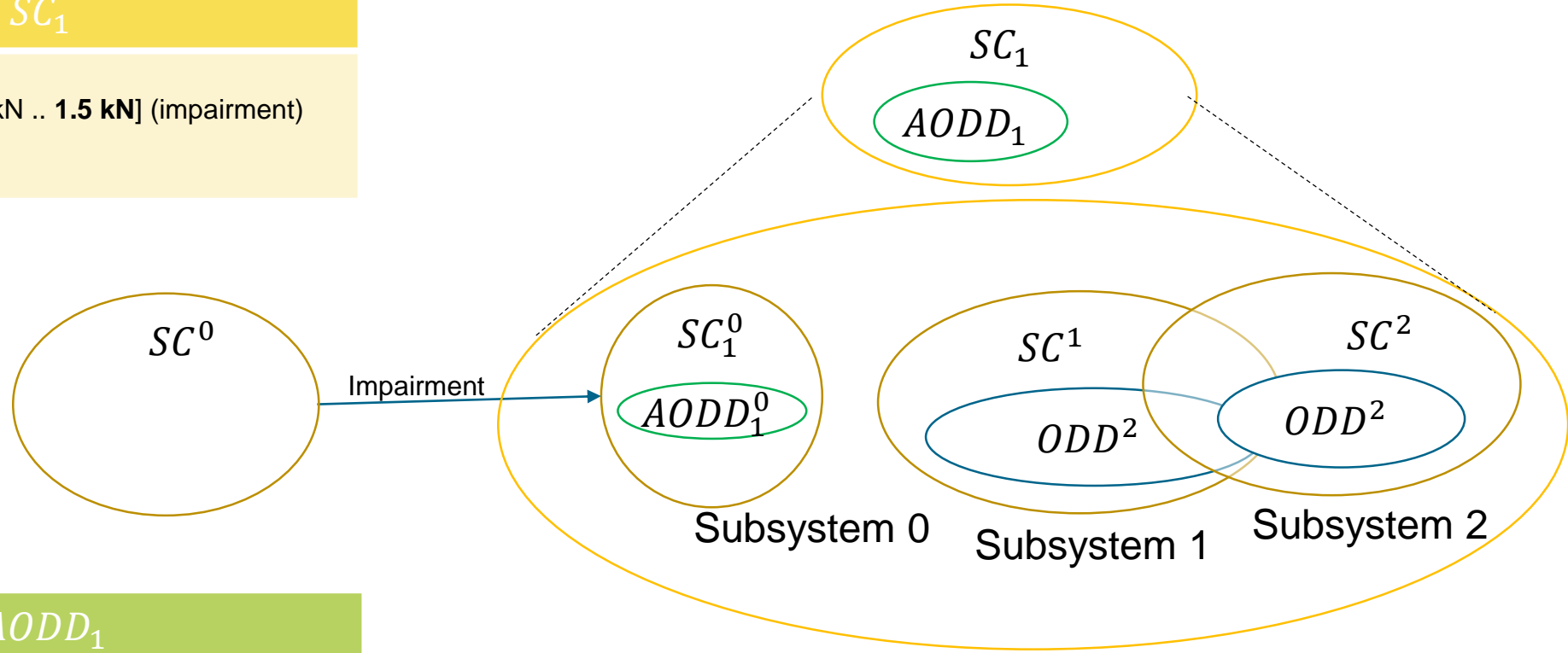


System Capability, AODD and Subsystem



SC_1

Subsystem:
 SC_1^0 Braking force [0 kN .. 1.5 kN] (impairment)
 Subsystem:



$AODD_1$

Subsystem:
 $AODD_1^0$ Braking force [0 kN .. 0.98 kN]
 Subsystem:

