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# TROPOspheric Monitoring Instrument observations of total column water vapour: algorithm and validation

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### Abstract

In this paper, we present the total column water vapour (TCWV) retrieval for the TROPOSpheric Monitoring Instrument (TROPOMI) observations in the visible blue spectral band. The TROPOMI TCWV algorithm is being optimized and validated in the framework of the Sentinel 5 Precursor Product Algorithm Laboratory (S5P-PAL) project from the European Space Agency (ESA). The retrieval was first developed to retrieve TCWV from the Global Ozone Monitoring Experiment 2 (GOME-2). We have optimized the settings of the retrieval to adapt it for TROPOMI observations. The TROPOMI TCWV algorithm follows the typical two step approach, using spectral fit retrieval of slant columns, and conversion of the slant columns to vertical columns using air mass factors (AMFs). An iterative optimization algorithm is developed to dynamically find the optimal a priori water vapour profile for the AMF calculation. Further optimizations on the spectral retrieval, air mass factor calculations as well as a new surface albedo retrieval approach are implemented.

The TCWV retrieval algorithm is applied to TROPOMI observations from May 2018 to May 2021. The results are validated by comparing them to ERA5 reanalysis data, GOME-2, MODerate resolution Imaging Spectroradiometer (MODIS) and Special Sensor Microwave Imager Sounder (SSMIS) satellite observations. TCWV derived from TROPOMI observations agree well with the other data sets with Pearson correlation coefficient (R) ranging from 0.96 to 0.99. The mean bias between TROPOMI and ERA5 data is -1.24 kg m<sup>-2</sup> for measurements over land and  $0.73 \,\mathrm{kg}\,\mathrm{m}^{-2}$  for measurements over water. The comparison to MODIS observations show similar results with small drv bias of 1.51, kg m<sup>-2</sup> for measurements over land and a small wet bias of  $1.25 \text{ kg m}^{-2}$  for measurements over water. Slightly larger dry bias of  $1.98 \text{ kg m}^{-2}$  for measurements over land and  $1.74 \,\mathrm{kg}\,\mathrm{m}^{-2}$  for measurements over water are found when compared to GOME-2 observations. Compared to SSMIS data over water, TROPOMI observations are bias low by  $3.25 \,\mathrm{kg}\,\mathrm{m}^{-2}$ . The small discrepancies found between TROPOMI and reference data sets are related to the differences in measurement technique, measurement time, surface albedo issue, as well as cloud and aerosol contamination. This study demonstrates that the algorithm can provide stable and consistent results on a global scale and can be applied to generate operational TCWV products from TROPOMI and the forthcoming Copernicus missions Sentinel-4 and Sentinel-5. We have also demonstrated the capability of retrieving fine scale water vapour structures in a case study over the Amazon. This indicates that the TROPOMI data set is also useful for local and regional climate studies.

Keywords: water vapour, TROPOMI, Sentinel-5P, GOME-2, MODIS, SSMIS

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#### 1 1. introduction

Water vapour is one of the major components in the atmosphere with strong impacts on the earth's climate and weather. Water vapour absorbs radiation in the infrared spectral range and its abundance in the atmosphere, making it the most important natural greenhouse gas (Clough and Iacono, 1995; Kiehl and Trenberth, 1997). Notwithstanding this importance, the role of water vapour in climate and its reactions to climate change are still difficult to assess. On the one hand, the atmospheric water vapour content of the atmosphere is expected to rise with increasing atmospheric temperature (Trenberth and Stepaniak, 2003; Hodnebrog et al., 2019), which further amplifies the warming effect (Colman, 2003; Soden et al., 2005; Soden and Held, 2006; Evan et al., 8 2015). On the other hand, higher water vapour content in the atmosphere could also enhance cloud formation, where clouds are known to have cooling effect to the Earth's surface (Bellomo et al., 2014; Brown et al., 2016). 10 Therefore, the warming or cooling effect of increasing water vapour amounts in the atmosphere is still not well 11 understood (Boucher et al., 2013). The warming of atmosphere would also intensify the horizontal transport of 12 atmospheric water vapour as well as its spatio-temporal patterns (Schneider et al., 2010; Lavers et al., 2015). 13 In addition, the lifetime of atmospheric water vapour is rather short compared to other greenhouse gases, hence 14 it has a very strong spatio-temporal variability making the assessment more difficult. Accurate measurements 15 of water vapour on a global scale are therefore necessary for the investigation and evaluation of its interactions 16 with the earth's climate (Hartmann et al., 2013). 17

Satellite remote sensing is an essential tool for the monitoring of atmospheric water vapour on global scale. 18 Satellite observations of water vapour can be conducted in various electromagnetic spectral bands, i.e., mi-19 crowave, infrared and visible bands (Kaufman and Gao, 1992; Bauer and Schlüssel, 1993; Noël et al., 1999, 20 2004; Li et al., 2006; Wagner et al., 2006; Pougatchev et al., 2009; Wang et al., 2014; Grossi et al., 2015). 21 Although water vapour absorption in the visible wavelength band is a few orders of magnitude lower than at 22 longer wavelengths, satellite observations of water vapour in the visible band have certain advantages compared 23 to measurements at longer wavelengths. Surface albedo in the visible blue band over ocean is higher than that 24 in the infrared, and yields better sensitivity to the lower troposphere where most of the water vapour resides. 25 In addition, a non-linearity absorption correction is not required for measurements in the visible blue band, as 26 the absorption at this wavelength band is rather low. 27

Spectroscopic observations of earthshine radiance in the ultraviolet (UV), visible (VIS) and near infrared (NIR) bands have long been conducted since the Global Ozone Monitoring Experiment (GOME) satellite mission was launched in 1995 (Burrows et al., 1999). Together with follow up missions such as the SCanning Imaging Absorption SpectroMeter for Atmospheric CHartographY (SCIAMACHY) (Bovensmann et al., 1999), Global Ozone Monitoring Experiment 2 (GOME-2) (Callies et al., 2000), and Ozone Monitoring Instrument (OMI) (Levelt et al., 2006) these observations provide a global record of earthshine radiance in the UV, VIS and NIR (UVN) spectral range for more than 25 years. The recent TROPOspheric Monitoring Instrument

(TROPOMI) satellite borne spectrometer (Veefkind et al., 2012) on board the European Space Agency (ESA) 35 Sentinel 5 Precursor (S5P) satellite provides daily global observations of earthshine radiance in the UVN range 36 with much finer spatial resolution  $(3.5 \times 7.0 \text{ km}^2 \text{ and } 3.5 \times 5.5 \text{ km}^2 \text{ after August 2019})$  compared to its prede-37 cessors. TROPOMI and the upcoming Sentinel 5 (S5) missions will provide indispensable global observations 38 of earthshine radiance in the UVN range in the next decade. However, water vapour is still not yet one of 30 the official TROPOMI operational products. In order to fully exploit the potential of TROPOMI observations, 40 we have developed the total column water vapour (TCWV) retrieval algorithm for TROPOMI within the Eu-41 ropean Space Agency's (ESA's) Sentinel 5 Precursor Product Algorithm Laboratory (S5P-PAL) framework. 42 The TROPOMI water vapour algorithm is based on the GOME-2 total column water vapour retrieval with 43 optimizations on spectral analysis, air mass factor calculations and new surface albedo retrieval approach. 44

The objective of this paper is to present the TROPOMI TCWV retrieval algorithm which is based on the 45 experience from GOME-2 and to produce a consistent TCWV data set. This paper presents the details of the 46 TROPOMI TCWV retrieval algorithm and validation against external data sets. The manuscript is organized 47 as follows. The description of the TROPOMI instrument and other data sets used for validation are presented 48 in Section 2. Section 3 describes the TROPOMI TCWV retrieval algorithm. The results of validation against 49 external data sets results are shown in Section 4. Section 5 presents an example of using the high spatial 50 resolution TROPOMI TCWV data to observe fine scale features of water vapour. Section 6 summarizes the 51 study. 52

#### <sup>53</sup> 2. Instruments and data sets

In this section, the TROPOMI instrument and its corresponding products used in the retrieval are described. In addition, TCWV validation data sets measured by other satellite instruments and the ERA5 reanalysis data are presented.

