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Review

Future environmental impacts of metals: A systematic review of impact trends, modelling approaches, and challenges

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ABSTRACT

With the energy transition, the future demand for many metals is expected to sharply increase. We systematically reviewed studies which assessed future environmental impacts of metal supply chains. We evaluated their results regarding future impact trends, and their methods, i.e., modelling approaches, scenario variables, and data sources.

Our review yielded 40 publications covering 15 metals: copper, iron, aluminium, nickel, zinc, lead, cobalt, lithium, gold, manganese, neodymium, dysprosium, praseodymium, terbium, and titanium. Metals crucial for the energy transition, e.g., lithium or neodymium, are rarely addressed, unlike major metals. Results for future environmental impacts of metals strongly depend on scenario narratives and assumptions. We found that specific impacts (per kg) may decrease driven by, e.g., greener electricity, higher recycling shares, or novel technologies. Nevertheless, this is probably insufficient to compensate for surging demand. Thus, future demand-related impacts are still likely to increase. We identified 15 scenario variables. The most common variables are background electricity mix, ore grade, recycling shares, demand, and energy efficiency.

It is crucial to better understand future impacts of more metals, considering also rising demand and impacts beyond GHG emissions. We recommend improving research practices towards open and collaborative research, to enable more harmonised, reusable and accurate scenario assessments.

Abbreviations

NEEDS New Energy Externalities Development for Sustainability

- REMIND REgional Model of Investment and Development
- SI Supplementary Information
- SSP shared socio-economic pathways

1. Introduction

Metal production is not only energy-intensive and an important source of greenhouse gas (GHG) emissions, but also causes severe environmental impacts, such as land and water use, toxicity, ecosystem degradation and biodiversity loss [\(IRP, 2020a;](#page-15-0) [Northey et al., 2016](#page-16-0); [Segura-Salazar and Tavares, 2018](#page-16-0); [Sonter et al., 2020;](#page-16-0) [UNEP, 2013](#page-16-0)). Metal supply is responsible for ca. 10–17 % of global GHG emissions and 12 % of health impacts from particulate matter [\(Schenker et al., 2022](#page-16-0); [IRP, 2019\)](#page-15-0). From 2000 to 2015, these impacts doubled, and toxicity impacts increased by about 50 %, which can be partly attributed to an

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REEs rare earth elements

increasing metal ore extraction of ca. 2.7 %/year [\(IRP, 2019\)](#page-15-0). For GHGs, the by far largest contributor is iron and steel production causing about 71 %, followed by aluminium (11 %), calcium (8.8 %), copper (1.6 %), gold (1.2 %), titanium (1.2 %) and zinc (1.1 %) ([Nuss and Eckelman,](#page-16-0) [2014\)](#page-16-0).

Given a growing population and the need for metal-intensive lowcarbon technologies, e.g., for the energy transition, metal demand is expected to further rise in the future ([Kleijn et al., 2011;](#page-15-0) Liang et al., 2022). This is not only the case for most major metals,¹ like iron, aluminium or copper ([Elshkaki et al., 2018](#page-14-0); [Watari et al., 2021](#page-17-0)), but also for minor or critical metals, 2 such as neodymium, lithium, or cobalt (de [Koning et al., 2018](#page-14-0); [Schlichenmaier and Naegler, 2022](#page-16-0)). Unless drastic measures are taken, environmental impacts caused by metal production may thus further increase ([van der Voet et al., 2019\)](#page-16-0).

Future developments of metal supply and their associated environmental impacts are complex and uncertain but need to be investigated to minimise future impacts of our society and to comply with climate and other environmental targets, e.g., the Paris Agreement or the Sustainable Development Goals [\(UN, 2015,](#page-16-0) [2019;](#page-16-0) [IRP, 2020b\)](#page-15-0). Due to the complexity of metal supply chains, a variety of factors may influence associated environmental impacts. Surging demand may lead to technological innovations and opening of new mining and production sites, or to lower recycling shares. Climate goals require adapting existing production facilities, e.g., via electrification (Lechtenböhmer et al., [2016\)](#page-15-0) or carbon capture and storage (CCS) technologies ([Chisalita et al.,](#page-14-0) [2019\)](#page-14-0). Further, they will lead to a decarbonised electricity supply in the future. Technologies may become more efficient due to learning effects related to higher production levels. Environmental factors, e.g., ore reserves and their quality, determine mined ore grades and overall production efficiency [\(Norgate and Haque, 2010](#page-15-0)).

Life Cycle Assessment (LCA) ([ISO, 2006](#page-15-0)), specifically prospective LCA, is a powerful method to assess future environmental impacts of a product considering different scenarios and variables ([van der Giesen](#page-16-0) [et al., 2020](#page-16-0)). Metal supply chains contribute considerably to impacts of product systems [\(Reinhard et al., 2019\)](#page-16-0). Therefore, it is essential to consider possible future developments in metal supply when assessing potential future impacts of other products or technologies ([Harpprecht](#page-15-0) [et al., 2021\)](#page-15-0).

Various studies exist that assess future impacts of one or multiple metals, but their research scopes, scenario variables, and methodological choices are highly diverse, which potentially leads to different or even divergent conclusions. For instance, [Wang et al. \(2021\)](#page-16-0) and [van der](#page-16-0) [Voet et al. \(2019\)](#page-16-0) report opposing results for future GHG emissions of global steel supply.

The differences in research scopes concerns, for example:

- i) **Geographical scopes** (e.g., the globe³, the EU^4 , China⁵, the US⁶, Australia⁷).
- ii) **Temporal scopes** (e.g., different temporal resolutions or scenario end years).
- iii) **System boundaries and technological scopes** (e.g., the full metal supply chain, i.e., a metal market, including recycling 8 versus individual processes, like mining⁹ or emerging technologies 10).
- iv) **The scale of impact assessment,** i.e., specific impacts (per kg) [\(Harpprecht et al., 2021](#page-15-0)) versus demand-related impacts (e.g., of global metal demand, as in [van der Voet et al., 2019\)](#page-16-0).

Additionally, the selection of scenario variables considered can greatly differ, ranging from, e.g., ore grades ([van der Voet et al., 2019](#page-16-0)), emerging refining technologies [\(Chisalita et al., 2019\)](#page-14-0), recycling shares ([Ryberg et al., 2018\)](#page-16-0) to background electricity scenarios ([Sacchi et al.,](#page-16-0) [2022\)](#page-16-0). For the same scenario variable, studies may differ in:

- i) **Scenario modelling approaches**, i.e., the methods used to estimate future developments of a variable (e.g., extrapolation of historic trends ([van der Voet et al., 2019](#page-16-0)) or using scenarios from integrated assessment models (IAMs) [\(Sacchi et al., 2022](#page-16-0)) or other models ([Wang et al., 2021](#page-16-0))).
- ii) **Data sources** used for scenario variables (e.g., using scenario data from different scientific publications or models). For example, [van](#page-16-0) [der Voet et al. \(2019\)](#page-16-0) and [Wang et al. \(2021\)](#page-16-0) both assess energy efficiency improvements for future steel production. Yet, [van der](#page-16-0) [Voet et al. \(2019\)](#page-16-0) extrapolate historic trends from steel statistics ([WSA, 2016](#page-17-0)), while [Wang et al. \(2021\)](#page-16-0) use multiple trends published by the international energy agency (IEA) [\(IEA, 2020\)](#page-15-0).

Consequently, information about future environmental impacts of metals is available, but in a fragmented manner. While comprehensive overviews of current environmental impacts of metal production exist ([Nuss and Eckelman, 2014](#page-16-0); [UNEP, 2013\)](#page-16-0), they are lacking for future impacts. Research to date has not yet systematically compared the existing metal scenario studies. It is thus unknown whether consensus exists about the trends and driving factors of environmental impacts of future metal supply.

Here, we aim to provide a systematic overview of previous studies about future environmental impacts of metals as well as of their scenario modelling approaches and data sources. We aim at answering two research questions:

- 1. Which metals have been addressed by prior prospective LCA studies and what are expected future impact trends as well as the main drivers of these impacts?
- 2. What are the studied variables of the metal supply chains, the applied scenario modelling approaches, as well as data sources used?

Based on the results of this study, we identify challenges and provide recommendations for assessments of future impacts of metals and how the sharing of scenario data within the LCA community can be improved. Moreover, the overview of variables, scenario modelling approaches and data sources serves as a source of information for LCA practitioners to support and accelerate their future research.

