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# Chemical heat derived from rocket-borne WADIS-2 experiment

Mykhaylo Grygalashvyly<sup>1\*</sup> , Boris Strelnikov<sup>1</sup>, Irina Strelnikova<sup>1</sup>, Markus Rapp<sup>2</sup>, Franz-Josef Lübken<sup>1</sup>, Corinna Schütt<sup>1</sup>, Claudia Stephan<sup>1</sup>, Martin Eberhart<sup>3</sup>, Stefan Löhle<sup>3</sup> and Stefanos Fasoulas<sup>3</sup>

## Abstract

Chemical heating rates were derived from three of the most significant reactions based on the analysis of common volume rocket-borne measurements of temperature, atomic oxygen densities, and neutral air densities. This is one of the first instances of the retrieval of nighttime chemical heat through the utilization of non-emissive observations of atomic oxygen concentrations, obtained through in situ measurements, performed at the Andøya Space Center (69°N, 16°E) at 01:44:00 UTC on 5 March 2015. Furthermore, we determine the heating efficiency for one of the most significant reactions of atomic hydrogen with ozone and illustrate the methodology for such calculations based on known atomic oxygen and temperature. Subsequently, using ozone values obtained from satellite observations, we retrieved odd-hydrogens and total chemical heat. Finally, we compared the retrieved chemical heat with the heat from turbulent energy dissipation. Our findings reveal that the vertically averaged chemical heat is greater than the heat from turbulent energy dissipation throughout the entire mesopause region during nocturnal conditions. The heating rates of turbulent energy dissipation may exceed the chemical heating rates only in narrow peaks, several hundred meters wide.

**Keywords** Mesopause, Mesosphere–low thermosphere (MLT), Chemical heating rates, Turbulent energy dissipation

\*Correspondence:

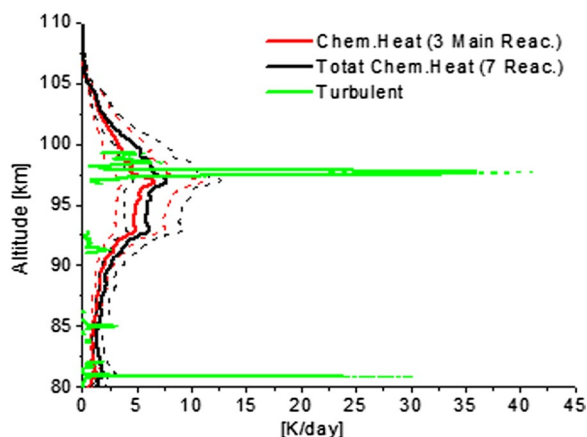
Mykhaylo Grygalashvyly  
gryga@iap-kborn.de

Full list of author information is available at the end of the article



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## Graphical Abstract



## 1 Introduction

In the mesopause, space meets the atmosphere, therefore, the energy balance of this region is very complex, as some of the energy comes from above, some from below and some is generated internally. However, the information about energy balance is fundamental to understand the processes occurring in this region. The energy balance is linked to the dynamics and turbulence of this region, which affect the mixing of minor chemical constituents that participate in radiative processes, leading to the organization of complex nonlinear feedbacks between the dynamical, chemical, and radiative subsystems and determining the interaction between the upper and middle atmosphere (e.g., Ward and Fomichev 1993, 1996). Nevertheless, there are still many open questions related to the energy budget in the mesopause region. One of the essential sources of energy in the mesopause is chemical heat released from exothermic reactions.

Kellogg (1961) was one of the first to state that the chemical heat due to exothermic reactions is the important source of energy in the upper mesosphere–lower thermosphere region. Ten years after, the chemical heating rates (CHR) have been investigated by one-dimensional models (Crutzen 1971; Hunt 1972). Following them, the importance of exothermic chemical reactions in the middle atmosphere budget has been noted by many researchers, and have been studied based on observations and modeling (e.g., Garcia and Solomon 1983; Brasseur and Offermann 1986; Mlynczak and Solomon 1991, 1993; Meriwether and Mlynczak 1995). Mlynczak and Solomon (1991, 1993) used distributions of minor chemical constituents from two-dimensional model (Garcia and Solomon 1983, 1985) for the investigations

of the CHR and evaluation of the heating efficiency. They found that in mesopause region the effect of total chemical heat (due to main exothermic chemical reactions) may exceed the sum of direct absorption of solar radiation by ozone and molecular oxygen. Results obtained by Meriwether and Mlynczak (1995) to mean that the chemical heat may contribute to the formation of the mesosphere inversion layer (MIL), which was firstly found by Schmidlin (1976) and later on investigated by other authors (e.g., Hauchecorne et al. 1987; She et al. 1993; Ramesh et al. 2013, 2014; and references therein). Nevertheless, Szweczyk et al. (2013) showed that the heat of dissipation of turbulent energy, or other words turbulent heating rates (THR), would be sufficient to form such a layer.

The seasonal, diurnal and latitudinal variations of the minor chemical constituents concentrations lead to variations of the CHR. Thus, three-dimensional modeling is therefore desirable to obtain solid results. The three-dimensional calculations of the CHR were published by Sonnemann et al. (1997, 1998). Following Mlynczak and Solomon (1991) they confirmed that, among all exothermic chemical reactions, three of them,  $O+O+M$ ,  $O+O_2+M$ , and  $H+O_3$ , are the major reactions for chemical heat production.

Riese et al. (1994a, b) have noted that the dynamical effects may essentially influence atomic oxygen concentrations (which have a long enough photochemical lifetime to be transported in mesopause) and consequently CHR. The impact of the diurnal tides on CHR was studied by 3D modeling (Smith et al. 2003). Based on one-dimensional models, the effect of gravity waves (GWs) on CHR has been investigated in a number of works (Hickey and Walterscheid 1994; Xu et al. 2000; Hickey

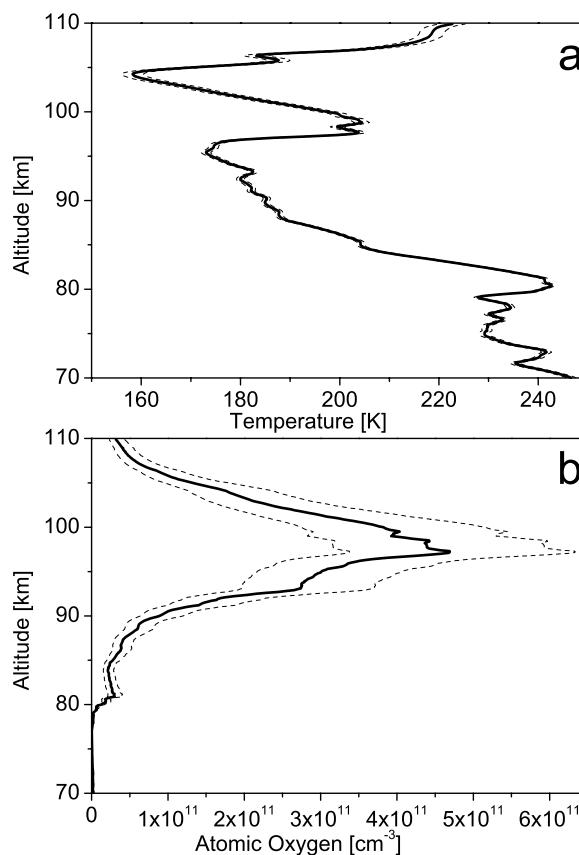
et al. 2003). While Hickey and Walterscheid (1994) and Xu et al. (2000) found a decrease in CHR, the calculations of Hickey et al. (2003) showed a positive effect. The latter have argued that this is due to the presence of time dependence and non-linear chemistry in their calculations. The effect of realistic (not parameterized) GWs on the CHR due to wave mixing of minor chemical constituents based on 3D calculations with nonlinear chemistry, among other problems, was investigated in the works by Grygalashvyly et al. (2011, 2012). They found that the effect in mesopause could be positive as well as negative depending on altitude (positive below  $\sim 85$  to 90 km and negative above), latitudes, and seasons.

