

SIMULTANEOUS PHOTOVOLTAIC PRODUCTION AND CROP GROWTH: FUNDAMENTALS AND APPLICATIONS OF AGRIVOLTAICS

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Agenda



About DLR

Introduction and fundamentals of Agrivoltaics

- $\circ~$ Background and motivation
- $\circ~$ Definition and history
- Advantages of Agrivoltaic Systems
- $\circ~$ Contribution to the SDGs
- $\circ~$ Potential of Agrivoltaics
- Classification of Agrivoltaic Systems
- Research lines and current challenges of Agrivoltaics

Agrivoltaics research in Almería, Spain

0. ABOUT DLR



German Aerospace Center Research Centre + Space Agency + Project Management Agency





DIGITALISATION Quantum Technologies & Systems Modelling



DLR in numbers

- 55 institutes in 30 sites.
- > 11,000 employees, including > 1,300 PhD students.
- > 40 spin-offs created in the last 10 years.
- Cooperation with > 500 partners organizations from 110 countries.

Institute of Solar Research



Research focus



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PLATAFORMA SOLAR DE ALMERÍA

OWNER AND OPERATOR: CIEMAT

Qualification Department – Institute of Solar Research (Almería – Spain)

5 research teams in the field of CSP and PV energy systems

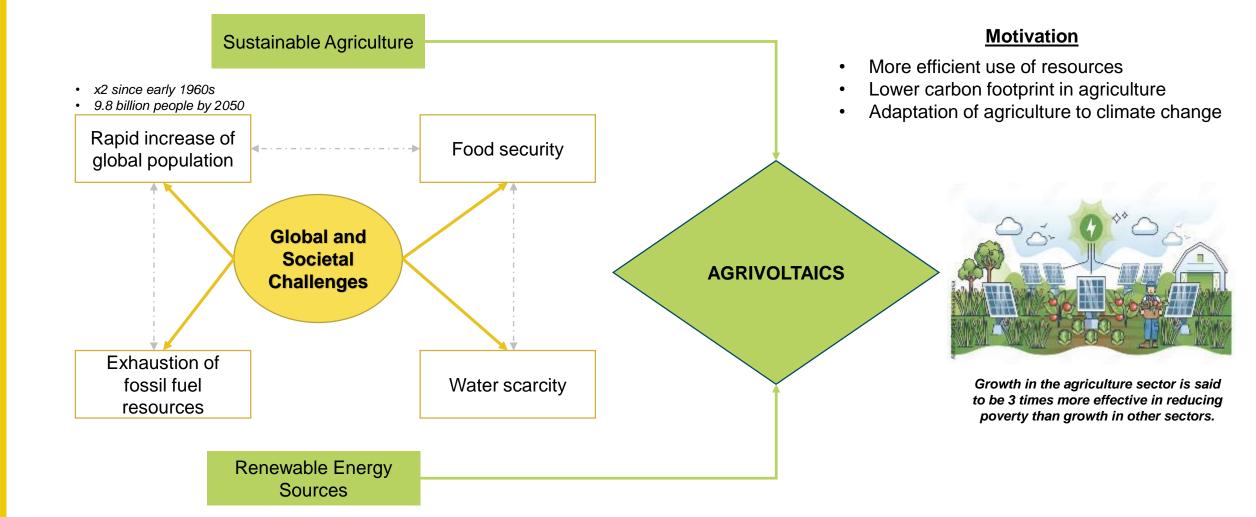
Optical Condition Monitoring	Thermal Condition Monitoring	Materials	Meteorology	Agrivoltaics
	DLR Co-sec-sec-sec-sec-sec-sec-sec-sec-sec-sec			
Optical qualification of solar fields of tower and parabolic trough systems	Thermal qualification of components and systems in industrial and commercial plants	 Development of coatings. Testing of materials under different climates and conditions. 	Measurements and Modelling of meteorological conditions and all parameters relevant to the double propert and	• Modelling and measurement of the influence of meteorological parameters for the

relevant to theparameters for thedevelopment andinvestigation of optionsoperation of facilitiesfor dual use of land

1. INTRODUCTION AND FUNDAMENTALS OF AGRIVOLTAICS

Background and Motivation





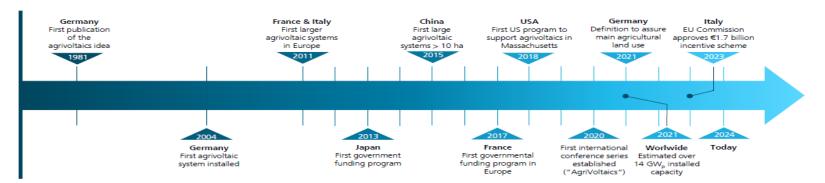
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Definition and history

The term **Agrivoltaics** represents the <u>dual use of land</u> for both <u>crop production</u> and <u>solar energy generation</u>, being <u>agriculture the main activity</u>.

The concept of agrivoltaics emerged in the early 1980s, when Goetzberger and Zastrow [1] mentioned the possibility of combining plant cultivation and solar energy production to alleviate the competition for the use of arable land between agriculture and energy generation.

In 2011, the term *"Agrivoltaics"* first appeared in research by Dupraz et al. [2]. However, the concept is also known as 'agri-photovoltaics' (APV), 'agrophotovoltaics', 'solar sharing', 'agrisolar', or 'agri-PV':



Timeline for the development of agrivoltaics. Source: Fraunhofer ISE

Definition and history

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Since 2011, the deployment of agrivoltaic systems has experienced an **exponential growth**.

