Coding Theory and Cryptography: A Conference in Honor of Joachim Rosenthal's 60th Birthday

The Marginal Distribution of the Lee Channel and its Applications

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joint work with Hannes Bartz and Gianluigi Liva and with Karan Khathuria (UT) and Violetta Weger (TUM)

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Outline

- 1 Preliminaries and Motivation
- 2 The Lee Channel and its Properties
- 3 Information Set Decoding
- 4 Information Set Decoding using Restricted Spheres
 - Bounded Minimum Distance Decoding
 - Decoding Beyond the Minimum Distance



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Syndrome Decoding Problem

Assume we send a codeword $x \in \mathcal{C}$ and receive a vector $y = x + e \in (\mathbb{Z}/p^s\mathbb{Z})^n$.

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Given an $(n-k) \times n$ parity-check matrix H of C and a syndrome $s = yH^{\top}$, find the length-n vector e such that

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 - o Is an NP-hard problem (in the Hamming metric, Lee metric, ...)
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- The security of the McEliece cryptosystem relies on the hardness of the syndrome decoding problem
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 - o generic decoding has a large cost in the Lee metric
- Has a unique solution for a relatively small weight (w.r.t. the GV bound)



Ring-Linear Codes

Let p a prime number and s and n two positive integers.

Definition

A linear code $C \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ is a $\mathbb{Z}/p^s\mathbb{Z}$ -submodule of $(\mathbb{Z}/p^s\mathbb{Z})^n$.



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Parameters:

- n is called the *length* of C
- $k := \log_{p^s} |\mathcal{C}|$ is the $\mathbb{Z}/p^s\mathbb{Z}$ -dimension of \mathcal{C}
- R := k/n denotes the *rate* of C.



The Lee Metric

Definition

For $a \in \mathbb{Z}/p^s\mathbb{Z}$ and $e = (e_1, \dots, e_n) \in (\mathbb{Z}/p^s\mathbb{Z})^n$ we define their *Lee weight*, respectively, by

$$\operatorname{wt}_{\mathsf{L}}(a) := \min(a, |p^s - a|),$$

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Example over $\mathbb{Z}/5\mathbb{Z}$

• $0: wt_L(0) = 0$

• 1: $wt_L(1) = 1$

• 2: $wt_L(2) = 2$

• 3: $wt_L(3) = 2$

• 4: $wt_L(4) = 1$



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Properties:

For every $a \in \mathbb{Z}/p^s\mathbb{Z}$ and $e \in (\mathbb{Z}/p^s\mathbb{Z})^n$

• $\operatorname{wt}_{\mathsf{L}}(a) = \operatorname{wt}_{\mathsf{L}}(|p^{s} - a|)$

• $wt_H(a) \le wt_L(a) \le |p^s/2| =: M$

• $\operatorname{wt}_{H}(e) \leq \operatorname{wt}_{L}(e) \leq nM$



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The Constant-Weight Lee Channel

Take a linear code $\mathcal{C} \subset (\mathbb{Z}/p^s\mathbb{Z})^n$.

Message
$$x \in \mathcal{C}$$
 Channel $y \in (\mathbb{Z}/p^s\mathbb{Z})^n$ Decoder decoded message Introduce an error, i.e., we add to x $e = (e_1, \dots, e_n) \in (\mathbb{Z}/p^s\mathbb{Z})^n$

Here: Take e uniformly at random from $e \in \mathcal{S}_{t,p^s}^{(n)} := \{z \in (\mathbb{Z}/p^s\mathbb{Z})^n \mid \operatorname{wt}_L(z) = t\}.$



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Question: What can we say about the entries of the error term?



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Lemma

Let $a \in \mathbb{Z}/p^s\mathbb{Z}$ be chosen uniformly at random. Then

$$\delta_{\rho^{\mathcal{S}}} := \mathbb{E}(\mathsf{wt_L}(a)) = \begin{cases} \frac{(p^s)^2 - 1}{4\rho^s} & \text{if } p^s \text{ is odd,} \\ \frac{p^s}{4} & \text{if } p^s \text{ is even.} \end{cases}$$



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Assume that the asymptotic relative Lee weight is $T := \lim_{n \to \infty} \frac{t(n)}{n}$. For every $i \in \mathbb{Z}/p^s\mathbb{Z}$ the marginal distribution of E is given by

$$p_i := \mathbb{P}(E = i) = \frac{1}{\sum_{i=0}^{p^s - 1} \exp(-\beta \operatorname{wt}_{L}(j))} \exp(-\beta i)$$

where β is the solution to $T = \sum_{i=0}^{M} \operatorname{wt}_{1}(i)p_{i}$.

¹"On the Properties of Error Patterns in the Constant Lee Weight Channel". In: *International Zurich Seminar on Information and Communication (IZS)*. 2022, pp. 44–48.