Besides modeling CHR was investigated based on satellite observations. One of the first who used satellite observations of solar mesosphere explorer (SME) to calculate CHR rates were Riese et al. (1994a, 1994b). They found larger values (approx. two times) than those predicted by modeling (e.g., Mlynczak and Solomon 1993). Based on data obtained by the sounding of atmosphere by broadband radiometry (SABER) instrument onboard of thermosphere ionosphere mesosphere energetics and dynamics (TIMED) satellite (Mlynczak 1997; Mlynczak et al. 2013a; Esplin et al. 2023), Ramesh et al. (2013) concluded that the chemical heat is a primary factor for mesospheric inversion layer formation in some cases, nevertheless, the dynamical reasons may dominate over the chemical heat too. The authors also found that in the region 80–90 km, the reaction of ozone with atomic hydrogen dominates over other exothermic chemical reactions. Later on, the tripled MIL has been found in light detection and ranging (LIDAR) temperature observations over Gadanki (13.5°N; 79°E) in India (Ramesh et al. 2014). An analysis using the SABER data revealed that the lowest peak at 70 km occurs because of planetary waves dissipation, the middle one at 80 km is the result of GWs breaking and subsequent turbulent dissipation, whereas the peak above 90 km is formed because of chemical heat, mainly from the reaction of atomic oxygen recombination, with values of energy release up to  $\sim 45$  K per day. Ramesh et al. (2015) by calculations based on SABER data found very large total CHR at low latitudes (10°N–15°N) with values of  $\sim 15$  to 20 K/day below 95 km and up to  $\sim 100$  K/day above 95 km. The authors found that the primary reaction in the region under investigation is the reaction of atomic oxygen recombination. The reaction of ozone with atomic hydrogen essentially contributes to total CHR as the secondary source below 95 km. Also, the authors found that the 11-year variation in solar activity has an impact on CHR. Later it was shown that the nighttime values of total CHR are larger than those of daytime at low latitudes and CHR has strong seasonal variability, which is different for night

and day (Ramesh et al. 2017). Partially, among other topics, CHR has been touched on in a number of works, which are based on SABER observations, for example, to derive energetic constraints on atomic oxygen concentrations for global annual mean values (Mlynczak et al. 2007, 2013b, 2013c, 2018).

Another important source of the heat budget of mesopause is turbulence (e.g., von Zahn et al. 1990). A very wide range of values of THR near mesopause (from several up to more than 40 K/day) can be found in the literature (e.g., Justus 1967; Chandra 1980; Gordiets et al. 1982; Hocking 1990). The most precise and detailed measurements of the turbulence field in the MLT are made using ionization gauges onboard sounding rockets, as it was shown by Strelnikov et al. (2023). Using this technique, it was found in a series of rocket-borne observations that at high latitudes in mesopause the THR have strong seasonality with values  $\sim 10$  to 20 K/day in summer and  $\sim 1$ –2 K/day in winter (Lübken et al. 1993, 2002; Lübken 1997).

These very diverse values lead to very different conclusions on the relative importance of exothermic CHR and THR in the energetic balance of mesopause. Up to now,



**Fig. 1** Measurements of **a** temperature (CONE), **b** atomic oxygen concentration (FIPEX). The *dashed lines* represent an uncertainty

there is no unambiguous opinion of what prevails in the mesopause—CHR or THR.

In this paper, for the first time, based on common volume measurements of temperature, concentration of air and atomic oxygen from the wave propagation and dissipation in the middle atmosphere (WADIS-2) sounding rocket campaign, we address this question. The rocket experiment and obtained data relevant for this work are described in the next section. The calculations and some theoretical aspects are discussed in section three. The results and their discussion are given in section four. The conclusions are summarized in the last section.

## 2 Rocket-borne experiment

The WADIS experiment aimed to study how waves move through the middle atmosphere, how that affects the energy budget, and how it affects the concentration of trace constituents (Strelnikov et al. 2019). The great thing about this experiment is that we can measure the concentrations of atomic oxygen, temperature and volume emission of atmospheric bands simultaneously. The WADIS-2 sounding rocket was launched at the Andøya

Space Center (69°N, 16°E) at 01:44:00 UTC on 5 March 2015 [see Strelnikov et al. (2017, 2019), for more details]. Two main data providers for this study are the combined sensor for neutrals and electrons (CONE) and flux probe experiment (FIPEX) instruments.

The CONE instrument, made by IAP (Leibniz Institute of Atmospheric Physics at Rostock University), is a combination of an ionization gauge for neutral density measurements and of a fixed biased Langmuir probe for electron density measurements (Strelnikov et al. 2013). In this work, only the neutral density measurements by the CONE are used. Making use of laboratory calibrations allows to derive absolute number density of neutral air in the altitude range 70–110 km. The derived density profile is further integrated, assuming hydrostatic equilibrium, to yield a temperature altitude-profile (Strelnikov et al. 2013, and the references therein).

The FIPEX instrument, developed by the Institute of Space Systems at the University of Stuttgart (IRS), measures the concentration of atomic oxygen along the rocket flight trajectory. It uses a solid electrolyte sensor that is sensitive to atomic oxygen. The current, which

**Table 1** List of reactions with corresponding reaction rates  $r_{1-12}$  [for three-body reactions ( $\text{cm}^6 \text{ molecule}^{-2} \text{ s}^{-1}$ ) and for two-body reactions ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ )], branching ratios  $f_{v=9,\dots,5}$ , quenching coefficients  $Q_{v'}$ ,  $q_v$ ,  $p_{v'}$  ( $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ), spontaneous emission coefficients  $A_{v'}$  ( $\text{s}^{-1}$ ) and energy releases  $\epsilon_{1-7}$  (kcal/mole) of exothermic chemical reactions used in the paper

