# THE CITATION FILE FORMAT

Growing up to enable better software citation

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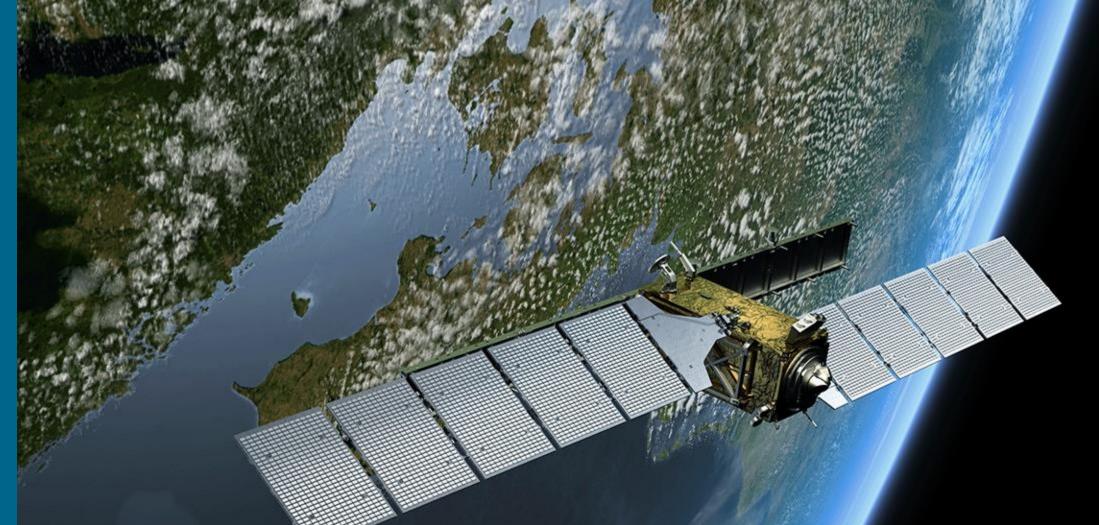
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### Overview



- The Citation File Format (CFF)
- Growing up: new integrations with CFF
- In the wild: state of the CFF practice





# THE CITATION FILE FORMAT (CFF)

# Why make research software citable?



Importance: research software as part of the scholarly record

- Credit: it's fair, for RSEs
- Findability, accessibility, reusability: it's FAIR, for research software
- Reproducibility

# Software citation principles





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#### Software citation principles

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#### ABSTRACT

Software is a critical part of modern research and yet there is little support across the scholarly ecosystem for its acknowledgement and citation. Inspired by the activities of the FORCEI1 working group focused on data citation, this document summarizes the recommendations of the FORCEI1 Software Citation Working Group and its activities between June 2015 and April 2016. Based on a review of existing community practices, the goal of the working group was to produce a considiated set of citation principles that may encourage broad adoption of a consistent policy for software citation across disciplines and venues. Our work is presented here as a set of software citation principles, addiscussion of the motivations for developing the principles, reviews of existing community practice, and a discussion of the requirements these principles would place upon different stakeholders. Working examples and possible technical solutions for how these principles can be implemented will be discussed in a separate paper.

Subjects Digital Libraries, Software Engineering Keywords Software citation, Software credit, Attribution

#### SOFTWARE CITATION PRINCIPLES

The main contribution of this document are the software citation principles, written fairly concisely in this section and discussed further later in the document (see Discussion). In addition, we also motivate the creation of these principles (see Motivation), In addition, we also motivate the creation of these principles (see Motivation), summarize use cases related to software citation (see Use Cases), and review related work (see Related Work). We also lay out the work needed to lead to these software citation principles being applied (see Future Work).

1. Importance: Software should be considered a legitimate and citable product of research. Software citations should be accorded the same importance in the scholarly record as citations of other research products, such as publications and data; they should be included in the metadata of the citing work, for example in the reference list of a journal article, and should not be omitted or separated. Software should be cited on the same basis as any other research product such as a paper or a book, that is, authors should cite the appropriate set of software products just as they cite the appropriate set of software products.

How to cite this article Smith et al. (2016), Software citation principles. PeerJ Comput. Sci. 2:e86; DOI 10.7717/peerj-cs.8

### 1. Importance

Software is cited like a paper.

