Object Detection in Aerial Images: A Large-Scale Benchmark and Challenges

Jian Ding, Nan Xue, Gui-Song Xia, Xiang Bai, Wen Yang, Micheal Ying Yang, Serge Belongie, Jiebo Luo, Mihai Datcu, Marcello Pelillo, Liangpei Zhang

Abstract—In the past decade, object detection has achieved significant progress in natural images but not in aerial images, due to the massive variations in the scale and orientation of objects caused by the bird’s-eye view of aerial images. More importantly, the lack of large-scale benchmarks becomes a major obstacle to the development of object detection in aerial images (ODAI). In this paper, we present a large-scale Dataset of Object Detection in Aerial Images (DOTA) and comprehensive baselines for ODAI. The proposed DOTA dataset contains 1,793,658 object instances of 18 categories of oriented-bounding-box annotations collected from 11,268 aerial images. Based on this large-scale and well-annotated dataset, we build baselines covering 10 state-of-the-art algorithms with over 70 configurations, where the speed and accuracy performances of each model have been evaluated. Furthermore, we provide a uniform code library for ODAI and build a website for testing and evaluating different algorithms. Previous challenges run on DOTA have attracted more than 1300 teams worldwide. We believe that the expanded large-scale DOTA dataset, the extensive baselines, the code library and the challenges can facilitate the designs of robust algorithms and reproducible research on the problem of object detection in aerial images.

Index Terms—Object detection, remote sensing, aerial images, oriented object detection, benchmark dataset.

1 INTRODUCTION

Currently, Earth vision (also known as Earth observation and remote sensing) technologies enable us to observe the earth’s surface with aerial images with a resolution up to a half meter. Although challenging, developing mathematical tools and numerical algorithms is necessary for interpreting these huge volumes of images, among which object detection refers to localizing objects of interest (e.g., vehicles and ships) on the earth’s surface and predicting their categories. Object detection in aerial images (ODAI) has been an essential step in many real-world applications such as urban management, precision agriculture, emergency rescue and disaster relief [1], [2]. Although extensive studies have been devoted to object detection in aerial images and appreciable breakthroughs have been made [3]–[8], the task has numerous difficulties such as arbitrary orientations, scale variations, extremely nonuniform object densities and large aspect ratios (ARs), as shown in Fig. 1.

Among these difficulties, the arbitrary orientation of

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objects caused by the overhead view is the main difference between natural images and aerial images, and it complicates the object detection task in two ways. First, rotation-invariant feature representations are preferred in the detection of arbitrarily oriented objects, but they are often beyond the capability of most of current deep neural network models. Although the methods such as those designed in [6], [9], [10] use rotation-invariant convolutional neural networks (CNNs), the problem is far from solved. Second, the horizontal bounding box (HBB) object representation used in conventional object detection [11]–[13] cannot localize the oriented objects precisely, such as ships and large vehicles, as shown in Fig. 1. The oriented bounding box (OBB) object representation is more appropriate for aerial images [4], [14]–[17]. It allows us to distinguish densely packed instances (as shown in Fig. 3) and extract rotation-invariant features [4], [18], [19]. The OBB object representation actually introduces a new object detection task, called oriented object detection. In contrast with horizontal object detection [8], [20]–[22], oriented object detection is a recently emerging research direction and most of the methods for this new task attempt to transfer successful deep-learning-based object detectors pre-trained on large-scale natural image datasets (e.g., ImageNet [12] and Microsoft Common Objects in Context (MS COCO) [13]) to aerial scenes [18], [19], [23]–[25] due to the lack of large-scale annotated aerial image datasets.

To mitigate the dataset problem, some public datasets of aerial images have been created, see e.g. [7], [15]–[17], [26], but they contain a limited number of instances and tend to use images taken under ideal conditions (e.g., clear backgrounds and centered objects), which cannot reflect the real-world difficulties of the problem. The recently released xView [27] dataset provides a wide range of categories and
contains large quantities of instances in complicated scenes. However, it annotates the instances with HBBs instead of the more precise OBBs. Thus, a large-scale dataset that has OBB annotations and reflects the difficulties in real-world applications of aerial images is in high demand.