	Reaction	Coefficient	References
1	$\text{O} + \text{O} + \text{M} \rightarrow \text{O}_2 + \text{M}$	$r_1 = 4.7 \times 10^{-33} (300/T)^2$ $\epsilon_1 = 119.40$	Campbell and Gray (1973)
2	$\text{O} + \text{O}_2 + \text{M} \rightarrow \text{O}_3 + \text{M}$	$r_2 = 6.1 \times 10^{-34} (298/T)^{2.4}$ $\epsilon_2 = 25.47$	Burkholder et al. (2020)
3	$\text{O} + \text{O}_3 \rightarrow 2\text{O}_2$	$r_3 = 8 \times 10^{-12} \exp\left(\frac{-2060}{T}\right)$ $\epsilon_3 = 93.65$	Burkholder et al. (2020)
4	$\text{H} + \text{O}_3 \xrightarrow{f_v, r_4} \text{OH}_{v=5,\dots,9} + \text{O}_2$	$r_4 = 1.4 \times 10^{-10} \exp\left(\frac{-470}{T}\right)$ $f_{v=9,\dots,5} = 0.47, 0.34, 0.15, 0.03, 0.01$ $\epsilon_4 = 76.9$	Burkholder et al. (2020), Adler-Golden (1997)
5	$\text{O} + \text{OH} \rightarrow \text{O}_2 + \text{H}$	$r_5 = 1.8 \times 10^{-11} \exp\left(\frac{180}{T}\right)$ $\epsilon_5 = 16.77$	Burkholder et al. (2020)
6	$\text{O} + \text{HO}_2 \rightarrow \text{O}_2 + \text{OH}$	$r_6 = 3 \times 10^{-11} \exp\left(\frac{200}{T}\right)$ $\epsilon_6 = 53.27$	Burkholder et al. (2020)
7	$\text{H} + \text{O}_2 + \text{M} \rightarrow \text{HO}_2 + \text{M}$	$r_7 = 5.3 \times 10^{-32} (298/T)^{1.8}$ $\epsilon_7 = 49.1$	Burkholder et al. (2020)
8	$\text{H} + \text{HO}_2 \rightarrow \text{O}_2 + \text{H}_2$	$r_8 = 6.9 \times 10^{-12}$	Burkholder et al. (2020)
9	$\text{H} + \text{HO}_2 \rightarrow \text{O} + \text{H}_2\text{O}$	$r_9 = 1.6 \times 10^{-12}$	Burkholder et al. (2020)
10	$\text{H} + \text{HO}_2 \rightarrow 2\text{OH}$	$r_{10} = 7.2 \times 10^{-11}$	Burkholder et al. (2020)
11	$\text{OH} + \text{O}_3 \rightarrow \text{HO}_2 + \text{O}_2$	$r_{11} = 1.7 \times \exp\left(\frac{-940}{T}\right)$	Burkholder et al. (2020)
12	$\text{O} + \text{OH}_{v=1,\dots,9} \rightarrow \text{O}_2 + \text{H}$	$r_{12}(v = 9, \dots, 1)$	Caridade et al. (2013)
13	$\text{OH}_v + \text{O}_2, \text{N}_2, \text{O} \rightarrow \text{OH}_{v' < v} + \text{O}_2, \text{N}_2, \text{O}$	$Q_{v'}, q_v, p_{v'}$ , see text	Adler-Golden (1997), Makhlof et al. (1995), Caridade et al. (2013)
14	$\text{OH}_v \rightarrow \text{OH}_{v' < v} + h\nu$	$A_{v'}$	Xu et al. (2012)

$v$  is vibrational number

is measured between the anode and cathode with a low voltage applied between the electrodes, is a function of the oxygen concentration. The fast sensor response allows to resolve spatial scales on the order of tens of meters. The reader can find more details about this instrument and the calibration techniques in the works of Eberhart et al. (2015, 2019). For a full overview of all the instruments and the rocket flight, see Strelnikov et al. (2019).

Figure 1 shows the data from the rocket campaign that we used in our calculations. It includes the temperature from the CONE instrument (a) and atomic oxygen concentration measured by FIPEX (b). One can find more details about how WADIS-2 compares to other measurements and modeling in a number of papers (e.g., Eberhart et al. 2019; Strelnikov et al. 2017, 2018).

The measured profiles of neutral air density can be found in Strelnikov et al. (2019) and Grygalashvyly et al. (2019). Since the composition of the neutral air at altitudes 70 to 100 km to a good approximation can be considered constant, one can use the CONE measurements and partitioning based on the reference atmosphere model NRLMSISE-00 (Picone et al. 2002), to derive the molecular oxygen and molecular nitrogen concentrations.

### 3 Approaches and calculations

In Table 1 we have collected all reactions with corresponding reaction rates  $r_{1-12}$ , branching ratios  $f_{v=9,\dots,5}$ , quenching coefficients  $Q_{vv'}$ ,  $q_v$ ,  $p_{vv'}$ , spontaneous emission coefficients  $A_{vv'}$  and energy releases  $\epsilon_{1-7}$  of exothermic chemical reactions used in the paper, as well corresponding references. M is concentration of surrounding air, and O, O<sub>3</sub>, H, OH, HO<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub> are the chemical substances. The subscript v denotes vibrational number of the vibrationally excited hydroxyl OH<sub>v=1,\dots,9</sub>.

Note, that in our work we consider the chemical heat only from exothermic chemical reactions (1–7 in Table 1). The processes of radiative excitation followed by relaxation and thermalization are omitted.

We will use the ozone balance equation assuming that ozone is in photochemical equilibrium for nighttime conditions:

$$r_2 M \cdot O \cdot O_2 = r_4 H \cdot O_3 + r_3 O \cdot O_3. \quad (1)$$

Hereafter, in equations, the symbols of chemical substances denote corresponding concentrations. Following Smith et al. (2008), we consider last term as non-effective loss, which constitutes ~5% of total ozone losses at nighttime conditions, hence

$$r_2 M \cdot O \cdot O_2 = r_4 H \cdot O_3. \quad (2)$$

In order to prove the assumption about ozone photochemical equilibrium we utilize criterion, which was derived and proved by Kulikov et al. (2018), and applied in number of papers (Fytterer et al. 2019; Zhu and Kaufmann 2019; Kulikov et al. 2019, 2021).

Following Kulikov et al. (2018):

$$\frac{2r_7 r_2 (M \cdot O_2)^2}{r_4^2 \cdot H \cdot O_3} \left(1 - \frac{r_8 + r_9}{r_6}\right) \leq 0.1. \quad (3)$$

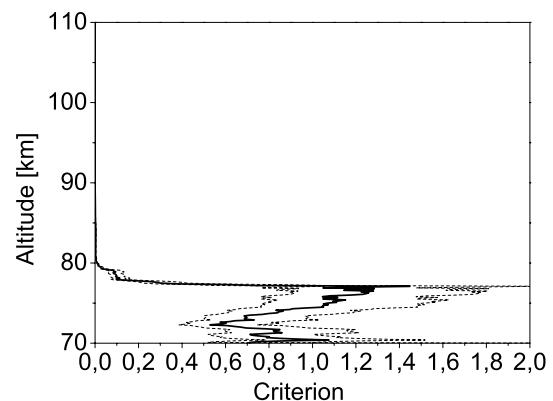
This criterion is necessary and sufficient (Kulikov et al. 2023). For utilizing it in our work, we rewrite it in terms of atomic oxygen, substituting in original criterion the term  $r_4 H \cdot O_3$  in denominator from ozone balance Eq. (2):

$$\frac{2r_7 M \cdot O_2}{r_4 \cdot O} \left(1 - \frac{r_8 + r_9}{r_6}\right) \leq 0.1. \quad (4)$$

Figure 2 depicts values of left-hand side (LHS) of Eq. (4). One can see that LHS of Eq. (4) becomes smaller than 0.1 above ~78 km, and taking into account uncertainties (dashed line) above 80 km. As with other figures, the dashed lines show the uncertainties, which represent the error propagation. These have been calculated according to Bevington and Robinson (2003). Hence, the ozone is in equilibrium above this altitude. Further calculations will be carried out above 80 km.