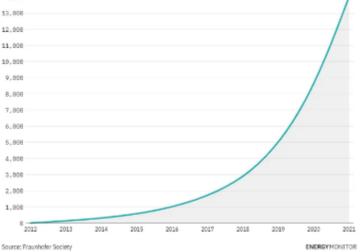
Agrivoltaics are booming



Research agrivoltaic facility in Germany. Source: Fraunhofer ISE.

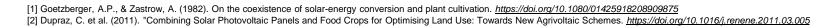


Installed agrivoltaic capacity worldwide, 2012-21 (MW)





Commercial agrivoltaic facility in France. Source: Sun'Agri.





Advantages of Agrivoltaic Systems

1. Reduce the competition for the use of land



Source: Fraunhofer ISE.

2. Protect the crops against extreme weather events



Vineyard damaged by hail. Source: Adobe stock.

3. Reduce evapotranspiration → Less water for irrigation is required



Source: Adobe stock.

4. Reduce the carbon footprint of agriculture



Source: Adobe stock.

Advantages of Agrivoltaic Systems

5. Promote the preservation of ecosystems and biodiversity



Sheep grazing within a PV system. Source: Adobe stock.

6. Reduce the dependence on fossil fuels



Source: Adobe stock.

7. Foster the welfare of rural communities

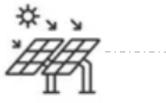




Job creation

Higher incomes

8. New source of revenues for farmers: Energy production

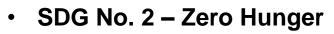






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Contribution to the Sustainable Development Goals (SDGs)





- ✓ Achieve food security
- ✓ Sustainable agriculture

• SDG No. 7 – Affordable and Clean Energy



 ✓ Access to renewable PV energy SDG No. 6 – Clean Water and Sanitation



 Sustainable management of water for irrigation

SDG No. 13. Climate Action





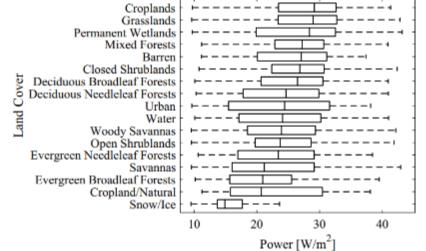
 Agrivoltaic systems can help to combat climate change challenges

Potential of Agrivoltaic Systems



- Nowadays, it is difficult to estimate the global PV capacity installed in Agrivoltaic installations.
 - Lack of databases and of a unified definition of Agrivoltaics.
 - In 2021, Fraunhofer ISE estimated a total agrivoltaics capacity > 14 GWp.
- Along with the deployment of agrivoltaic systems worldwide, several studies have been conducted in recent years to highlight the massive potential they present:
 - o In 2019, Adeh et al. [1] indicated that:
 - Croplands have the greatest median solar potential → Power density of ~28 Wp/m².
 - The global energy demand would be offset by PV production if ~1% of croplands were converted to agrivoltaic installations.

[1] Adeh, E. et al. "Solar PV Power Potential Is Greatest over Croplands.". *Scientific Reports 9, no. 1 (2019): 11442. https://doi.org/10.1038/s41598-019-47803-3*

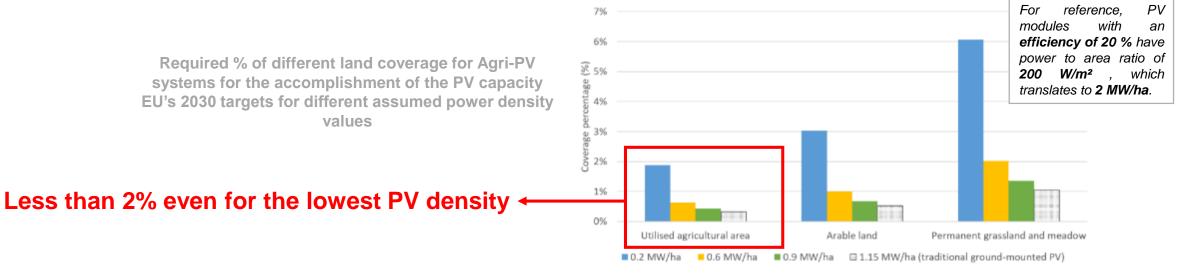


Solar power potential ranked by land cover classification

Potential of Agrivoltaic Systems



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- Along with the deployment of agrivoltaic systems worldwide, several studies have been conducted in recent years to highlight the massive potential they present:
 - o In 2023, a report from the European Commission [1] highlighted the huge potential of Agrivoltaics in the EU:
 - A coverage of just 1% of Utilised Agricultural Area (UAA) with Agri-PV systems would translate into 944 GW of new capacity additions (assuming a PV power density of 0.6 MW/ha).



Source: JRC analysis based on Eurostat and (Kougias et al., 2021b).

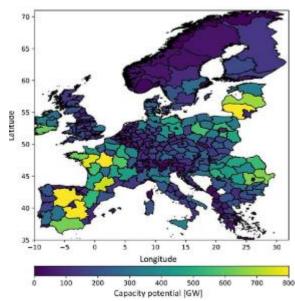
[1] Chatzipanagi, A. et al. (2023), "Overview of the Potential and Challenges for Agri-Photovoltaics in the European Union", doi:10.2760/208702.