## 57 2.1. TROPOMI measurements

TROPOspheric Monitoring Instrument (TROPOMI) is a passive nadir viewing satellite borne push-broom 58 grating imaging spectrometer on board the Copernicus Sentinel 5 Precursor (S5P) satellite. The satellite 59 was launched on  $13^{th}$  October 2017 and orbits on sun-synchronous orbit at an altitude of  $\sim$ 824 km with local 60 equator overpass time of 13:30 LT (local time) on ascending node. The instrument has 8 spectral bands covering 61 ultraviolet (UV), visible (Vis), near infrared (NIR) and short-wavelength infrared (SWIR). The instrument takes 62 measurements at 450 positions across the orbital track which cover a swath width of approximately 2600 km, 63 providing daily global coverage observations. The spatial resolution of the instrument was 3.5 km (across-track) 64  $\times$  7.0 km (alongtrack) for measurements taken before 6<sup>th</sup> August 2019 and 3.5 km (across-track)  $\times$  5.5 km 65 (alongtrack) after 6<sup>th</sup> August 2019. The TCWV retrieval utilizes spectral observations in band 4 (400-495 nm) 66 with typical spectral resolution of 0.54 nm. The description of the spectral calibration of the TROPOMI 67 instruments can be found in Kleipool et al. (2018); Ludewig et al. (2020). A more detailed description of the TROPOMI instrument can be found in Veefkind et al. (2012). 69

The first step of TROPOMI data processing is the conversion of the detector signal (level 0 data) to ge-70 olocated and radiometric calibrated radiance and irradiance data (level 1B data). The operational TROPOMI 71 level 1B processor has been developed by the Royal Netherlands Meteorological Institute (Koninklijk Nederlands 72 Meteorologisch Instituut, KNMI). It uses instrument calibration key data to convert level 0 to level 1B data. 73 The calibration key data includes information such as detector dark current, offset, non-linearity, instrument 74 slit response function (ISRF), etc, which are acquired from the pre-flight on-ground calibration. More details 75 of the instrument calibration can be found in Kleipool et al. (2018). The calibrated level 1B data is then used 76 for the retrieval of cloud information and TCWV. 77

## 78 2.2. ERA5 reanalysis data

ERA5 (ECMWF Reanalysis version 5) is a global atmosphere, land surface and ocean waves reanalysis data 70 set produced by the European Center for Medium-Range Weather Forecasts (ECMWF) as part of Copernicus 80 Climate Change Services (C3S). The ERA5 reanalysis data covers a long time period since 1979, providing 81 consistent data on a global scale for the analysis of long term variation of water vapour in the atmosphere. The 82 reanalysis data is produced with a data assimilation scheme which combined various measurements, including 83 radiosonde, satellite and ground based remote sensing observations, as prior information from model forecasts 84 (Hersbach et al., 2020). The original TCWV reanalysis data is in a spatial resolution of  $\sim 31 \,\mathrm{km}$  and temporal 85 resolution of 1 hour. The data is then transformed to the latitude longitude (LL) coordinate system with 86 a horizontal resolution of  $0.25^{\circ} \times 0.25^{\circ}$  through the Copernicus Climate Change Service. A more detailed 87 description of ERA5 reanalysis data can be found in Hersbach et al. (2020). The ERA5 TCWV data is 88 spatio-temporally interpolated to the measurement time and location of each individual TROPOMI pixel for 80 comparison and subsequent processing. The ERA5 reanalysis data is publicly available through the Copernicus Climate Change Services (https://cds.climate.copernicus.eu/). 91

## 92 2.3. GOME-2 TCWV product

The GOME-2 water vapour product (Grossi et al., 2015) is used as reference to validate the TROPOMI 93 TCWV data set. Data from both GOME-2 instruments on board the MetOp-A and MetOp-B satellites are used. The MetOp satellites orbit at an altitude of  $\sim$ 820 km on sun-synchronous orbits with a repeat cycle of 29 days (412 orbits) and a local equator overpass time of 09:30 LT (local time) on the descending node. The 96 spatial resolution of the GOME-2 instrument on board the MetOp-A satellite (GOME-2A) is 40 km (across-97 track × 40 km (along track) while the spatial resolution the GOME-2 instrument on board the MetOp-B satellite 98 (GOME-2B) is 80 km (across-track)  $\times$  40 km (alongtrack). A more detailed introduction to the MetOp series of 99 satellites as well as the GOME-2 instrument on board can be found in Callies et al. (2000); Klaes et al. (2007); 100 Munro et al. (2016). 101

The GOME-2 water vapour product is processed with GOME Data Processor (GDP) version 4.8 at the German Aerospace Center (DLR) within the framework of EUMETSAT's Satellite Application Facility on Atmospheric Composition Monitoring (AC-SAF). Slant columns water vapour are retrieved in the visible red

wavelength range of 614-683 nm. The water vapour slant columns are then converted to vertical columns 105 using air mass factors derived from the oxygen slant columns measured in the same wavelength band. The 106 GOME-2 water vapour product has been validated intensively by radiosonde and Global Positioning System 107 (GPS) measurements (Antón et al., 2015; Román et al., 2015; Kalakoski et al., 2016; Vaquero-Martínez et al., 108 2018). Detailed global comparison study shows that the GOME-2 TCWV has a dry bias of 3% comparied to 109 radiosonde data, while a wet bias of 3-5% is observed compared to GPS observations (Kalakoski et al., 2016). 110 Compared to ERA-Iterim reanalysis data, the GOME-2 water vapour product has been reported to significantly 111 underestimate TCWV over central Africa by  $\sim 10 \text{ kg m}^{-2}$  and India by  $15 - 21 \text{ kg m}^{-2}$  (Grossi et al., 2015). A 112 small wet bias of  $4-8 \,\mathrm{kg}\,\mathrm{m}^{-2}$  is found over oceans in the tropics during summer of the northern hemisphere 113 (Grossi et al., 2015). Compared to radiosonde measurements in the middle to high latitudes in the Northern 114 Hemisphere, the GOME-2 product has in general a dry bias of 9-11% (Antón et al., 2015). While a wet bias 115 of 10-16% is reported over Spain compared to GPS observations (Román et al., 2015; Vaquero-Martínez et al., 116 2018). The GOME-2 level 2 TCWV data is available on the AC-SAF webpage (https://acsaf.org/). 117

## 118 2.4. MODIS TCWV product

The MODerate resolution Imaging Spectroradiometer (MODIS) instruments are passive nadir viewing imag-119 ing sensors (Salomonson et al., 1989; King et al., 1992) on board the Earth Observing System's (EOS) Terra 120 and Aqua satellites. The Terra satellite orbits on a sun-synchronous orbit with a local equator overpass time of 121 13:30 LT (local time) on descending node, while the local equator overpass time for the Aqua satellite is 10:30 122 LT on ascending node. MODIS measures earthshine radiance at 36 discrete wavelength bands from  $0.4 \,\mu m$ 123 up to  $14.4\,\mu\text{m}$  with various spatial resolutions, providing global observation every 1-2 days. Columnar water 124 vapour content is derived from MODIS observations in the near infrared (NIR) from 865-1240 nm. The in-125 version of water vapour columns is based on the attenuation of radiation through the atmosphere. A more 126 detailed description of the MODIS water vapour retrieval algorithm can be found in Kaufman and Gao (1992); 127 Gao and Kaufman (2003). Due to the similar overpass time, water vapour product derived from the MODIS 128 instruments on board the Terra satellite is used to validate the TROPOMI TCWV data set. Compared to 129 GPS observations, the MODIS water vapour product in general shows a dry bias of  $3-13 \,\mathrm{kg \, m^{-2}}$  (Liu et al., 130 2006; Prasad and Singh, 2009). The NASA MOD05 monthly level 3 data product with a spatial resolution of 131  $0.25^{\circ} \times 0.25^{\circ}$  is used in this study. The data is available to public at the NASA Earth Observations (NEO) 132 webpage (https://neo.gsfc.nasa.gov/). 133