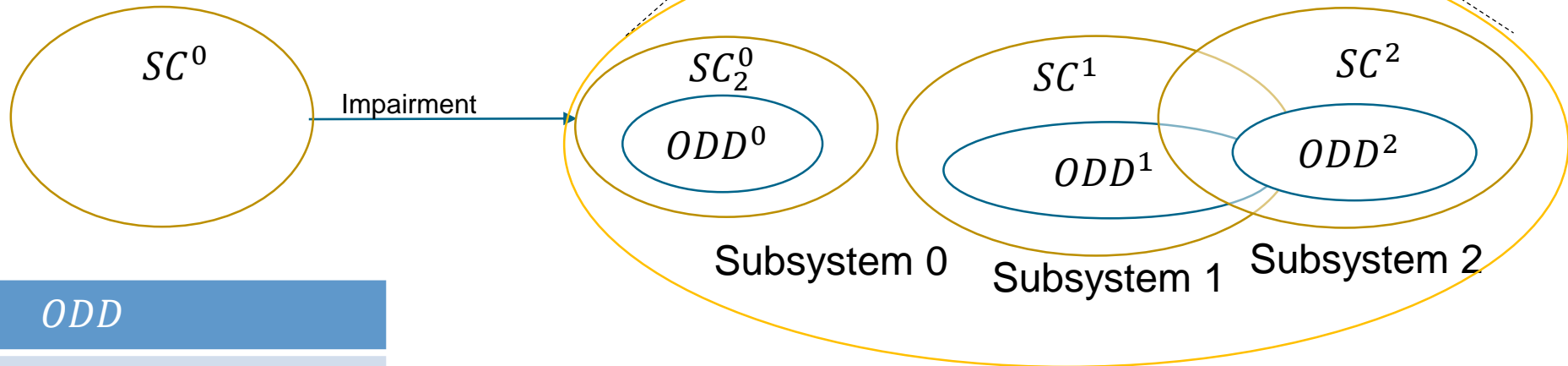


Resilience and Subsystem



SC_2

Subsystem:
 SC_2^0 Braking force [0 kN .. 2.3 kN] (impairment)
Subsystem:
....



ODD

Subsystem:
 ODD^0 Braking force [0 kN .. 2 kN]
Subsystem:
....



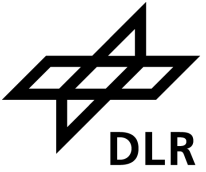
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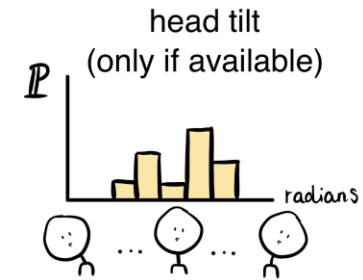
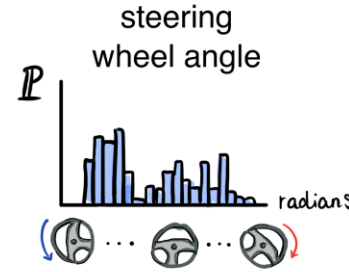
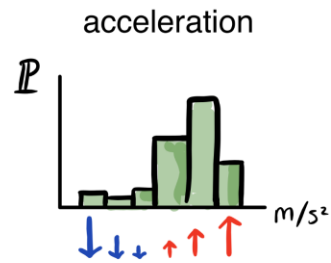
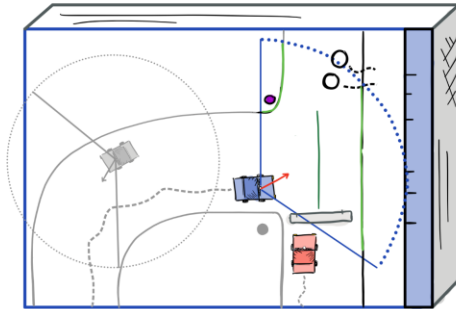
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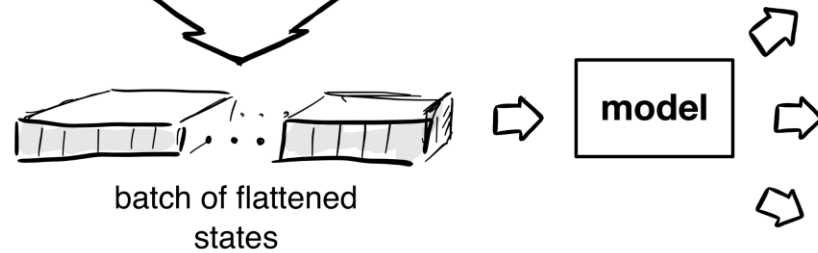
Demonstration of an Impairment Nocturne