2. Methods

2.1. Literature search

We performed a systematic review following the PRISMA2020 statement ([Henriksson et al., 2021](#page-15-0); [Page et al., 2021\)](#page-16-0). PRISMA2020 stands for **P**referred **R**eporting **I**tems for **S**ystematic reviews and

¹ Major metals are produced in very large quantities (Chen and Graedel, [2012;](#page-14-0) [Elshkaki et al., 2018;](#page-14-0) [van der Voet et al., 2019](#page-16-0)). For a detailed distinction of major, minor and critical metals, please refer to supplementary information, section S0.
² Minor metals are produced in small quantities, typically as by-products, and

are partly considered critical ([van Nielen et al., 2022;](#page-16-0) [Nassar et al., 2015;](#page-15-0)

³ [Ambrose and Kendall \(2020\);](#page-14-0) [Langkau and Erdmann \(2021\)](#page-15-0); van der Meide [et al. \(2022\);](#page-16-0) [Wang et al. \(2021\);](#page-16-0) [Watari et al. \(2022\).](#page-17-0)

⁴ [Ciacci et al. \(2020\)](#page-14-0); [Koroma et al. \(2020\).](#page-15-0)

⁵ [Dong et al. \(2020\);](#page-14-0) [Li et al. \(2021\).](#page-15-0)

⁶ [Farjana et al. \(2019b\).](#page-15-0)

⁷ [Memary et al. \(2012\)](#page-15-0); Tan and Khoo (200

⁸ [van der Voet et al. \(2019\)](#page-16-0); [Harpprecht et al. \(2021\)](#page-15-0); [van der Meide et al.](#page-16-0) [\(2022\)](#page-16-0). 9 [Kumar Katta et al. \(2020\)](#page-15-0); [Song et al.\(2017\)](#page-16-0). 10 [Chisalita et al., 2019](#page-14-0); [Li et al.\(2022\).](#page-15-0)

Meta-**A**nalyses. It provides guidance to enhance the transparency, completeness and accuracy of systematic reviews. We used the domain-specific interpretation guidance of STARR-LCA, the **S**tandardised **T**echnique for **A**ssessing and **R**eporting **R**eviews of **L**ife **C**ycle **A**ssessment Data (STARR-LCA, [Zumsteg et al., 2012](#page-17-0)), to complete the PRISMA 2020 checklist, provided in the supplementary information (SI) (Tables S1.1–S1.3).

2.1.1. Search methods

The use of various methods for literature searches increases the comprehensiveness of systematic reviews ([Mayo-Wilson et al., 2018](#page-15-0); [Xiao and Watson, 2019](#page-17-0)).

In this review, scientific literature available by 6/12/2021 was collected using two search queries and three search engines [\(Fig. 1](#page-3-0)). Since the search queries led to over 90 results per engine, we continued with title screening for only the most relevant results according to the algorithm of each search engine:

1. Main search query:

- Keywords: ((metal production) OR (metal AND mining)) AND LCA AND (future OR prospective).
- Search engines: Leiden Catalogue¹¹ (top 50 results), Web of Science (top 50 results), Google Scholar (top 40 results).

2. Secondary search query:

- Keywords: ((metal production) OR (metal AND mining)) AND energy AND (future OR prospective).
- Search engine: Leiden Catalogue (top 50 results).

Additionally, we performed forward snowballing, using the relevance sorting engine of ResearchRabbit 12 to find articles connected to those already collected ([Cole and Boutet, 2023](#page-14-0); [Matthews, 2021\)](#page-15-0). For the snowballing, 20 seed papers were chosen based on the knowledge and expertise of the authors. Likewise, nine papers matching our intended scope were added from personal collections of the authors.

After removal of duplicates, this yielded a total of 139 papers as input for abstract screening. Each search method is further detailed in the SI (section S1.3).

2.1.2. Screening

To be selected, a publication had to meet all three inclusion criteria (see [Fig. 1\)](#page-3-0):

- **1**) **Metal production:** either mining, refining or further processing, or a combination of the three.
- 2) **Environmental impacts:** CO₂ emissions or other environmental impacts are calculated from a life cycle perspective. Hence, review papers were excluded. For iron and steel, the calculation of GHG emissions was required to limit the number of studies to a reasonable amount.
- **3**) **Future developments, scenarios or variables:** the study should estimate future environmental impacts. Studies investigating emerging technologies were included as these are potential future alternatives for incumbent technologies. Studies that provide a parameterised model of current technology were also included, for example [Manjong et al. \(2021\).](#page-15-0)

The geographical scope was not considered a criterion, so studies on a single country were included.

2.2. Assessment of research scopes

We analysed the goal and scope of the selected papers regarding their:

- a. Coverage of metals.
- b. Geographical scopes.
- c. Temporal scopes.
- d. Scenario types.
- e. Technological scopes.

Definitions are provided in [Table 1.](#page-3-0)

2.3. Assessment of impact trends

To answer research question 1, we analysed the quantitative results of the selected papers, specifically their statements about how the environmental impacts of the studied metal(s) are expected to develop in the future. A direct comparison of impact results from different LCA studies is not possible without previous harmonisation of all the LCA models ([Zumsteg et al., 2012\)](#page-17-0). Hence, we focus on trends rather than on the actual values.

For each metal, we categorised the reported impact trends with the help of four mutually exclusive indicators, which describe the direction of the expected trend of impacts from the base year to the future target year of the studies:

- "increase", "equal", and "decrease".
- "direction depends on scenario": the trend direction depends on the scenario and differs among the scenarios.

For a more detailed analysis presented in the supplement, we used two additional categories:

- "not clear": the trend is in principle considered in the study but not clearly stated or shown.
- "not calculated": the impact trend is not in the scope of the study.

This trend analysis was conducted for demand-related impacts (per annual metal demand) and specific impacts (per kg metal produced) (see definitions in [Table 1](#page-3-0)). Further, we distinguished between impact trends of primary production and of the market (primary and secondary production) (see [Table 1](#page-3-0)).

Finally, we identified major drivers for the change in future environmental impacts as reported by each study.

Please note that publications which do not quantitatively determine impacts are excluded in this analysis (see Table B.5 in [Harpprecht et al.](#page-15-0) [\(2023\)\)](#page-15-0).

2.4. Evaluation of scenario variables

The selected papers were screened to identify the scenario variables they used to model future environmental impacts of metal supply. A variable is defined as a property within the system of the metal supply chain or a factor outside of that system (e.g., the background electricity system) which is likely to change in the future and which may thereby influence the environmental performance of metal supply (see [Table 1](#page-3-0)). The identified variables are then grouped into variable categories which are aligned to the *stages* of metal supply chains: 1) background (upstream processes, such as energy supply or other inputs to metal production); 2) mining; 3) processing & refining; 4) metal markets (e.g., recycling shares or demand) and 5) energy use (general for the metal supply chain, e.g., energy efficiency). Note that we qualitatively analyse the choice of scenario variables without a quantitative assessment of the effect of scenario variables, as this would require a prior harmonisation of models [\(Zumsteg et al., 2012\)](#page-17-0).

¹¹ <https://catalogue.leidenuniv.nl>12 <https://www.researchrabbit.ai/>

Fig. 1. Overview of the applied approach for the literature search. The abstract screening is documented in [Harpprecht et al. \(2023\)](#page-15-0), Tables B.1, B.2. FAIR: findable, accessible, interoperable and reusable.

Table 1

Definition of terms used in this study.

2.5. Evaluation of scenario modelling approaches and data sources

For each study, we identified the scenario modelling approach and the data sources used of each variable. Scenario modelling approach refers to the concept used to estimate how a variable may develop in the future (see Table 1).

For variables which appeared in more than 10 publications, we analysed the modelling approach and data sources in detail. For each of these variables, we categorised the used modelling approaches and data sources to identify patterns, common features or sources. A category was created, if it appeared more than once within a variable, otherwise it was classified as "other". Examples of categories are provided in Table 1.

2.6. Adherence to FAIR data principles

In the last step, we investigated the disclosure of life cycle inventory (LCI) and scenario data for the selected studies.

The FAIR data principles state that "all research objects should be Findable, Accessible, Interoperable and Reusable (FAIR) both for machines and for people" ([Wilkinson et al., 2016,](#page-17-0) p. 3). FAIR data is important in the field of LCA [\(Hertwich et al., 2018](#page-15-0)), as data collection is very time consuming ([Ghose, 2024](#page-15-0)). Thus, achieving a system where LCA data and scenario data is FAIR can have considerable time benefits. [Ghose \(2024\)](#page-15-0) argues that storing LCA data in generic repositories such as Zenodo maximises FAIRness of data sharing.

