## This is the author's version (manuscript) of the work that was accepted for publication in Acta Astronautica. The Version of Record is available online at 10.1016/j.actaastro.2023.03.005 Electrostatic dust remediation for future exploration of the Moon

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#### Abstract

Dust accumulation is one of the critical issues that must be mitigated on in-situ lunar explorations because an in-situ probe is exposed to small dust particles, which are easily attached to it, during its operations. The Lunar Dust Science Definition Team is organized by the Jet Propulsion Lab/California Institute of Technology through NASA's Biological and Physical Sciences Division to define key science questions and assess dust remediation techniques. Here, we assess three electrostatic remediation technology concepts: electrostatic dust shield; surface electrostatically collecting dust, later called attractive surface; and electron beam plasma jet inducing electrostatic dust lofting from a surface. We qualitatively investigate their maturity by defining six operational factors: Time and location; Amount of dust removal; Contamination of target surfaces; Operation duration; Installation; and Safety. In addition to these techniques, we discuss a supporting system that loads dust particles onto a test article to examine dust removal efficiency. The results show that further development increases the maturity of all the technologies. While laboratory and theoretical demonstrations reported whether each technology robustly work on the Moon, which hosts a complex, heterogeneous dust environment, we find that it is still uncertain if this is the case because none has been tested in the lunar environment. Particularly, operation duration and safety are critical to be addressed further on both laboratory and spaceflight scales.

*Keywords:* Space exploration, Lunar surface, In-site operations, Dust physics, Electrostatic charges, Dust mitigation

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## 1 1. Introduction

#### 2 1.1. Risks of dust

3 On the Moon, dust covers a substantial part of its surface. Major dust particles are 4 generated and sharpened by fragmentation of surface materials during meteoroid impact 5events [1]. Volcanic events have also contributed to the generation of dust particles and 6 the evolution of the surface conditions, particularly within mare [1]. Furthermore, material 7 mixing frequently occurs due to impacts [e.g., 2], leading to heterogenous dust distributions 8 from one location to another [e.g., 3]. Because the size of such particles ranges from nm to 9  $\mu$ m, they are exposed to various physical forces. For example, during such mixing processes, gravity and electrostatic forces may cause dust lofting [4]. 10

11 Dust is a contributor to contaminating spacecraft systems both naturally and anthro-12pogenically [5]. Small dust particles can go through narrow parts of the systems, such as 13fabric, bearings, and moving parts. Although the Apollo missions in general controlled lunar dust, there are still unresolved issues [6]. For example, suit glove and helmet bearings 14 15experienced no significant effects on operations but had many scratches from dust. While 16 the lunar rovers had their wheel bearings and electronics sealed against any penetration by 17dust, mirrors and thermal control surfaces suffered from dust accumulation and needed to be cleaned often. Suit visors were usually covered by electrostatically adhering dust, making 18 19dust removal challenging. The dust prevented the sample return containers from maintain-20ing vacuum during storage in the spacecraft. These outcomes indicate that dust mitigation 21techniques are still immature and there is a need for further development [6].

22Dust is also a critical issue for space exploration missions to Mars and airless bodies. On 23Mars, dust storms can cover onboard instruments with dust. For example, the Opportunity 24rover suffered dust covering on its solar panels, which resulted in mission termination [7]. 25Recent work studying dust mounting on instruments on the Curiosity rover shows that 26vertically mounted instruments experienced less dust covering than horizontally mounted 27ones, which possibly results from effective dust removal [8]. Recently, two space exploration 28missions explored different rubble pile asteroids. The OSIRIS-REx spacecraft visited 101955 29Bennu to sample materials and successfully landed on its surface [9]. On the other hand, the 30 Havabusa2 spacecraft explored 162173 Ryugu and obtained samples at two different locations 31 [10]. However, the Hayabusa2 spacecraft experienced contamination of its remote sensing 32instruments by dust particles ejected by chemical thrusters during landing operations. The 33 attached dust reduced the instrument sensitivity at some levels [11]. Although efforts were 34made, none succeeded in removing dust particles from the instruments effectively [11].

#### 35 1.2. Dust Mitigation Efforts/Technologies

Mitigation technologies can be categorized into passive and active technologies [12]. Passive technologies are those pre-treated physically or chemically in laboratories to mitigate dust attraction without using external forces. Active technologies, on the other hand, apply processes that remove dust on site and can be split into four major steps [13]:

Loosening the dust. This process breaks attractive bonds, which mainly result from
 van der Waals/electrostatic forces and hold dust particles on a given surface. Processes
 to break them may use mechanical electrostatic and chemical effects

42 to break them may use mechanical, electrostatic, and chemical effects.

- 2. Removing the dust from the surface. This process pulls dust off a surface and can
  be achieved by applying mechanical force (e.g., removing dust particles with fluids or
  brushes), electrostatic forces, and chemical conditions (solutions to trap dust particles).
- 46 3. Transporting the dust away from the target surface.
- 47 4. Disposing of the dust (properly and safely placing the dust without contaminating48 critical areas such as probes and investigation sites).

49 Below, we discuss active technologies using fluid, mechanical, and electrostatic forces.

Fluid methods use compressible or incompressible fluids to remove dust from surfaces. 50Possible options may include using foams and gels, liquid solutions, and gas solutions [14]. 51Using fluids, however, may encounter some technical issues, especially in the zero-pressure 5253environment on the Moon, which may cause immediate evaporation, preventing these so-54lutions from working effectively [14]. On the other hand, experimental jetting tests have demonstrated that carbon dioxide gas jets might effectively remove dust even in such low-55pressure environments [15]. Gaier et al. [16] used nitrogen gas pulses to quantify whether a 56thermally controlled surface enhances the puffs to remove dust; however, given environmental 57variations in their study, the results were not advisable. 58

Mechanical methods apply forces driven by equipment (for example, a brush) to remove 5960 dust particles. Reports have generally shown high dust removal performance. The use of 61lunar dust samples from the Apollo 12 program demonstrated that brushing (with nylonor brass-bristle brush) eased removing dust from various materials [17]. Further analyses 62 quantified brushing techniques, showing that it achieved high dust removal rates [18, 19]. 63 However, it is also shown that using mechanical brushes usually scratches sensitive, delicate 64 65 surfaces [14]. For example, during the Apollo 14 Thermal Degradation Sampling (TDS) 66 experiments, when astronaut Alan Shepard dusted metal sample holders of the TDS, he 67 found some brushes scratched the metal samples [20].