## 134 2.5. SSMIS TCWV product

Another data set used to validate the new TROPOMI water vapour retrieval is the atmospheric water vapour product derived from the Special Sensor Microwave Imager Sounder (SSMIS) on board the United States Air Force Defense Meteorological Satellite Program (DMSP) F16 polar orbiting satellite. The F16 satellite orbits at an altitude of  $\sim$ 848 km on a sun-synchronous orbit with local equator crossing time of  $\sim$ 16:00 LT on the ascending and  $\sim$ 04:00 LT on the descending node. The SSMIS instrument on board the F16 satellite has been

in operation since 2005, providing long term climate records of wind speed, cloud liquid water, atmospheric 140 water vapour and rainfall rate during both day and night time (Wentz, 2015). SSMIS water vapour data are 141 processed by Remote Sensing Systems with funding from the NASA MEaSUREs Program and the NASA Earth 142 Science Physical Oceanography Program. The retrieval of water vapour columns is based on the radiative 143 transfer calculation of brightness temperature over oceans. This type of satellite borne microwave observations 144 of TCWV has been reported to show a wet bias of  $2-3 \text{ kg m}^{-2}$  over ocean (Stephens et al., 1994). Although 145 SSMIS shows smaller bias than GOME-2 and MODIS observations, it only provide measurements over ocean. 146 More detailed introduction of the SSMIS TCWV retrieval algorithm can be found in Wentz (1997). In this 147 study, the NASA SSMIS monthly level 3 product version 7 with spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  is used to 148 validate the TROPOMI observations of TCWV. The data is available at the NASA Global Hydrometeorology 140 Resource Center (GHRC) (https://ghrc.nsstc.nasa.gov/). 150

## 151 3. TROPOMI TCWV retrieval

The TROPOMI TCWV algorithm is developed based on the TCWV retrieval developed for GOME-2 at the blue spectral band (Chan et al., 2020) with improvements of spectral retrieval, air mass factor calculations, and a new algorithm to retrieve surface properties from TROPOMI observations. The retrieval follows the typical two steps retrieval approach for weak absorbers. The first step is the spectral analysis to retrieve water vapour slant columns from TROPOMI measurement spectra. The second step is the slant columns to vertical columns conversion using air mass factors. The following describes the water vapour column retrieval focusing on the differences to the GOME-2 TCWV algorithm.

#### 159 3.1. Spectral retrieval of water vapour slant column

Slant column densities (SCDs) of water vapour are determined by applying the differential optical absorption 160 (DOAS) technique (Platt and Stutz, 2008) to TROPOMI radiance spectra in the wavelength range of 435-161 455 nm with a daily measured solar irradiance spectrum as reference. Absorption cross sections of several 162 species are used in the DOAS analysis for the retrieval of water vapour slant columns. These are water vapour 163 at 296 K from the HITRAN database (Rothman et al., 2009), NO<sub>2</sub> at 220 K (Vandaele et al., 2002), O<sub>3</sub> at 164 228 K (Brion et al., 1998), O<sub>4</sub> at 293 K (Thalman and Volkamer, 2013) and liquid water at 297 K (Pope and 165 Fry, 1997). A Ring spectrum is also included in the DOAS fit as pseudo cross section, to compensate for 166 the Raman scattering effect. These cross sections are pre-convoluted to the TROPOMI spectral resolution 167 for each detector row using the TROPOMI instrument spectral response functions (version 3.0.0) (Kleipool 168 et al., 2018). Wavelength calibrations are performed by mapping the TROPOMI solar irradiance spectrum with 169 a high resolution solar reference spectrum (Chance and Kurucz, 2010). The broad band spectral structures 170 caused by broad band absorption of trace gases, instrumental effects, Rayleigh and Mie scattering are removed 171 by including a  $4^{th}$  order polynomial in the spectral fitting. Shift and stretch parameters of radiance spectra 172 are also fitted during the spectral fitting process, to compensate for spectral instability due to small thermal 173 variations within the spectrograph. 174

Table 1: Summary of the water vapour slant column retrieval results with different spectral fitting window for TROPOMI measurements taken on  $1^{st}$  July 2018 (orbit 3698-3711) over the tropics ( $30^{\circ}$ S -  $30^{\circ}$ N).

Fitting	Length of	Median SCD	Median Root Mean	Deference
Window	Fitting Window	$(\mathrm{kg}\mathrm{m}^{-2})$	Square of Fit	Reference
430.0 - 450.0 nm	$20.0\mathrm{nm}$	40.01	$6.94 \times 10^{-4}$	Wagner et al. $(2013)$ ; Borger et al. $(2020)$
$430.0 - 480.0 \mathrm{nm}$	$50.0\mathrm{nm}$	35.70	$7.19 \times 10^{-4}$	Wang et al. $(2014)$
$427.7\operatorname{-}465.0\mathrm{nm}$	$37.3\mathrm{nm}$	38.21	$6.97 \times 10^{-4}$	Wang et al. $(2016)$
$432.0 - 466.5 \mathrm{nm}$	$34.5\mathrm{nm}$	38.01	$6.67 \times 10^{-4}$	Wang et al. $(2019)$
$427.7\operatorname{-}455.0\mathrm{nm}$	$27.3\mathrm{nm}$	40.45	$7.05 \times 10^{-4}$	Chan et al. $(2020)$
$435.0\operatorname{-}455.0\mathrm{nm}$	$20.0\mathrm{nm}$	39.96	$6.41 \times 10^{-4}$	This work

The spectral fitting window for the retrieval of water vapour slant columns is selected based on a sensitivity 175 study with different spectral fitting windows. The DOAS fitting range used by several authors, and our water 176 vapour slant column retrieval results are shown in Table 1. We vary the spectral fitting window with other 177 retrieval settings unchanged. These spectral fitting windows for water vapour retrieval cover the featuring water 178 vapour absorption structure at 441-448 nm. The median water vapour slant columns and the median root mean 179 squares of spectral fit for measurements taken on  $1^{st}$  July 2018 (orbit 3698-3711) over the tropics (30°S-30°N) 180 are shown in Table 1. The spectral fitting range at 435-455 nm leads to the lowest root mean square and the 181 median SCD is also close to the median value amount all settings. Therefore, the fit window of 435-455 nm is 182 chosen as the standard setting in this study. 183



Figure 1: The top panels show water vapour slant columns retrieved from TROPOMI observations. The corresponding water vapour slant column uncertainties and the root mean square of the spectral fit residual are shown in the middle and bottom panels, respectively. TROPOMI data taken on  $1^{st}$  January (the left column) and  $1^{st}$  July 2019 (the right column) are shown.

Figure 1a & b show water vapour slant columns retrieved from TROPOMI observations on  $1^{st}$  January 184 and  $1^{st}$  July 2019. The corresponding slant column uncertainties and the root mean square of the spectral fit 185 residual are also shown. Higher water vapour slant columns can be observed over tropical regions, while upper 186 latitudes in general show lower values. The measurement uncertainties and the root mean square of spectral fit 187 residual are higher at both edges of the swath. This is related to the pixel binning scheme of the detector row of 188 TROPOMI (Kleipool et al., 2018) which results in lower signal to noise levels at the edges. Higher uncertainties 189 and root mean square are also found at both ends of the measurement orbit, where observations are taken with 190 high solar zenith angles (thus, lower radiance intensity and signal to noise ratio). 191

## 192 3.2. Air mass factor

The retrieved water vapour SCDs are then converted to vertical column densities (VCDs or total columns) using air mass factors (AMFs) (Solomon et al., 1987; Palmer et al., 2001). As the DOAS retrieval of water vapour SCDs is applied to a relatively narrow spectral window of 20 nm, the wavelength dependency of optical path within this narrow spectral interval is negligible. The AMF can therefore be calculated at a representative wavelength. A prominent absorption line feature of water vapour is located at 442 nm. Therefore, the AMF is computed at this wavelength.

Assuming the atmosphere is optically thin, the height dependent measurement sensitivity can be decouple from the vertical distribution of optically thin absorbers (Palmer et al., 2001). The AMF can then be calculated using the box air mass factor ( $\Delta$ AMF) at each height level following Equation 1.

$$AMF = \frac{SCD}{VCD} = \frac{\sum_{l=surface}^{l=TOA} \Delta AMF_l \times \Delta z_l \times c_l}{\sum_{l=surface}^{l=TOA} \Delta z_l \times c_l}$$
(1)

where  $\Delta z_l$  is the thickness of layer l and  $c_l$  is the number density of the absorber. Information of  $c_l$  is typically taken from the a priori profile.