Firstly, we determined whether parts of the LCI data and scenario data were published or not at all disclosed. Secondly, we screened the publications for their compliance with FAIR data principles. The screening was conducted via a keyword search for common keywords like: FAIR data; machine readable; interop*; reus*; reproduc*; complete model; python; repository; zenodo; github; superstructure (for a complete list, see Table B.3 in [Harpprecht et al. \(2023\)](#page-15-0)). Yields were screened again to remove false positives.

Lastly, we analysed the mentioning and choice of background databases in the reviewed studies.

3. Results

3.1. Research scopes of reviewed papers

The literature search and screening yielded 40 publications, which address 15 different metals (see [Fig. 2a](#page-4-0)). The identified studies were on early access or published between 2005 and 2021 (see Table S1.3). Copper was covered by the most studies followed by other major metals (iron and steel, Al, Ni, Zn, and Pb) (see [Fig. 2a](#page-4-0)). Future environmental impacts of minor metals (or 'technology metals', such as Co, Li and rare earth elements (REEs)) are currently rarely addressed (1–2 studies). In contrast, more studies assess the future demand of minor metals but neglect future environmental impacts (e.g., [Elshkaki, 2021](#page-14-0), [2020](#page-14-0); [Elshkaki and Graedel, 2015](#page-14-0); [Fu et al., 2020](#page-15-0); [Heijlen et al., 2021; Nguyen](#page-15-0)

Fig. 2. Overview of metals and scopes covered in the reviewed studies. a) Coverage of metals studied and number of studies per metal¹³; b) Distribution of scope choices and scenario types for reviewed studies. The temporal scope refers to the first and last year analysed. Definitions of terms are provided in [Table 1.](#page-3-0) 'Europe' and 'North America' refer to specific countries on the continent. For underlying data, see [Harpprecht et al. \(2023\)](#page-15-0), Table A.1. REEs: rare earth elements.

¹³ The 40 publications reviewed by metal: Al: [Farjana et al. \(2019a\);](#page-14-0) [Li, Zhang, Li, He \(2017\)](#page-15-0); [Li, Zhang, Niu, Yue \(2021\)](#page-15-0); [Manjong et al. \(2021\)](#page-15-0); Norgate and [Haque \(2010\)](#page-15-0); [Norgate and Jahanshahi \(2011\)](#page-15-0); [Norgate et al., \(2007\);](#page-15-0) [Pauliuk et al. \(2021\)](#page-16-0); Tan & [Khoo \(2005\);](#page-16-0) [van der Voet et al. \(2019\)](#page-16-0); [Yokoi et al. \(2022\);](#page-17-0) [Zhong et al. \(2021](#page-17-0)); **Au**: [Farjana and Li \(2021\);](#page-15-0) [Kumar Katta, Davis, Kumar \(2020\);](#page-15-0) **Cu**: [Alexander et al. \(2021\)](#page-14-0); [Ciacci et al. \(2020\)](#page-14-0); [Dong et al. \(2020\);](#page-14-0) [Elshkaki,](#page-14-0) [Graedel, Ciacci, Reck \(2016\);](#page-14-0) [Harpprecht et al. \(2021\); Kuipers et al. \(2018\)](#page-15-0); [Manjong et al. \(2021\); Memary et al. \(2012\)](#page-15-0); [Mudd et al. \(2013\)](#page-15-0); [Norgate and Haque](#page-15-0) [\(2010\)](#page-15-0); [Norgate and Jahanshahi \(2011\)](#page-15-0); [Norgate et al., \(2007\);](#page-15-0) [Northey et al. \(2013\); Pauliuk et al. \(2021\);](#page-16-0) [Song et al. \(2017\)](#page-16-0); [van der Voet et al. \(2019\)](#page-16-0); [Watari et al.](#page-17-0) [\(2022\)](#page-17-0); [Yokoi et al. \(2022\); Zhong et al. \(2021\)](#page-17-0); **Co**: [Rinne et al. \(2021\)](#page-16-0); [van der Meide et al. \(2022\)](#page-16-0); **Fe**: [Chisalita et al. \(2019\)](#page-14-0); [Koroma et al. \(2020\);](#page-15-0) [Kumar Katta,](#page-15-0) [Davis, Kumar \(2020\);](#page-15-0) [Li, Chu, Tang, Liu, Guo, Yan, Liu \(2022\)](#page-15-0); [Norgate and Haque \(2010\)](#page-15-0); [Norgate and Jahanshahi \(2011\)](#page-15-0); [Norgate et al., \(2007\);](#page-15-0) [Pauliuk et al.](#page-16-0) [\(2021\)](#page-16-0); [Ren, Liu, Ren \(2021\)](#page-16-0); [Ryberg et al. \(2018](#page-16-0)); [Sacchi et al. \(2022\)](#page-16-0); [Suer et al. \(2021\)](#page-16-0); [van der Voet et al. \(2019\); Wang et al. \(2021\)](#page-16-0); [Yokoi et al. \(2022\);](#page-17-0) [Zhong](#page-17-0) [et al. \(2021](#page-17-0));**Li**: Ambrose & [Kendall \(2020\);](#page-14-0) [Manjong et al. \(2021\);](#page-15-0) **Mn**: [Manjong et al. \(2021\);](#page-15-0) [van der Voet et al. \(2019\);](#page-16-0) **Ni**: [Eckelman \(2010\)](#page-14-0); [Elshkaki, Reck,](#page-14-0) [Graedel \(2017\)](#page-14-0); [Harpprecht et al. \(2021\);](#page-15-0) [Khoo, Haque, Woodbridge, McDonald, Bhattacharya \(2017\)](#page-15-0); [Manjong et al. \(2021\);](#page-15-0) [Norgate et al., \(2007\)](#page-15-0); [van der Voet](#page-16-0) [et al. \(2019\)](#page-16-0); [Yokoi et al. \(2022\)](#page-17-0); [Zhong et al. \(2021\);](#page-17-0) **Pb**: [Harpprecht et al. \(2021\)](#page-15-0); [van der Voet et al. \(2019\);](#page-16-0) [Yokoi et al. \(2022\);](#page-17-0) [Zhong et al. \(2021\)](#page-17-0); **Ti**: [Norgate](#page-15-0) [et al., \(2007\)](#page-15-0); **Zn**: [Harpprecht et al. \(2021\);](#page-15-0) [Pauliuk et al. \(2021\);](#page-16-0) [van der Voet et al. \(2019\)](#page-16-0); [Yokoi et al. \(2022\);](#page-17-0) [Zhong et al. \(2021](#page-17-0)); **REEs (i.e., Dy, Nd, Pr, Tb)**: Langkau & [Erdmann \(2021\).](#page-15-0)

[et al., 2021](#page-15-0); [Sverdrup and Ragnarsdottir, 2016; Tisserant and Pauliuk,](#page-16-0) [2016;](#page-16-0) [Watari et al., 2019\)](#page-17-0). These studies purely on future demand were excluded.

other rounded years. Several studies do not report a specific end year but call it "future".

Comparing the identified 15 metals (Fig. 2a) with the 15 metals of the highest GHG emissions for global primary production in 2008 [\(Nuss](#page-16-0) [and Eckelman, 2014\)](#page-16-0), studies are lacking for calcium, magnesium, chromium, boron, selenium, and silver. For ecosystem damage and human health, the lack applies to molybdenum, mercury, uranium, platinum and antimony.

The geographic scope is mostly global (19 studies), whereas others focus on a specific country (see Fig. 2b). For the temporal scope, most studies start the analysis at present, although a specific year is not always specified. As end year, a common choice is 2050, along with some

Most studies (85 %) have chosen an explorative approach as scenario type. They either investigate pathways (55 %, 22 studies), i.e., dynamic developments over several years (e.g., from 2020 to 2050), or make an explorative technology comparison (30 %, 12 studies). Technology comparisons are static and compare two or more metal production methods under future conditions (e.g., in 2050). Various kinds of pathways were encountered, such as different socio-economic storylines (e.g., [IEA, 2017;](#page-15-0) [Riahi et al., 2017\)](#page-16-0) or "what-if" scenarios, where a set of specific changes are tested [\(Pesonen et al., 2000](#page-16-0)). Only a few studies (10 %) created predictive (3 studies) or normative scenarios (1 study).