Electrostatic methods are generally preferred and explored, given the above limitations for the fluid and mechanical methods. The primary principle is that devices electrostatically charge dust particles, induce electrostatic forces, and move them from one place to another. By properly providing dust particles with electrostatic charges, this method can remove them from a target.

# 73 1.3. Electrostatic dust remediation technologies and supporting device

We focus on electrostatic dust remediation technologies for removing dust from a target surface effectively. In this study, we investigate the following three techniques:

- Technique A. Electrostatic dust shield: This technique consists of wires embedded
   below a target surface. When an alternating current is passed through the wires, dust
   lofting occurs, causing particles to hop off the treated surface. See Figure 1a.
- Technique B. Attractive surface: This is an early phase technology that dust
   lofting is prompted by passing an electrically biased plate or wand above a dusty
   surface, thereby attracting dust to the biased plate or wand. See Figure 1b.
- Technique C. Electron-beam and plasma jets induced lofting: This technique combines an electron beam and a plasma jet to enhance the dust removal process. This

technique actively charges dust particles electrostatically, enabling dust lofting due to
 electrostatic forces and plasma jets. See Figure 1c.

86 1.4. Operational factors

To assess the remediation technologies discussed in Section 1.3, we define six operational factors that would impact the use of them in the lunar environment.

89 • Factor 1. Timing and location: This factor defines whether the remediation technique depends on the local time and location. A desired device's performance is inde-90 91 pendent of the environment. The Moon is rotating with a spin period of around 30 92days. The lunar dayside may have an electrostatic potential of 5 V, while the night 93side may have -1000 V [21]. Solar energetic electron events could even induce stronger negative charging on the night side [22]. The variations in the lunar surface charge due 9495to solar storms, as well as the Moon's passage through Earth's magnetotail, may in-96 fluence the efficacy of the techniques discussed. The chemical compositions of regolith 97 may also influence the charge state. The lunar surface mainly consists of two material conditions; one side is the mare, where volcanic materials are widely distributed, and 98 the other side is the highlands, where major components consist of light materials. 99 The mixing of these materials at local levels further makes the problem complex. The 100 101 topographic features such as surface roughness control the sunlight condition at local 102 scales, giving variations in the electrostatic properties. Also, potential sites affected by 103 the lunar magnetic field may host unique dust particle conditions [23].

Factor 2. Amount of dust removal: This factor specifies a technique's dust removal efficiency. An ideal device is independent of the constraints on the device size and the amount of dust removal. However, in general, if the technique's mass increases with the amount of dust, this factor becomes crucial to constrain the design. For example, if the method consumes materials, the device mass increases with the scale of dust removal. Also, if device components are continuously degraded during the operation, dust removal efficiency eventually becomes lower than the planned threshold.

• Factor 3. Contamination of target surfaces: This factor defines whether the remediation technique contaminates or damages a target surface. If a target surface (e.g., camera lenses) is sensitive, it is desirable to keep it clean and unaffected. This factor is crucial to determine if the considered technique can be applied to desired purposes regardless of the dust mitigation efficiency.

- Factor 4. Operation duration: This factor defines whether the operation duration is flexible or limited. A longer operation period (and repeatability) is suitable for the use of remediation techniques. A technique that can be active as long as a power source is available is preferable for exploration missions. This factor also accounts for whether the technique is robust when there is a malfunction of the remediation device.
- Factor 5. Installation: This factor defines how flexibly the remediation technique can be installed to onboard systems of spacecraft. If the technique is independent of other onboard systems, it is not necessary to account for potential issues with system integrations. When the technique requires a supporting system that needs to be

125 embedded into other onboard systems, such as a target surface, there may be strong126 installation constraints.

Factor 6. Safety: This factor describes how safely the remediation technique can be used during operation. The key issues include high electric potentials and UV light, which may potentially harm astronauts or system hardware. Any dust remediation technique for impacting spacecraft systems (including humans) negatively should be avoided.

## 132 1.5. Present scope and outline

133As the Lunar Dust Science Definition Team established by the Jet Propulsion Lab/California 134Institute of Technology through NASA's Biological and Physical Sciences Division, we assess the mitigation technology concepts in Section 1.3 to identify remaining questions for them 135136 to be explored in the future. While our assessment is qualitative, this paper summarizes our 137 findings of necessary development, especially for technology instrumentation for future lunar 138landed missions. Our discussions consist of simplified concept designs and assessments of 139the remaining elements that need to be developed. The present study is outlined as follows. 140 Section 2 discusses the Electrostatic Dust Shielding technology (Figure 1a). Section 3 introduces the Attractive Surface technology (Figure 1b). Section 4 shows the UV/Electron-beam 141 142and Plasma Induced Lofting technology (Figure 1c). Section 5 argues the operation factors 143 that may control the dust remediation efficiency and how each technique is ready to respond 144to each factor. In addition, in Section 5, we discuss a dust loading device that mounts dust on a target surface so that the techniques considered can demonstrate their dust remediation 145efficiencies on spaceflight missions (Figure 1d). The present paper is a companion study with 146 147Hartzell et al. [4].