## 204 3.2.1. Box air mass factor look-up table

Due to the complexity of the optical path in the atmosphere, the calculations of the height-dependent mea-205 surement sensitivity (or  $\Delta AMF$ ) typically rely on comprehensive radiative transfer calculations. The  $\Delta AMFs$ 206 are independent of the vertical distribution of the absorber, but strongly dependent on surface reflectivity, 207 surface height, solar and viewing geometries. In this study,  $\Delta AMFs$  are calculated using the radiative transfer 208 model VLIDORT version 2.7 (Spurr, 2008) at 442 nm with an aerosol free US standard atmosphere (Anderson 200 et al., 1986). The  $\Delta AMFs$  are pre-calculated with a number of representative viewing zenith angle ( $\alpha$ ), solar 210 zenith angle  $(\theta)$ , relative azimuth angle  $(\phi)$ , surface albedo  $(A_s)$  and surface pressure  $(P_s)$  and stored in a 211 look-up table in order to reduce the processing time. The  $\Delta AMFs$  for each TROPOMI observation are derived 212 by interpolation within the look-up table. The parameterizations of the  $\Delta AMF$  look-up table are similar to the 213 one used in GOME-2 TCWV retrieval (Chan et al., 2020). 214

### 215 3.2.2. Water vapour vertical profile

The calculation of AMF is also dependent on the vertical distribution profile of water vapour (see Equation 1). 216 A dynamic search approach is used to find the optimal a priori water vapour profile for AMF calculation. This 217 approach has been implemented and validated for the retrieval of TCWV from GOME-2 observations in the 218 blue band (Chan et al., 2020). The dynamic search approach is based on the fact that the vertical distribution 219 of water vapour is strongly dependent on its total column. A water vapour vertical profile look-up table is 220 created based on statistical analysis of historical water vapour profiles. The look-up table contains geolocation 221 dependent water vapour vertical profiles and their variation ranges for each month of the year. This look-up 222 table is then used as auxiliary input for the optimization of the water vapour profile. 223

An iterative approach is employed to optimize the a priori water vapour used in the retrieval. The iteration 224 begins with the mean profile of the satellite measurement location of the corresponding month. This mean 225 profile is then used together with the corresponding  $\Delta AMFs$  to calculate an initial AMF following Equation 1. 226 The retrieved water vapour SCD is divided by this initial AMF to retrieve the initial VCD. The water vapour 227 profile look-up table is then linearly interpolated to the resulting initial column to retrieve the corresponding 228 water vapour profile. The new profile is again used to retrieve the second VCD. This process repeats until the 220 difference between the input and output VCD is less than 1% or the number of iteration reaches the limit. The 230 maximum number of iteration allowed in the current version of retrieval is set to 5. This limit is considered 231 realistic as the retrieval of more than 99% of TROPOMI measurements stopped within 3 iterations. 232



Figure 2: Comparison of TCWV retrieved with (a) climatology and (b) dynamic a priori water vapour profile. The differences between (a) and (b) are indicated in (c). ERA5 reanalysis data interpolated to TROPOMI overpass time is also shown in (d) for reference. TROPOMI data measured on  $15^{th}$  January 2019 (orbit 6513) over Africa and Europe is shown. No cloud filtering is applied to the data shown in the figure.

A comparison of TCWV retrieved with climatology (a) and with a dynamic a priori water vapour profile (b) is shown in Figure 2, for the 15<sup>th</sup> of January 2019 over Africa and Europe. No cloud filtering is applied to the data shown in Figure 2. The differences between the two retrievals is shown in Figure 2c. For reference, ERA5 reanalysis data interpolated to TROPOMI overpass time is shown in Figure 2d. Compared to the climatology a priori approach, the dynamic a priori approach reduces the retrieved TCWV over areas with high TCWV. This is mainly due to the fact that water vapour is concentrated in the lower troposphere when TCWV is relatively small (i.e.,  $<30 \,\mathrm{kg} \,\mathrm{m}^{-2}$ ), while higher TCWV usually is associated with enhanced water vapour concentration in the upper altitudes (Chan et al., 2020). Therefore, the change in vertical profile shape would result in larger AMFs when TCWV is high and yield lower TCWV.

#### 242 3.2.3. Surface albedo

Surface albedo is an important parameter for the calculation of the air mass factor. The sensitivity of satellite 243 observations, especially to the lower troposphere where most water vapour resides, is strongly related to surface 244 albedo. The surface albedo used in this study is retrieved from TROPOMI observations using the full-physics 245 inverse learning machine (FP\_ILM) algorithm (Loyola et al., 2020). The FP-ILM algorithm is a machine learning 246 based approach that aims to derive the relationship (inverse function) between the parameter of interest (surface 247 albedo in this case) and measured radiance spectrum (with other atmospheric parameters). This algorithm has 248 been applied to  $O_3$  profile shape and  $SO_2$  plume height retrievals (Efremenko et al., 2017; Xu et al., 2017; Hedelt 240 et al., 2019). Training of the FP\_ILM algorithm is driven by a set of synthetic data generated with a radiative 250 transfer model. Synthetic TROPOMI radiance spectra at 435-455 nm are simulated using the radiative transfer 251 model VLIDORT version 2.7 (Spurr, 2008) together with the smart sampling technique (Lovola et al., 2016). For 252 the training, the inputs are DOAS fitted parameters, as well as the solar/satellite viewing geometry and surface 253 pressure. The inversion result is the Geometry-dependent effective Lambertian equivalent reflectivity (GE\_LER) 254 and it is retrieved for clear sky observations (cloud fraction < 0.05). Compared to the Lambertian equivalent 255 reflectivity (LER) climatology derived from Ozone Monitoring Instrument (OMI) observations (Kleipool et al., 256 2008), which is being used in most of the operational TROPOMI products. As the OMI albedo product is 257 derived from observations in 2004-2007, TROPOMI albedo derived in 2018-2021 is expected to better capture 258 the actual surface conditions, especially with regard to temporal variability. 259

Surface GE\_LER derived from TROPOMI observations in the spectral band of 435-455 nm is compared 260 to the OMI monthly minimum LER climatology derived at 442 nm (Kleipool et al., 2008). Figure 3 shows 261 the TROPOMI GE\_LER and OMI monthly minimum climatology for January and July. Differences between 262 the two data sets are also shown. Surface GE\_LER derived from TROPOMI is on average  $\sim 0.04$  lower than 263 OMI climatology. Our result is consistent with a previous study, that found slightly lower GE\_LER retrieved 264 from TROPOMI with the FP\_ILM algorithm compared to the OMI climatology in a similar wavelength band 265 (Liu et al., 2021). The TROPOMI GE\_LER in general shows ~0.05 lower albedo over sub-tropics compared to 266 OMI climatology. Relatively larger discrepancies (>0.2) can be observed over areas covered with snow or ice. 267 Previous study reported that OMI typically overestimated albedo in high latitudes and the Arctic by 0-11%268 compared to ground based measurements (Bernhard et al., 2015). In addition, the OMI climatology is derived 269 from measurements taken in 2004-2007, the TROPOMI GELER derived in the same measurement period of 270 2018-2021 is expected to better capture the actual conditions. Higher albedo is observed over desert, e.g., the 271 Sahara, Arabian and Gobi desert. This is likely related to aerosol effects, as aerosol is not modelled explicitly 272 in the generation of synthetic spectra used for the training of the inverse model. Other effects, like difference 273 in wavelength and measurement period, might also contribute to the difference in albedo. Further investigation 274



Figure 3: Surface GE\_LER derived from TROPOMI observations (top panels) and OMI monthly minimum LER (middle panels) for January (left column) and July (right column). The bottom panels show the differences between the two dataset. TROPOMI LER data is derived in the spectral band of 435-455 nm, while OMI LER data is retrieved at 442 nm.

is needed in order to quantify the impacts of aerosol on surface albedo retieval. We are planning to improve
the surface albedo retrieval in the future by including aerosol filtering, using aerosol index data derived from
TROPOMI.



Figure 4: Differences of TROPOMI monthly averaged TCWV retrieved with TROPOMI GE\_LER and OMI climatology in (a) January and (b) July 2019. Measurements with cloud radiance fraction below 0.5 are used for the calculation of monthly average.