Another issue with ODAI is that the module design and the hyperparameter setting of conventional object detectors learned from natural images are not appropriate for aerial images due to domain differences. Thus, in the sense of algorithm development, comprehensive baselines and enough ablative analyses of models on aerial images are required. However, comparing different algorithms is difficult due to the diversities in hardware, software platforms, detailed settings and so on. These factors influence both speed and accuracy. Therefore, when building the baselines, implementing the algorithms with a unified code library and keeping the hardware and software platform the same is highly desirable. Nevertheless, current object detection libraries, e.g., MM Detection [28] and Detectron [29], do not support oriented object detection.

To address the above-mentioned problems, in this paper we first extend the preliminary version of DOTA, i.e., DOTA-v1.0 [14], to DOTA-v2.0. Specifically, DOTA-v2.0 collects 11,268 aerial images from various sensors and platforms and contains approximately 1.8 million object instances annotated with OBBs in 18 common categories, which, to our knowledge, is the largest public Earth vision object detection dataset. Then, to facilitate algorithm developments and comparisons with DOTA, we provide a well-designed code library that supports oriented object detection in aerial images. Based on the code library, we also build more comprehensive baselines than the preliminary version [14], keeping the hardware, software platform, and settings the same. In total, we evaluate 10 algorithms and over 70 models with different configurations. We then provide detailed speed and accuracy analyses to explore the module designs and parameter settings in aerial images to guide future research. These experiments verify the large differences in object detector design between natural and aerial images and provide materials for universal object detection algorithms [30].

The main contributions of this paper are three-fold:

- To the best of our knowledge, the expanded DOTA is the largest dataset for object detection in Earth vision. The OBB annotations of DOTA not only provide a large-scale benchmark for object detection in Earth vision but also pose interesting algorithmic questions and challenges to generalized object detection in computer vision.
- We build a code library for object detection in aerial images. This is expected to facilitate the development and benchmarking of object detection algorithms in aerial images with both HBB and OBB representations.
- With the expanded DOTA, we evaluate 10 representative algorithms over 70 model configurations, providing comprehensive analysis that can guide the designs of object detection algorithms in aerial images.
The dataset, code library, and regular evaluation server are available and maintained on the DOTA website. It is worth noting that the creation and use of DOTA have advanced object detection in aerial images. For instance, the regular DOTA evaluation server and two object detection contests organized on the 2018 International Conference on Pattern Recognition (ICPR’2018 with DOTA-v1.0) and 2019 Conference on Computer Vision and Pattern Recognition (CVPR’2019 with DOTA-v1.5) have attracted approximately 1300 registrations. We believe that our new DOTA dataset, with a comprehensive code library and an online evaluation platform, will further promote the reproducible research in Earth vision.

2 RELATED WORK

Well-annotated datasets have played an important role in data-driven computer vision research [12], [13], [31]–[35] and have promoted cutting-edge research in a number of tasks such as object detection and classification. In this section, we briefly review object detection datasets of natural images and aerial images.

2.1 Datasets for Conventional Object Detection

As a pioneer, PASCAL Visual Object Classes (VOC) [11] has held challenges on object detection from 2005 to 2012. The computer vision community widely adopts PASCAL VOC datasets and their evaluation metrics. Specifically, the PASCAL VOC Challenge 2012 dataset contains 11,530 images, 20 classes, and 27,450 annotated bounding boxes. Later, the ImageNet dataset [12] was developed and is an order of magnitude larger than PASCAL VOC, containing 200 classes and approximately 500,000 annotated bounding boxes. However, non-ICONIC views are not addressed. Then MS COCO [13] was released, containing a total of 328K images, 91 categories, and 2.5 million labeled segmented objects. MS COCO has on average more instances and categories per image and contains more contextual information than PASCAL VOC and ImageNet. It is worth noticing that, in Earth vision, the image size could be extremely large (e.g., 20,000 × 20,000 pixels), so the number of images cannot reflect the scale of a dataset. In this case, the pixel area would be more reasonable when comparing the scale between the datasets of natural and aerial images. Moreover, the large images include more instances per image and contextual information. Tab. 1 provides the detailed comparisons.