### 148 2. Technique A: Electrostatic dust shield

149This section discusses the electrostatic dust shield, or Technique A (see Figure 1a). The 150fundamental idea of this method is to embed parallel electrodes into a surface and generate 151electrodynamic forces controlled by AC signals [25, 26, 27, 28]. This technique consists 152of a series of parallel electrodes connected to a two-phase or multi-phase AC voltage that 153generates an electric field that oscillates as the polarity of the electrodes changes (Panel a 154in Figure 1). This creates a standing wave that produces electrostatic and dielectrophoretic 155forces on charged particles in a considered field. These charged particles move either with or against the wave, depending on polarity. This technique has also been shown to work on 156polarizable, uncharged particles [29]. 157

158Recent applications of this technique demonstrated high performance, giving the recovery 159of solar panel power generation to higher than 90% of its nominal condition [e.g., 30, 31, 32]. Manyapu et al. [33] demonstrated an application of this technique, as well as the Work 160 161 Function Matching Coating passive technology and the Carbon Nanotube (CNT) flexible 162fibers, to remove 80-95% of dust on a spacesuit. This technique's removal efficiency depends 163on both device and dust conditions and properties. For example, the applied amplitude and 164 frequency of the AC signals influence the removal efficiency [34]. Particle size distributions, 165material compositions, and charges also affect dust removal [35]. Higher voltage and vacuum



a. Electrostatic dust shield (EDS)

b. Attractive surface

Figure 1: Dust mitigation concepts discussed in this study (a-c) and dust loading concept (d). a. Electrostatic dust shield. The illustration given is based on the 2-Phase electrostatic dust shield design introduced by Buhler et al. [24]. b. Attractive surface. c. Electron-beam and plasma-jet lofting. d. Dust loading device.

166 conditions may give higher dust removal performance [36] because these removal efficiencies 167 correlate with the dust particles' hopping processes over an AC-controlled surface [37]. Ap-168 plications to fabric materials (e.g., astronaut spacesuits) also confirmed high dust removal 169 with vibrations enhancing the performance [38].

170The use of this technique on the Earth has also been a key topic, such as cleaning solar 171panels covered by dust [39, 40, 41, 42]. While the mechanisms of these applications are similar, techniques behave quite differently in the lunar environment. The main reason is 172the environmental difference between the lunar surface and the Earth surface. First, the 173174lunar surface has extremely low humidity compared to the Earth surface. This changes the 175electrostatic dust shield performance [e.g., 43, 44]. Second, on the Moon, the electrostatic 176 environment is complex due to the interactions between solar winds and the lunar and Earth 177magnetic fields. This may also be due to variations in material compositions; regions are 178distinguished in mare or highlands [1], but material diversity at local scales has widely been reported in the literature [e.g., 45, 46, 47]. While the current version of this technology 179180 seems partially effective in removing deposited micron-size particles from target surfaces, it 181 has not yet been fully tested under realistic lunar surface conditions.

#### 182 2.1. Principles

183 We discuss approaches proposed by two groups: Calle et al. [29, 48] and Kawamoto and 184 Hashime [49]. First, the device tested by Calle et al. [29, 48] includes a two-phase electrode design consisting of two sets of parallel copper electrodes interlaced in a comb configuration with each set connected to one of the signal inputs which have a 180 degree phase shift. The field strength varies proportionally due to the potential difference between the electrodes as dictated by the phase shift. Scaled versions include: (a) Transparent 20-cm-diameter electrostatic dust shield employing indium tin oxide electrodes on a polyethylene terephthalate film or (b) Copper electrodes on Kapton film (two with Lotus coating).

192Successful demonstrations of a two-dimensional (2D) version of this electrostatic dust 193shield system have been reported in the literature on (1) solar panels [29, 32], (2) optical systems [32, 50], (3) viewports [48], (4) thermal radiators (for both AZ-93 thermal paint 194and Fluoro Ethylene Polypropylene coatings) [32], (5) spacesuit fabric (Mars and Lunar 195196 environments), and (6) both the Pressurized Excursion Module and the Lunar Habitat 197 Demonstration Unit [48]. Also, the production process has recently been updated to ap-198ply photo-lithography which allows the production of larger panels with fewer defects at an 199increased production rate [50]. Solar panel dust clearing results to date show approximately 200up to 98 percent dust clearing after 30 minutes while this value was about 96% for ther-201 mal radiators [29, 32]. Buhler et al. [24] developed a three-dimensional (3D) version of the 202 electrostatic dust shield.

203 Second, Kawamoto and Hashime [49] developed a similar system that uses a four-phase 204rectangular voltage and also showed that the cleaning process is improved by application of 205ultrasonic vibrations. This approach, allowing both positively and negatively charged dust particles to be cleared without changing the configuration of the system, has been tested 206207using the lunar dust brought back by Apollo 11. Numerical models suggest that the cleaning 208performance of this technique should improve in the gravitational environment of the Moon. The system reportedly has no moving mechanical parts and consumes less power than the 209210electrostatic dust shield by Calle et al. [29, 48].

#### 211 2.2. Concept study for spaceflight

212Here, we summarize the currently available size, mass, and power of the electrostatic 213 dust shield system by referring to Kawamoto and Guo [49]. Their device consists of two 214components: a high voltage source and an electrostatic dust shield cleaner plate. That total 215mass is 210 g (180 g for the high voltage source and 30 g for the cleaner plate) and the 216required potential is  $\pm 6 \text{ kV}$  (Table 1). The dimensions and used products are provided in Table 2. While the generated potential is up to 1 kV, the reported power is 0.5 W during 217218operations and 0.3 W in the idling mode [49]. This specification promises the feasibility of 219this device for future spaceflight.

Table 1: Specification of the electrostatic dust shield system developed by Kawamoto and Guo [49].

	Mass $(g)$	Potential (V)
High Voltage Source	180	Positive/Negative 1 kV
Cleaner Plate	30	N/A

Table 2: Dimensions of the cleaner plate and electrodes as well as the substrate and amplifiers developed by Kawamoto and Guo [49].

	Dimensions (mm)
Prototype High Voltage Source	$125(L) \ge 70(W) \ge 40(H)$
Cleaner Plate	$100(L) \ge 100(W) \ge 1.1(T)$
Width and Pitch of Electrodes	$0.3 \ / \ 0.6$
Substrate	Borosilicate Glass
Substrate Covering	Borosilicate Glass $(0.1)$
Positive / Negative Amplifiers	Matsusada Precision - HVBT-1P-5 and 1N-5
Amplifiers Switching	Panasonic AQV258

# 220 2.3. Suggested areas of technology advancement

While the electrostatic dust shield technology has already demonstrated several promising outcomes, it requires further advancement to be flown. We summarize the areas needing technology development below.