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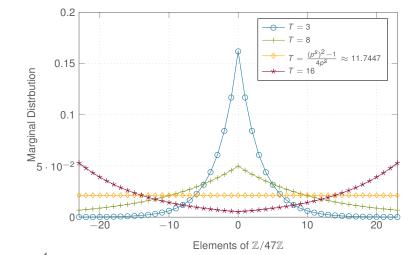
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Note $T < \delta_{p^s} \iff \beta > 0$



The Marginal Distribution - Example over $\mathbb{Z}/47\mathbb{Z}$





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, $s \in (\mathbb{Z}/p^s\mathbb{Z})^{n-k}$ and $t \in \mathbb{N}$, find $e \in (\mathbb{Z}/p^s\mathbb{Z})^n$ s.t. $\operatorname{wt}_1(e) = t$ and $s = eH^{\top}$.



Consider an instance of the Lee Syndrome Decoding Problem (LSDP):

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- Information Set Decoding (ISD) are the fastest yet known attacks to the LSDP
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 - → Recent improvements: using partial Gaussian elimination¹

¹Matthieu Finiasz and Nicolas Sendrier. "Security bounds for the design of code-based cryptosystems". In: *International Conference on the Theory and Application of Cryptology and Information Security.* Springer. 2009, pp. 88–105.



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²Alexander May, Alexander Meurer, and Enrico Thomae. "Decoding Random Linear Codes in $\tilde{\mathcal{O}}(2^{0.054n})$ ". In: International Conference on the Theory and Application of Cryptology and Information Security. Springer, 2011



¹Anja Becker et al. "Decoding random binary linear codes in $2^{n/20}$: How 1+ 1= 0 improves information set decoding". In: *Annual international conference on the theory and applications of cryptographic techniques*. Springer, 2012, pp. 520–536.

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²André Chailloux, Thomas Debris-Alazard, and Simona Etinski. "Classical and Quantum algorithms for generic Syndrome Decoding problems and applications to the Lee metric". In: International Conference on Post-Quantum Cryptography, Springer, 2021, pp. 44–62



¹Violetta Weger et al. "On the hardness of the Lee syndrome decoding problem". In: *Advances in Mathematics of Communications* (2019), DOI: 10.3934/amc.2022029.

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- The cost of an ISD algorithm is given by



We use the idea of partial Gaussian elimination to solve the problem:

1. Find $U \in GL_{n-k}(\mathbb{Z}/p^s\mathbb{Z})$ such that

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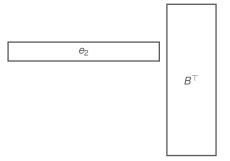
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4. Solve the smaller instance of the LSDP. Immediately check whether $e_1 = s_1 - e_2 A^{\top}$ has Lee weight t - v.



Solving the Smaller Instance - Finding e2

Focus on $e_2B^{\top}=s_2$, with $\operatorname{wt}_L(e_2)=v$



Solving the Smaller Instance - Finding e₂

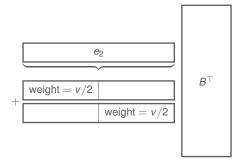
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Stern/Dumer

• Represent e2 as

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where
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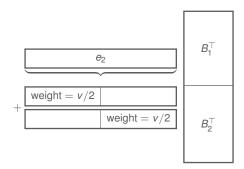
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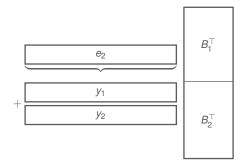
• Represent e2 as

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where $\operatorname{wt}_{l}(y_{1}) = \operatorname{wt}_{l}(y_{2}) = v/2 + \varepsilon$.

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Note: The two vectors $y_1 \in \mathcal{L}_1$ and $y_2 \in \mathcal{L}_2$ share ε nonzero positions. The expected weight of $y_1 + y_2$ is still v.



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Focus on the small instance of the Lee syndrome decoding problem.

Given
$$B \in (\mathbb{Z}/p^s\mathbb{Z})^{\ell \times (k+\ell)}$$
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Main Idea and Difference

• Use the marginal distribution, i.e.,



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- Use the marginal distribution, i.e.,
 - for t/n < M/2, with high probability 0 is the most likely Lee weight in e, followed by the Lee weight 1 until the least likely Lee weight M.



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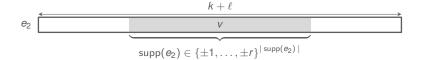


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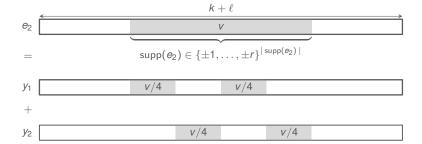
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 - o for t/n > M/2 the contrary is true
- With high probability the least probable entries of e lie outside the information set, hence are not in e₂.
- We will restrict e_2 to live either in $\{0, \pm 1, \dots, \pm r\}^{k+\ell}$ or in $\{\pm r, \dots, \pm M\}^{k+\ell}$, respectively.