- 2. Credit and attribution
- 3. Unique identification
- 4. Persistence

### 5. Accessibility

Citation enables access to software and metadata.

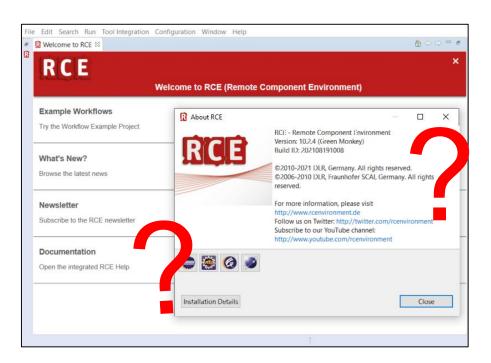
### 6. Specificity

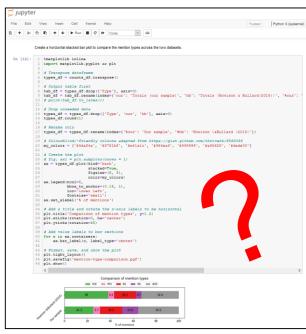
Citation identifies the version of the software that was used.

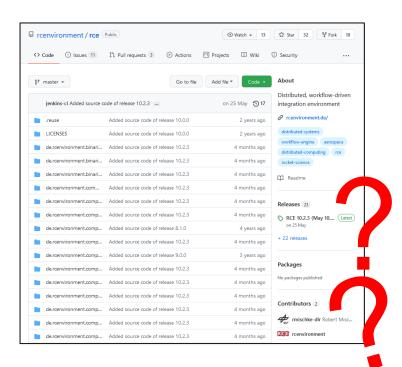
Smith AM, Katz DS, Niemeyer KE, FORCE11 Software Citation Working Group. 2016. Software citation principles. PeerJ Computer Science 2:e86 <a href="https://doi.org/10.7717/peerj-cs.86">https://doi.org/10.7717/peerj-cs.86</a>

# The problem: metadata Software has no title page









METADATA: Name? Authors? Version? Publisher? Publication date?

# Providing citation metadata with the Citation File Format



- authoritative
- controllable
- "principled":
- Importance ✓
- 2. Credit and attribution
- 3. Unique identification\* ✓
- 4. Persistence\* ✓
- 5. Accessibility\*\* ✓
- 6. Specificity\*\*

```
cff-version: 1.2.0
message: If you use this software, please cite it using these metadata.
title: My Research Software
abstract: This is my awesome research software. It does many things.
authors:
  - family-names: Druskat
    given-names: Stephan
    orcid: "https://orcid.org/0000-0003-4925-7248"
version: 0.11.2
date-released: "2021-07-18"
identifiers:
  - description: This is the collection of archived snapshots of all versions of My Research Software
    type: doi
    value: "10.5281/zenodo.123456"
  - description: This is the archived snapshot of version 0.11.2 of My Research Software
    type: doi
    value: "10.5281/zenodo.123457"
license: Apache-2.0
repository-code: "https://github.com/citation-file-format/my-research-software"
```

+ references, preferred citation, dataset support (experimental)

METADATA: In a CITATION.cff file in the source code repository

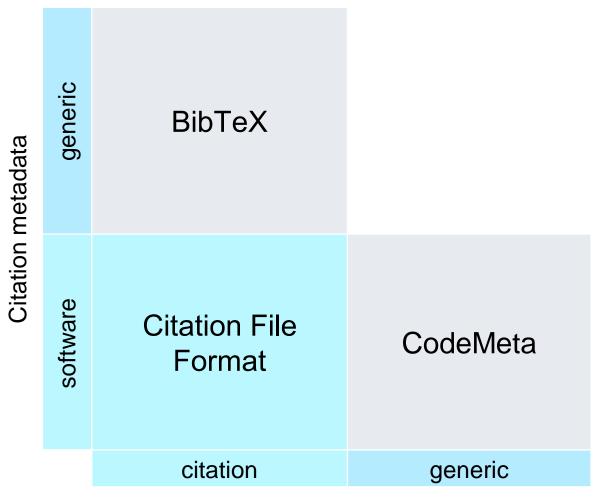
# Citation File Format as a project



- Community project (since WSSSPE5.1, 2017)
- Main development work at DLR, NLeSC, U Manchester
- Openly developed: <a href="https://github.com/citation-file-format/">https://github.com/citation-file-format/</a>
- Open licenses:CC0, CC-BY, MIT, Apache 2.0
- Collaboration with external projects/groups
- Dedicated section in <u>The Turing Way</u>
- Governance development as part of the CS&S <u>Digital Infrastructure Incubator</u>