- Further investigation of the scaling of these devices to larger surface areas is necessary.
   Tests to date have considered a small active area. Operational methods and efficiencies
   for larger active areas are currently unexplored.
- Power sources need to be optimized to ensure minimum size, weight and power consumption.
- Current systems still require testing at both the maximum and minimum temperatures
   observed in the lunar environment.
- The lunar plasma environment is complex under interactions with the Earth's magne tosphere, but the mechanism is poorly understood. Future assessment is necessary to
   make sure this technique robustly works on the Moon.

# 234 3. Technique B: Attractive surface

235This section illustrates the attractive surface technique, or Technique B. This technique 236 uses an electrically charged plate to attract charged dust particles and remove them from 237 target surfaces. Dust particles are naturally electrically charged due to solar wind impinge-238ment, photoemission, and tribocharging. The electrostatic force produced by a charged plate 239can cause the dust to detach from surfaces. The addition of electrostatic charges to the plate induces a stronger electric field, enhancing the magnitude of the electrostatic forces acting 240 on dust particles exposed to it. A series of dust hops can be used to electrostatically sweep 241242dust off a surface, given fringing fields at the edges of the plate. We neglect the plasma envi-243ronment near the lunar surface in our preliminary calculation, assuming that the attractor is 244within the Debye sheath of the dust-bearing surface ( $\sim 1$  m in the lunar environment [e.g., 2454]).

#### 246 3.1. Principles

To assess the efficiency of this technique, we compare the magnitude of forces acting on dust particles adhered to a plate. The main forces include the gravitational force, the attractive force that binds surface particles together, and the electrostatic force acting on the charged dust particles. The necessary condition to electrically remove dust particles from a surface is that an electrostatic force acting on a dust particle exceeds gravity and forces binding the dust particle to a dusty surface:

$$F_{es} > F_{grav} + F_{co} \tag{1}$$

253 where  $F_{es}$  is the electrostatic force driven by the attractor plate ( $F_{es} = Q\Delta\phi/\Delta h$ , where Q254 is the charge of the dust grain,  $\Delta h$  is the height of the attractor above the surface, and  $\Delta\phi$  is 255 the potential between the attractor and the target surface),  $F_{grav}$  is the gravitational force, 256 and  $F_{co}$  is the force binding dust particles.

The above condition yields the electric potential of the attractor required to cause dust motion. We assume that the dust grains are spherical, with a material density of 2 g/cm<sup>3</sup>, and that the lunar surface gravity is  $1.625 \text{ m/s}^2$ . We characterize the cohesive force,  $F_{co} = \sigma_{co} \pi r_d^2$ , where  $\sigma_{co}$  is the cohesive strength in Pa, and  $r_d$  is the radius of the dust grain. Substituting the definitions of the forces into Equation (1) gives:

$$Q\Delta\phi/\Delta h > 4/3\pi r_d^3 \rho g + \sigma_{co}\pi r_d^2 \tag{2}$$

We consider  $\Delta h = 10$  cm, although this can be varied. The attractor must remain close to 262263the surface (< 30 cm) for the shield due to the near-surface plasma being negligible. Figure 2 264shows predictions of the electric potential of the attractor required to cause particles lofting 265for a range of cohesive strengths and grain potentials. While lunar dust may be referred 266to as those less than 20  $\mu$ m in size, the present analysis shows a broader range of lunar 267particle sizes to quantify the general trends. The grain charge is modeled using a spherical 268capacitor approach, which gives  $Q = 4\pi\epsilon_0 r_d V$ , where V is the grain potential, and  $\epsilon_0$  is the 269permittivity of free space.

While the amount and polarity of the charge on individual dust grains are not constrained, grains can be charged due to interactions with the solar wind plasma, UV-induced photoemission and tribocharging. Prior experimental work by Schwan et al. [51] has shown that electron emission can result in charges up to 10's of thousands of electrons for 40 micron-scale grains. Additionally, Carter and Hartzell [52] have shown that 200 micron-scale tribocharged silica-zirconia beads can support charges up to millions of electrons.

#### 276 3.2. Concept study for spaceflight

To approximate the size, mass, and power requirements of the dust attractor device, we assume a hand-held plate-type attractor sized to be used by astronauts and robotic devices. This type of attractor should not be larger than 30 cm in size. Larger attractor plates require more power to operate, as increased surface area enables increased current to the plate from the ambient plasma. To calculate the mass of the attractor plate, we consider it to be made of aluminum (with a density of 2.7 g/cm<sup>3</sup>). We estimate the power (P) required to hold the plate at the required electric potential from:

$$P = I\Delta\phi \tag{3}$$

$$I = n_e A_{plate} e v_{Te} \tag{4}$$



Figure 2: Electric potential of the attractor required to electrostatically loft dust with varying grain electric potential and cohesive strength. Panel a assumes a grain potential of 5 V, while Panel b illustrates results for 100 V. The legends in both panels show  $\sigma_{co}$ . The grain radius range is defined based on the particle size distribution in the Apollo samples [1].

284where I is the electric current,  $n_e$  is the local plasma density (assumed to be  $2.5 \times 10^6$  $m^{-3}$ , half of the freestream ion density due to the local plasma sheath),  $A_{plate}$  is the cross 285sectional area of the plate, e is the charge of an electron, and  $v_{Te}$  is the thermal velocity of 286the electrons.  $n_e$  can range widely, depending on the lunar surface conditions, and is not 287well constrained. For example, Popel et al. [53] considered  $n_e$  to be  $8.3 \times 10^6$  m<sup>-3</sup>. The use 288of  $n_e = 2.5 \times 10^6 \text{ m}^{-3}$  only provides a rough idea of this approach, and thus further analyses 289are necessary. Assuming an electron temperature of 15 eV, this zeroth order approximation 290291leads to a required power of 8 mW for a 30 cm  $\times$  30 cm plate biased to 10<sup>5</sup> V (Table 3). 292Because the plate potential must remain constant (i.e., its functionality is based on its use 293at a potential not equal to the floating potential), the current to the plate from the local 294plasma environment must be balanced by a power supply attached to the plate. While 8 295mW is a relatively small steady-state power draw, we note that this power evaluation does 296not account for the fact that the plate is at a high potential, and thus the current can be 297 much larger than the thermal current.