visible states + ego state

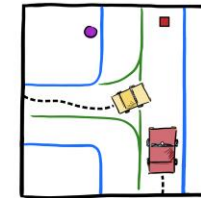


distributions over actions

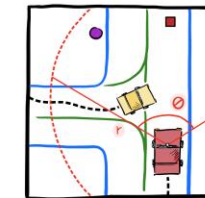


<https://github.com/daphnecor/nocturne>

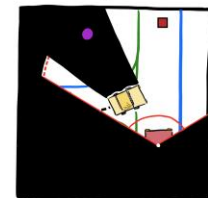
Snapshot of a traffic scene



Set cone angle and radius



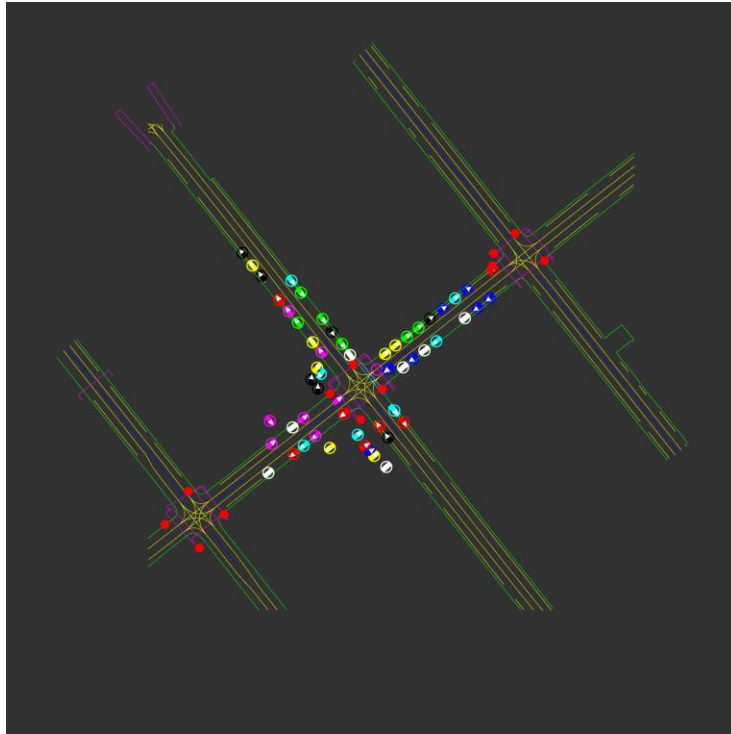
Construct observation of ego driver



- road points
 - road edge
 - lane line
 - stop sign
 - goal position
- road objects
 - ego vehicle
 - vehicle



Demonstration: Traffic scenario within Nocturne

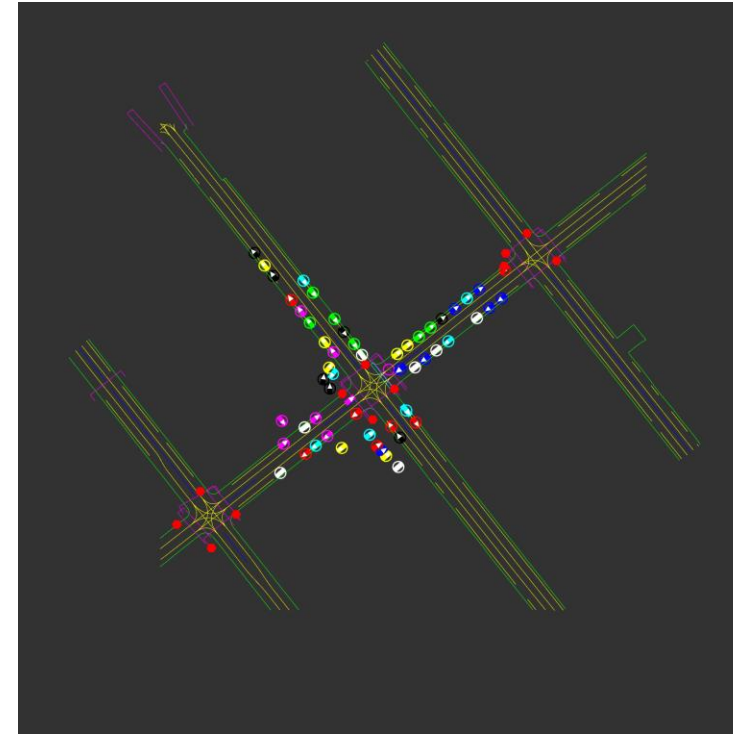


Real Driven Scenario

<https://github.com/daphnecor/nocturne>

Evaluation:

'goal_achieved': True,
'collided': False
'veh_veh_collision': False,
'veh_edge_collision': False

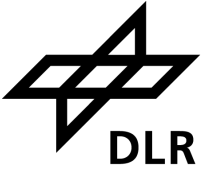


AI driven Scenario

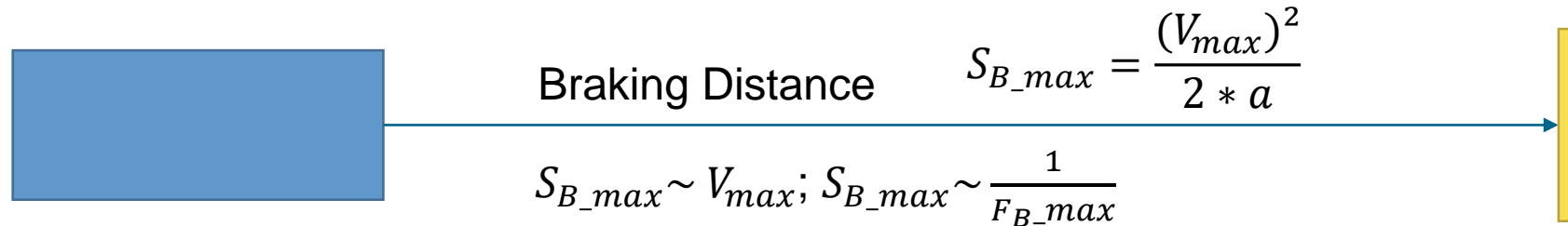
'goal_achieved': True,
'collided': False,
'veh_veh_collision': False,
'veh_edge_collision': False