It was marked in number of works that the chemical heat in mesopause region at night is produced mainly by three exothermic reactions: O+O+M, O+O<sub>2</sub>+M, and H+O<sub>3</sub> (Mlynczak and Solomon 1991; Sonnemann et al. 1997, 1998; Smith et al. 2003). Knowing only atomic oxygen, we may calculate energy release for all of them. Main exothermic chemical energy release ( $E_{\text{main}}$ ) is given by following equation:

$$E_{\text{main}} = \epsilon_1 r_1 M \cdot O^2 + \epsilon_2 r_2 M \cdot O \cdot O_2 + \epsilon \cdot \epsilon_4 r_4 H \cdot O_3, \quad (5)$$



**Fig. 2** Calculated values of ozone chemical equilibrium criterion (LHS of Eq. 4). The dashed lines represent an uncertainty



where  $\varepsilon$  is the efficiency for reaction of atomic hydrogen with ozone.

By efficiency, following McDade and Llewellyn (1991, 1993), Mlynczak and Solomon (1993), Smith et al. (2015), we mean the relative (to the total) part of exothermic chemical reaction energy release that goes to thermalization. The efficiencies for the first two reactions, following Mlynczak and Solomon (1993), are assumed to be one. We conduct calculations only in the region where ozone is in photochemical equilibrium. Substituting Eq. (2) into Eq. (5), we obtain:

$$E_{\text{main}} = \varepsilon_1 r_1 M \cdot O^2 + (\varepsilon_2 + \varepsilon \cdot \varepsilon_4) r_2 M \cdot O \cdot O_2. \quad (6)$$

In order to calculate the efficiency  $\varepsilon$ , at the beginning, we calculate distributions of  $OH_{v=1-9}$ . Assuming photochemical equilibrium for excited hydroxyl, its concentration can be calculated as the ratio of productions to the losses (e.g., Grygalashvyly et al. 2021):

$$OH_v = \frac{\left( f_v r_4 H \cdot O_3 + \sum_{v'=v+1}^9 p_{v'v} OH_{v'} O + q_{v+1} OH_{v+1} N_2 + \sum_{v'=v+1}^9 Q_{v'v} OH_{v'} O_2 + \sum_{v'=v+1}^9 A_{v'v} OH_{v'} \right)}{r_{12}(v)O + \sum_{v''=0}^{v-1} p_{vv''} O + q_v N_2 + \sum_{v''=0}^{v-1} Q_{vv''} O_2 + \sum_{v''=0}^{v-1} A_{vv''}}, \quad \left( \begin{array}{l} v < v' \\ v'' < v \end{array} \right). \quad (7)$$

Here,  $\nu$  is the vibrational number,  $f_\nu$  are the branching ratios for the reaction of ozone with atomic hydrogen (Table 1 in Adler-Golden 1997);  $r_{12}(\nu)$  are the rates for the reaction of atomic oxygen with excited hydroxyl (Table 1 in Caridade et al. 2013);  $p$ ,  $q$ ,  $Q$  are the rates for quenching by atomic oxygen (Table 1 in Caridade et al. 2013), by molecular nitrogen (Table 1 in Makhlof et al. 1995), and by molecular oxygen (Table 3 in Adler-Golden 1997), respectively. Further,  $A_{v'v}$  are the Einstein coefficients for spontaneous emission (Xu et al. 2012). In contrast to work Grygalashvyly et al. (2021), in our current paper we apply the temperature dependence of the reaction rates of atomic oxygen with excited hydroxyl ( $r_{12}$ ) and quenching coefficients for atomic oxygen ( $p$ ) by taking values for 110, 160, 210, 255 K (Table 1 in Caridade et al. 2013) and linearly interpolating between these points, as this approach is more refined. Theoretically derived quenching ratios for molecular oxygen are assumed to be overestimated [see discussion in Fytterer et al. (2019), Sect. 2.3, page 1839]; hence, following Xu et al. (2012), we multiply it by factor  $\alpha = 0.723$ . Besides quenching ratios and spontaneous emission coefficients for excited hydroxyl, which one can find in corresponding papers (Adler-Golden 1997; Caridade et al. 2013; Xu et al. 2012), all of the other used reactions are collected in Table 1 with corresponding reaction rates, branching ratios, and references. Recently, new mechanism of  $O(^1D)$  formation from excited hydroxyl was proposed (Sharma

et al. 2015; Kalogerakis et al. 2016; Kalogerakis 2019). We omit this mechanism in our consideration, because currently it has hypothetical character and it needs laboratory and observational confirmations.

We utilize that the ozone is in chemical equilibrium above 80 km at nighttime conditions (Fig. 2). Substituting Eq. (2) into the excited hydroxyl balance equation (first term in the numerator of Eq. 7), we obtain an expressions for  $OH_v$  in terms of atomic oxygen and temperature:

$$OH_v = \frac{\left( f_v r_2 M \cdot O \cdot O_2 + \sum_{v'=v+1}^9 p_{v'v} OH_{v'} O + q_{v+1} OH_{v+1} N_2 + \sum_{v'=v+1}^9 Q_{v'v} OH_{v'} O_2 + \sum_{v'=v+1}^9 A_{v'v} OH_{v'} \right)}{r_{12}(v)O + \sum_{v''=0}^{v-1} p_{vv''} O + q_v N_2 + \sum_{v''=0}^{v-1} Q_{vv''} O_2 + \sum_{v''=0}^{v-1} A_{vv''}}, \quad \left( \begin{array}{l} v < v' \\ v'' < v \end{array} \right). \quad (8)$$

At the next step, we calculate efficiency (e.g., Smith et al. 2015):

$$\varepsilon = 1 - \frac{\sum_{v=1}^9 OH_v \left( \sum_{v''=0}^{v-1} A_{vv''} \theta_{vv''} \right)}{\varepsilon_4 r_2 M \cdot O \cdot O_2}, \quad \left( v'' < v \right), \quad (9)$$

where  $\theta_{vv''}$  are the energies of photons for corresponding transitions (see Khomich et al. 2008; Table 2.1). Then, we calculated CHR with Eq. (6). Hence, the main part of CHR is based on self-consistent calculations because it takes into account the data obtained only from our common volume observations for all measured parameters.