298The major operational constraint is that the dust must be charged to be affected by the 299 dust attractor. Regolith on both the day and night is expected to be charged. However, 300 charging may be quicker on the day-side, due to photoemission and the increased plasma density (as compared to the wake region on the nightside). It is possible that the dust 301 302 attractor's performance will be enhanced by certain astronaut activities. Tribocharging of the substrate (e.g., astronaut or spacecraft) to which the dust adheres may influence the 303 plasma environment near the surface, and, in turn, influence the nominal charge of the 304 305 grains [54]. Additionally, changing the orientation of the dust covered surface with respect to the solar incidence angle may change the charge of the grains – a property that may be 306 307 exploited during operations.

#### 308 3.3. Suggested areas of technology advancement

309 Below is a list of necessary advancements for this technique.

Table 3: Estimated requirements for a dust attractor plate.			
	Dimensions (cm)	Mass (g)	Power $(W)$
Attractor Plate	$30 \times 30 \times 0.5$	1,215	8 mW (baseline)

The attractive surface format should be optimized. Fringing fields may be particularly effective at moving particles, which would suggest that a wand format may be more effective for remediation. However, because of the decreased size of the wand as compared to a plate, the wand may be more easily shielded by the near-surface plasma, thus requiring either higher voltages or positioning of the wand closer to the dust-covered surface. In contrast, a plate may more quickly remove dust from a larger area.

- The effect of operational changes such as different target surfaces and timing should
   be investigated to determine whether or not these significantly influence the efficacy of
   the device.
- Experiments are needed to quantify the efficacy of removing dust from a surface in the
   lunar environment.

# 322 4. Technique C: Electron-beam and plasma jet induced lofting

This section discusses a combination of plasma jets and electron beams to perform dust remediation (Figure 2c). This technology's uniqueness is to move dust by using plasma jets and enhance its performance by actively supplying electrostatic charges to dust particles using solar UV light.

# 327 4.1. Principle

Technique C may offer two versions. The first version combines an electron beam and a weak plasma, while the second version has plasma only but with higher density than in the first version. The first version exploits sunlight-instigated natural photo-emission to create the electron beam. Below, we introduce the principles of generating electron beams and plasma jets.

# 333 4.1.1. Electron beams

334 Flanagan and Goree [55] generated a 70-eV electron beam in a setup where a hot tungsten 335 filament was used in conjunction with an applied 70 V bias with respect to ground walls. The 336 resulting thermal electrons are characterized by two Maxwellian populations, one colder with temperature  $T_{cold} \sim 0.3-4$  eV and one hotter with temperature 3-16 eV. The density could be 337 varied between  $2.4 \times 10^6$  cm<sup>-3</sup> and  $2.5 \times 10^8$  cm<sup>-3</sup>. A 4.5 cm diameter glass sphere was coated 338 with JSC-1 lunar dust simulant, with dust size distribution having a characteristic size less 339 than 20  $\mu$ m. The coated sample was then exposed to the thermal plasma or the electron 340 341 beam or both. There are three key findings from Flanagan and Goree [55]. First, measurable 342 dust release could only be obtained when the sample was exposed to both the thermal plasma and the electron beam. Second, significant dust release occurred only for plasma densities 343 above  $2.4 \times 10^6$  cm<sup>-3</sup>, suggesting a density threshold for strong dust mobilization. Last, the 344

345 release time-dependence followed an exponential decay with a release rate between 4 s and 346 25 s, with faster release rates associated with higher plasma densities.

347 Another set of experiments with similar conditions was performed by Schwan et al. [51]. 348In their experiments, the beam emitted by a hot filament had an energy of 120 eV. The 349 thermal electron temperature was reported to be  $\sim 2 \text{ eV}$  [51], although no measurements of the plasma density were provided. Schwan et al. [51] confirmed the findings by Flanagan 350 351and Goree [55], who showed that dust could be mobilized when exposed to a plasma and an 352 electron beam. Their focus may be slightly different from our interest in applying a combina-353tion of electron beams and plasma jets because they attempted to demonstrate the so-called 'Patched Charge Model,' which suggests that dust is mobilized by strong electrostatic forces 354355 building up in microcavities.

Farr et al. [56] later used a 1.5  $\mu$ A cm<sup>-2</sup>, 230 eV electron beam on a dust-coated flat target glass to observe dust shedding from the surface. The lofting of dust particles is mainly considered to result from secondary electrons that are created on the dusty surface exposed to the beam and become absorbed into microcavities between the dust grains. This process charges the dust grains negatively, causing them to repel each other and to be ejected from the surface.

#### 362 *4.1.2.* Plasma jets

363Ticos et al. [57] reported a technique for using plasma jets to clean surfaces, which they called the plasma broom technique. The reported plasma broom used a plasma jet produced 364 365 in a pulsed discharge (pulse length of ~ 1  $\mu$ s). The setup by Ticos et al. [57] was based on 366 a coaxial plasma gun with the gap filled by CO2 gas with pressure of 670 Pa and an applied voltage between 1 kV and 2 kV. This plasma jet technique was applied to the cleanup of 367 368 solar panels coated by a thick layer of JSC-1 martian simulant dust. During the operation of this technique, the plasma densities were extremely high, of the order of  $10^{21}$  m<sup>-3</sup>, and 369 the dust particles were expelled from the surface simply by drag forces. Ticos et al. [57] 370 371 demonstrated a high cleaning efficiency of > 97% after only a few shots at 2 kV voltage, and 372 an energy consumption of about 250 J per pulse. The application of this technique to the 373 lunar environment may be challenging because additional adjustments may be necessary to 374provide the required conditions; for instance, there may need to either (i) have a gas source 375 that would puff in a cloud of 670 Pa gas just before the pulse or (ii) have a solid ablated by an arc to provide the mass for the plasma. 376

### 377 4.2. Concept study for spaceflight

378 Considering the first version of this technique, we propose an alternative approach that uses both electron beams (Section 4.1.1) and plasma jets (Section 4.1.2). This approach com-379 380 bines plasma jets and electron beams without the need for a hot filament providing electrons and a gas supply for the plasma mass. Electron beams are sourced by naturally provided 381 382 photoelectrons (solar UV), and plasma jets come from another source. The proposed setup 383 is sketched in Figure 3. The specification of this apparatus is provided in Table 4. The 384 influence of the lunar plasma environment on this device concept may need to be quantified: however, it is likely negligible because the plasma density for this device may be as high 385 as  $10^{21}$  m<sup>-3</sup>, while that in the lunar environment only may only reach  $10^6$  m<sup>-3</sup> with large 386 387 uncertainties, as discussed above.