Safety Justification: Exceptional Behavior for Impaired system



- Impaired Braking system → Reduced maximum Speed



$S_{B_max} \rightarrow Cost.$

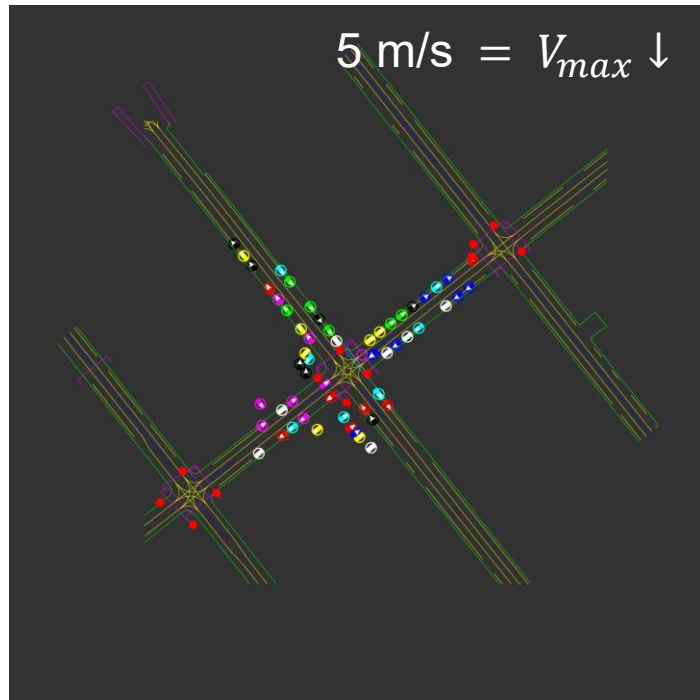
- The reduction of maximum Speed is proportional to the loss of braking force.
The reduced maximum Speed $V_{max} \downarrow$.



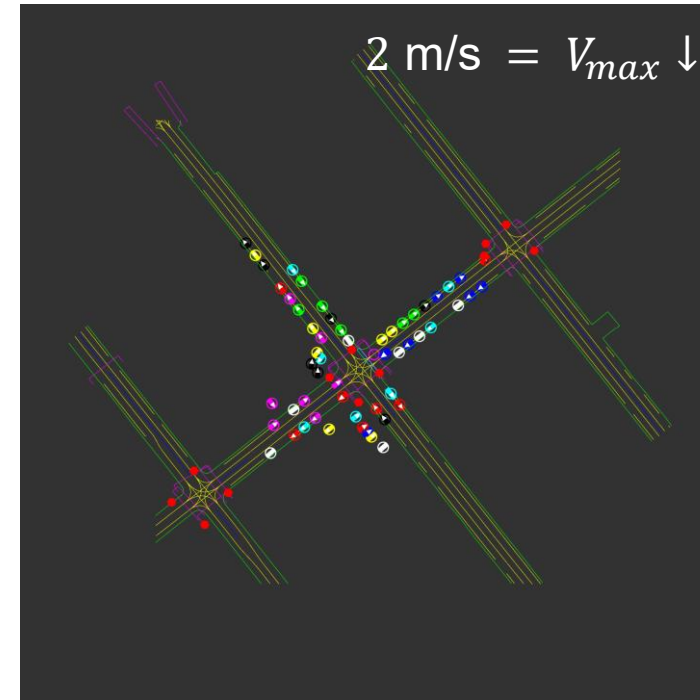
Demonstration: Impaired system with an applied AODD



- Impaired Braking system → Reduced maximum Speed



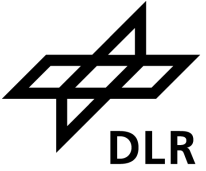
Evaluation: 'goal_achieved': True,
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'goal_achieved': **False**,
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Summary



- Strategy for impaired systems to handle exceptional conditions
 - SC and ODD for Automated Driving Systems (ADS)
 - Impaired system and AODD
 - Impaired system and Resilience
 - Dependencies between SC, ODD, Resilience and AODD
- AODD and Resilience increase the availability of the system



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