2.2 Datasets for Object Detection in Aerial Images

In aerial object detection, a dataset resembling MS COCO and ImageNet both in terms of the image number and detailed annotations has been missing, which becomes one of the main obstacles to research in Earth vision, especially for developing deep-learning-based algorithms. In Earth vision, many aerial image datasets are prepared for actual demands in a specific category, such as building datasets [7], [36], vehicle datasets [8], [15], [16], [26], [37], [38], ship datasets [4], [39], and plane datasets [17], [40]. Although some public datasets [17], [41]–[44] have multiple categories, they have only limited number of samples, which are hardly efficient for training robust deep models. For example, NWP [41] only contains 800 images, 10 classes and 3,651 instances.

To alleviate this problem, our preliminary work DOTA-v1.0 [14] presented a dataset with 15 categories and 158,282 instances, which the first time enables us to efficiently train robust deep models for ODAI without the help of large-scale datasets of nature images, such as MS COCO and ImageNet. Later, iSAID [45] provided an instance segmentation extension of DOTA-v1.0 [14]. A notable dataset is xView [27], which contains 1,413 images, 16 main categories, 60 fine-grained categories, and 1 million instances. Another dataset DIOR [46] provided a comparable number of instances as DOTA-v1.0 [14]. However, the instances in xView and DIOR are both annotated by HBBs, which are not suitable for precisely detecting objects that are arbitrarily oriented in aerial images. In addition, VisDrone [47] is also a large-scale dataset for drone images but focuses more on video object detection and tracking. The image subset in VisDrone for object detection is not very large. Furthermore, most of the previous datasets are heavily imbalanced in favor of positive samples, whose negative samples are not sufficient to represent the real-world distribution.

As we stated previously [14], a good dataset for aerial image object detection should have the following properties: 1) substantial annotated data to facilitate data-driven, especially deep-learning-based methods; 2) large images to contain more contextual information; 3) OBB annotation to describe the precise location of objects; and 4) balance in image sources, as pointed in [48]. DOTA is built considering these principles (unless otherwise specified, DOTA refers to DOTA-v2.0). Detailed comparisons of these existing datasets and DOTA are shown in Tab. 2. Compared to other aerial datasets, as we shall see in Sec. 4, DOTA is challenging due to its tremendous object instances, arbitrary orientations, various categories, density distribution, and diverse aerial scenes from various image sources. These properties make DOTA helpful for real-world applications.

2.3 Deep Models for Object Detection in Aerial Images

Object detection in aerial images is a longstanding problem. Recently, with the development of deep learning, many

TABLE 1

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Classes</th>
<th>Image quantity</th>
<th>Megapixel area</th>
<th>BBBox quantity</th>
<th>Avg. BBBox quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>PASCAL VOC</td>
<td>20</td>
<td>21,503</td>
<td>5,133</td>
<td>52,090</td>
<td>2.42</td>
</tr>
<tr>
<td>(07++12)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MS COCO</td>
<td>80</td>
<td>123,287</td>
<td>32,639</td>
<td>886,266</td>
<td>7.19</td>
</tr>
<tr>
<td>(2014 trainval)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ImageNet</td>
<td>200</td>
<td>456,567</td>
<td>82,820</td>
<td>478,807</td>
<td>1.05</td>
</tr>
<tr>
<td>(2014 train)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DOTA-v1.0</td>
<td>15</td>
<td>2,806</td>
<td>19,173</td>
<td>186,252</td>
<td>62.10</td>
</tr>
<tr>
<td>DOTA-v1.5</td>
<td>16</td>
<td>2,806</td>
<td>19,173</td>
<td>402,089</td>
<td>143.73</td>
</tr>
<tr>
<td>DOTA-v2.0</td>
<td>18</td>
<td>11,268</td>
<td>107,133</td>
<td>1,793,658</td>
<td>159.18</td>
</tr>
</tbody>
</table>

researchers in Earth vision have adapted deep object detectors [49]–[53] developed for natural images to aerial images. However, the challenges caused by the domain shift need to be addressed. Here, we highlight some notable works.