Figure 3: Sketch of proposed dust remediation scheme. This scheme is inspired by [55], who applied plasma and electron beams to enhance particle release. An electron beam created from accelerated photoelectrons is aimed at the region to be cleaned. This region is also sprayed with low density plasma coming from a continuously operating vacuum arc thruster.

388 Electron beams are generated by using a metal plate with nominal dimensions of 30 cm 389  $\times$  30 cm exposed to sunlight so that the plate emits photoelectrons. The plate is biased to -100 V so that the photoelectrons are ejected with 100 eV energy. A nearly transparent 390 391 grid biased to 0 V is located just above the metal plate. Electrons are accelerated towards the grid but, because of the grid transparency, continue through the grid to form a beam. 392 393 This process generates a directed 100 eV electron beam without the use of hot filaments. The electron beam is directed at the dusty surface to be cleaned. Assuming  $3 \text{ nA/cm}^2$ 394395 photoemission as typical for space materials [58], a current of 3  $\mu$ A is supplied from the 396 metal plate.

397 A plasma micro-thruster is used to generate a continuous plasma jet. Microthrusters 398 have widely been tested with emphasis placed on thrust, efficiency, and longevity, while 399 there has also been first-principle modeling [e.g., 59]. This type of thruster is intended for small satellites such as CubeSats and so does not consume much power [60]. In this 400 401 thruster, plasma is made from a solid material, and so no gas supply is needed. Two possible 402options are the vacuum arc thruster [61] and the Polytetrafluoroethylene (PTFE, known as 403 Teflon) thruster [62, 63]. These small, light-weight, low-power thrusters are intended for 404 quasi-continuous operation and provide a directed plasma jet. The vacuum arc thruster typically has a coaxial cathode (inner conductor) and anode (outer conductor) with vacuum 405in between. High voltage breaks down the anode-cathode gap and melts small quantities of 406 407 cathode, which become plasma. The background is essentially vacuum so the plasma is fully 408 ionized. Electromagnetic forces accelerate the plasma to produce a jet with velocity of tens 409 of km/s.

410 On the other hand, the Teflon thruster ablates Teflon located between the cathode and 411 anode to make a weakly ionized plasma from the ablated Teflon. A combination of thermal 412 pressure gradient and electromagnetic forces accelerates the Teflon plasma out to form a 413 jet. Depending on the design, the exhaust velocity of the Teflon thruster can range from  $\sim 2$ 414 km/s to 50 km/s [62]. It has capacitor discharges lasting several  $\mu$ s, stored energy of tens of 415 Joules, and pulse repetition rate of 1 Hz [62]. The Teflon thruster differs from the plasma 416 broom as the plasma broom involves a very high power transient pulse (order 1  $\mu$ s) and 417 would be fired using a large capacitor that is slowly charged and then quickly discharged.

Table 4: Categorization of microthrusters. We referred to Burton [62] for the pulsed plasma thruster and Kolbeck and Anders [61] for the vacuum arc thruster. \*Values for the LES-8/9 PPT thruster, given in Table 2 in Burton [62]. \*\*Values based on the thruster planned for the Illinois Observing Nanosatellite (ION), a 2U CubeSat from the University of Illinois at Urbana-Champaign [61].

		Pulsed plasma thruster	Vacuum arc thruster	
		Value	Value	Units
Operation	Mass	6.60*	0.15**	[kg]
	Pulse length	$\sim 20$	> 250	$[\mu s]$
	Power	$\sim 100$	0.1 - 20	W
	Repetition rate	1	1	[Hz]
Propellant		Teflon	Aluminum**	[-]
Plasma	Ionization	10 - 40	100	[%]
	Electron density	$10^{16}$	$10^{14}$	$[\mathrm{cm}^{-3}]$
	Exhaust velocity	10 - 50	20 - 40	[km/s]

## 418 4.3. Suggested areas of technology advancement

419 We list necessary technology advancements below:

The electron beam source using sunlight generates an electric current likely 3-4 orders of magnitude smaller than that used by Flanagan and Goree [55] and Schwan et al. [51]. This discrepancy can be reasonable because it only lengthens the exposure time for dust removal from seconds to hours and thus does not affect operations on the Moon. However, proper assessments are necessary.

- The dust removal efficiency of this technique has not been demonstrated well yet.
  It is necessary to conduct lab experiments using various types of microthrusters to
  determine whether high plasma momentum is critical or whether simple presence of
  low momentum plasma is sufficient when used with an electron beam.
- The plasma jets may contaminate sensitive surfaces. If this is the case, it is necessary to quantify how the plasma jets influence the surface conditions to reduce this risk.

## 431 5. Discussion

## 432 5.1. Dust mitigation performance

Based on the operational factors defined in the previous section, we assess the technology of each technique. Table 5 summarizes the rates for each technology. In this table, three categories  $\bigcirc$ ,  $\triangle$ , and  $\times$  mean that a considered factor is well characterized, less characterized, and not explored, respectively. If Sections 2 through 4 identify multiple studies to address a considered factor, we consider it to be well characterized. If there is limited work, and we

Table 5: Operational factor rates of each technology. The top row specifies techniques: A, Electrostatic dust shield; B, Attractive surface; C, Electron-beam, and plasma induced lofting. The first column shows the operational factors.  $\bigcirc$ ,  $\triangle$ , and  $\times$  indicate the applicability levels, meaning *well characterized*, *less characterized*, and *not explored*, respectively.