Potential of Agrivoltaic Systems

- Nowadays, it is difficult to estimate the global PV capacity installed in Agrivoltaic installations.
 - Lack of databases and of a unified definition of Agrivoltaics.
 - In 2021, Fraunhofer ISE estimated a total agrivoltaics capacity > 14 GWp.
- Along with the deployment of agrivoltaic systems worldwide, several studies have been conducted in recent years to highlight the massive potential they present:
 - Also, in 2023, Khan and Victoria [1] evaluated the capacity potential of Agrivoltaics in the EU by region:



- 16.2% area of EU (170 million ha) is suitable for agrivoltaics.
- Potential capacity of 51 TW in Europe.
- Highest potential in flat Spanish and French regions and in Eastern Europe.

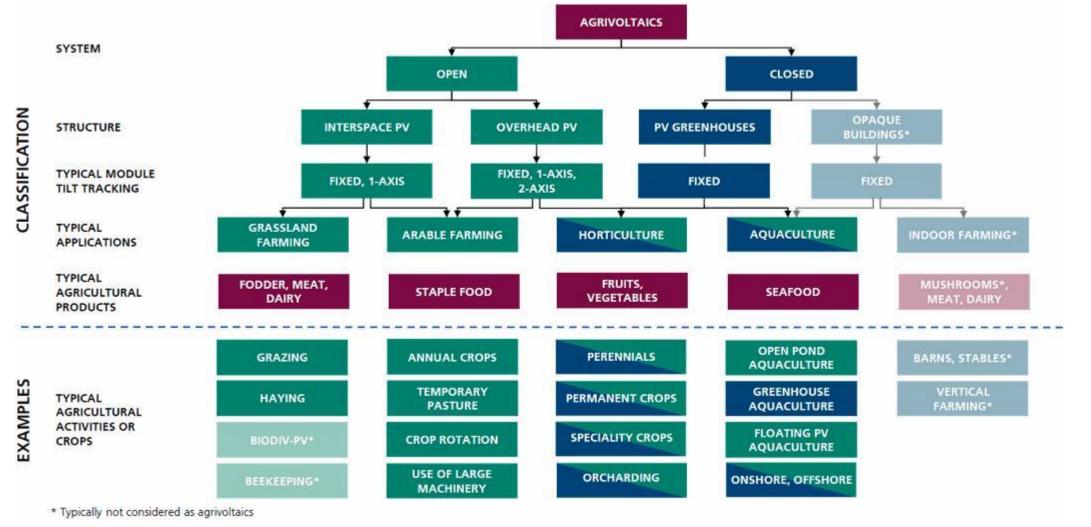


Maximum capacity potential for agrivoltaics systems Capacity density = 0.3 MW/ha

Suitable eligible land for agrivoltaics deployment in Europe

Classification of Agrivoltaic Systems





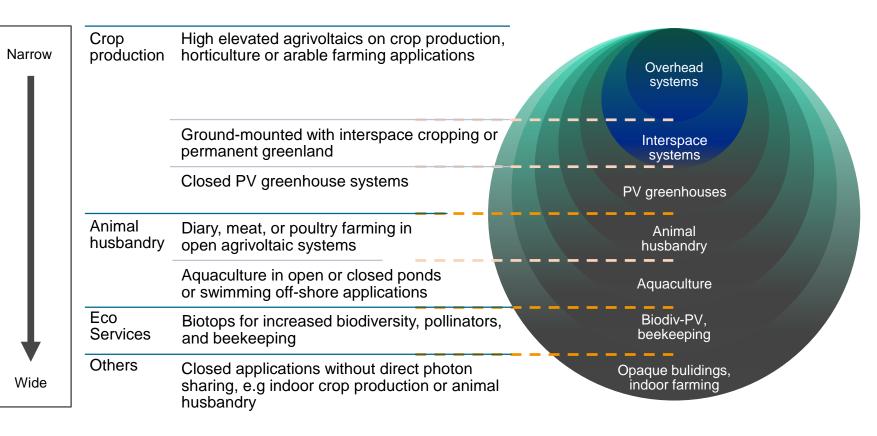
Broad classification of agrivoltaics systems according to Gorjian et al. [1]

[1] Gorjian, S. et al. (2022), "Progress and Challenges of Crop Production and Electricity Generation in Agrivoltaic Systems Using Semi-Transparent Photovoltaic Technology". DOI: 10.1016/j.rser.2022.112126.



Classification of Agrivoltaic Systems

- Nowadays, there is not a set of universal definitions for agrivoltaic systems.
- A wide diversity of activities can then be considered as Agrivoltaics.
 - □ Sheep grazing.
 - Beekeeping.
 - □ Fish farming.
 - **u** ...



Definition hierarchy of agrivoltaics systems according to the experts of IEA Task 13. Source: [1]

[1] IEA PVPS Task 13 report (to be published), "Dual Land Use for Agriculture and Solar Power Production".