Objects in aerial images are often arbitrarily oriented due to the bird’s-eye view, and the scale variations are larger than those in natural images. To handle rotation variations, a simple model [9] plugs an additional rotation-invariant layer into R-CNN [51] relying on rotation data augmentation. The oriented response network (ORN) introduces active rotating filters (ARF) to produce the rotation-invariant feature without using data augmentation, which is adopted by the rotation-sensitive regression detector (RRD) [23]. The deformable modules [54] designed for general object deformation are also widely used in aerial images. The methods mentioned above do not fully utilize the OBB annotations. When OBB annotations are available, a rotation R-CNN (RR-CNN) [55] uses rotation-region-of-interest (RRoI) pooling to extract rotation-invariant region features. However, RR-CNNs [55] generate proposals by hand-crafted way. Then the RoI Transformer [18] tries to use the supervision of OBbs to learn RoI-wise spatial transformation. The later S²A-Net [56] extracts spatially invariant features in one-stage detectors. To solve the challenges of scale variations, feature pyramids [19], [57] and image pyramids [24], [25] are widely used to extract scale-invariant features in aerial images. We evaluate the geometric transformation network modules and geometric data augmentations in Sec. 6.1.

Crowded instances represented by HBBs are difficult to distinguish (see Fig. 3). Traditional HBB-based non maximum suppression (NMS) will fail in such cases. Therefore, these methods [18], [24], [25] use rotated NMS (R-NMS), which require precise detections to address this problem. Similar to text and faces detection in natural scenes, which require precise detections to address this problem. Similar to text and faces detection in natural scenes, which require precise detections to address this problem.

Fig. 2. Number of instances per image among DOTA and general object detection datasets. For PASCAL, ImageNet and MS COCO, we count the statistics of 10,000 random images. As the images in DOTA are very large (20,000 × 20,000), for a fair comparison, we count the statistics of 10,100 image patches with the size of 1024 × 1024, which is also the size used for the baselines in Sec. 5.2. DOTA-v2.0 has a wider range of the number of instances per image.
of occurrence and value for real-world applications. The first soccer ball field, basketball court, container crane, airport and harbor, bridge, large vehicle, small vehicle, helicopter, roundabout, ball diamond, tennis court, swimming pool, ground track field. We choose eighteen categories, respectively.

3.2 Category Selection

In our work, we enriched MMDetection \[28\] with several algorithms. These code libraries primarily use a modular design, which makes it easy to develop new algorithms. The current widely used settings, such as the training schedule, are from Detectron \[29\]. However, these code libraries mainly focus on horizontal object detection. Only Detectron2 \[69\] has limited support for oriented object detection.

In aerial images, the resolution and a variety of sensors and platforms with multiple resolutions, including Google Earth, the Gaofen-2 (GF-2) Satellite, Jilin-1 (JL-1) Satellite, and aerial images (taken by CycloMedia in Rotterdam). To obtain the DOTA images, we first collected the coordinates of areas of interest (e.g., airports or harbors) from all over the world. Then, according to the coordinates, images are collected from Google Earth, GF-2 and JL-1 satellites. For the images from Google Earth, we cropped the patches that contain instances of interest with sizes from 800 to 4000. However, for the satellite and aerial images, we maintained their original sizes. Large images can approach real-world distributions, and also pose a challenge for finding small instances \[20\]. In DOTA, the sizes of satellite and aerial images are usually 29,200 × 27,620 and 7,360 × 4,912, respectively.