# Citation File Format in the metadata ecosystem

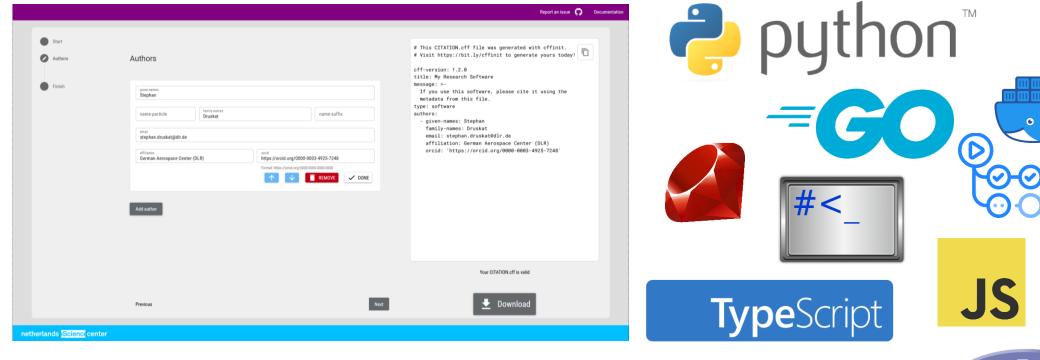




Software metadata

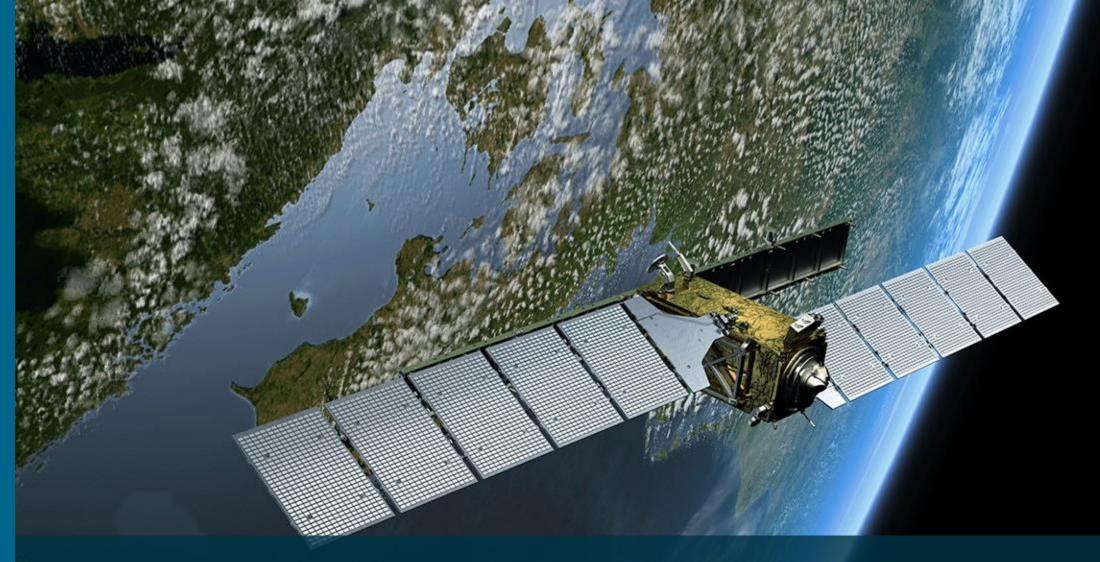
# Citation File Format: more than "just" a format Create, edit & update, validate, convert