As the OMI albedo climatology is being used in various operational TROPOMI products, e.g., Theys et al. (2017); De Smedt et al. (2018), we use this albedo product as reference for the investigation of the influence of albedo on TCWV retrieval. Compared to MODIS observations, the OMI climatology is on average 0.02 higher (Kleipool et al., 2008). Larger overestimation up to 0.06 is observed in the tropics over the Amazon, central Africa, India and Indonesia (Kleipool et al., 2008). Ground based observation comparison study shows that OMI

albedo overestimated by 0-11% over high latitudes and the Arctic. Figure 4 shows the differences of monthly 283 averaged TROPOMI TCWV retrieved with TROPOMI GE\_LER and OMI climatology for January and July 284 2019. Higher TCWV can be observed over ocean in the sub-tropics, which agrees with the differences between 285 the two albedo data sets (see Figure 3e & f). Reduced albedo decreases the measurement sensitivity in the lower 286 troposphere, and results in lower AMFs. This leads to increased TCWV. Larger discrepancies are also found 287 over tropic and subtropic areas, e.g., the Amazon, central Africa, India and Indonesia. These discrepancies 288 are mainly related to the bidirectional reflectance distribution function (BRDF) effect over vegetation and 280 aerosol/cloud contamination. The TROPOMI GE\_LER albedo data significantly improved the overestimation 290 of albedo (and underestimation of TCWV) over these regions. 291



Figure 5: The top panels show the differences of monthly averaged TCWV retrieved with TROPOMI GE\_LER and ERA5 reanalysis data, while the differences of monthly averaged TCWV retrieved with OMI LER and ERA5 reanalysis data are shown in the bottom panels. Data of January (the left column) and July 2019 (the right column) are shown. Measurements with cloud radiance fraction below 0.5 are used for the calculation of monthly average.

|--|

Region	OMI LE	ER	GE_LER		
	Absolute Bias $(\mathrm{kg}\mathrm{m}^{-2})$	Relative Bias $(\%)$	Absolute Bias $(\mathrm{kg}\mathrm{m}^{-2})$	Relative Bias $(\%)$	
Amazon	-7.6 to -8.0	-16.2 to -17.7	-4.5 to -5.3	-9.7 to -11.8	
Central Africa	-2.7 to -9.4	-6.5 to -24.7	-2.7 to -7.5	-6.5 to -20.0	
India	-0.2 to -8.0	-1.7 to -15.3	-0.2 to -3.4	-1.8 to -6.4	

Figure 5a & b show the comparisons of monthly averaged TCWV retrieved with TROPOMI GELER to 292 ERA5 reanalysis data, while the comparisons of TCWV retrieved with OMI albedo to ERA5 reanalysis are 293 shown in Figure 5c & d. Data from January and July 2019 are shown. Compared to ERA5, TCWV retrieved 294 with OMI albedo shows larger underestimation over tropic and subtropic areas, e.g., the Amazon, central Africa, 295 India and Indonesia. Higher OMI albedo over these areas enhanced the sensitivity in the lower troposphere 296 which leads to larger AMFs and hence lower total columns. Although the retrieval with TROPOMI GE\_LER 297 has also lower TCWV over these regions, this underestimation is significantly improved. Table 2 summarized 29 the improvement related to the use of TROPOMI GE\_LER over various areas. Larger TCWV overestimation 299

can be observed along the equator over ocean with retrievals using the OMI albedo. Compared to ERA5 data, the mean absolute bias of TROPOMI TCWV using OMI LER albedo in retrieval is  $1.5 - 2.1 \text{ kg m}^{-2}$ , while using TROPOMI GE\_LER reduces the absolute mean bias to  $1.3 - 2.1 \text{ kg m}^{-2}$ .

## 303 3.2.4. Cloudy and partially cloudy measurements

<sup>304</sup> Clouds are treated as opaque Lambertian surfaces in the TCWV retrieval. The treatment of partially cloudy <sup>305</sup> pixels is based on the independent pixel approximation (Martin et al., 2002; Boersma et al., 2004) where the <sup>306</sup> pixel is separated into two independent parts: one fully covered by clouds and the other completely cloud free. <sup>307</sup> Air mass factors are calculated independently for both clear sky and cloudy parts. Cloud information, including <sup>308</sup> cloud fraction (*CF*), cloud albedo ( $A_c$ ) and cloud top pressure ( $P_c$ ) are taken from the TROPOMI operational <sup>309</sup> cloud product (Loyola et al., 2018).

The AMF for the cloudy part is calculated from the  $\Delta$ AMF look-up table by setting the surface pressure ( $P_s$ ) to cloud top pressure ( $P_c$ ) and replacing the surface albedo ( $A_s$ ) with the cloud albedo ( $A_c$ ). The calculation of the slant column for the cloudy scene is insensitive to water vapour below the cloud, hence  $\Delta$ AMFs below cloud are 0. On the other hand, the vertical column is calculated by integrating the water vapour profile from the surface to the top of atmosphere which includes the part below cloud (see Equation 1). The 'invisible' column below the cloud (also known as the 'ghost column') is taken from the a priori profile.

The AMF of a partially cloudy pixel is the intensity-weighted average of the cloudy AMF (AMF<sub>cld</sub>) and clear sky AMF (AMF<sub>clr</sub>). This weighting is commonly know as effective cloud fraction ( $CF_{eff}$ , or radiance cloud fraction) which is defined by Equation 2.

$$CF_{eff} = \frac{CF \times I_{cld}}{CF \times I_{cld} + (1 - CF) \times I_{clr}}$$
(2)

where  $I_{cld}$  and  $I_{clr}$  represent the radiance intensity for cloudy and clear sky scenes, respectively. The radiance intensities are pre-calculated using the radiative transfer model VLIDORT at 442 nm for a number of representative observation and solar geometries, surface (cloud) albedo and surface (cloud-top) pressure and stored in a look-up table. The settings of the intensity look-up table are the same as the  $\Delta$ AMF look-up table without the pressure level dimension. The AMF can then be calculated following Equation 3.

$$AMF = AMF_{cld} \times CF_{eff} + AMF_{clr} \times (1 - CF_{eff})$$
(3)

The resulting AMFs are used to divide the retrieved water vapour slant columns to convert to vertical columns.

#### 326 3.3. Error estimation

The estimation of the uncertainty on retrieved TCWV from TROPOMI follows the one used for GOME-2 (Chan et al., 2020). It is separated into two major parts, slant column and air mass factor uncertainties. The uncertainty of TCWV can be expressed as Equation 4:

$$\sigma_{vcd}^2 = VCD^2 \times \left( \left( \frac{\sigma_{scd}}{SCD} \right)^2 + \left( \frac{\sigma_{amf}}{AMF} \right)^2 \right) \tag{4}$$

where  $\sigma_{vcd}$ ,  $\sigma_{scd}$  and  $\sigma_{amf}$  are the uncertainty of TCWV, the uncertainty of water vapour slant column and air mass factor uncertainty, respectively. Details of the estimation of the water vapour slant column uncertainty and air mass factor error are as follows.

The uncertainties of water vapour slant column can be separated into two parts, random and systematic 333 errors. Random error contributions are mainly from instrument noise, instrument characteristics and the un-334 certainties related to the DOAS retrieval of slant columns. Systematic errors are related to uncertainties on the 335 instrument slit function, incomplete removal of stray light, wavelength calibration uncertainties, and uncertain-336 ties on absorption cross sections. The random part can be quantified by analyzing the spectral fit residual (Stutz 337 and Platt, 1996). The systematic part is estimated through sensitivity tests using absorption cross sections at 338 different effective temperatures, and using sightly different slit functions. We estimate that the systematic part 339 is about 3% (Chan et al., 2020). The uncertainty of the slant column may be calculated following Equation 5: 340

$$\sigma_{scd}^2 = \sigma_{scd_r}^2 + (0.03 \times SCD)^2 \tag{5}$$

where  $\sigma_{scd_r}$  is the random error estimated by analyzing the DOAS fit residual.

The uncertainty on AMF is mainly attributed to the uncertainty of the input parameters used in the AMF calculation. The AMF uncertainty related to each input parameter can be derived from the box air mass factor look-up table using the the finite difference method.

The uncertainty of surface albedo is assumed to relate to the albedo wavelength dependency. The albedo 345 used in TROPOMI TCWV retrieval is derived at the spectral band of 435 - 455 nm, without spectral dependency. 346 We took the OMI albedo product (Kleipool et al., 2008) as reference, and assume the albedo uncertainty equal 347 to the difference between albedo derived at 425 nm and 452 nm. Uncertainties on water vapour profiles are 348 estimated through the analysis of historical profiles, and their standard deviation of the variation are stored 349 in a look-up table. The corresponding impact on the AMF calculation is estimated by adding  $1\sigma$  standard 350 deviation to the water vapour profile for the AMF calculation. The difference between this AMF and the 351 original AMF is then used as uncertainty. Surface pressure (or surface height) is taken from a digital elevation 352 model (DEM) and the accuracy of DEM is usually in the range of 10-15 m (Mukherjee et al., 2013). Therefore, 353 a relatively small uncertainty of 10 hPa is assumed. The uncertainty on the AMF for a clear sky scene can then 354 be calculated following Equation 6: 355

$$\sigma_{amf}^2 = \left(\frac{\partial AMF}{\partial A_s}\sigma_{A_s}\right)^2 + \left(\frac{\partial AMF}{\partial P_s}\sigma_{P_s}\right)^2 + \left(\frac{\partial AMF}{\partial c_l}\sigma_{c_l}\right)^2 \tag{6}$$

where  $\sigma_{amf}$ ,  $\sigma_{A_s}$ ,  $\sigma_{P_s}$  and  $\sigma_{c_l}$  are the AMF uncertainty, surface albedo, surface pressure and water vapour profile, respectively.