Although the studies are about the future, the large majority (29)

70

Fig. 3. Trends of future GHG emissions according to the reviewed studies. a) and b) aggregate the data for all metals. c) Results only for the six metals studied the most (n >= 3). Demand-related impacts (solid bars) represent trends of GHGs of a future annual demand of a metal. Specific impacts (hatched bars) show trends per 1 kg metal produced. Results for impacts other than GHGs and the other metals are provided in the SI (see Fig. S2.b). Note: Some studies, e.g., [Li et al. \(2017\),](#page-15-0) investigate CO₂ emissions instead of CO₂-eq.. They are aggregated here since the trend of CO₂ emissions and of CO₂-eq. are likely to coincide. Papers which do not quantitatively determine any impacts are excluded in this analysis, i.e., [Pauliuk et al. \(2021\)](#page-16-0) and partly [Norgate and Jahanshahi \(2011\).](#page-15-0) Thus, the number of studies may deviate from [Fig. 2.a](#page-4-0)). For underlying data, see [Harpprecht et al. \(2023\)](#page-15-0), Tables B.4 and 5, C.1.

considers only currently dominant (incumbent) technologies, while a few studies cover both dominant and emerging technologies (9).

3.2. Trends and drivers of future impacts of metal supply

[Fig. 3](#page-5-0) illustrates the expected trends of future GHG emissions for all metals aggregated (see a)-b)) or in detail by metal for the six metals investigated by most studies (see c)). It compares specific impacts, i.e., per kg metal produced, and demand-related impacts, i.e., of a future annual demand. Demand-related impacts consider the future demand of primary, and optionally of secondary metal production.

In total, specific GHG impacts are assessed more often (63 times) than demand-related impacts (48 times) [\(Fig. 3](#page-5-0)a,b).

At a high-level perspective [\(Fig. 3a](#page-5-0),b), no clear consensus exists whether specific and demand-related GHG emissions will increase, decrease or stay about constant in the future. The results seem to depend on the respective study, its scenarios, scenario variables and assumptions.

Yet, [Fig. 3](#page-5-0)a,b) reveal the following differences between demandrelated and specific impacts: for demand-related impacts, a small majority of the results (54 %) state that GHG emissions may increase, while for specific GHG emissions, a majority of 65 % declare that impacts may decrease in the future.

In both cases, however, these majorities are undermined by results claiming the respective opposing impact trend or stating that the trend direction depends on the choice of scenario.

For the detailed results per metal ([Fig. 3c](#page-5-0)), the same conclusion can be drawn: the results for future GHG impacts per metal are not univocal. A high variety of impact trends are reported in literature even for an individual metal.

The only development where literature seems to fully agree is that for copper, aluminium and lead specific GHG emissions of the respective metal markets may decrease. Here, the main drivers are a greener electricity mix and increased secondary production shares. However, it is very uncertain whether these improvements will be sufficient to compensate for the effect of a rising demand, as there seems to be little confidence that demand-related GHG impacts may also decrease (see high shares of "increase" or "direction depends on scenario" for demand-related impacts).

When comparing impact trends of primary production and of the market mix, i.e., primary $+$ secondary production (see [Fig. 3c](#page-5-0)), we see that results differ as well. This highlights the need to consider future secondary supply shares which may considerably lower environmental impacts. However, primary supply impacts are to date more often examined than impacts of market mixes (primary $+$ secondary supply).

It stands out that demand-related impacts of all metal markets are considered unlikely to decrease (see Fig. S2). For both GHG emissions (11 studies) and other impact categories (only 7 studies), not a single study states a solely decreasing trend for demand-related impacts of markets. The trends are either expected to increase (70 % of results) or depend on the scenario (30 %). For impacts other than GHGs, there is strong evidence for an increasing trend, which represents 92 % of the results with only 8 % representing a dependency on the scenario choice. Interestingly, demand-related impacts of metal markets are so far rarely assessed (14 of 39 studies, i.e., 36 %) despite their high coverage and relevance for global sustainability goals.

Generally, most studies assess GHG emissions (87 % of studies), other impact categories are less often assessed, i.e., by 49 % of studies (see Fig. S2).

More details about the trends and drivers of future impacts per metal are provided in the next sections (Sections 3.2.1–[3.2.7](#page-7-0)). The results of the remaining metals are presented in the SI, section S2.

3.2.1. Copper

Copper has been investigated by 18 of the scenario studies. From these studies, a consensus emerges that a decline of mined ore grades may increase specific emissions of primary production. Historic trends clearly show that the concentration of copper in mined ores is declining ([Memary et al., 2012](#page-15-0); [Northey et al., 2013\)](#page-16-0), which increases water and energy requirements as well as toxicity impacts [\(Dong et al., 2020](#page-14-0); [van](#page-16-0) [der Voet et al., 2019](#page-16-0)).

For specific GHG emissions, a decline is often anticipated, especially for the market mix. Thus, the effect of lower ore grades can potentially be offset by increased recycling shares and more renewable electricity ([van der Voet et al., 2019;](#page-16-0) [Watari et al., 2022; Yokoi et al., 2022](#page-17-0)).

Some studies also report impacts beyond climate change. The trend of these impacts is partly identified as independent of that of GHG emissions, e.g., for human toxicity or metal depletion (Harpprecht et al., [2021\)](#page-15-0). These impacts originate from direct mining emissions and are therefore not influenced by common measures against GHG emissions, such as a greener electricity mix [\(Harpprecht et al., 2021\)](#page-15-0).

Copper demand grows in all scenarios, driving up the demandrelated impacts. This trend cannot be offset by increased recycling shares ([van der Voet et al., 2019](#page-16-0); [Watari et al., 2022\)](#page-17-0). Recycling shares are likely to rise as demand levels off and recovery rates increase. The benefits of higher recycling shares are much larger than of pure energy efficiency measures [\(Yokoi et al., 2022\)](#page-17-0).

3.2.2. Iron and steel

Future impacts of iron and steel are investigated by 15 studies. Multiple studies stress that GHG intensities of primary steel production cannot substantially decrease with current production technologies as these require fossil fuels and do not offer further potential for efficiency improvements ([van der Voet et al., 2019; Wang et al., 2021\)](#page-16-0). [Wang et al.](#page-16-0) [\(2021\)](#page-16-0) demonstrate that specific GHG emissions may not be considerably reduced through efficiency improvements of the current primary and secondary production technologies which have been stagnating in the last years. A switch to low-carbon technologies is required to decrease GHG intensity of primary production [\(van der Voet et al., 2019](#page-16-0); [Wang et al., 2021](#page-16-0)). Some studies show that novel production technologies can considerably reduce specific climate change impacts of primary steel supply, such as carbon capture and storage [\(Chisalita et al.,](#page-14-0) [2019\)](#page-14-0) and hydrogen-based direct reduction ([Koroma et al., 2020](#page-15-0)). [Sacchi et al. \(2022\)](#page-16-0) reveal that specific climate change impacts of the steel market can be reduced by 45 % if secondary production shares are increased and electricity supply is decarbonised.

However, it is expected that global steel demand may be growing in the next decades ([Ryberg et al., 2018;](#page-16-0) [Wang et al., 2021](#page-16-0); [Yokoi et al.,](#page-17-0) [2022\)](#page-17-0) by a factor of up to 3.5 [\(van der Voet et al., 2019\)](#page-16-0), which increases primary steel production and thus also demand-related global GHG emissions from steel ([Kumar Katta et al., 2020](#page-15-0); [van der Voet et al., 2019](#page-16-0); [Wang et al., 2021\)](#page-16-0). This rise in emissions can only be avoided through drastic measures which limit steel demand (e.g., through material efficiency improvements, increased recycling shares) or rigorously reduce GHG intensity of primary production ([van der Voet et al., 2019;](#page-16-0) [Wang](#page-16-0) [et al., 2021;](#page-16-0) [Yokoi et al., 2022](#page-17-0)).

Only a few studies assess impact categories other than climate change for future steel production. [Van der Voet et al. \(2019\)](#page-16-0) found that other impacts follow similar trends as climate change impacts. Likewise, [Norgate et al. \(2007\)](#page-15-0) found that switching to bath smelting processes for stainless steel reduces both climate change and acidification impacts. On the other hand, [Chisalita et al. \(2019\)](#page-14-0) stress that the application of CCS for blast and basic-oxygen furnaces may reduce specific climate change impacts but is likely to increase impacts in almost all other impact categories independent of the type of CCS technology applied.