cffinit: web tool for CFF file creation - https://bit.ly/cffinit

Overview: https://bit.ly/cff-tools-list

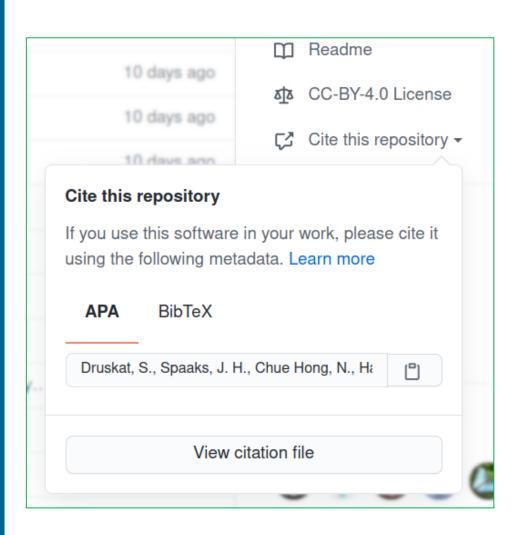




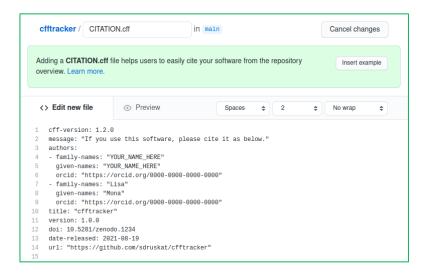
# CFF INTEGRATIONS

# Citation File Format $\heartsuit$ GitHub



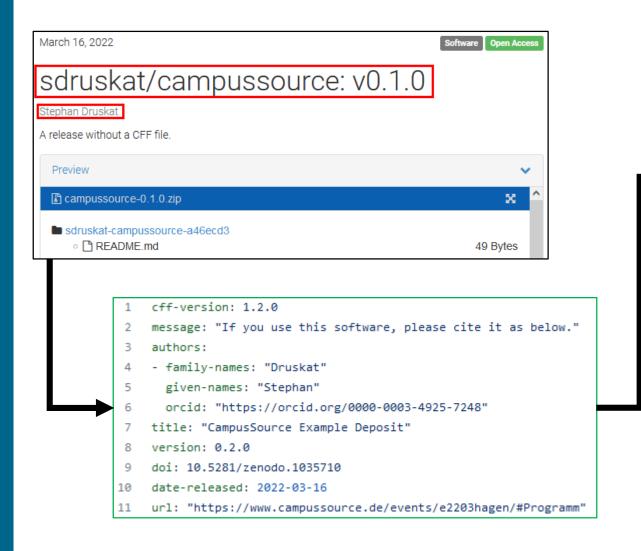


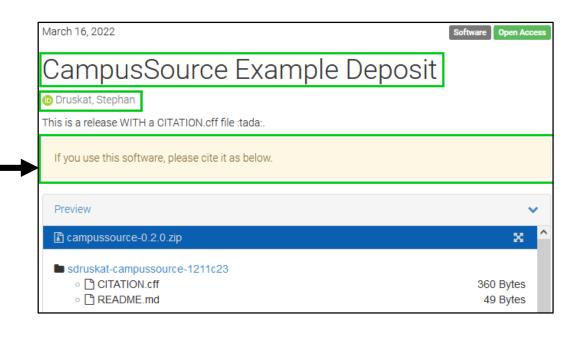
- Detects CITATION.cff files
- Renders citation metadata (APA, BibTeX)
- Points to CITATION.cff instance
- **Documents** and supports creation