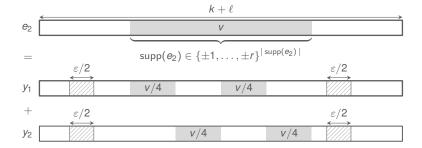




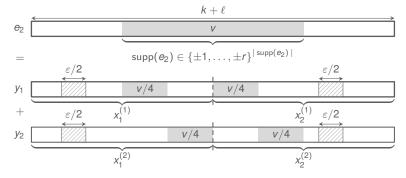








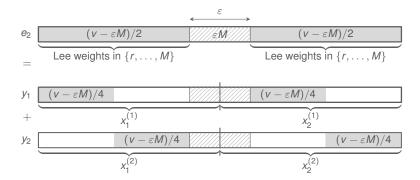




$$\mathcal{B}_i = \left\{ \nu(x) \mid x_{\mathcal{E}_i^{\mathcal{C}}} \in \{0, \dots, \pm r\}^{(k+\ell-\epsilon)/2}, \text{wt}_L(x_{\mathcal{E}_i^{\mathcal{C}}}) = \nu/4, x_{\mathcal{E}_i} \in \left(\mathbb{Z}/\rho^s\mathbb{Z}\right)^{\epsilon/2}, \nu \in S_{(k+\ell)/2} \right\}$$



Decoding Beyond the Minimum Distance





Recall,
$$s_2 = e_2 B^{\top}$$
, where $e_2 = y_1 + y_2 = (x_1^{(1)}, x_2^{(1)}) + (x_1^{(2)}, x_2^{(2)})$.



Recall, $s_2 = e_2 B^{\top}$, where $e_2 = y_1 + y_2 = (x_1^{(1)}, x_2^{(1)}) + (x_1^{(2)}, x_2^{(2)})$.

1. Splitting $B = (B_1 \ B_2)$, for i = 1, 2 concatenate all $x_1^{(i)}, x_2^{(i)} \in \mathcal{B}_i$ satisfying

$$\begin{aligned} x_1^{(1)} B_1^\top &=_{u} - x_2^{(1)} B_2^\top, \\ x_1^{(2)} B_1^\top &=_{u} s_2 - x_2^{(2)} B_2^\top. \end{aligned}$$

They imply the syndrome equations for y_1 and y_2 , respectively.

$$v_1 B^{\top} = 0$$
 and $v_2 B^{\top} = s_2$



Recall, $s_2 = e_2 B^{\top}$, where $e_2 = y_1 + y_2 = (x_1^{(1)}, x_2^{(1)}) + (x_1^{(2)}, x_2^{(2)})$.

1. Splitting $B = (B_1, B_2)$, for i = 1, 2 concatenate all $x_1^{(i)}, x_2^{(i)} \in \mathcal{B}_i$ satisfying

$$\begin{split} x_1^{(1)} B_1^\top &=_u - x_2^{(1)} B_2^\top, \\ x_1^{(2)} B_1^\top &=_u s_2 - x_2^{(2)} B_2^\top. \end{split}$$

They imply the syndrome equations for y_1 and y_2 , respectively.

$$y_1B^{\top}=0$$
 and $y_2B^{\top}=s_2$

2. Store them in a list \mathcal{L}_i .



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$$s_2 = (y_1 + y_2)B^{\top}$$
 and $wt_L(y_1 + y_2) = v$,



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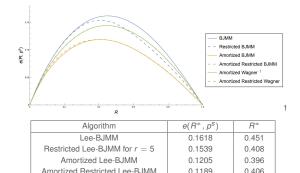
$$s_2 = (y_1 + y_2)B^{\top}$$
 and $wt_L(y_1 + y_2) = v$,

b) the original LSDP is fulfilled as well

$$wt_L(s_1 - (y_1 + y_2)A^T) = t - v$$



Comparison - Bounded Minimum Distance Decoding in $\mathbb{Z}/47\mathbb{Z}$



0 1441

0 1441

0.445

0.445

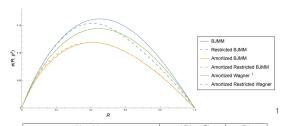
Amortized Lee-Wagner

Amortized Restricted Lee-Wagner



¹André Chailloux, Thomas Debris-Alazard, and Simona Etinski. "Classical and Quantum algorithms for generic Syndrome Decoding problems and applications to the Lee metric". In: *International Conference on Post-Quantum Cryptography*. Springer. 2021, pp. 44–62.

Comparison - Bounded Minimum Distance Decoding in $\mathbb{Z}/47\mathbb{Z}$



Algorithm	e(R*, p ^s)	R*	
Lee-BJMM	0.1618	0.451	
Restricted Lee-BJMM for $r = 5$	0.1539	0.408	
Amortized Lee-BJMM	0.1205	0.396	
Amortized Restricted Lee-BJMM	0.1189	0.406	
Amortized Lee-Wagner	0.1441	0.445	
Amortized Restricted Lee-Wagner	0.1441	0.445	

Thank you for your attention!

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