Nevertheless, it is desirable to assess the total CHR. In order to calculate the rest of CHR, we use ozone from SABER observations. In this case we lose self-consistency but acquire possibility to calculate total CHR, including additionally four secondary reactions ( $O+O_3$ ,  $OH+O$ ,  $HO_2+O$ , and  $H+O_2+M$ ). For these purposes, we use chemical equilibrium of ground state hydroxyl and hydroperoxy radicals (here we denote their concentrations as  $OH$  and  $HO_2$ , respectively) in mesopause region at nighttime conditions, and write their balance equations (e.g. Brasseur and Offermann 1986; Brasseur and Solomon 2005):

$$\begin{aligned} r_4 H \cdot O_3 + r_6 O \cdot HO_2 + 2r_{10} H \cdot HO_2 \\ = r_5 O \cdot OH + r_{11} O_3 \cdot OH, \end{aligned} \quad (10)$$

$$\begin{aligned} r_6\text{O} \cdot \text{HO}_2 + (r_8 + r_9 + r_{10})\text{H} \cdot \text{HO}_2 \\ = r_7\text{H} \cdot \text{O}_2 \cdot \text{M} + r_{11}\text{O}_3 \cdot \text{OH}. \end{aligned} \quad (11)$$

Note, it was shown recently that OH and HO<sub>2</sub> are in photochemical equilibrium in March at high latitudes above 80 km (Kulikov et al. 2024).

Equations (2), (10), and (11) represent linear system with three unknown variables: atomic hydrogen, hydroxyl and hydroperoxy radicals. From the system we obtain:

$$\text{H} = \frac{r_2\text{O}_2 \cdot \text{M} \cdot \text{O}}{r_4\text{O}_3}, \quad (12)$$

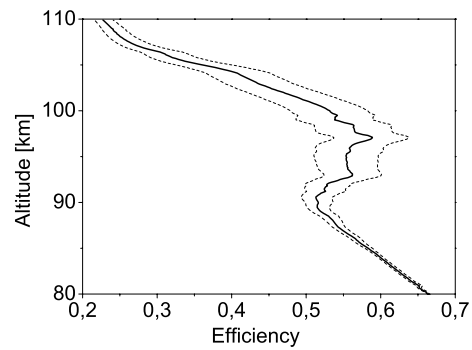
$$\text{HO}_2 = \frac{r_7\text{H} \cdot \text{O}_2 \cdot \text{M} + r_{11}\text{O}_3 \cdot \text{OH}}{r_6\text{O} + (r_8 + r_9 + r_{10})\text{H}}, \quad (13)$$

$$\text{OH} = \frac{r_4\text{H} \cdot \text{O}_3 + r_6\text{O} \cdot \text{HO}_2 + 2r_{10}\text{H} \cdot \text{HO}_2}{r_5\text{O} + r_{11}\text{O}_3}. \quad (14)$$

Knowing all odd-oxygen (O, O<sub>3</sub>) and odd-hydrogen (H, OH, HO<sub>2</sub>) concentrations, we calculate total CHR:

$$\begin{aligned} E_{\text{total}} = E_{\text{main}} + \epsilon_3 r_3\text{O} \cdot \text{O}_3 + \epsilon_5 r_5\text{O} \cdot \text{OH} \\ + \epsilon_6 r_6\text{O} \cdot \text{HO}_2 + \epsilon_7 r_7\text{H} \cdot \text{O}_2 \cdot \text{M}. \end{aligned} \quad (15)$$

Finally, we derive THR,  $\epsilon$  from neutral density fluctuation (Lübken et al. 1993, 2002; Lübken 1997; Strelnikov et al. 2013), which is further converted into the THR as  $dT/dt = \epsilon/c_p$ , where  $c_p = 1004 \text{ J kg}^{-1} \text{ K}^{-1}$  is heat capacity at constant pressure. This method has been validated on direct numerical simulations by Strelnikov et al. (2023). The detailed data description can be found in Strelnikov et al. (2019), as well derivation of the profile. Here, we briefly summarize the most important steps. Fluctuations of neutral air density are measured by ionization gauge CONE. A wavelet transform is applied to these fluctuations. The spectral model of Tatarskii (1971) is subsequently fitted to global wavelet spectra, which are averaged over 100 m altitude range. The spectral models utilize kinematic viscosity, which is derived from the density and temperature as recommended by the U.S. Standard Atmosphere (Minzner 1977), i.e., using the Sutherland's law (Sutherland 1893). The ionization gauge CONE owing to laboratory calibrations measures the absolute density. The measured density altitude-profile is integrated assuming hydrostatic equilibrium, yielding the temperature profile. That is, the turbulence energy dissipation rates are entirely derived from measurements. In this work we only use turbulence analysis results, derived based on the spectral model of Tatarskii (1971), since they reveal smaller uncertainty as shown by Strelnikov et al. (2023). Then we compare the CHR and THR. In

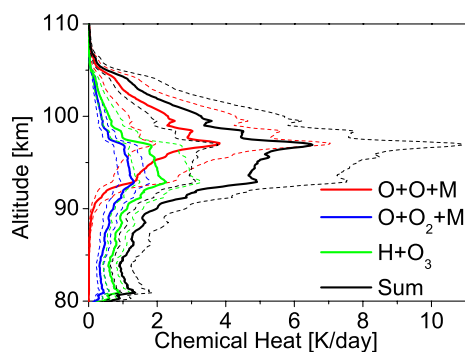


**Fig. 3** The efficiency of the reaction four calculated from Eqs. (8) and (9). The *dashed lines* represent an uncertainty

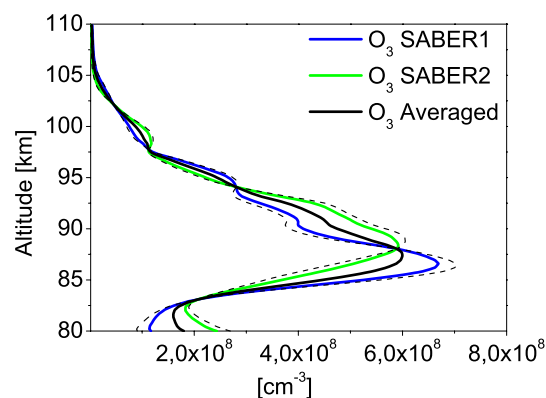
the next section, we show and discuss the results of our calculations.

#### 4 Results and discussion

Figure 3 shows efficiency of the reaction of ozone with atomic hydrogen calculated according to Eq. (9). This value shows which part of energy is thermalized (the remainder goes to spontaneous emission). This value decreases with altitude as the concentration of the main quencher, molecular oxygen, decreases, hence, the thermalization processes. Nevertheless, there is a peak at an altitude of 97 km, where the thermalization processes increase, exactly coinciding with the peak of atomic oxygen (Fig. 1b), which is the second most important quencher for OH\*. The efficiency varies between ~0.65 and 0.5 in the region 80–100 km, where this reaction is important. McDade and Llewellyn (1991) found that the efficiency for this reaction at 90 km amounts to 0.65–0.81, depending on the scheme of OH\* relaxation and spontaneous emission parameters. Mlynczak and Solomon (1993) assessed these values with four different models of OH\* relaxation, found the values 0.3–1, depending on model and altitude, and recommend for utilization 0.6 through the entire mesopause. Based on three different sets of spontaneous emission coefficients McDade and Llewellyn (1993) found three efficiency profiles with range ~0.85 to 0.95 at 80 km down to 0.35–0.5 at 100 km and recommended value 0.75 near the mesopause at night. Note, that they obtained smooth profiles without peaks because atomic oxygen quenching was omitted in their scheme (Fig. 3 in McDade and Llewellyn 1993). Later on, based on Whole Atmosphere Community Climate Model accompanied by model of vibrationally excited hydroxyl, Smith et al. (2015) proposed parametrization for the efficiency as a fit-function of pressure, temperature and atomic oxygen concentration in the mesopause region. The globally averaged annual mean vertical profile of the efficiency obtained from this