3.2.3. Aluminium

Future impacts of aluminium production have been discussed by 11 publications. Specific GHG emissions of aluminium production are expected to decline in most scenarios. For other impact categories, however, no consensus seems to exist.

The main driver to lower specific GHG emissions is switching to a more renewable electricity mix [\(Farjana et al., 2019a;](#page-14-0) [van der Voet](#page-16-0) [et al., 2019](#page-16-0)). However, this may increase other impacts, such as human toxicity [\(Farjana et al., 2019a\)](#page-14-0) and metal depletion (van der Voet et al., [2019\)](#page-16-0). Other emission reduction options are more energy-efficient technologies ([Li et al., 2017;](#page-15-0) [Manjong et al., 2021](#page-15-0); [Norgate and](#page-15-0) [Jahanshahi, 2011](#page-15-0)), especially in the metal extraction and refining stages ([Norgate and Jahanshahi, 2011\)](#page-15-0), waste reduction during production ([Tan and Khoo, 2005\)](#page-16-0), and increased recycling rates [\(van der Voet et al.,](#page-16-0) [2019\)](#page-16-0). There is no evidence of declining aluminium ore grades ([Norgate](#page-15-0) [and Jahanshahi, 2011](#page-15-0); [van der Voet et al., 2019](#page-16-0)).

GHG emissions of aluminium production are expected to increase due to growing demand in the next decade ([Li et al., 2017;](#page-15-0) [van der Voet](#page-16-0) [et al., 2019\)](#page-16-0). Later, high recycling rates may lower demand-related GHG emissions again ([van der Voet et al., 2019](#page-16-0)).

3.2.4. Nickel

Future impacts of nickel production are uncertain, though there is a strong indication that both specific and demand-related climate impacts may increase. Anticipated increases of demand-related impacts are driven by rising demand and ore grade decline ([Elshkaki et al., 2017](#page-14-0); SSP 2–5 in [Yokoi et al., 2022](#page-17-0); [van der Voet et al., 2019](#page-16-0)). Likewise, the expected trend of specific impacts may increase due to declining ore grades (Markets First scenario in [van der Voet et al., 2019;](#page-16-0) SSP 2–5 in [Yokoi et al., 2022;](#page-17-0) [Harpprecht et al., 2021](#page-15-0)), unless electricity supply is deeply decarbonised [\(Harpprecht et al., 2021;](#page-15-0) [van der Voet et al., 2019\)](#page-16-0) and recycling shares are increased [\(Harpprecht et al., 2021\)](#page-15-0). Next to these future scenarios, other analyses investigated production variables independent of their temporal evolution. They confirm the results that ore grade is a major driver for energy use and consequently for climate change impacts ([Manjong et al., 2021;](#page-15-0) [Eckelman, 2010\)](#page-14-0) and that a greener electricity mix could substantially reduce climate impacts [\(Khoo](#page-15-0) [et al., 2017;](#page-15-0) [Eckelman, 2010\)](#page-14-0). There are thus strong indications that climate change impacts of nickel production may increase in the future due to declining ore grades driven by growing demand, though a greener background electricity mix and higher recycling shares may partially compensate these increases in impacts.

3.2.5. Zinc

Specific climate change impacts of zinc production are not expected to change substantially. They either have a slight decline ([Harpprecht](#page-15-0) [et al., 2021](#page-15-0); [van der Voet et al., 2019;](#page-16-0) [Yokoi et al., 2022](#page-17-0)) or slight increase [\(van der Voet et al., 2019\)](#page-16-0) up to 2050, depending on the background electricity supply. The effect of declining ore grades is minor compared to other metals. It is likely to be offset by a greener electricity

mix in most impact categories, except for human toxicity and metal depletion ([Harpprecht et al., 2021](#page-15-0)). Specific climate change impacts are likely to be influenced most by greening the background electricity mix. When considering demand-related impacts, the picture is clearer: both [van der Voet et al. \(2019\)](#page-16-0) and [Yokoi et al. \(2022\)](#page-17-0) find increasing impacts in all scenarios, despite improvements in the background like a more renewable electricity mix.

3.2.6. Lead

The specific climate change impact of primary and secondary lead production is expected to decrease driven by the energy transition ([Harpprecht et al., 2021](#page-15-0); [van der Voet et al., 2019;](#page-16-0) [Yokoi et al., 2022](#page-17-0)). According to [Harpprecht et al. \(2021\),](#page-15-0) the effect of declining lead ore grades can be overcompensated by increasing recycling shares for specific market impacts.

On the other hand, demand-related environmental impacts may still increase driven by demand and despite phasing-out strategies and increasing recycling rates [\(van der Voet et al., 2019\)](#page-16-0). Likewise, [Yokoi](#page-17-0) [et al. \(2022\)](#page-17-0) indicate that the energy transition, recycling shares and decreasing metal intensity are unable to fully compensate growing demand which results in increasing GHG emissions for SSP1-4. Ore grade decline and an energy transition play a smaller role for lead than for other metals analysed by [van der Voet et al. \(2019\).](#page-16-0)

3.2.7. Others

In the following, we discuss metals investigated by one or two articles (see Fig. S2.b). Manganese, cobalt and lithium are highly relevant as they are enablers of electrification technologies, such as batteries ([Manjong et al., 2021](#page-15-0); [Rinne et al., 2021](#page-16-0)). Increasing demand scenarios result in higher demand-related impacts for these three metals ([Ambrose](#page-14-0) [and Kendall, 2020](#page-14-0); [van der Meide et al., 2022; van der Voet et al., 2019](#page-16-0)), but the effect may be partially mitigated with a greener electricity mix ([Manjong et al., 2021](#page-15-0); [van der Meide et al., 2022;](#page-16-0) [van der Voet et al.,](#page-16-0) [2019\)](#page-16-0). Furthermore, declining ore grades may increase specific impacts ([Manjong et al., 2021;](#page-15-0) [van der Meide et al., 2022](#page-16-0)), although [van der Voet](#page-16-0) [et al. \(2019\)](#page-16-0) found no evidence of a current grade decline of manganese ore. For lithium, the use of low-grade ores is expected to grow significantly, but adapting the production routes to the ore grade may partially mitigate the impacts [\(Ambrose and Kendall, 2020\)](#page-14-0).

Similarly, the rare earth elements neodymium, dysprosium, praseodymium, and terbium are crucial for magnets, e.g., in electric cars ([Langkau and Erdmann, 2021](#page-15-0)). [Langkau and Erdmann \(2021\)](#page-15-0) state that specific environmental impacts may most effectively be reduced through

Table 2

Description of scenario variables used to model future impacts of metal production for each variable category.

3.3. Scenario variables

mitigation measures preventing illegal mining and improving environmental standards in China. Despite such improvements, the study reports an increase of global demand-related impacts for scenarios with medium and high future demand. Reductions in climate change impacts are only achieved in scenarios with major climate action and low future demand.

Two studies investigated gold as a precious metal without a direct role in the energy transition. [Farjana and Li \(2021\)](#page-15-0) assessed twelve impact categories for four scenarios on Swedish primary and secondary production. They indicate that an increase in gold recycling would decrease the specific emissions of the gold market. [Kumar Katta et al.](#page-15-0) [\(2020\)](#page-15-0) assessed the environmental benefit and cost of 24 GHG mitigation options for the Canadian primary production of gold and developed seventeen pathways from 2018 to 2050. In most of the pathways, growing demand increases GHG emissions. However, emissions could decrease by 20 % if diesel haul trucks for ore extraction are replaced with electric and hybrid vehicles and by reducing the underground mining ventilation requirements.

We identified 15 scenario variables common within the reviewed literature, which we grouped into five categories: background system, mining, processing & refining, metal markets, and energy use. [Table 2](#page-7-0) provides the detailed description of each variable.

Fig. 4a illustrates the number of occurrences of each variable. Each study uses 2–9 scenario variables to model the development of metal production. The most studied scenario variables are background electricity mix and ore grade. These are included in 26 and 21 out of 40 reviewed studies respectively. They are followed by the variables of general energy efficiency improvements, metal demand and recycling shares (all 19 studies). Furthermore, the deposit type (12 studies), mining efficiency, production locations and market shares of refining methods (all 10 studies) are frequently investigated.