Classification of Agrivoltaic Systems (Narrow definition) - Examples





© Next2Sun





Horticulture, overhead



PV Greenhouse





AgriPV Webinar: Sapienza UniRome. May 3, 2024

Classification of Agrivoltaic Systems (Wide definition) - Examples







Solar Beekeeping



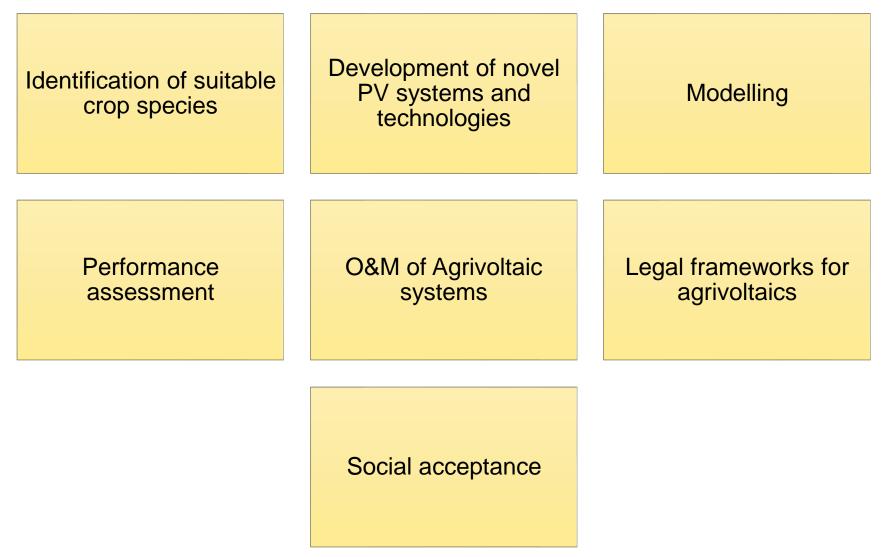
Aquaculture Shrimp PV greenhouse



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Research lines and current challenges of Agrivoltaics



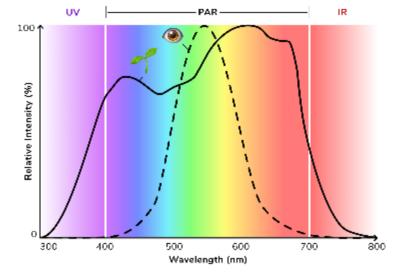


Research lines and current challenges of Agrivoltaics

Identification of suitable crop species

Not all crops are suitable for Agrivoltaics!

- Each crop has a maximum light threshold, and excessive light can be harmful to its growth.
- Some basic concepts of biology:
 - **PAR radiation**: Solar radiation within the 400 nm to 700 nm range of the spectrum. Plants use the photons within this range in the photosynthesis process.
 - PPFD = PAR \rightarrow No. of photons within the 400 nm 700 nm range received by a surface during a certain amount of time [umol/m²/s].



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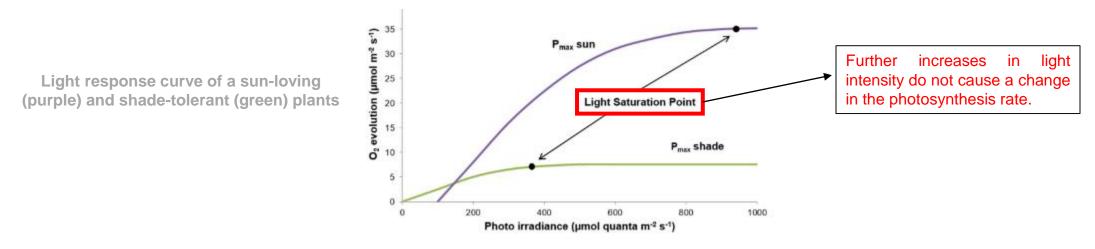


Research lines and current challenges of Agrivoltaics

Identification of suitable crop species

Not all crops are suitable for Agrivoltaics!

- Each crop has a maximum light threshold, and excessive light can be harmful to its growth.
- Some basic concepts of biology:
 - **Light response curve**: It represents the correlation between the amount of PAR received by the plant and the photosynthesis rate.



- The light saturation point is crucial for optimizing the shading ratio of an agrivoltaic system for determining the suitability of crops to be cultivated in the system.
- ✓ The lower the light saturation point the more shade can be given to a crop without experiencing yield losses.

Research lines and current challenges of Agrivoltaics

Identification of suitable crop species

- An accurate knowledge of the <u>light requirements of crop</u> <u>species</u> contribute to:
 - Optimizing the design of the agrivoltaic system.
 - ♦ Implementation of smart light management approaches
 → Dynamic agrivoltaic systems

- Other aspects, such as <u>plant dimensions</u>, <u>climate conditions</u> <u>and irrigation needs</u>, can also determine the configuration of the agrivoltaic installation:
 - Overhead PV systems are particularly suitable for perennial crops (fruit orchards and vines) and for the cultivation of berries.
 - Interspace PV systems are suitable for arable or grassland farming.

DLI = *Daily Ligh Integral* = PAR dt **DLI crop requirements Species** Insufficient Sufficient/Good Optimal High light demanding crops (DLI_{opt} > 30 mol m⁻² day⁻¹) 12 – 30 Cucumber < 12 > 30 < 15 > 30 15 - 30Tomato Medium light demanding crops (10 mol m⁻² day⁻¹ > DLI_{ont} > 20 mol m⁻² day⁻¹) Strawberry < 12 12 - 19> 19 8 – 17 Spinach < 8 > 17 Low light demanding crops (5 mol m⁻² day⁻¹ > DLI_{opt} > 10 mol m⁻² day⁻¹) 4 - 8Kalanchoe < 4 > 8 Poinsettia < 6 6 - 10> 10

Source: https://doi.org/10.1016/j.eja.2020.126074



Overhead PV for strawberries cultivation. Source: Baywa r.e.