**Fig. 4** Main part of CHR from three most important exothermic reactions from self-consistent common volume WADIS-2 experiment: reaction 1 (red line), reaction 2 (blue line), reaction 4 (green line), and their sum (black line). The dashed lines represent corresponding uncertainties



**Fig. 5** Ozone from SABER observations (orbit number 71729 event number 19 and 20, blue and green lines, respectively), their averaged (black line) and standard deviations (dashed lines)

work is very similar with our one. The values amount to  $\sim 0.65$  at 80 km,  $\sim 0.4$  at 110 km, with local peak  $\sim 0.65$  between 90 and 100 km (Fig. 3b in Smith et al. 2015). This peak occurs because the parametrization takes into account atomic oxygen. Smith et al. (2015) conclude that “the efficiency is almost identical during day and night”, hence, the way of calculations obtained in our work for nighttime is acceptable (for the first approximation) for the daytime.

Figure 4 depicts the altitude profiles of the main part of CHR from three most important reactions:  $O+O+M$  (red line),  $O+O_2+M$  (blue line),  $H+O_3$  (green line), and their sum (black line). The dominating source of CHR above 95 km is the reaction of atomic oxygen recombination with peak values  $\sim 4$  K/day at 97 km, whereas below the reaction of ozone with atomic oxygen is the leading one with peak more than 2 K/day at  $\sim 92$ –93 km. The total CHR reach values more than 6 K/day at 97 km.

The local night values are not representative of the global mean and the difference between two local profiles can be large due to dynamics and turbulence. However, below we discuss our results in comparison with previous results obtained for the averaged and local scales to make sure that the order of values and heights are similar and to identify common features. The similar results were obtained for globally averaged diurnal mean CHR based on three-dimensional modeling in Spring (Sonnemann et al. 1997, 1998)—the leading reactions are the reactions of atomic oxygen recombination and ozone with atomic hydrogen above and below  $\sim 88$ –90 km, respectively with values  $\sim 2.5$  to 3.5 K/day at their peaks. Smith et al. (2003) by means of modeling found that in midnight at the equator the CHR from the reaction R1 is higher than

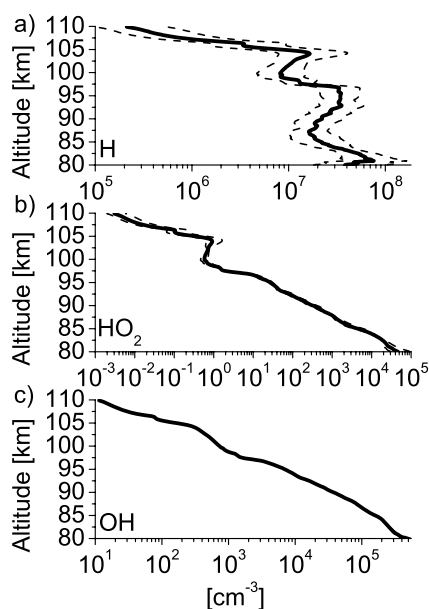
those of R4 above  $\sim 97$  km with values at the peak 13 K/day at 102 km and 6.5 K/day at 93 km, respectively. Ramesh et al. (2014) retrieved from SABER observations a very strong event for 23 September 2011 over Gadanki ( $13.5^\circ\text{N}$ ,  $77.8^\circ\text{E}$ ) with CHR from R1 more than 40 K/day at  $\sim 95$  km, which exceed those of R4 above 93 km. A comparison of absolute values of CHR is not very informative because it strongly depends on the situation with gravity waves and tides, season, latitude, and time of day. Nevertheless, it is possible to distinguish features that are common for all measurements and modeling: (1) there is an altitude above which CHR of R1 is stronger than those of R4; (2) this altitude depends on temperature and atomic oxygen concentrations (trivially seen from equality of the first and last terms in Eq. 6).

In order to assess the CHR from other four reactions and total CHR we adopt ozone from SABER observations (Version 2.07, Level 2A, Orbit Number 71729). We took two ozone profiles observed most closely to Andøya Space Center ( $69^\circ\text{N}$ ,  $16^\circ\text{E}$ ) in this night at high latitudes ( $67.28^\circ\text{N}$ ,  $22.10^\circ\text{E}$  and  $71.17^\circ\text{N}$ ,  $18.8^\circ\text{E}$ , with time of observations 22:05 UTC and 22:06 UTC, respectively), calculate their averaged and standard deviations from averaged. The standard deviations will further be used together with the atomic oxygen to calculate errors, and the mean value to calculate odd-hydrogens.

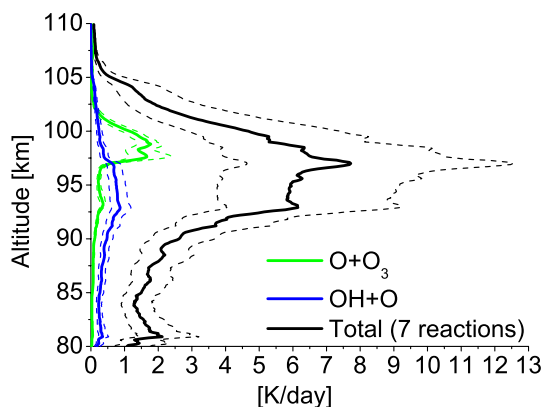
Figure 5 shows ozone profiles observed at  $67.28^\circ\text{N}$   $22.10^\circ\text{E}$  (blue line) and at  $71.17^\circ\text{N}$   $18.8^\circ\text{E}$  (green line), with time of observations 22:05 UTC and 22:06 UTC, respectively, their averaged (black solid line), and standard deviations from averaged (dashed lines). Then we calculate odd-hydrogens according Eqs. (12–14).