For (partially) cloudy measurements, the uncertainty of cloud albedo is assumed to be 0.02 (Loyola et al.,

<sup>359</sup> 2018), while uncertainty of cloud pressure is estimated to be 50 hPa (Theys et al., 2017; De Smedt et al., 2018).
<sup>360</sup> The uncertainty on the cloudy AMF can then be calculated as in Equation 6 by replacing surface albedo/pressure
<sup>361</sup> parameters by the corresponding cloud parameters.

The uncertainty of cloud fraction is ranging from 0.007 to 0.032 (Loyola et al., 2018). Therefore, we assume an effective cloud fraction uncertainty of 0.02 in the calculation of AMF uncertainty. The combined AMF uncertainty may then be expressed as Equation 7:

$$\sigma_{amf}^{2} = (AMF_{cld} \times CF_{eff})^{2} \times \left( \left( \frac{\sigma_{amf_{cld}}}{AMF_{cld}} \right)^{2} + \left( \frac{\sigma_{cf_{eff}}}{CF_{eff}} \right)^{2} \right) + (AMF_{clr} \times (1 - CF_{eff}))^{2} \times \left( \left( \frac{\sigma_{amf_{clr}}}{AMF_{clr}} \right)^{2} + \left( \frac{\sigma_{cf_{eff}}}{1 - CF_{eff}} \right)^{2} \right)$$
(7)

where  $\sigma_{cf_{eff}}$  is the uncertainty of effective cloud fraction,  $\sigma_{amf_{clr}}$  represents the clear sky AMF uncertainty, and  $\sigma_{amf_{cld}}$  denotes the cloudy AMF uncertainty. The final uncertainty on TCWV is then calculated following Equation 4.

Table 3: Summary of median estimated measurement error at different latitudes and sky conditions.

Latituda		Estimated Error	
Latitude	All Sky	Clear Sky ( $CF_{eff} < 0.5$ )	Cloudy Sky $(CF_{eff} > 0.5)$
Tropics $(0^{\circ} - 30^{\circ})$	$5.0 \mathrm{kg}\mathrm{m}^{-2} (18.2\%)$	$4.4 \mathrm{kg}\mathrm{m}^{-2}~(14.0\%)$	$8.8 \mathrm{kg}\mathrm{m}^{-2} (27.4\%)$
Midlatitudes $(30^{\circ} - 60^{\circ})$	$4.1  \mathrm{kg}  \mathrm{m}^{-2}  (33.9  \%)$	$4.0{ m kg}{ m m}^{-2}~(32.7\%)$	$4.3 \mathrm{kg}\mathrm{m}^{-2} (34.5\%)$
Polar $(60^{\circ} - 90^{\circ})$	$1.3{ m kg}{ m m}^{-2}~(38.8\%)$	$1.0{\rm kg}{\rm m}^{-2}~(43.4\%)$	$3.1 \mathrm{kg}\mathrm{m}^{-2}$ (34.9%)

The estimated uncertainties of TCWV for TROPOMI observations at different latitudes and sky conditions are summarized in Table 3. The estimated error is in general lower for measurement taken under clear sky conditions (effective cloud fraction <0.5) compared to cloudy conditions (effective cloud fraction >0.5). However, the relative error for clear and cloudy sky measurements are quite similar at midlatitudes and polar regions. Cloudy measurements are usually associated with higher TCWV, and hence leads to lower relative error.

#### 373 3.4. Gridding and averaging

Pixels from different orbits often overlap in higher latitudes. In order to compare to other data sets, the retrieved TCWV is binned to a regular latitude-longitude grid. We use a grid with a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ . The gridding process considers the overlapping area of the TROPOMI ground pixel and the latitude-longitude grid. The percentage of overlap is calculated and used as weight for the calculation of the mean grid cell value. The gridded TCWV can be express as Eq. 8:

$$VCD_g = \frac{\sum_{i=1}^n VCD_i \times w_i}{\sum_{i=1}^n w_i}$$
(8)

where  $VCD_g$  is the gridded TCWV while  $VCD_i$  represents each individual measurement that touches the grid cell. The weights are denoted as w which is the percentage of the grid cell covered by the satellite pixel.

The gridded TCWV is based on all valid vertical columns within a certain period, for example a day or a 381 month. The root mean square of spectral fit residual is a good indicator of the measurement signal to noise 382 ratio, and solar zenith angle is strongly related to the radiance intensity, therefore, they are used as data 383 filtering criteria. Clouds shield water vapour in the lower troposphere and affect the measurement quality. In 384 addition, small AMF indicated that most of the information is coming from the a priori profile instead of the 385 measurement. Therefore, it is necessary to filter data with significant cloud contamination and low AMF. In the 386 gridding process, we only use data with solar zenith angle smaller than 85°, effective cloud fraction (or radiance 387 cloud fraction) smaller than 0.5, root mean square of spectral fit residual less than 0.002, and AMF larger than 388 0.1. 389

## 390 4. Validation

In this section, we present the validation results of the retrieved TROPOMI TCWV data set. TROPOMI TCWV is compared to ERA5 reanalysis data, GOME-2, MODIS and SSMIS satellite observations. Brief descriptions of these data sets can be found in Section 2. Validation against ground based observations will be addressed in separate studies.



#### 395 4.1. Spatial distribution comparison

Figure 6: Monthly averaged TCWV from TROPOMI ( $1^{st}$  row), ERA5 reanalysis data ( $2^{nd}$  row), GOME-2A & B ( $3^{th}$  row), MODIS Terra ( $4^{th}$  row), and SSMIS ( $5^{th}$  row). Data from January ( $1^{st}$  column), April ( $2^{nd}$  column), July ( $3^{th}$  column) and October ( $4^{th}$  column) of 2019 are shown. Note that SSMIS only provide data over surface covered by water.

Figure 6 shows the monthly average spatial distribution of TCWV from TROPOMI, ERA5, GOME-2, MODIS, and SSMIS for January, April, July and October of 2019. These months are chosen as examples

for winter, spring, summer and autumn, respectively. All data sets are in spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ . 398 Missing data are mainly due to the filtering of measurements with solar zenith angle larger than  $85^{\circ}$ . All five 399 data sets show similar spatial patterns of water vapour. TROPOMI observations in general agree well with 400 ERA5 reanalysis, while underestimation of TCWV can be observed over tropic and subtropic areas, e.g., the 401 Amazon, central Africa, India and Indonesia. These discrepancies are probably related to albedo effects in the 402 visible band over vegetation and aerosol/cloud contamination. Compared to GOME-2 and SSMIS observations, 403 TROPOMI data in general shows lower values over ocean in the tropics, especially along the equator. This 404 discrepancy is partly related to the differences in satellite overpass time and measurement wavelength band. We 405 have assessed the effect of different satellite overpass time on TCWV values by comparing ERA5 data during 406 GOME-2 (~09:30 LT) and TROPOMI (~13:30 LT) overpass time. The results shows that ERA5 TCWV is 407 in the morning ( $\sim 09:30$  LT) in general 4-6% higher than that at noon ( $\sim 13:30$  LT). In addition, microwave 408 measurements (i.e., SSMIS) are sensitive to water vapour within and below clouds (except some very thick rain 409 clouds) and provide observations of TCWV in all sky conditions over ocean, while measurements in the visible 410 band (i.e., TROPOMI) are strongly influenced by clouds. Proper cloud screening has to be applied to remove 411 cloud contaminated data. As TCWV under cloudy conditions is expected to be higher, filtering cloudy data 412 would result in a dry bias in the average values. Compared to MODIS observations, TROPOMI measurements 413 in general show higher TCWV over oceans. MODIS NIR measurements are known to be less sensitive to water 414 vapour in the lower troposphere over oceans due to low albedo at this wavelength band. Previous studies 415 reported that MODIS is underestimating TCWV by  $3-13 \text{ kg m}^{-2}$  (Liu et al., 2006; Prasad and Singh, 2009), 416 and our result is consistent with these studies. 417



## 418 4.2. Correlation and bias

419

Figure 7: Comparison of TROPOMI TCWV to (a) ERA5 reanalysis data, (b) GOME-2, (c) MODIS, and (d) SSMIS observations. Histograms of the differences of TCWV between TROPOMI and reference data sets are shown in the bottom panels. Monthly averaged data from May 2018 to May 2021 with spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  are used in the comparison.