For the background system, studies mostly modelled changes in the electricity mix. Only 5 of 40 studies integrated background variables

a) Frequency of variables

Fig. 4. Overview of studied scenario variables in the 40 studies. a) Frequencies of variables grouped by overarching categories or life-cycle stages. Numbers in brackets refer to the total number of studies per variable category. b) Scenario variables by metal. For the respective publications per metal, see [Fig. 2](#page-4-0)a). For un-derlying data, see [Harpprecht et al. \(2023\)](#page-15-0), Tables A.1, C.2. BG: background; REEs: rare earth elements.

other than the electricity mix ([Harpprecht et al., 2021;](#page-15-0) [Koroma et al.,](#page-15-0) [2020;](#page-15-0) [Langkau and Erdmann, 2021](#page-15-0); [Sacchi et al., 2022;](#page-16-0) [Zhong et al.,](#page-17-0) [2021\)](#page-17-0). Since this approach is not widely used, either due to technical challenges or lower relevance, there is a general lack of background scenarios for many variables.

In the mining stage, the scenario variable most used is ore grade. Ore grade is important for certain major metals (Cu, Ni, Zn, Pb), because their mined ore grades have been decreasing over time, which can negatively affect the environmental performance of primary production ([Harpprecht et al., 2021;](#page-15-0) [van der Voet et al., 2019\)](#page-16-0). Two variables are closely linked to ore grade, namely production location and deposit type.

Future developments in the stage of processing and refining are studied the least. The reason could be that the technologies for smelting and refining are well-established and have been optimised for several decades, thus offering fewer options for technology improvement. This applies for example to copper, but depends on the metal. For instance, iron and steel form an exception, as the smelting process via the blast furnace has a high emission-intensity and needs to be replaced by alternative or emerging technologies in the future. Such technological innovation is accounted for by the variables of technology-switch or the application of CCS. Efforts to retrieve refining information can be valuable as it provides insight in technology development and the implications of new mines [\(Ambrose and Kendall, 2020](#page-14-0); [Mudd et al.,](#page-15-0) [2012\)](#page-15-0).

It is remarkable that co-mining is addressed in only three studies (7.5 %), even though the choice of allocation method can have a profound influence on the results ([Langkau and Erdmann, 2021;](#page-15-0) [van der Meide](#page-16-0) [et al., 2022](#page-16-0)). Especially less-abundant metals are mainly produced as byor co-products ([Nassar et al., 2015\)](#page-15-0), making allocation a key variable.

The variables of demand and recycling share are mostly assessed in combination, since the recycling share is constrained by the ratio of endof-life material versus demand.

Within the category of energy use, the fuel mix (e.g., increasing the share of biomass ([Koroma et al., 2020\)](#page-15-0), hydrogen ([Suer et al., 2021\)](#page-16-0) or electrifying heat supply [\(Watari et al., 2022\)](#page-17-0)) is less often modelled than general energy efficiency improvements.

Ultimately, it is surprising that background changes, especially for the electricity mix, are considered by so many publications. We noticed that the technical approaches to incorporate them as background scenarios differ. Some studies apply automated approaches, e.g., from [Mendoza Beltran et al. \(2020\);](#page-15-0) [Steubing and de Koning \(2021\)](#page-16-0) or [Sacchi](#page-16-0) [et al. \(2022\)](#page-16-0), which are transparent and reproducible. They allow to systematically relink new process within the entire database. In contrast, manual approaches relink new processes usually only to a selection of processes, thus not realising a complete incorporation into the entire database (e.g., [Koroma et al., 2020;](#page-15-0) [van der Voet et al., 2019;](#page-16-0) [Watari](#page-17-0) [et al., 2022](#page-17-0)). Although all approaches adapt processes in the background system, their consistency and depth differ.

[Fig. 4](#page-8-0)b provides an overview of the identified scenario variables per metal illustrating existing scenarios as well as potential research gaps. Studies implemented 1.0 (titanium) to 9.0 (REEs) variables per metal. While the proportion of studies that address demand is fair (\geq 50 %) for most metals, nickel demand has been studied in only 2 of 9 studies. For the metals of cobalt, gold, and lithium, scenarios considering other BG changes, production locations, technology-switch, application of CCS, and energy efficiency are mostly lacking. The application of CCS is so far only considered for iron and steel. For zinc and lead, existing studies cover mostly the same variables but lack scenarios for the mining and refining stages. For the REEs (neodymium, dysprosium, praseodymium, terbium), only 1 study was identified, however that one realised the maximum of 9 variables.

3.4. Scenario modelling approaches and data sources

Our review indicates a high variety of scenario modelling approaches

and data sources. We identified 229 unique data sources which were used for generating scenarios by the 40 publications (see Table S3). A complete overview of the scenario modelling approaches and data sources of each study is provided in a repository [\(Harpprecht et al.,](#page-15-0) [2023\)](#page-15-0). Many variables have no common modelling approach across studies. Additionally, modelling approaches are often not reported consistently, making it challenging to identify patterns.

[Fig. 5](#page-10-0) illustrates the identified categories for scenario modelling approaches and data sources for variables which appear in more than 10 publications.

For the modelling approaches, certain approaches are common across variables and used several times within a variable (see [Fig. 5.](#page-10-0)a). What-if scenarios and extrapolation of historic trends are used the most (in 5 out of 6 variables investigated), followed by scenarios from IAMs or energy models (used 4 times), with the most applied models being IEA, IMAGE or Remind and shared socio-economic pathways (SSP) scenarios. Less frequent approaches are using scenario data or assumptions from literature (3 times) or from MFAs (2 times). Scenarios of other models are additionally used, e.g., the GeRS-DeMo [\(Northey et al., 2014\)](#page-16-0) for ore grade data or logistic growth models for demand scenarios ([Ambrose](#page-14-0) [and Kendall, 2020](#page-14-0)).

For some variables, our analysis reveals that certain approaches are prevailing, i.e., an approach is used by more than 40 % (see [Fig. 5](#page-10-0)c). This is the case for the variables of i) background electricity mix, with scenarios from IAMs or energy system models representing 54 %; ii) demand, with the MFA approach reaching 56 %; and iii) ore grade, where exploration of historic trends accounts for 48 % of the modelling approaches. For recycling shares, MFA and what-if scenarios are with 32 % each quite common. In contrast, the variables of deposit type and energy efficiency exhibit a high diversity of modelling approaches.

For data sources, we found fewer similarities across variables (see [Fig. 5](#page-10-0)b). The most common is scientific literature, which IAMs belong to (e.g., [Riahi et al., 2017;](#page-16-0) [Baumstark et al., 2021;](#page-14-0) [Stehfest et al., 2014](#page-16-0); [Mendoza Beltran et al., 2020\)](#page-15-0). Data sources are mostly variable-specific (see [Fig. 5d](#page-10-0)) and very diverse even within a variable (see high contribution of other). However, scenario data from IAMs and energy models is used frequently in the variables of background electricity mix (46 %), recycling shares (11 %), energy efficiency (11 %) and demand (11 %). In contrast, the variables of ore grade and deposit type require data of higher resolution, which is usually out of the scope of IAMs and energy models. Thus, studies use metal-specific data sources for ore grade and deposit types. Primary data is a major data source only for deposit type (25 %). For recycling shares, most of the studies (42 %) derive scenarios within the publication, e.g., via MFA, or use scenarios from [Elshkaki](#page-14-0) [et al. \(2018\)](#page-14-0) (21 %). Despite the high variety of data sources, several peer-reviewed articles appear as dominant sources for scenario data for ore grade ([Kuipers et al., 2018;](#page-15-0) [Mudd, 2009;](#page-15-0) [Mudd et al., 2013](#page-15-0); [Mudd](#page-15-0) [and Jowitt, 2014](#page-15-0); [Northey et al., 2014, 2013](#page-16-0); [Valero et al., 2011;](#page-16-0) [Van](#page-16-0) [der Voet et al., 2019\)](#page-16-0), recycling shares [\(Elshkaki et al., 2018\)](#page-14-0), demand ([Elshkaki et al., 2018, 2016\)](#page-14-0), or energy efficiency ([Kuipers et al., 2018](#page-15-0); [Kulczycka et al., 2016\)](#page-15-0).

3.5. Adherence to FAIR data principles

The analysis of data disclosure of the reviewed studies revealed that 25 % of studies did not publish LCI or scenario data at all. The rest of the studies published data but the completeness of the data is very difficult to determine as an external reviewer. Many different data formats were used (tables in the main publication, in the supplementary PDF, in spreadsheets, etc.). No common format could be identified. Moreover, no common approach for documenting scenario data, assumptions and meta-data could be identified.