Figure 6 shows concentrations of atomic hydrogen (a), hydroperoxy radicals (b), and hydroxyl (c) calculated





**Fig. 6** Calculated by Eqs. (12–14) concentrations of H (a), HO<sub>2</sub> (b), and OH (c). The *dashed lines* represent an uncertainty



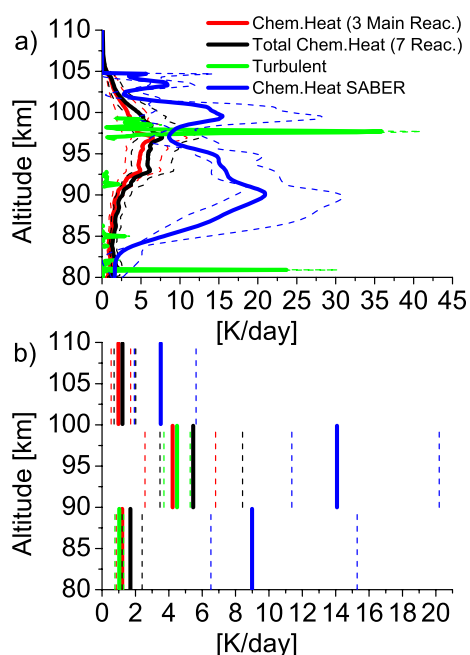
**Fig. 7** CHR from secondary exothermic chemical reactions and total CHR from all of seven exothermic chemical reactions. The *dashed lines* represent corresponding uncertainties

from ozone balance equation and equations for odd-hydrogens equilibrium. There are not many observations for OH at the mesopause. Minschwaner et al. (2011) found by Aura Microwave Limb Sounder observations one order larger concentrations of hydroxyl ( $\sim 10^6 \text{ cm}^{-3}$ ) at 80 km, but for the daytime conditions, when additional source by dissociation of water vapor exists. Kreyling et al. (2013) by SMILES/ISS (Submillimeter-Wave Limb-Emission Sounder/International Space Station) observed 0.5–3.5 ppbv of nighttime HO<sub>2</sub> near the equator between 80 and 90 km that corresponds to  $\sim 10^5$ – $10^6 \text{ cm}^{-3}$ . Similar values of HO<sub>2</sub> concentrations ( $\sim 10^5 \text{ cm}^{-3}$ ) at 80–85 km

declining with altitude were detected by Submillimeter wave Radiometer at Odin satellite in southern winter and several other observations (Baron et al. 2009; Wang et al. 2015; Millán et al. 2015). As we expected the concentrations of hydroxyl are larger than those of hydroperoxy radicals, and atomic hydrogen is most abundant among other odd-hydrogens in this region.

Figure 7 shows CHR from reaction of ozone with atomic oxygen (green line), hydroxyl with atomic oxygen (blue line), and total CHR (black line) from all seven exothermic chemical reactions (Eq. 15). The profiles for reactions HO<sub>2</sub>+O, and H+O<sub>2</sub>+M are not shown here, because we found that maximal values for each amounts of  $\sim 0.2$ – $0.3 \text{ K/day}$  at  $\sim 82 \text{ km}$ , and they do not exceed  $0.1 \text{ K/day}$  through the rest of the entire region. Such low values of CHR from these two reactions were also inferred by SABER observations at region 80–100 km in night (Ramesh et al. 2013, 2015, 2017). In region 97–100 km reaction of ozone with atomic oxygen may give CHR  $\sim 1.5 \text{ K/day}$ , becoming second most important for CHR after the reaction of atomic oxygen recombination. Calculated total CHR has largest values at  $\sim 92$  to 100 km, with peak up to  $\sim 7.5 \text{ K/day}$  at 97 km. The resulting local value appears to be quite moderate. Thus, analyzing SABER data, Ramesh et al. (2015) found that CHR can reach values of 100 K/day at altitudes of about 100 km and above, and about 50–60 K/day at altitudes of 90–100 km for monthly mean zonally averaged values. Such averaging procedure mixed the daytime and nighttime values, which could be essentially different. A number of authors note that nocturnal CHR is greater than daytime one (Sonnemann et al. 1997, 1998; Smith et al. 2015). Analysis of SABER observations shows that daytime values of CHR are generally smaller than nocturnal, but may exceed nighttime ones near 100 km and above (Ramesh et al. 2017). The excess of night values over day values, that is typically found on models and confirmed by satellite observations in the 80–110 km region, can be proved analytically for the case, when the daytime downward directed fluxes of atomic oxygen due to turbulent diffusion and/or advection do not exceed the night fluxes, or when there is no large difference in the fluxes for day and night (see Appendix A).

Figure 8 gives the comparison of CHR with THR. The values of THR, which are less than uncertainties are excluded from consideration. THR profile (green line) has been derived in Strelnikov et al. (2019). Blue line shows total CHR profile from SABER (Version 2.07, Level 2B, Orbit Number 71729). In order to obtain profile for comparison we took two CHR profiles observed most closely to our one in this night [(67.28°N 22.10°E) and (71.17°N 18.8°E), with time of observations 22:05 UTC



**Fig. 8** Comparison of total CHR (black lines), main part of CHR (red line), THR (green line), and total CHR from SABER (blue line): **a** local, **b** vertically averaged. The dashed lines represent corresponding uncertainties

and 22:06 UTC, respectively], calculate their averaged and standard deviations from averaged.

In Fig. 8a one can see that the CHR from three main reactions (red line) is not so far from the total CHR (black line). Also we can find that the THR (green line) exceeds CHR only in narrow spikes with width several hundred meters, in the rest of the region it does not. It is interesting to note that the peaks of atomic oxygen (and CHR, because it is quadratically proportional to the atomic oxygen concentrations) at 97 km and at 81 km (Fig. 1b) are placed at the peaks of THR. This tells us that turbulence is the essential process of atomic oxygen transport in the mesopause region. The chemical heat according to SABER data has larger values than those obtained by us. This can be explained both by different dynamics at remotely distant points and by “burning out” odd-hydrogens at night (Sonnemann and Grygalashvyly 2020), since our experiment was performed more than three hours later.

Figure 8b shows vertically averaged over 10 km values. The points where the THR are less than error are excluded from the averaging procedure. The THR are comparable with CHR from three main reactions and smaller than total CHR through the entire mesopause. An alternative, to set the THR at excluded points to zero and include them in the average. This would give much lower values for the averages (not shown here).

It was noted that turbulence leads to homogenization of vertical distributions of minor chemical constituents in the mesopause (von Zahn et al. 1990). Atomic oxygen is produced in the lower thermosphere (where it has long photochemical lifetime) during daytime by photo-dissociation and transported down (among other processes by turbulence) in mesopause, where it has chemical losses by recombination (Swenson et al. 2021, and references therein). Mixing ratio of the atomic hydrogen, that is most abundant odd-hydrogen in mesopause region, has positive gradient in there (Mlynczak et al. 2014). Both these constituents are affected by downward vertical transport by turbulence. Increase in turbulence, in turn, leads to acceleration of chemical processes in the vicinity of the mesopause and release of chemical heat. This is one of the possible mechanisms that may help to explain the increase of CHR with increasing turbulence, which we can note in Fig. 8b.

Here was discussed just a case of studies on local scales. Certainly, it is necessary more common volume experiments, which may be able to confirm or contradict the current finding of a relation in the behavior of THR and CHR. Moreover, for understanding of energy balance of MLT region, it is desirable produce similar analysis on global scales with annual variations. Nevertheless, we may expect similar behavior for global scales. The vertical turbulent diffusion coefficient is proportional to the first power of THR (Weinstock 1978). From the other hand, atomic oxygen fluxes from region of atomic oxygen production (above 110 km), and, consequently, atomic oxygen concentrations, are proportional to the vertical turbulent diffusion coefficient (e.g., Swenson et al. 2021; and references therein). In turn, CHR are quadratically proportional to the atomic oxygen concentration (Eq. 6). Hence, in absence of strong upward advective fluxes, which may reduce turbulent flux of atomic oxygen, the CHR will grow with rising of THR.