observations are shown in Figure 7a-d, respectively. Histograms of the differences of TCWV between TROPOMI 420 observations and reference data sets are shown in Figure 7e-h. The histograms of measurements over land (red 421 lines), water (blue lines) and all surface (black lines) are shown. Monthly averaged data from May 2018 to May 422 2021 with spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  are used in the comparison. The scatter plots show that TROPOMI 423 observations agree well with the reference data sets, with a Pearson correlation coefficient (R) range from 0.96 424 to 0.99. The discrepancies over different surfaces are shown in the Histograms. Compared to ERA5, TROPOMI 425 observations on average underestimate TCWV by  $1.24 \,\mathrm{kg \, m^{-2}}$  over land, while a small wet bias of  $0.73 \,\mathrm{kg \, m^{-2}}$ 426 is observed over water. Compared to GOME-2 measurements, TROPOMI data shows a dry bias of  $1.74 \,\mathrm{kg \, m^{-2}}$ 427 and  $1.98 \text{ kg m}^{-2}$  over land and water, respectively. Part of the discrepancy between TROPOMI and GOME-2 is 428 related to the difference in overpass time (see Section 4.1), which account for approximately  $1 \text{ kg m}^{-2}$  of the dry 420 bias. The comparison to MODIS data shows a wet bias of  $1.25 \text{ kg m}^{-2}$  over water and a dry bias of  $1.51 \text{ kg m}^{-2}$ 430 over land. TROPOMI observations over water are on average  $3.25 \,\mathrm{kg}\,\mathrm{m}^{-2}$  lower than SSMIS data. Details of 431 the correlation and bias compared to the reference data sets are summarized in Table 4. Our result is in line 432 with the previous study that SSMIS data in general shows a wet bias of  $2-3 \text{ kg m}^{-2}$  (Stephens et al., 1994). 433

Table 4: Summary of correlation and bias for the comparison of TROPOMI TCWV against different data sets.							
Data set	Land		Water A		All Surface		
	R	Bias $(kg m^{-2})$	R	Bias $(\mathrm{kg}\mathrm{m}^{-2})$	R	Bias $(kg m^{-2})$	
ERA5	0.990	$\textbf{-}1.24\pm2.01$	0.994	$0.73 \pm 1.75$	0.991	$0.10\pm2.05$	
GOME-2	0.952	$\textbf{-}1.98 \pm 4.39$	0.970	$\textbf{-}1.74 \pm 3.81$	0.967	$-1.80 \pm 3.98$	
MODIS	0.979	$\textbf{-}1.51 \pm 3.19$	0.984	$1.25\pm3.07$	0.976	$0.36 \pm 3.36$	
SSMIS	_	_	0.987	$-3.25\pm2.57$	_	_	



Figure 8: Time series of correlation coefficient (upper panels) and bias (bottom panels) between TROPOMI and reference data sets. Comparison over land (left panels) and water (right panels) are shown. Comparison to ERA5 (black lines), GOME-2 (blue lines), MODIS (red line), and SSMIS (green line) are indicated. Shadowed areas indicate  $1\sigma$  variation range.

<sup>434</sup> Figure 8 shows the time series of correlation coefficient and bias of TCWV between TROPOMI and reference

data sets. Statistical parameters for observations over land and water from May 2018 to May 2021 are shown. 435 The correlation between the TROPOMI observations and reference data sets is in general very good, with a 436 Pearson correlation coefficient (R) ranging from 0.89 to 0.99 over land, and 0.95 to 0.99 over water. TROPOMI 437 data agrees better with ERA5 reanalysis data with R of 0.98-0.99, while a lower correlation coefficient R 438 of 0.88-0.98 is found in the comparison to GOME-2 observations. The correlation between TROPOMI and 439 reference data sets shows a seasonal pattern, with higher correlation during winter of the Northern Hemisphere 440 and lower during summer. This effect is more significant for observations over land, especially in the comparison 441 with GOME-2 observations. We attribute the relatively larger seasonal effect for the comparison to GOME-2 to 442 the differences in overpass time (TROPOMI at  $\sim$ 13:30 LT, GOME-2 at  $\sim$ 09:30 LT) and seasonal variations of 443 the diurnal pattern of water vapour. The remaining discrepancies are mainly due to the sensitivity of different 444 wavelength bands in relation to the seasonal variation of surface albedo and cloud/aerosol conditions. This effect 445 has been reported in the comparison of GOME-2 observations in the blue and red band (Chan et al., 2020). 446 TROPOMI observations in general underestimate TCWV over land by  $0.3-3.6 \,\mathrm{kg}\,\mathrm{m}^{-2}$ . The comparison of 447 TROPOMI TCWV to ERA5 and MODIS over water shows a wet bias from  $0-1.7 \,\mathrm{kg}\,\mathrm{m}^{-2}$ , while the comparison 448 to GOME-2 and SSMIS shows a dry bias from  $1.1 - 3.8 \,\mathrm{kg}\,\mathrm{m}^{-2}$ . The dry bias is more significant in summer of 449 the Northern Hemisphere. Considering the differences in measurement time and measurement sensitivity, the 450 small discrepancies among these data sets are considered reasonable. 451

### 452 4.3. Zonal comparison

Water vapour columns derived from TROPOMI and reference data sets are sorted by their latitudes with 1° 453 resolution for each month; the resulting time series are shown in Figure 9. Observations over land and water are 454 separated in the comparison. Monthly averaged data from May 2018 to May 2021 are shown. The zonal mean 455 values at different latitude bands are summarized in Table 5. TROPOMI retrieval of TCWV in general shows 456 good zonal agreement with other data sets, indicating all data sets captured similar spatio-temporal variations 457 of water vapour. Higher values are observed over tropical regions over both land and water, while TCWV at 458 upper latitudes is in general much lower. Significant seasonal patterns are also observed in all data sets, with 459 higher columns during summer and lower values in winter (of the corresponding hemisphere). Compared to 460 ERA5 data, the dry bias of TROPOMI over lands in the tropics  $(15^{\circ} \text{ S} - 15^{\circ} \text{ N})$  is slightly higher  $(1 - 2 \text{ kg m}^{-2})$ 461 than that at upper latitudes, while a small wet bias of  $1-3 \,\mathrm{kg}\,\mathrm{m}^{-2}$  are observed over water in the subtropics 462  $(15^{\circ} - 30^{\circ})$ . The wet bias over water along equator is almost 0. 463

For the comparison to GOME-2 observations, a clear north-south dependency is observed. Dry bias of TROPOMI over lands in the tropic and subtropic areas in the Southern Hemisphere  $(0-30 \circ S)$  are significantly higher  $(\sim 5 \text{ kg m}^{-2})$  than that in the Northern Hemisphere. TROPOMI also measured higher TCWV than GOME-2  $(1-4 \text{ kg m}^{-2})$  over water in tropic and subtropic areas in the Southern Hemisphere  $(0-30 \circ S)$ , while this bias is much less pronounced in the Northern Hemisphere. The north-south dependency of discrepancy between GOME-2 and TROPOMI is mainly related to the differences in overpass time. This north-south dependency is much less significant in the comparison to MODIS data, as MODIS and TROPOMI overpass



Figure 9: Monthly zonal average if TCWV from TROPOMI ( $1^{st}$  row), ERA5 ( $2^{nd}$  row), GOME-2 ( $3^{th}$  row), MODIS ( $4^{th}$  row), and SSMIS ( $5^{th}$  row). Data are separated for observations over land (left column) and water (right column).

<sup>471</sup> roughly at the same time. Compared to MODIS, TROPOMI measures lower TCWV over land and higher <sup>472</sup> values over water. The discrepancies (dry bias over land and wet bias over water) are more significant over <sup>473</sup> tropics and subtropics. The dry bias in the comparison to SSMIS observations over water is rather homogeneous <sup>474</sup>  $(2-3 \text{ kg m}^{-2})$  with only slightly stronger dry bias in the tropics  $(15^{\circ} \text{ S} - 15^{\circ} \text{ N})$ .

Table 5: Summary of zonal mean and the corresponding  $1\sigma$  standard deviation of TCWV for all data sets at different latitude bands.