The keyword search for FAIR data principles [\(Wilkinson et al., 2016\)](#page-17-0) did not yield many results in the reviewed studies. This reveals that these principles are not commonly used yet. Only the following keywords could be found: "python" (10 % of studies), "superstructure" (10 %),

Fig. 5. Identified categories for scenario modelling approaches and data sources for variables which appear in more than 10 studies. The categories are not mutually exclusive. "not clear" indicates that the required information cannot be derived from the original publication. If no bar is shown, the value is 0 %. For underlying data, see [Harpprecht et al. \(2023\),](#page-15-0) Tables A.1 and 2, C.3.

*The 54 % can be disaggregated into the following models (not mutually exclusive): IEA: 23 %; IMAGE: 15 %; REMIND: 4 %; LEAP: 4 %; MESSAGEix: 4 %; SSPs not specifying IAM: 8 %. **Scientific literature includes also individual scientific publications. ***GeRS-DeMo: Geologic Supply–Demand Model.

"repository" (7.5%) , "zenodo" (5%) , "github" (2.5%) . For a full list of the other keywords, see [Harpprecht et al. \(2023\)](#page-15-0), Table B.3.

50 % of the studies used ecoinvent as database for the background system but the versions of ecoinvent vary (version 2.1, 2.2, 3.1–3.8). The rest of the studies reported to use other databases (e.g., GaBi) or data from unspecified sources (30 %).

The term of background scenario or background system are divergently used by practitioners. Furthermore, using different background databases makes results not only less comparable but also makes it difficult to reuse the scenario data for new studies which apply a newer version of the background database [\(Miranda Xicotencatl et al., 2023](#page-15-0)). Only three studies ([Harpprecht et al., 2021;](#page-15-0) [Sacchi et al., 2022; van der](#page-16-0) [Meide et al., 2022\)](#page-16-0) released scenario data versions compatible with newer ecoinvent versions, e.g., by updating their scenario data after the initial publication.

4. Discussion

4.1. Key findings

This study aimed to provide a systematic overview of existing research about future environmental impacts of metals. We identified 40 publications [\(Section 3.1\)](#page-3-0) and reviewed their results ([Section 3.2](#page-6-0)), i.e., reported impact trends, and methods regarding studied scenario variables ([Section 3.3\)](#page-8-0), scenario modelling approaches and scenario data sources ([Section 3.4](#page-9-0)).

Our results show that the reviewed studies address only 15 metals (see [Fig. 2\)](#page-4-0). The majority of publications focuses on assessing future impacts of the supply of major metals, like copper, iron and steel, or aluminium. While various studies investigate future demand of minor metals, such as lithium, cobalt, or rare earth elements, their future impacts are rarely studied. Impact assessments of certain metals are completely lacking despite their significant global production impacts, e.g., calcium, magnesium, or silver [\(Nuss and Eckelman, 2014\)](#page-16-0).

Most studies investigated specific primary supply impacts and GHG emissions. There is a lack of studies addressing potentially other relevant impacts, such as land use, water use, or related biodiversity loss, as well as demand-related impacts of future global metal demand ([Fig. 3\)](#page-5-0).

Among the reviewed studies, no clear consensus seems to exist regarding the future trends of impacts across all metals. Also studies on single metals regularly find diverging impact trends, making it difficult to draw conclusions. The results seem to depend on the scenario narratives, scenario variables and assumptions. Nevertheless, we can identify the following general trends [\(Fig. 3](#page-5-0)):

- Specific impacts (i.e., impacts per kg metal produced) are likely to decrease.
- Demand-related impacts (i.e., impacts for the total amount of metal supplied) are expected to increase.
- Overall, we hence see that relative decoupling may occur: impacts per kg metal may decrease, e.g., due to the diffusion of low-carbon technologies, but rise in demand will probably outstrip these gains.
- For copper, aluminium and lead, there is a consensus in literature that specific GHG emissions of the respective metal markets will decrease driven by a greener electricity supply and increased recycling shares. Yet, this may be insufficient to compensate for a rising demand and to lower demand-related climate change impacts.

Within the 40 publications, we identified 15 scenario variables (see [Fig. 4](#page-8-0)). The most common variables are: background electricity mix, ore grade, recycling shares, demand, and energy efficiency improvements. There is not a universal variable that governs the impact trends of *all* metals. Each trend is a result of multiple variables, which can have reinforcing or counteracting effects on impacts. Yet, an increasing demand and demand-related impacts seem to be likely for all metals.

Our overview of scenario modelling approaches reveals a high variety of modelling approaches for each variable. The most common approaches are what-if scenarios, extrapolation of historic trends and using scenarios from IAMs or energy models ([Fig. 5a](#page-10-0), c). Likewise, data sources are highly diverse. We identified 229 unique data sources for the reviewed scenario variables (see [Fig. 5b](#page-10-0), d; provided in Table S3 and Table A.2 in [Harpprecht et al. \(2023\)\)](#page-15-0).

Publishing complete datasets in compliance with FAIR data principles is uncommon ([Section 3.5\)](#page-9-0). A common data format and streamlined documentation is needed to enable a combination of scenario variables from different studies.

4.2. Identified challenges and recommendations

Based on the literature review, we identified challenges and provide recommendations to overcome these in [Table 3.](#page-12-0) Recommendations are grouped into three areas: 1. Insights in future impacts of metals; 2. Scenario methods; and 3. Data.

Some challenges that we identified for metal production scenarios also apply to prospective LCA studies in the broader sense. A prominent example is the challenge to combine scenarios, for which a common LCI and scenario data format needs to be developed.

4.3. Comparison with previous reviews

Our results largely align with findings of previous literature reviews. In accordance with our study, [Watari et al. \(2020](#page-17-0), [2021\)](#page-17-0) identified an increase of future metal demand for metals, except for lead, whose demand they found to decrease after its growth until 2050 [\(Watari et al.](#page-17-0) [2021\)](#page-17-0). [Watari et al. \(2020\)](#page-17-0) highlighted a lack of demand scenarios specifically for critical metals and confirm the need to investigate potential environmental consequences of strong demand growth.

Similarly, a lack of studies assessing impacts beyond GHG emissions was also observed by [Watari et al. \(2021\)](#page-17-0), [Schenker et al. \(2022\),](#page-16-0) [Far](#page-15-0)[jana et al. \(2019b\)](#page-15-0) and [Picatoste et al. \(2022\).](#page-16-0) [Watari et al. \(2021\)](#page-17-0) and [Schenker et al. \(2022\)](#page-16-0) additionally stressed the need to consider emission constraints other than GHG emissions, e.g. using the framework of planetary boundaries, and to implement respective policy targets for metal life cycles.

Our result that future recycling shares is among the most common variables accords with [Watari et al. \(2021\)](#page-17-0), who thus recommended a wider perspective including the entire life cycle. Similarly, [Schenker](#page-16-0) [et al. \(2022\)](#page-16-0) confirmed the relevance of background and upstream processes in metal supply chains due to their high share of indirect emissions. Moreover, our result that the role of co-mining is barely addressed (7.5 % of studies) aligns with [Watari et al. \(2020\),](#page-17-0) who recommended further research in this direction.

In line with our finding that results of prospective LCAs are highly diverse and challenging to compare, [Watari et al. \(2021\)](#page-17-0) identified a high uncertainty in results of current literature for future metal demand, e.g. results differ by a factor of 2 or even more. Likewise, they explained these disparities by differences in methodologies and assumptions, and the complexity of models.

Lastly, similar to our study, many reviews voiced methodological challenges for the field of (prospective) LCA addressing, e.g., transparency and reproducibility of LCI data [\(Saavedra-Rubio et al., 2022](#page-16-0); [Laurent et al., 2014](#page-15-0); [Ghose 2024\)](#page-15-0), unharmonised reporting [\(Picatoste](#page-16-0) [et al., 2022\)](#page-16-0), missing guidelines ([Thoneman et al. 2020](#page-16-0); [Bisinella et al.,](#page-14-0) [2021\)](#page-14-0), incomparability of LCA results ([Thoneman et al. 2020; Suh et al.,](#page-16-0) [2004\)](#page-16-0) and incomplete interpretations of scenario-based LCA results ([Bisinella et al., 2021](#page-14-0)).

4.4. Limitations and future research

This study is subject to certain limitations. These lead to

Table 3

recommendations for future research which are complementary to the recommendations listed in [Table 3](#page-12-0) and [Section 4.3](#page-11-0).