# Citation File Format Zenodo



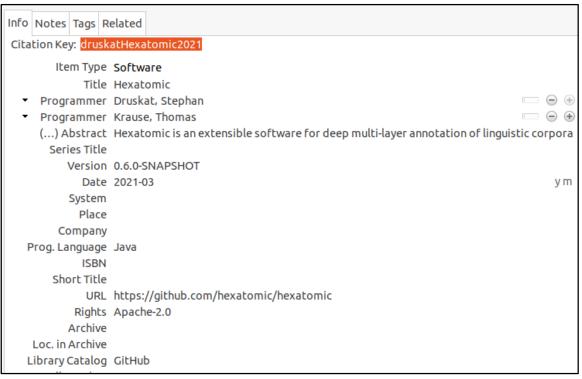




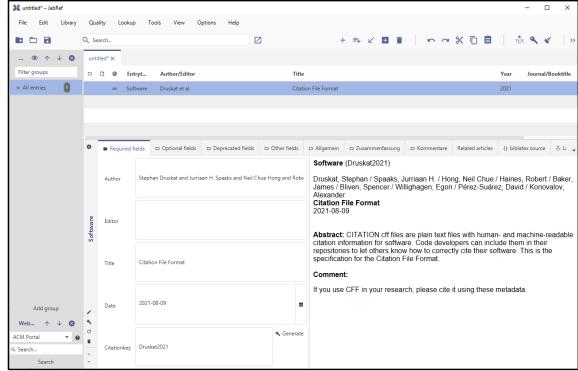
# Citation File Format $\heartsuit$ reference managers



#### Import in Zotero from GitHub via browser plugin



#### Import in JabRef from CITATION.cff file



# **Citation File Format** $\bigcirc$ **IDEs**



Auto-complete and validation via JSON Schema / schemastore.org

```
Eile Edit View Navigate Code Refactor Run Iools Git Window Help cff-in-ides - CITATION.cff
cff-in-ides > 📇 CITATION.cff
             -version: 1.2.0
          message: If you use this software, please cite it using the metadata from this file.
          title: Software project
          license: UNKNOWN LICENSE
                 - name: anon.

    license

            version
           p date-released
            n abbreviation

    collection-doi

            p collection-title
           p collection-type
           pcommit The (e.g., Git) commit hash or (e.g., Subversion) revision number of the work
```