3.3 Oriented Object Annotation

In computer vision, many visual concepts, such as region descriptions, objects, attributes, and relationships, are always represented with bounding boxes, as shown in \[73\]. A common representation of the bounding box is \((x_c, y_c, w, h)\), where \((x_c, y_c)\) is the center location and \(w, h\) are the width and height, respectively, of the bounding box. We call this type of bounding box an HBB. The HBB can describe objects well in most cases. However, it cannot accurately outline oriented instances such as text and objects in aerial images. As shown in Fig. 3, the HBB cannot differentiate crowd oriented objects. The conventional NMS algorithm fails in such cases. On the other hand, the regional features extracted from HBBs are not rotation invariant. To address these problems, we represent the objects with OBBs. In detail, an OBB is a HBB, the OBB can describe objects and height, respectively, of the bounding box. We call this common representation of the bounding box is \((x_i, y_i)\), where \((x_i, y_i)\) denotes the center location and \(w, h\) are the width and height, respectively, of the bounding box. We call this type of bounding box an HBB. The HBB can describe objects well in most cases. However, it cannot accurately outline oriented instances such as text and objects in aerial images. As shown in Fig. 3, the HBB cannot differentiate crowd oriented objects. The conventional NMS algorithm fails in such cases. On the other hand, the regional features extracted from HBBs are not rotation invariant. To address these problems, we represent the objects with OBBs. In detail, an OBB is denoted by \(\{(x_i, y_i)|i = 1, 2, 3, 4\}\), where \((x_i, y_i)\) denotes the position of the OBB’s vertex in the image. The vertices are arranged in clockwise order.

The most straightforward way to annotate an OBB is to draw an HBB and then adjust the angle. However, since there is no reference for HBBs, several adjustments in the center, height, width and angle are usually needed to fit an arbitrarily oriented object well. Clicking on physical points lying on the object \[74\] could make crowd-sourced annotations more efficient for HBBs, as these points are easy to find. Inspired by this idea, we allow the annotators to click four corners of the OBBs. For most categories, the corners of the OBBs (e.g., tennis court and basketball court) lie on or close to the objects (vehicles), however, there are still some categories whose shapes are very different from OBBs. For these categories, we annotate four key points.
lying on the object. For example, we annotate the planes with 4 key points, representing the head, two wingtips, and tail. Then we transfer the 4 key points to an OBB.

However, when using OBBs to represent objects, we could obtain four different representations for the same object by changing the order of the points. For example, assume that \((x_1, y_1, x_2, y_2, x_3, y_3, x_4, y_4)\) represents an object, but we could represent the same object by \((x_2, y_2, x_3, y_3, x_4, y_4, x_1, y_1)\). For categories having differences between the head and tail (e.g., helicopter, large vehicle, small vehicle, harbor), we carefully select the first point to imply the “head” of the object. For other categories (e.g., soccer-ball field, swimming pool, and bridge) that do not have visual clues to determine the first point, we choose the top-left point as the starting point.

Some examples of annotated patches are shown in Fig. 4.

4 Properties of DOTA

4.1 Image Sources

We count the proportion of three image sources (Google Earth, satellite and aerial images) in terms of the number of images, number of instances and pixel area in Tab. 3. We can see that the carefully selected Google Earth images contain the majority of positive samples. Nevertheless, the negative samples are also important to avoid positive sample bias. The collected satellite and aerial images are close to the real-world distribution and provide enough background area.

4.2 Spatial Resolution Information

The ground sample distance (GSD), which indicates the distance between pixel centers measured on Earth, has potential usages. For example, it allows us to calculate the actual sizes of objects, which can be used to filter mislabeled or misclassified outliers since the object sizes of the same category are usually limited to a small range. Furthermore, we can conduct scale normalization based on the priors of the object size and GSD. In DOTA, GSDs of the satellite images and aerial images are approximately 1m and 0.1m, respectively, while the GSDs of Google Earth images range from 0.1m to 4.5m.