Interspace PV in arable farming. Source: Fraunhofer ISE

Research lines and current challenges of Agrivoltaics Development of novel PV systems and technologies

Semi-Transparent PV modules



- Mature m-Si technology.
- High reliability.

- Non-uniform light distribution.
- Glass Glass High weight.







- Uniform light distribution on the ground.
- Low efficiency.
- High cost.
- Lifetime.





- Photo-selective PV materials.
- Uniform light distribution.
- Flexible and lightweight.
- Low efficiency.
- Not commercially available yet.
- Lifetime.

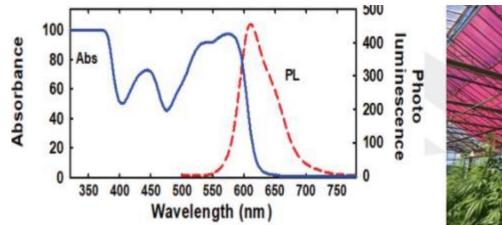
Research lines and current challenges of Agrivoltaics

Development of novel PV systems and technologies

Semi-Transparent PV modules

Luminescent PV These modules have coatings that modify the incident solar spectrum (e.g. they can convert UV light into visible light)







Source: DOI 10.1002/2016EF000531

- High reliability.
 Increase the use
 - Increase the useful light for plants.

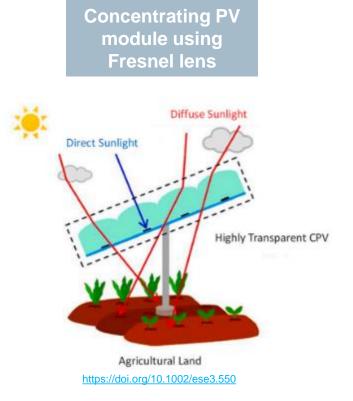
Customized transparency.

- High cost.
- Only commercially available in USA.

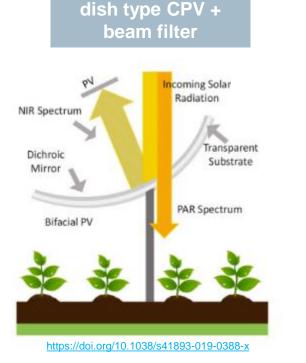


Research lines and current challenges of Agrivoltaics Development of novel PV systems and technologies

Semi-Transparent PV modules



- Less PV area is required. ٠
- Diffuse sunlight can pass through ٠ the modules and reach the plants.

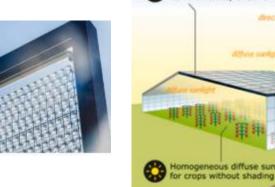


Dual-axis tracking

- Wavelength-selective transparency.
- NIR wavelengths are reflected to the PV cells.
- PAR light can pass through the mirror and reach the plants.



CPV modules with optical microtracking technology



Homogeneous diffuse sunlight © Insolight

THEIA modules produce electricity with 29% efficiency under direct sunlight

- ٠ No tracking systems are required.
- Use of small lenses and micro PV cells. ٠
- Homogeneous diffuse sunlight at plant level.

Research lines and current challenges of Agrivoltaics Development of novel PV systems and technologies Flexible and lightweight PV modules

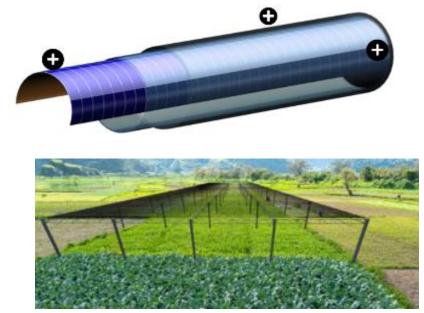
Integration into greenhouse covers



Source: https://doi.org/10.1016/j.seta.2020.100641



Tubular PV modules

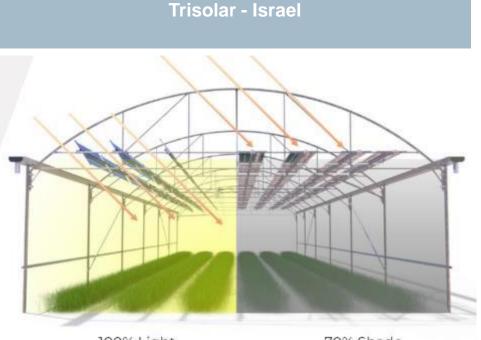


Source: Tubesolar AG



Research lines and current challenges of Agrivoltaics Development of novel PV systems and technologies

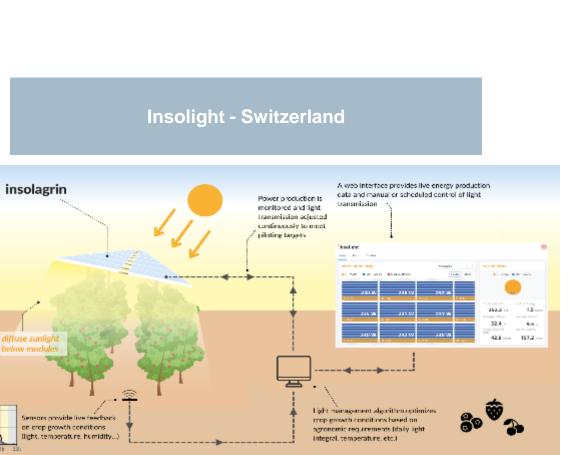
Smart integrated systems for Agrivoltaics



100% Light

70% Shade

- The adjustable modules allow to control light and shade at crop level.
- Real-time monitoring of light and crop conditions → Tracking system.