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     \frac{d}{dt} CITATION.cff 	imes
            cff-version: 1.2.0
            message: If you use this software, please cite it using the metadata from this file.
             - family-names: Druskat
            title: Software project
            license: Apa
             Document 1/1 > license: > Apa
        CITATION.cff C:\Users\drus_st\tmp\cff-in-ides 1 problem
           A Schema validation: Value should be one of: "0BSD", "AAL", "Abstyles", "Adobe-2006", "Adobe-Glyph", "ADSL", "AFL-1.1", "AFL-1.2"
```



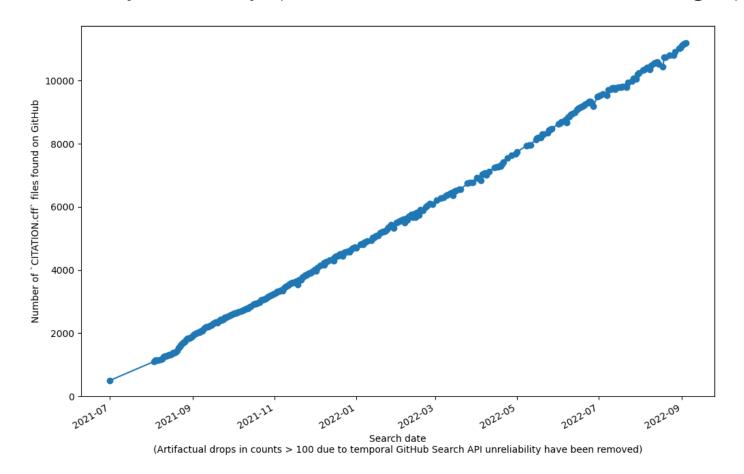


# STATE OF THE CFF PRACTICE

# How many CFF files are there?



On GitHub, as of yesterday (if the GitHub Search API is right): 11,199



## What do people's CFF files look like?



### SSI Collaborations Workshop 2022 hack day:

- Retrieved and analyzed ~ 3,000 CITATION.cff files from GitHub:
  - We seemed to find that only ~ 1.6% were valid
  - Thank you: Amal Alghamdi, Jez Cope, Sam Harrison, Mark Basham, Hugo Gruson

Sample size: 2793

Has version: 2021 (72.4%)

Has code repo URL: 785 (28.1%)

Has DOI: 1187 (42.5%)

Has preferred citation: 469 (16.8%)

Compliant: 46 (1.6%)

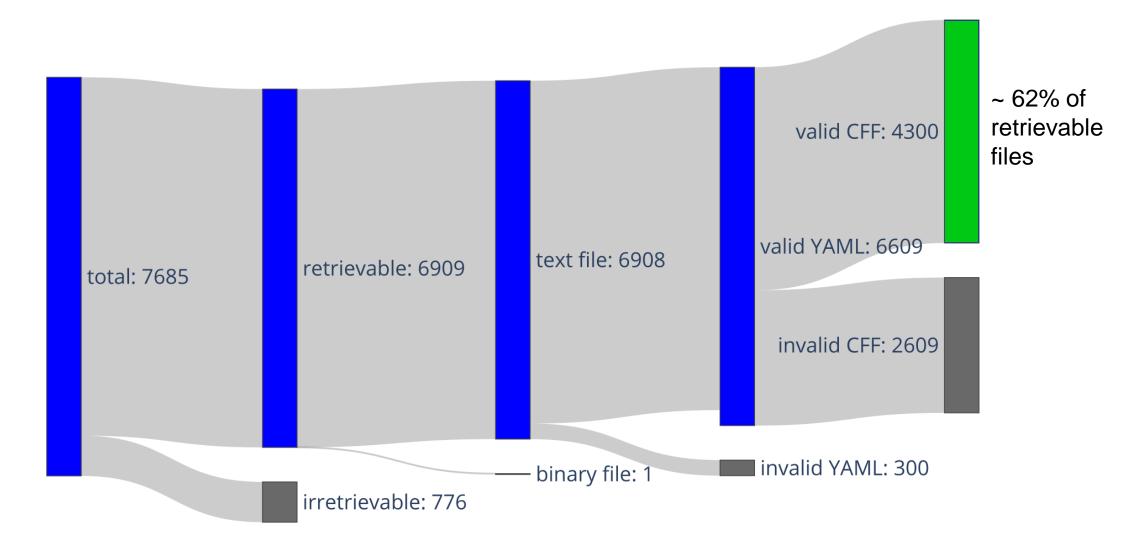
### GitHub Policy:

- Provided a list of ~7,000 repositories containing CITATION.cff files
  - Thank you: Peter Cihon, Mike Linksvayer, Kevin Xu, Justin Royal

### The following results are preliminary

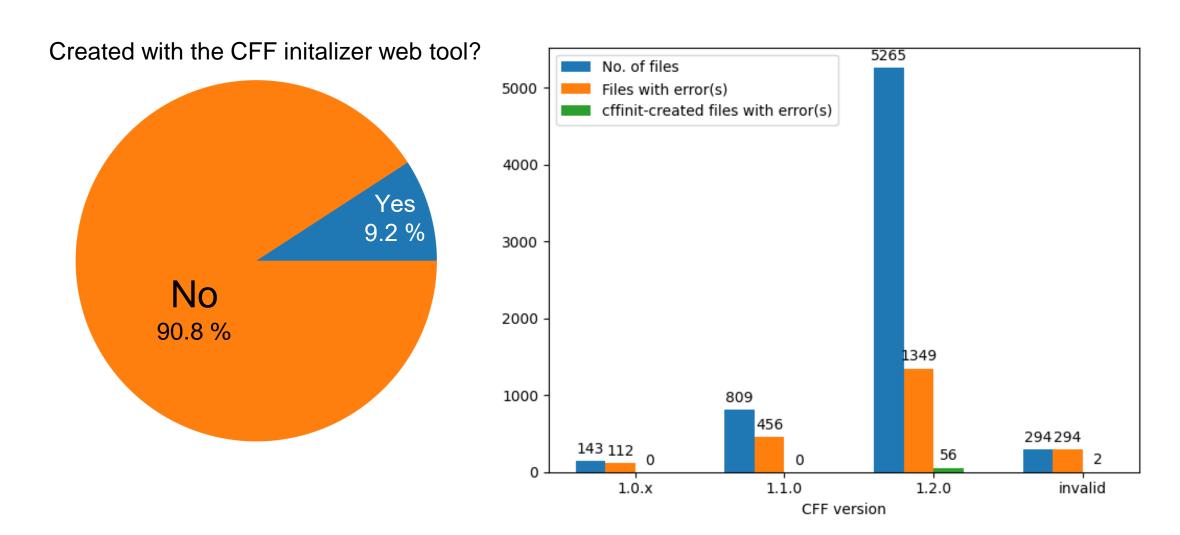