First, identifying the future impacts of a metal is not trivial, since many factors may influence the supply and demand systems in often interrelated ways. Existing studies estimated future impacts and investigated the consequences of certain developments. We aimed at providing an overview of this existing research by qualitatively reviewing their methods and results, focusing on impact trends and related scenario variables for each metal ([Sections 3.2](#page-6-0) and [3.3\)](#page-8-0). However, we found that with such a qualitative assessment, no clear answer can be provided to the question of how future impacts might develop due to differences among metals, different scopes, modelling approaches, interlinked nature of variables, and limited insights into the respective studies. Thus, future research is needed for a quantitative assessment of future impact trends and drivers, which involves a harmonisation of their models, scenario variables and storylines, to assess the impact trend of already modelled scenarios and effects of all variables in a single model.

Second, we reviewed studies which investigated prospective elements for determining future impacts of metal supply. We thus excluded studies which solely modelled prospective demand scenarios of metals and used constant impact intensities, such as [Elshkaki \(2019,](#page-14-0) [2020](#page-14-0), [2021\)](#page-14-0), [Dong et al. \(2020\)](#page-14-0), [Elshkaki et al. \(2020\),](#page-14-0) or [Guohua et al.](#page-15-0) [\(2021\).](#page-15-0) As demand has proven a driving factor for future demand-related impacts, these excluded studies can provide valuable insights and data for future research on demand-related impacts of metal production.

Third, while this study reviewed scientific publications, nonscientific sources might also provide valuable information. Future review works might include more sources, e.g., white papers and technical reports.

Fourth, due to the choice of keywords for our search queries, certain developments might be excluded from this review, even though they might play a crucial role in the future for the supply of metals. These could include, for instance, increased urban mining, improved treatment of tailings or of end-of-life processes, such as new recycling methods for batteries. More research is needed especially for toxicity impacts of future metal supply, since mine tailings are known to be important contributors to global toxic emissions ([Reinhard et al., 2019](#page-16-0)).

Fifth, literature reviews are by nature subject to publication bias, which emerges because negative results are less likely to be published than positive results. For instance, LCA studies about emerging technologies are more likely to be published if environmental impacts can be reduced, while technology developers may refrain from publishing the environmental impacts of economically attractive technologies if their environmental performance turns out unfavourable. Thus, the findings from [Fig. 3](#page-5-0) may be less robust than they appear.

Furthermore, while this review focused on the inventory modelling of LCAs, future developments can also be accounted for during the impact assessment, for example, through dynamic characterisation factors for resource depletion impacts.

Moreover, a large number of LCA studies investigated the present environmental impacts of metal production [\(Bailey et al., 2021](#page-14-0); [Lee and](#page-15-0) [Wen, 2017; Marx et al., 2018](#page-15-0); [Schulze et al., 2017;](#page-16-0) for example, on REE [Sprecher et al., 2014](#page-16-0); [Vahidi et al., 2016\)](#page-16-0). These studies were not evaluated in this work which focuses on future aspects of metal supply. Nevertheless, these static analyses may provide additional insights and data for developing metal scenarios.

Further, our analysis of modelling approaches and data sources cannot entirely capture the origin and dependency of different sources. Authors use different ways to cite data or describe modelling approaches, which we cannot fully detect. However, our analysis can reveal general patterns and recurrences. More detailed analyses are required to gain a full picture, e.g., using network theory.

Lastly, our analysis about adherence to FAIR data principles ([Section](#page-9-0) [3.5\)](#page-9-0) is not extensive, since assessing the completeness of data is difficult and time-consuming. Therefore, we addressed the question via a keyword search and the manual elimination of false positives. Although this approach may not deliver exhaustive results, it can reveal a general lack of compliance with FAIR data principles.

Ultimately, we cannot offer a silver bullet to solve the problem of 1) publishing and documenting LCA data in a standardised format and 2) easily incorporating shared data. [Steubing et al. \(2023\)](#page-16-0) provide an overview of current practices and propose possible improvements in this regard. [Ghose \(2024\)](#page-15-0) discourages from publishing LCA data as supplementary information and instead recommends using repositories to best comply with FAIR data principles. Specifically, their assessment identified Zenodo as best suited repository provider. Solutions are needed for a more streamlined approach for the publication, documentation, and technical implementation of reusable scenario data for prospective LCAs.

While this review addresses future environmental impacts of metal supply, the metal industry is interlinked with all 17 sustainable development goals [\(IRP, 2020b;](#page-15-0) [UNDP, 2016\)](#page-16-0). Hence, more insights are needed concerning many aspects, such as geopolitical tensions and social sustainability ([IRENA, 2023\)](#page-15-0), governance ([IRP, 2020b](#page-15-0); [Ali et al.,](#page-14-0) [2017\)](#page-14-0), resilience [\(Troll and Arndt, 2022\)](#page-16-0), planetary limits [\(Schenker](#page-16-0) [et al., 2022\)](#page-16-0) or material constraints ([Breyer et al., 2022](#page-14-0); [Schlichenmaier](#page-16-0) [and Naegler, 2022](#page-16-0); [Liang et al., 2022;](#page-15-0) [Ren et al., 2021](#page-16-0); [de Koning et al.,](#page-14-0) [2018\)](#page-14-0). As these topics require other methods than prospective LCA, they are beyond the scope of this study. Readers are thus referred to the related literature.

5. Conclusions

This study provides an overview of existing publications about future environmental impacts of metal supply. Our results reveal that demandrelated impacts of future metal supply are likely to increase in the future due to a surging metal demand (for more details, see [Section 4.1](#page-11-0) Key findings). Potential improvements on the supply side, such as renewable electricity or increased recycling shares, can reduce impacts per kg metal produced, but rising demand is likely to outstrip these gains. Our findings show that future research is needed to address more metals, impacts beyond GHG emissions and especially demand-related impacts of global metal markets.

Hence, to minimise future impacts, drastic measures along the entire life cycle are needed addressing both supply and demand. This requires comprehensive studies taking a systemic view of future demand, respective supply developments and the associated environmental impacts. It should involve not only the metal industry, but also related sectors, such as the energy system, and actors, such as policy-makers. The latter should aim at reducing demand and e.g., advancing recycling. Otherwise, not only climate goals but also objectives regarding land use change and ecosystem conservation might be threatened.

Identifying the future impacts of metal supply is not trivial, since many factors influence the supply and demand systems in interrelated manners. Thus, an efficient collaboration among researchers and all stakeholders is required. Yet, this is hindered by the currently prevailing research practices which we found to be characterised by insufficient publication of data, and untransparent and unharmonised documentation (see [Table 3](#page-12-0)). Moreover, LCA models are at maximum reusable in isolation but not combinable to allow comparisons between studies.

We strongly recommend improving current research practices to facilitate collaborations and ultimately enable harmonised and more accurate assessments of scenario variables and interdependencies of sectors. The goal should be to combine scenarios from different sources to determine the overall impact trends, i.e., the joint effect of variables. Such a combination of variables requires improved guidelines and the publication of scenario data according to FAIR data principles. These

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recommendations could benefit not only metal scenarios, but prospective LCA in general.

The underlying data of our review is fully available at a repository ([Harpprecht et al., 2023](#page-15-0)). It presents the impact trends, scenario variables, modelling approaches and respective data sources per variable, study and metal. Our study thus provides a take-off point for future research for a more sustainable metal supply.

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CRediT authorship contribution statement

Carina Harpprecht: Writing – review & editing, Writing – original draft, Visualization, Validation, Project administration, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Brenda Miranda Xicotencatl:** Writing – review & editing, Writing – original draft, Visualization, Validation, Project administration, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Sander van Nielen:** Writing – original draft, Visualization, Project administration, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Marc van der Meide:** Writing – original draft, Methodology, Investigation, Data curation, Conceptualization. **Chen Li:** Writing – original draft, Investigation, Conceptualization. **Zhijie Li:** Writing – review & editing, Writing – original draft, Investigation. **Arnold Tukker:** Writing – review & editing. **Bernhard Steubing:** Writing – review & editing, Conceptualization.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Our dataset summarises the state-of-the-art of metal scenario modelling in prospective LCA including all data sources used per publication. It is openly available in a Zenodo repository [\(Harpprecht et al.,](#page-15-0) [2023\)](#page-15-0) to facilitate and accelerate future research: [https://zenodo.](https://zenodo.org/doi/10.5281/zenodo.10066583) [org/doi/10.5281/zenodo.10066583.](https://zenodo.org/doi/10.5281/zenodo.10066583)

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.resconrec.2024.107572](https://doi.org/10.1016/j.resconrec.2024.107572).

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