	А	В	С
1. Location & timing	$\triangle$	$\triangle$	$\triangle$
2. Amount of dust removal	$\bigcirc$	$\times$	$\triangle$
3. Contamination	$\bigcirc$	$\bigcirc$	×
4. Operation duration	×	×	$\triangle$
5. Installation	×	$\bigcirc$	$\bigcirc$
6. Safety	$\triangle$	$\times$	×

438 identify the need for additional investigations, we label it as less characterized. Finally, if 439 we find necessary technologies (or processes), but there is no report discussing the factor, we 440 define it as not explored. Overall, while each technology shows strong advantages, it needs 441 additional investigations to mature.

### 442 5.1.1. Technique A: Electrostatic dust shield

443 This technique has been demonstrated in multiple studies, showing the highest maturity 444 among the considered techniques. This technique continuously removes accumulated dust on a test surface as long as the device is active. This technique needs further investigations of 445 446 the performance in the lunar environment, where dust's electrostatic properties likely change 447 over time and location. The amount of dust removal was well characterized by earlier work. We identify no issues on the contamination of the target surface but consider some issues on 448 the operational duration factor due to the necessity of its robust design. For example, if the 449 450 device is embedded in other systems like spacecraft surfaces, a single cut of its electric circuit 451may lead to the termination of operation because there may be no way of replacing it. A possible mitigation of this issue may be to design backup systems. Also, for the installation 452factor, the flexibility of incorporating the dust shield into complex geometric components 453454may be limited. Additive manufacturing may be a potential solution to this issue, although 455complex electrostatic force fields, possibly reducing the dust removal efficiency, may need 456to be quantified. Regarding the safety factor, Buhler et al. [24] demonstrated that the 4573D version of the Electrodynamic Dust Shields developed a safe zone that prohibits the 458penetration of large electromagnetic fields. Therefore, we find that resolving this issue is in 459progress, and this factor is currently under investigation.

### 460 5.1.2. Technique B: Attractive surface

The performance of this technique is likely affected by the location and timing, i.e., the dust particle conditions in the lunar surface environment. How such variations control the dust remediation efficiency needs to be quantified. Also, how much dust it can remove is currently unknown. No contamination is expected for this technique. The operation duration needs to be quantified especially because the dust removal efficiency may change with time due to the attachment of dust particles to the attractive surface. This technique does not 467 need to be incorporated into a target surface, i.e., no constraints on the installation factor. 468 This trend allows users to apply this technique directly without any design changes in other 469 systems. However, it may be necessary to bring the attractive surface close to a target 470 surface that is hardly approachable. A key safety issue includes a high electric potential 471 between the attractive plate and the target surface, which may increase the possibility of 472 harming the system hardware and astronauts.

#### 473 5.1.3. Technique C: Electron-beam and plasma jet induced lofting

Technique C uniquely uses electron beams and plasma jets to remove dust particles from 474475a target surface. Again, electron beams are used to electrostatically charge dust particles, 476while plasma jets are applied to kinetically move dust particles. This technique enhances the mobility of dust particles. It can choose whether electron beams are necessary; even 477478when electron beams are not available, plasma jets can still be used. Therefore, we consider 479this technique to perform at any location and timing, though it is necessary to quantify how this factor changes the performance. While Ticos et al. [57] reported a high efficiency of 480481the plasma broom, it is still necessary to quantify its performance in various space environments. The contamination of a target surface may need to be quantified. Given the process 482 483 considered, plasma jets generated by melting the cathode may affect the target surface. If 484the target surface is sensitive, like remote sensing instruments and other onboard systems, 485it is necessary to have proper mitigation processes. Also, because this technique consumes 486 the cathode, the operation duration may be limited. Because this mitigation system is in-487 dependent of other onboard systems, no interactions between them need to be considered. 488Regarding the safety issue, it is necessary to assess how electron beams and plasma jets affect 489the onboard systems and astronauts.

#### 490 5.2. Dust loading device

491 This section discusses a dust loading device that can be used to load dust onto a coupon 492to test the efficacy of various remediation technologies (Figure 2d). The device is used for remediation technology demonstration. Once the remediation technology is established, 493the loading device is no longer necessary. The major purpose of this dust loading device 494495is to quantify how efficiently each dust mitigation technique removes dust from a testbed. 496 How much dust is naturally accumulated on the lunar surface is poorly understood and 497 also strongly depends on the location. This issue likely challenges quantitative assessment. 498 Cohesion/adhesion likely affects the efficiency of the sorting process using sieving. How-499ever, agitation is anticipated to break particle-particle/particle-surface interactions, leading 500to granular particle fluidization. Another concern may be how lower gravity affects this process; however, recent studies have shown higher fluidization in microgravity [64], giving 501502the possibility that the lunar environment may be preferred for the dust sorting process 503compared to the Earth environment, regardless of the necessity of further investigation.

In this study, we assume that the dust samples are loaded onto the loading device by either a robotic device or an astronaut. Based on the Apollo lunar samples [1], we consider that target particles are tens of microns in size, and the necessary amount for one loading son is small. Following the styles of Sections 2 through 4, we describe the device's principle, concept study for spaceflight, and suggested areas of technology advancement.

#### 509 5.2.1. Principle

The main purpose of this device is to support loading dust particles on testbeds for experiments. While the probe's size may depend on the testbeds, we assume that the testbeds are a few cm in diameter. If the probe's size needs to be modified, proper resizing is still possible. Our straw-man design consists of three components: an electric agitator, sieves, and a glass funnel (Figure 4). An electric agitator will be used to help sort out dust particles by vibration in a vacuum.