- Semi-Transparent PV modules.
- Optical layer for shading.

<u>.</u>**-

Centralised control system.

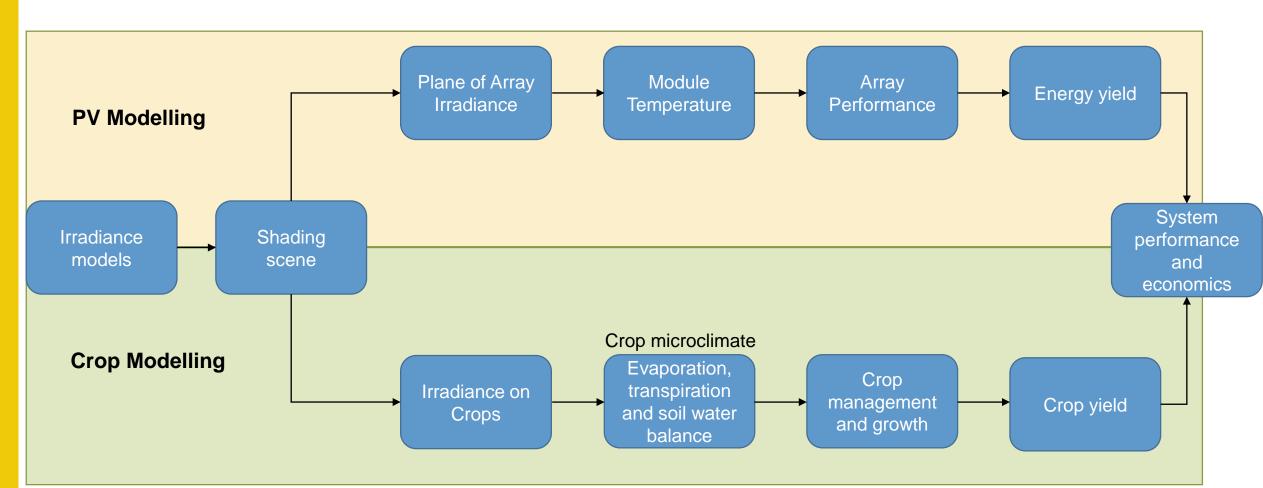




Research lines and current challenges of Agrivoltaics

Modelling in Agrivoltaics

Flowchart – Simulation & Modelling



AgriPV Webinar: Sapienza UniRome. May 3, 2024

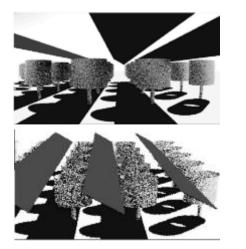


Research lines and current challenges of Agrivoltaics

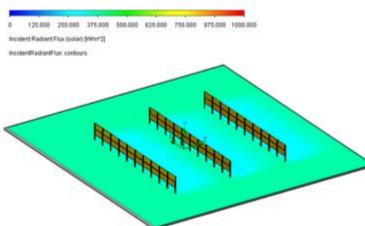
Modelling in Agrivoltaics

Irradiance Modelling

- 1. Evaluation of the light distribution at PV module and ground levels.
- 2. Shading modelling \rightarrow View-factor equations or Ray-Tracing.
- 3. Decomposition of solar irradiance components.
- 4. Irradiance transposition models \rightarrow Different surfaces and only one sensor.
- 5. PAR modelling.



Scene of an Agrivoltaic system within an olive grove Bifacial created with Radiance Raytracing Tool [1]





- □ Use of Typical Meteorological Year (TMY) data
- Typical softwares and models
 - PVSYST
 - o Radiance
 - o SketchUp
 - CDF models
 - 3D models
 - \circ SMARTS → Solar spectrum generator.

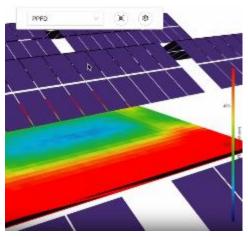


Illustration of the results provided by <u>Spade</u> software

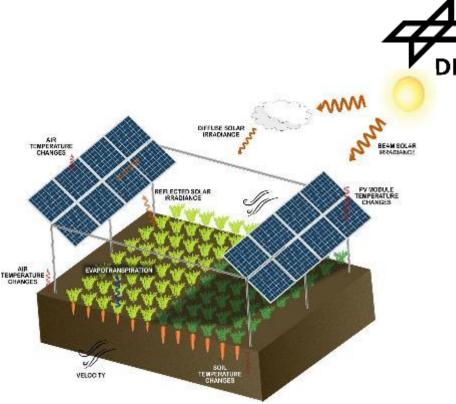
Irradiance simulation of a vertical Agrivoltaic system using a CFD model [2]

Research lines and current challenges of Agrivoltaics

Modelling in Agrivoltaics

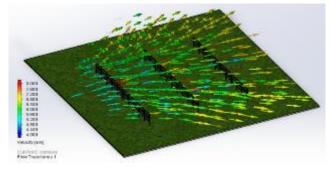
Microclimate Modelling

- 1. Thermal assessment through analytical and data-driven models.
 - Energy balance and heat transfer models.
- 2. 3D temperature and wind dynamics modelling through CFD tools or multiphysics models (ANSYS, COMSOL, ...).
- 3. Modelling of 3D moisture transport through CFD techniques.
- 4. Water balance models \rightarrow Evaporation and evapotranspiration rates.
- 5. Rainfall distribution on the ground → Interception and redistribution of rain by PV modules.