## 5 Summary and conclusions

For the first time we derived CHR from exothermic chemical reactions and THR based on common volume rocket experiment. We found that the second are smaller through the entire mesopause for nighttime conditions, except for a few THR peaks with width of several hundred meters. We also confirm the finding of other authors that approximately above 95 km the most essential contribution to the CHR comes from the recombination reaction of atomic oxygen, while below the reaction of atomic hydrogen with ozone is the leading one. Our derivation was based on assumption about photochemical ozone equilibrium. We proved this assumption and found that the ozone was in photochemical equilibrium in time of rocket flight above eighty kilometers. The

method for calculations of total CHR applied in the paper could be useful for future rocket borne and satellite missions if measured atomic oxygen concentrations and any second component of odd-oxygen—odd-hydrogen chemistry in mesopause region.

Additionally, we show an approach for calculation of efficiency for one of the most important exothermic reaction of ozone with atomic hydrogen if the temperature and atomic oxygen concentrations are known.

## Appendix A

Here we consider the chemical heat for day and nighttime conditions ultimately from exothermic chemical reactions (1–7 in Table 1). The processes of radiative excitation by sunlight followed by relaxation and thermalization are excluded from this consideration.

In the 80–100 km region, the photochemical lifetime of atomic oxygen exceeds one day, thus day/night variations in atomic oxygen concentration are determined by dynamic processes (Allen et al. 1984; Shimazaki 1984; Brasseur and Solomon 2005; Strelnikov et al. 2019). Let's consider the case when day and night fluxes of atomic oxygen are approximately equal and thus  $O_{\text{day}} \approx O_{\text{night}} \equiv O$ .

The ozone balance equation for the daytime conditions is:

$$r_2 M \cdot O \cdot O_2 = r_4 H^d \cdot O_3^d + r_3 O \cdot O_3^d + J \cdot O_3^d, \quad (\text{A1})$$

where  $J$  means ozone dissociation rates, superscript  $d$  denotes daytime values, and all other designations as in the main text of the article. Here for completeness we have retained the term with the reaction of atomic oxygen and ozone. In addition, in contrast to the more traditional balance equation, which considers only dissociation as the main process of ozone destruction, we have added the reaction of ozone with atomic hydrogen, since it was shown to be essential in the daytime balance equation (Kulikov et al. 2017, 2022a, b).

Let us write down the equation for chemical heat during daytime. Since we have seen that the reaction of ozone with atomic oxygen can be the second most important in some region, let us add it to the formula for the main part of chemical energy release as well:

$$E^d = \epsilon_1 r_1 M \cdot O^2 + \epsilon_2 r_2 M \cdot O \cdot O_2 + \epsilon \cdot \epsilon_4 r_4 H^d \cdot O_3^d + \epsilon_3 r_3 O \cdot O_3^d. \quad (\text{A2})$$

Substituting into the third term of (A2) product  $r_4 H^d \cdot O_3^d$  from the ozone balance equation and reorganizing the terms we obtain:

$$E^d = \epsilon_1 r_1 M \cdot O^2 + (\epsilon_2 + \epsilon \cdot \epsilon_4) r_2 M \cdot O \cdot O_2 + (\epsilon_3 - \epsilon \cdot \epsilon_4) r_3 O \cdot O_3^d - \epsilon \cdot \epsilon_4 J \cdot O_3^d. \quad (\text{A3})$$

The extended, as above, ozone balance equation and equation for chemical energy release at nighttime conditions can be written as follows:

$$r_2 M \cdot O \cdot O_2 = r_4 H^n \cdot O_3^n + r_3 O \cdot O_3^n, \quad (\text{A4})$$

$$E^n = \epsilon_1 r_1 M \cdot O^2 + \epsilon_2 r_2 M \cdot O \cdot O_2 + \epsilon \cdot \epsilon_4 r_4 H^n \cdot O_3^n + \epsilon_3 r_3 O \cdot O_3^n. \quad (\text{A5})$$

Substituting first term from right-hand-side of (A4) into the third term of (A5) we get:

$$E^n = \epsilon_1 r_1 M \cdot O^2 + (\epsilon_2 + \epsilon \cdot \epsilon_4) r_2 M \cdot O \cdot O_2 + (\epsilon_3 - \epsilon \cdot \epsilon_4) r_3 O \cdot O_3^n. \quad (\text{A6})$$

Comparing (A3) and (A6) one can see that the first and second terms are the same for both. The difference  $\epsilon_3 - \epsilon \cdot \epsilon_4$  is positive because  $\epsilon_3 > \epsilon_4$  and  $\epsilon < 1$ , hence second term is positive. Nighttime ozone concentrations at 80 km and above is larger than those in the daytime,  $O_3^n > O_3^d$  (Allen et al. 1984; Sonnemann et al. 2007; Hartogh et al. 2011; Smith et al. 2013), hence, the third term of (A6) is larger than in (A3). The last negative term is present only in (A3), consequently, if downward fluxes of atomic oxygen for the daytime is equal to or smaller than those in night, or upward fluxes for the daytime is larger or equal to those for the daytime, inequality  $E^n > E^d$  will be fulfilled.

## Abbreviations

SABER	Sounding of the Atmosphere using Broadband Emission Radiometry
TIMED	Thermosphere Ionosphere Mesosphere Energetics and Dynamics
MLT	Mesosphere–lower thermosphere
R	Reaction
GWs	Gravity waves
CHR	Chemical heating rates
THR	Turbulent heating rates
WADIS	Wave propagation and dissipation in the middle atmosphere
CONE	Combined sensor for neutrals and electrons
FIPEX	Flux probe experiment
IAP	Leibniz-Institute of Atmospheric Physics at the University of Rostock
3D	Three dimensional
MIL	Mesosphere inversion layer
SME	Solar mesosphere explorer
LIDAR	Light detection and ranging
IRS	Institute of Space Systems at the University of Stuttgart
NRLMSISE	Naval Research Laboratory Mass Spectrometer Incoherent Scatter Radar Extended
LHS	Left-hand-side
SMILES/ISS	Submillimeter-Wave Limb-Emission Sounder/International Space Station
UTC	Coordinated universal time

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### Author contributions

MG, BS, IS, MR, FJL, CSch, CSt, ME, SL, and SF worked on the calculations, visualization, analyzed and interpreted the data. All authors read and approved the final manuscript.

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### Availability of data and materials

The results of measurements and calculations are stored at <https://www.radar-service.eu/radar/en/dataset/m2d45aqpb67y7dh5?token=mjLBEwnOVUtuzhFyyAWI>.

### Declarations

#### Ethics approval and consent to participate

Not applicable.

#### Competing interests

The authors declare that they have no conflict of interest.

#### Author details

<sup>1</sup>Leibniz-Institute of Atmospheric Physics at the University of Rostock, Schloss-Str. 6, 18225 Ostseebad Kühlungsborn, Germany. <sup>2</sup>German Aerospace Center, Institut of Atmospheric Physics, Oberpfaffenhofen, Germany. <sup>3</sup>University of Stuttgart, Institute of Space Systems, Stuttgart, Germany.

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