	Zonal mean and standard deviation of $TCWV (kg m^{-2})$							
Latitude	Land							
	TROPOMI	ERA5	GOME-2	MODIS	SSMIS			
$90^{\circ}\text{S}-60^{\circ}\text{S}$	$2.38 \pm 1.87$	$2.35 \pm 1.94$	$4.32\pm2.68$	$1.05 \pm 1.15$	_			
$60^{\circ}\mathrm{S}$ - $30^{\circ}\mathrm{S}$	$9.84 \pm 3.19$	$10.87 \pm 3.56$	$13.75\pm3.65$	$11.56 \pm 4.33$	_			
$30^{\circ}\text{S}$ - $0^{\circ}$	$25.77 \pm 11.66$	$28.08 \pm 11.95$	$32.08 \pm 13.22$	$28.93 \pm 11.81$	_			
$0^{\circ}$ - $30^{\circ}N$	$24.58 \pm 10.80$	$26.69 \pm 11.38$	$25.86 \pm 11.37$	$27.57 \pm 11.68$	_			
$30^{\circ}\mathrm{N}$ - $60^{\circ}\mathrm{N}$	$9.42\pm5.40$	$10.68\pm6.02$	$11.53\pm6.07$	$11.1\pm6.43$	_			
$60^{\circ}\mathrm{N}$ - $90^{\circ}\mathrm{N}$	$5.42 \pm 3.39$	$6.2\pm3.97$	$7.33 \pm 4.08$	$4.93 \pm 4.27$	_			
Latitude	Water							
	TROPOMI	ERA5	GOME-2	MODIS	SSMIS			
$90^{\circ}\text{S}-60^{\circ}\text{S}$	$4.61 \pm 1.54$	$4.78 \pm 1.46$	$6.44 \pm 2.41$	$3.58 \pm 1.61$	$7.76 \pm 1.43$			
$60^\circ\mathrm{S}$ - $30^\circ\mathrm{S}$	$13.08\pm5.51$	$12.24\pm5.01$	$14.54 \pm 4.18$	$11.98\pm5.10$	$16.02\pm5.64$			
$30^{\circ}\text{S}$ - $0^{\circ}$	$33.41 \pm 7.59$	$32.01 \pm 7.99$	$31.68 \pm 9.16$	$30.76 \pm 6.95$	$37.30 \pm 9.13$			
$0^{\circ}$ - $30^{\circ}N$	$37.78 \pm 8.95$	$36.39 \pm 9.22$	$38.76 \pm 10.10$	$33.33 \pm 7.37$	$41.71 \pm 10.36$			
$30^{\circ}\mathrm{N}$ - $60^{\circ}\mathrm{N}$	$15.85\pm8.46$	$15.18\pm7.83$	$18.17\pm8.71$	$14.56 \pm 6.53$	$19.25\pm8.26$			
$60^{\circ}\mathrm{N}$ - $90^{\circ}\mathrm{N}$	$7.35 \pm 3.76$	$8.05 \pm 4.01$	$9.87 \pm 5.24$	$6.6\pm3.95$	$10.18 \pm 4.32$			



Figure 10: (a) Monthly averaged TCWV over northeast South America derived from TROPOMI observations in July 2019. (b) TROPOMI monthly averaged GE\_LER and (c) OMI monthly minimum albedo climatology.

#### 475 5. Fine scale features of water vapour

Fine scale features of water vapour can be observed from UVN space sensors with the significant enhancement 476 of spatial resolution of TROPOMI. As the satellite ground pixels are not fully overlapped with each other for 477 measurements within a certain period, i.e., the repeat cycle of the satellite orbit, this feature can be used to 478 resample the data in a spatial resolution higher than the original satellite ground pixel when producing monthly 479 average maps. This technique has been used to generate high resolution satellite maps for local and regional 480 studies (Wenig et al., 2008; Chan et al., 2012, 2015). In order to exploit the full resolution of TROPOMI, we 481 have gridded the TROPOMI TCWV data in a much finer resolution of  $0.01^{\circ} \times 0.01^{\circ}$ . The data filtering and 482 gridding follows the description in Section 3.4. Figure 10a shows the monthly averaged TROPOMI TCWV over 483 the northeast part of South America in July 2019. Some dynamical features of water vapour can be observed 18/ from the monthly averaged map. Enhanced water vapour columns can be seen not only over the main stream 485 of the Amazon river, but also over smaller branches, e.g., Xingu, Tapajós and Madeira Rivers. Lower water 486 vapour columns are also observed over the mountain areas at the borders among Venezuela, Guyana and Brazil. 487 To make sure the fine scale structures measured are not artifacts caused by the input surface albedo, we have 188 plotted the surface albedo data used in the retrieval in Figure 10b. Surface albedo derived from OMI is shown 489 in Figure 10c for reference. The TROPOMI albedo is in resolution of  $0.1^{\circ} \times 0.1^{\circ}$ , while the OMI albedo is is in 490 much coarser resolution of  $0.5^{\circ} \times 0.5^{\circ}$ . Albedo from TROPOMI observations over the main stream of Amazon 101 River is slightly higher than its surroundings. However, some of the smaller branches, i.e., Xingu River, does 492 not show up in the albedo map. Satellite measurement sensitivity is higher over surface with higher albedo, and 493 results in higher air mass factors. As the spectral retrieval of slant column is independent to surface albedo, 494 increase of air mass factor would results lower vertical columns. However, TCWV observed by TROPOMI over 495 rivers are still higher than its surroundings which implies that the enhancement of TCWV is actually related to 496 the increase of water vapour. The example of fine scale structures of water vapour demonstrated here indicates 497 that the enhanced spatial resolution of TROPOMI data is useful not only for studies on global scale, but also 105 for climate studies in local and regional scales.

#### **6.** Summary and conclusion

We presented the total column water vapour (TCWV) retrieval algorithm developed for the TROPOspheric 501 Monitoring Instrument (TROPOMI) observations in the visible blue spectral band being developed and validated 502 in the framework of the Sentinel 5 Precursor Product Algorithm Laboratory (S5P-PAL) project from the 503 European Space Agency (ESA). The TROPOMI TCWV algorithm was based on the GOME-2 TCWV retrieval 504 with optimization on the spectral fit, air mass factor calculations, and a new approach to retrieve surface 505 properties from TROPOMI observations. The TROPOMI TCWV retrieval features a dynamic a priori profile 506 algorithm, making it independent from profile information from a chemistry transport model. This feature 507 avoids the model errors propagating to the satellite retrieval, or bias due to updates of model version. This 508 makes it a better option for the processing of an independent long-term climate record. 509

The developed TCWV retrieval is applied to TROPOMI observations from May 2018 to May 2021. The 510 TCWV results are validated by comparing to ERA5 reanalysis data, GOME-2, MODIS, and SSMIS satellite 511 observations. TCWV derived from TROPOMI observations show very good spatio-temporal consistency with 512 the other data sets, with a Pearson correlation coefficient (R) ranging from 0.96 to 0.99. The mean bias between 513 TROPOMI and ERA5 data is  $-1.24 \text{ kg m}^{-2}$  for measurements over land and  $0.73 \text{ kg m}^{-2}$  for measurements over 514 water. The comparison to MODIS observations show similar results with small dry bias of 1.51, kg m<sup>-2</sup> for 515 measurements over land and a small wet bias of  $1.25 \,\mathrm{kg}\,\mathrm{m}^{-2}$  for measurements over water. Slightly larger 516 dry bias of  $1.98 \,\mathrm{kg \, m^{-2}}$  for measurements over land and  $1.74 \,\mathrm{kg \, m^{-2}}$  for measurements over water are found 517 when compared to GOME-2 observations. Compared to SSMIS data over water, TROPOMI observations are 518 bias low by  $3.25 \,\mathrm{kg}\,\mathrm{m}^{-2}$ . The agreements to other data sets are slightly better in summer of the Northern 519 Hemisphere compared to that of winter. The small discrepancies found between TROPOMI and reference 520 data sets are related to the differences in measurement technique, measurement time, surface albedo issues, as 521 well as cloud and aerosol contamination. Validation of TROPOMI TCWV against ground based observations, 522 i.e., Global Positioning System (GPS), sun-photometer, and radiosonde measurements, will be addressed in 523 separate studies. In addition, we have demonstrated that fine scale water vapour structures can be observed 524 by TROPOMI over the Amazon basin, indicating the data set will also be useful for local and regional climate 525 studies. The algorithm presented in this paper could be used for generating the operational ESA/EU TCWV 526 products from TROPOMI/Sentinel-5 Precursor and will be the baseline for the future AC-SAF TCWV products 527 from the Copernicus missions Sentinel-4 and Sentinel-5. 528

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