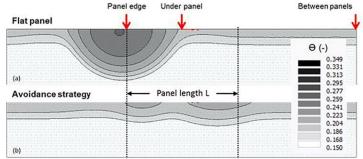


Microclimate interactions within an Agrivoltaic system

CFD model computation of wind speed and direction [1]

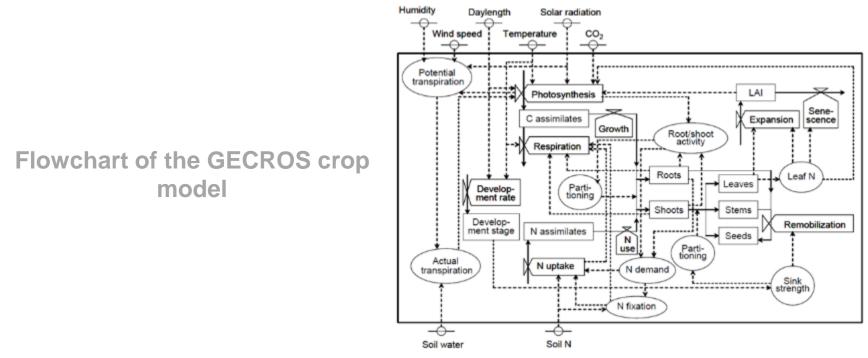


Simulation of soil water patterns – Hydrus 2D [2]



Research lines and current challenges of Agrivoltaics Modelling in Agrivoltaics Crop Modelling

- ✤ Up until now, there are no crop models tailored to Agrivoltaic systems.
- In some research articles, traditional crop growth models have been applied. However, their complexity and the high number of inputs required to run them does not make suitable their application within the agrivoltaics field.



There is a pressing need for dual (PV yield + Crop yield) models adapted to Agrivoltaics

Research lines and current challenges of Agrivoltaics

Performance Assessment – Key Performance Indicators (KPIs)



In Agrivoltaics, KPIs allow to quantitatively evaluate the system performance, thus leading e.g. to a potential optimization of their design and/or operation to maximize the final incomes for the farmers.

KPIs related to light/solar irradiance

KPI	Description				
Homogeneity of Light (LHI)	Measures the uniformity of PAR distribution within the APV area.				
Light Use Efficiency (LUE)	Relates total agricultural yield to the total amount of light reaching the system.				
Light Productivity Factor (LPF)	Evaluate the efficiency of solar irradiance sharing for a particular crop and APV system configuration.				
Light Distribution in PV Greenhouses (G _{GR})	Calculates the incident global irradiance within a PV greenhouse [99].				

KPIs related to PV

KPI	Description
Power Conversion Efficiency (PCE _n)	Measures the performance and
	stability of PV modules over time.
Energy Yield (Y _{el})	Connects yearly electrical power
	generation to the land area of the
	APV system.

Agricultural KPIs

KPI	Description				
Crop Yield (Y _{ag})	Represents the quantity of				
	agricultural produce harvested				
	per unit of land area.				
Energy Yield (Y _{el})	Connects yearly electrical power				
	generation to the land area of the				
	APV system.				

Research lines and current challenges of Agrivoltaics

Performance Assessment – Key Performance Indicators (KPIs)



In Agrivoltaics, KPIs allow to quantitatively evaluate the system performance, thus leading e.g. to a potential optimization of their design and/or operation to maximize the final incomes for the farmers.

Land-related KPIs

КРІ	Description				
Ground Coverage Ratio (GCR)	The ratio of the area occupied by PV				
	modules to the total area of the				
	agrivoltaic system.				
Land Equivalent Ratio (LER)	Evaluates the productivity of land for				
	APV systems compared to traditional				
	PV installations.				
Land Productivity Factor (LPF)	Assesses APV system productivity				
	based on relative yields for PV				
	electricity production and PAR				
	reaching the crop level.				

$$LER = \frac{Y_{c,APV}}{Y_{c,ref}} + \frac{Y_{PV,APV}}{Y_{PV,ref}} \begin{bmatrix} LER > 1 & \text{indicates that the} \\ \text{land productivity of an APV} \\ \text{system is higher than the} \\ \text{productivity of a separate} \\ \text{PV or agricultural system} \end{bmatrix}$$

Water-related KPIs

KPI	Description			
Water Productivity (WP)	Relates total agricultural yield to the total			
	water needed for the crop.			
Water Consumption (WC)	Measures the volume of water consumed			
	per unit area of the crop.			
Water Use Efficiency (WUE)	Relates total agricultural yield to the total			
	amount of reference evaporation.			

Economic Metrics

KPI	Description / Why relevant?			
Net Present Value (NPV)	Determines a project's			
	profitability by considering cash			
	inflows and outflows.			
Levelized Cost of Electricity (LCOE)	Actual cost of generating			
	electricity by an APV system.			

Research lines and current challenges of Agrivoltaics

Operation and Maintenance

Monitoring

Irradiance/PAR measurements



Pyranometers



PAR sensor

Soil measurements



- Soil temperature ٠
- Soil moisture ٠
- Soil EC conductivity ٠

Meteo/Microclimate measurements

Air temperature, RH, wind speed and direction, barometric pressure, and precipitation.