516Sieves are used to sort dust particles by size. There are commercially available sieves 517that sort out dust particles in this size range (down to 20  $\mu$ m). A glass funnel is used to 518load the sorted dust particles on the testbeds. The funnel's internal diameter needs to be 519determined to avoid clogging based on the size of the testbeds and the necessary amount of 520 dust particles. The solution to this issue may be to control the dust flow rate and design an 521appropriately sized funnel. Challenges may appear when we target smaller dust particles. 522The cohesive/adhesive forces influence small particles – thus, it is more difficult to predict 523the flow rate for small dust particles. Particularly, if electrostatic charges are added to the 524probe during operations, electrostatic forces enhance such forces. While using electrically 525insulated materials may be a possible solution, this issue needs to be resolved. However, 526cohesion-based clumps were observed to be a few mm in size from lunar dust observations 527on the Apollo mission [65]. For our target (lunar surface layers having particles with sizes 528of  $\sim \mu m$ ), we consider that a funnel size larger than 1 cm would avoid this issue.

529Figure 4 shows a schematic of the device seen from the side view and the top view. 530An electric agitator and sieves are fixed on a movable base. Multiple sieves with different 531mesh sizes are placed to make the sorting efficient (to prevent clogging). Once the agitator 532is on, the vibration allows dust particles to be granularly fluidized. Smaller particles can 533go through the mesh of Sieve 1. As they reach other sieves, the mesh sizes become finer. When dust particles pass through Sieve 4, they enter the glass funnel. The movable base 534535is connected with the fixed base by vibration isolation pads that absorb the movable base's 536vibration. The fixed base can be connected with other hardware devices. Beneath the fixed 537 base, a connector is placed to connect the fixed base and the glass funnel. The movable base, 538the fixed base, and the connector have wide holes so that dust particles sorted by the sieves 539can go through the system.

#### 540 5.2.2. Concept study for spaceflight

541To demonstrate the feasibility of the dust loading device, we need to show the availability 542of an electronic agitator. However, we could not find a space qualified product. Here, we use the Micro Series Electric Vibrators available by Martin Vibration Systems & Solutions 543[66] as a sample device. However, the specification of an agitator necessary for our mission 544545should be similar to the cited product. Considering the NEA 504 series [66], we confirm 546 that the agitator's size is as small as 0.1 m by 0.1 m by 0.1 m. The power input is around 547 $\sim 30$  W. The maximum force is 260 N. The vibrator's operating temperature range is 273 548K - 310 K; therefore, thermal control is likely necessary. The process of shaking particles in sieves has been used in many terrestrial industries. However, the necessary devices and 549550subsystems may not have been used or tested in the space environment. Considering two 551aluminum plates (0.3 m by 0.3 m by 0.005 m for each) for the bases, we estimate the device mass as  $\sim 5$  kg. 552



Figure 4: Schematic of dust loading device

Table 0: Estimated requirements for dust loading device			
Properties	Value	Units	
Dimensions	$30 \times 30 \times 10.5$	$[cm \times cm \times cm]$	
Mass	5	[kg]	
Power	30	[W]	
Temperature	273 - 310	[K]	

Table 6: Estimated requirements for dust loading device.

# 553 5.2.3. Suggested areas of technology advancement

- 554 Below are potential issues to be mitigated.
- The sieving process in the lunar environment needs to be investigated to ensure the efficiency of the dust loading device. Particularly, the current sieving portion is a tentative solution, as there may be a risk that low gravity on the Moon may cause an enhanced influence of adhesive and cohesive forces on particles and the sieving grids. An alternative solution is necessary if low gravity tests are not conclusive for efficient particle flow through the sieve setup.
- The way of loading dust particles to the device (i.e., how to put dust particles into Sieve 1) needs to be specified. A possible design for this operation is using a scoop or a drilling system. However, for the use of a robotic system, it is likely that such an operation can draw heavily from the work of the Mars rovers.
- While we illustrated four sieves, it is unknown how many sieves are necessary. This depends on the desired particle sizes. However, adding additional sieves does not dramatically change the design (other than increasing the mass and changing the vibration frequency of the system).
- There is no active device that controls the amount of dust falling into the glass funnel.
  The main contributor to preventing granular flows of dust particles is cohesion and
  friction. If the funnel is sized close to the jamming limit (at which point the grains
  would clog the funnel and the flow would stop), changing the level of agitation may be
  able to control the amount of grains deposited on the desired coupon.
- As the device continues to sort out dust particles, particles that cannot go through the sieves are stuck and thus accumulated there. The easiest way may be that the loading device is just one-time use. The planned loading device can likely supply dust more than necessary for experiments and does not need to clean the sieves. If repeated cleaning processes are required, sophisticated mechanical systems are inevitable, which is out of our scope.
- Further concept design to reduce this device's size and mass is essential to make it fit future exploration missions.

# 582 6. Conclusion

583 This paper discussed three electrostatic dust remediation techniques for lunar exploration 584 missions. We assessed electrostatic dust shielding, an attractive surface, and electron beams

and plasma jets inducing dust lofting. We defined six operational factors to assess these 585techniques and necessary development to be completed. The operational factors included 586the timing and location, amount of dust removal, contamination of target surfaces, oper-587 ational duration, installation, and safety. While our analyses were qualitative, we reached 588589the following findings. First, the electrostatic dust shielding technology has demonstrated its high dust removal capability. This technique has been demonstrated to remove dust ef-590591fectively and reduce its safety issues. Additional efforts may further overcome challenges in operational duration and installation. Second, in contrast to the electrostatic dust shielding 592 593technique, the attractive surface technique does not need installation and can flexibly be used to remove dust. This technique can be further improved by quantifying the amount 594of dust removal and operational duration. Finally, a combination of electron beams and 595596 plasma jets can enhance dust removal. This approach will improve its capability by adding 597 further investigations for the contamination of target surfaces and safety. We also discussed a 598supporting system that loads dust particles so that the remediation techniques demonstrate 599 dust removal efficiency. We conclude that further investigations on lab and spaceflight scales 600 will advance dust remediation technologies for future lunar missions.

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# Highlights

# Electrostatic dust remediation for future exploration of the Moon

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- We assess three dust mitigation technologies for in-situ lunar explorations.
- Six operational factors are considered to qualitatively assess their maturity.
- Further assessment sheds light on how mitigation technologies work on the Moon.
- Operation duration and safety are key to improving dust mitigation technologies.
- We also introduce a dust loading device that supplies dust to a testbed in situ.