# Interpretable multiscale Machine Learning-Based Parameterizations of Convection

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**Figure 1:** The high-resolution dataset ( $\Delta x \approx 2.5$  km) is coming from the tropical Atlantic (NARVAL campaign<sup>2</sup>). Only radiation, cloud microphysics, and turbulence where parameterized.



Figure 2: Summary of preprocessing steps. Starting from the original data, we computed the output fields, applied coarsegraining operators, filtered the data, and rescaled the resulting dataset.

We calculate the subgrid fluxes without using the Boussinesq approximation from the processed data.

 $F_{u}^{sg} = \overline{\rho w u} - \overline{\rho} \overline{w} \overline{u} = \overline{\rho} \overline{w' u'} + \overline{w} \overline{\rho' u'} + \overline{u} \overline{\rho' w'} + \overline{\rho' w' u'}$ 

This flux is then converted to tendencies by taking the vertical divergence.





Figure 4: The median and quartiles of the validation error for four different NN architectures is shown.

Figure 3: The used U-Net architecture is visualized schematically.





Normalization

• Standardization by mean and

### We built a physically explainable multiscale machine-learning convection parameterization<sup>1</sup>

- Model predictions can be explained by using the SHapley Additive exPlanations (SHAP) framework<sup>3</sup> and taking weighted averages.<sup>1,4</sup>
- positive super- and negative sub-diagonal  $\rightarrow$ high vertical velocities increase subgrid flux above, reduction below
- Impact of large scale ascent and mesoscale convergence on convection



 $F_u^{\mathrm{sg}}$  $F_a^{\mathrm{sg}}$  $F_{q_r}^{\mathrm{sg}}$  $F_{q_1}^{\mathrm{sg}}$ Feature Import

Negative local impact of u/v on  $F_{u/v}^{sg} \rightarrow \text{transport of convective}$ plume to adjacent columns Positive influence above and below diagonal  $\rightarrow$  signs of

- forced convection and impact of shear on mesoscale convective systems<sup>5</sup>
- In lower levels some consistent (over different data
- sets X) non-local patterns

Figure 5: The ensemble mean of weighted SHAP values for the ablated U-Net is visualized. Aggregated feature importance is shown in lowermost row.

 $a_1$ 

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ithub.com/automatic111 stable-diffusion-webu

Negative impact of  $q_v \rightarrow$  local drying effect (entrainment of water vapor into the plume<sup>6</sup>) • Slight positive influence for lower levels on higher levels  $\rightarrow$  decreased density as water evaporates and decreased lapse rate for buoyant air parcels (as they are closer to saturation)



Positive influence of  $q_l$  on  $F_{al}^{sg}$  and  $F_{av}^{sg} \rightarrow$  increased buoyancy in a cell because of latent heat release due to condensation

#### Figure 6: Height dependent performance comparison between a) U-Net and b) gradient boosted trees (GBT) model.





**Figure 8:** The ensemble mean of weighted SHAP values for a) the full U-Net and b) the GBT model is shown. For a) the full U-Net a focus on precipitating tracers is found to be non-causal. For b) the GBT the found patterns are non-local and nonregular / "noise-like".

#### Outlook

- Online coupling

#### References







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Figure 7: Precipitation distribution comparison btw. NARVAL data, U-Net and data from an untuned ICON simulation over a different time period (years 1980 & 1989).

• Transition to global training dataset

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