# What do people's CFF files look like? The road to validity





# What do people's CFF files look like? Does the CFF initialization website improve validity?





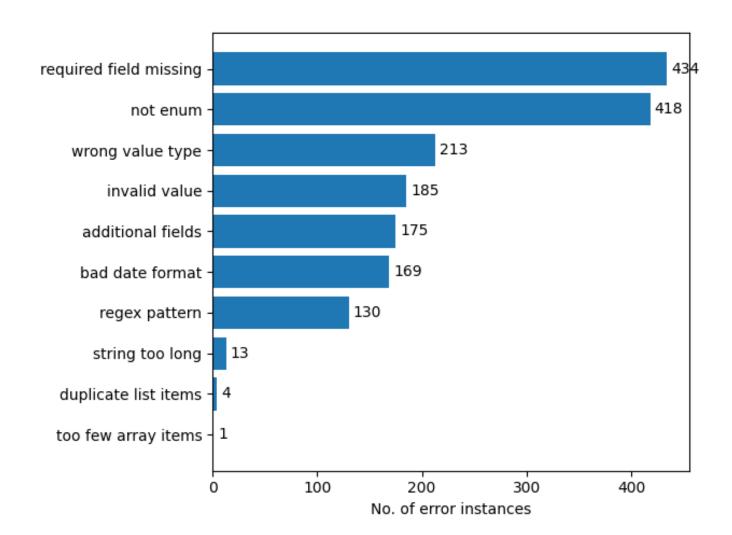
# What do people's CFF files look like? CFF validation errors



Files with errors: 2,609

 Total number of errors across corpus: 4,284

Unmapped errors: 2,242

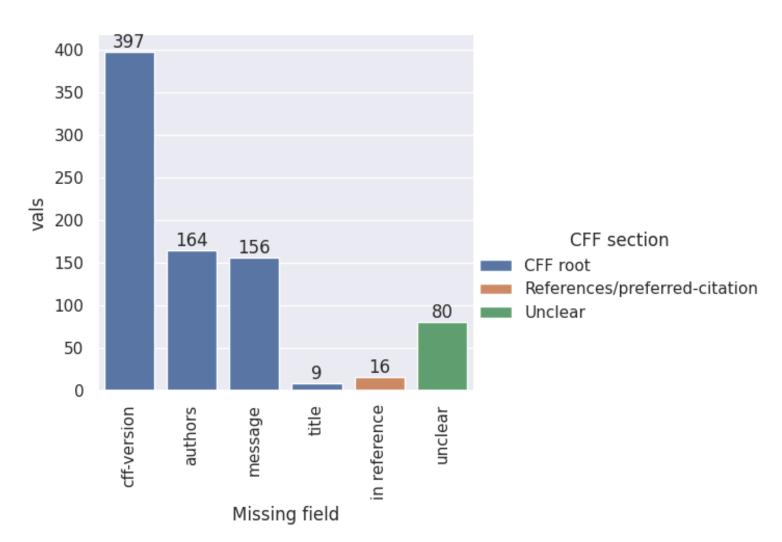


# What do people's CFF files look like? CFF validation errors: required fields



 Total "missing required field" errors (one or more fields):

852



# What do people's CFF files look like? What now?



- Preliminary conlucions:
  - Tooling (e.g., cffinit) helps people create valid CITATION.cff files
  - People seem to struggle with required fields and enums
    - Can we improve documentation?
    - What new tooling can we build to help create valid files?
    - Where does tooling need improvement?
    - How can we make existing tooling more visible?
- Take home message:
  - Use <a href="https://bit.ly/cffinit">https://bit.ly/cffinit</a> to create a CFF file for your software

### Thanks!



Thanks to all contributors to the CFF project!

Thanks to the Software Sustainability Institute, Code for Science & Society, CampusSOURCE for funding!

**Special thanks to Rob Haines!** 

#### Get in touch ...

https://citation-file-format.github.io/

https://github.com/citation-file-format/citation-file-format

### (... with me)

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