MetSens600 – Campbell Scientific



Crop measurements

- Photosynthesis rate.
- Leaf wetness.
- Morphological measurements (steam • length, number of leaves, Leaf Area,...)
- Fresh and dry mass. •
- Fruit quality. •

Leaf Wetness Sensor



Photosynthesis rate



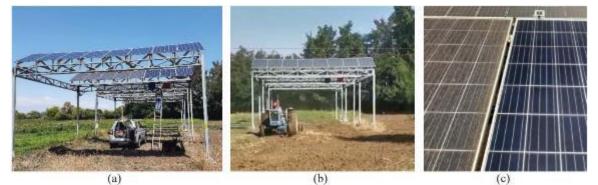


Research lines and current challenges of Agrivoltaics

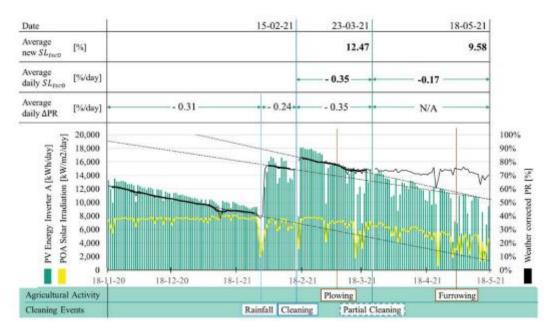
Operation and Maintenance

Soiling mitigation

<u>Action</u>: Periodical cleaning of PV arrays to remove built-up soiling (dust, dirt, pollen, debris, etc). <u>Importance</u>: Boost of energy production and prevent follow-up failures (hot spots \rightarrow mismatch effects).



Soiling impact on an Agrivoltaic installation in Chile [1]. (a) Cleaning of the modules. (b) Plowing with a tractor below the modules. (c) Soiled vs Clean modules.



Monitoring of the impact of soiling on the Performance Ratio (PR) of the agrivoltaics installation in Chile [1]. The green bars represent the daily PV energy. The yellow line represents the daily POA radiation. The black line shows the evolution of the PR.



Research lines and current challenges of Agrivoltaics

Operation and Maintenance

Vegetation management

<u>Action</u>: Periodical vegetation management under and around the PV modules and their mounting structures. <u>Importance</u>: Prevent seasonal shading + Ensure proper light exposure for crops.

Preventive maintenance – Check of Balance of System (inverter, cabling, mounting structures, etc.)

<u>Action</u>: Routine checks of inverters, other electrical components and mounting structures. <u>Importance</u>: Ensure normal operation + identify potential issues to prevent downtime and ensure safety.

Irrigation system maintenance

<u>Action</u>: Maintenance and inspection checks of the irrigation system for proper functioning. <u>Importance</u>: Ensure that crops receive enough water, especially in drought periods, to support healthy growth.

Agronomic practices

<u>Action</u>: Implementation of integrated pest management strategies, planting patterns and harvesting approaches, etc. customized to the configuration of the Agrivoltaic system <u>Importance</u>: Maximize land use efficiency and maintain a harmonious coexistence between land/crops and PV systems.









Research lines and current challenges of Agrivoltaics

Legal Frameworks for Agrivoltaics

There is not a common EU framework for Agrivoltaics systems

So far, only a few countries have established regulatory laws and decrees about agrivoltaics systems, being <u>Germany</u> the <u>first country</u> in <u>Europe</u> to do that following the "Agriculture First" approach.

"<u>Agriculture First</u>": Strategic framework that aligns solar power generation with the needs and objectives of agricultural production by considering that **agriculture is the main use of the land**.

Country	Maximum lost areas*	Minimum relative crop yield	Reference crop yield has to be recorded?	Maximum GCR	Minimum clearance height	Minimum relative PV yield	Rate of agricultural subsidies	Reference
France	Not considered	90%	Yes (5% area of the APV system, max. 1 ha)	40%	-	-	100% if GCR ≤ 40%	<u>Decree No. 2024 -318</u> (2024)
Germany	 Cat.1 - Overhead APV: 10% Cat. 2 - Interspace APV: 15% 	66%	-	-	 Cat.1: 2.1 m Cat 2. Not applicable 	-	85%	DIN SPEC 91434 (2021)
Italy	30%	Not defined	-	40%	 1.3 m with animals 2.1 m with crops 	60%	Undecided	Guidelines issued by the Italian Ministry of Environment and Energy Transition (2022)
Japan	Not considered	80%	-	-	-	-	Unknown	https://doi.org/10.1063/5.0 054674

Regulatory requirements – Agrivoltaics policies of different countries

AgriPV Webinar: Sapienza UniRome. May 3, 2024

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*Lost areas are zones that cannot be occupied by crops due to the PV installation





Social acceptance

Opposition of locals and farmers to the deployment of PV installations in rural areas

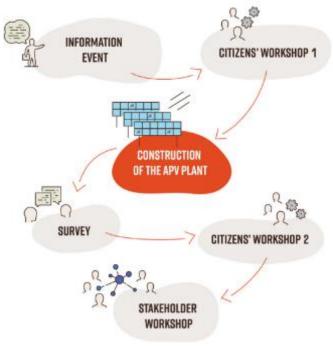
The US's largest solar farm is canceled because Nevada locals don't want to look at it

Michelle Lewis I Jul 26 2021 - 10:37 am PT 🛛 🗟 0 Comments



It is crucial to evaluate the social acceptance of agrivoltaics systems and to promote awareness of their benefits

Local workshops and dissemination events



Multi-stage educational approach [1]





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