4.3 Various Instance Orientations

Objects in the overhead view images have a high diversity of orientations without the restriction of gravity. As shown in Fig. 1 (g), the objects have equal probabilities of arbitrary angles in \([-\pi, \pi]\). It is worthwhile to note that although objects in scene text detection also have many orientation variations, the angles of most objects lie within a narrow range (e.g., \([-\pi/2, \pi/2]\)) due to gravity. The unique angle distributions of DOTA make it become a good dataset for research on rotation-invariant feature extraction and oriented object detection.

4.4 Various Instances Pixel Sizes

Following the convention in [77], we use the height of an HBB to measure the pixel size of the instance. We divide all the instances in our dataset into three splits according to their heights of HBBS: small, with range from 10 to 50, medium, with range from 50 to 300, and large, with range above 300. Tab. 4 illustrates the percentages of these three instance splits in different datasets. It is clear that the PASCAL VOC dataset, NWPU VHR-10 dataset and DLR 3K Munich Vehicle dataset are dominated by medium instances or small instances.

MS COCO and DOTA-v1.0 have a good balance between small instances and medium instances. DOTA-v2.0 has more small instances than DOTA-v1.0. In DOTA-v2.0, some instances that are approximately 10 pixels are annotated.

In Fig. 5, we also show the distribution of instances’ pixel sizes for different categories in DOTA. This figure indicates that the scales vary greatly both within and between categories. These large-scale variations among instances make the detection task more challenging.

4.5 Various Instance ARs

The AR is essential for anchor-based models, such as Faster R-CNN [53] and You Only Look Once (YOLOv2) [50]. We use two kinds of ARs for all the instances in our dataset to guide the model design namely, 1) the ARs of the original OBBs and 2) the AR of HBBs, which are generated by calculating the axis-aligned bounding boxes over the OBBs. Fig. 6 illustrates the distributions of these two types of aspect ratios in DOTA. We can see that instances vary significantly in aspect ratio. Moreover, many instances have a large aspect ratio in our dataset.

4.6 Various Instance Densities of the Images

The number of instances per image is an important property for object detection datasets and varies largely in DOTA. It can be very dense (up to 1000 instances per image patch), or very sparse (only one instance per image patch). We compare this property among DOTA and the general object detection datasets in Fig. 1. The number of instances per image in DOTA varies more widely than in natural image datasets.

Different categories also have different density distributions. To give a quantitative analysis, for each instance, we first measure the distance to the closest instance in the same category. We then bin the distances into three parts, dense \([0, 10]\), normal \([10, 50]\) and sparse \([50, \infty]\) (see Fig. 7). Fig. 7 shows that the storage tank, ship and small vehicle are top-3 dense categories.
Fig. 4. Examples of annotated images in DOTA. We show three examples per category.

Fig. 5. Size variations for each category in DOTA. The sizes of different categories vary in different ranges.

Fig. 6. AR distributions of the instances in DOTA. (a) The ARs of the OBBs. (b) The ARs of the HBBs.

Fig. 7. Densities of the different categories. The density is measured by calculating the distance to the closest instance.

4.7 DOTA Versions

The three versions of DOTA, i.e. DOTA-v1.0, DOTA-v1.5, and DOTA-v2.0, are summarized in Table 5.

4.7.1 DOTA-v1.0

DOTA-v1.0 contains 15 common categories, 2,806 images and 188,282 instances. The proportions of the training set, validation set, and testing set in DOTA-v1.0 are 1/2, 1/6, and 1/3, respectively.

4.7.2 DOTA-v1.5

DOTA-v1.5 uses the same images as DOTA-v1.0, but extremely small instances (less than 10 pixels) are also annotated. Moreover, a new category, “container crane” containing 402,089 instances in total is added. The number of images and dataset splits are the same as those in DOTA-v1.0. This version was released for the DOAI Challenge 2019 on Object Detection in Aerial Images in conjunction with CVPR 2019.
4.7.3 DOTA-v2.0

DOTA-v2.0 collects more Google Earth, GF-2 Satellite, and aerial images. There are 18 common categories, 11,268 images and 1,793,658 instances in DOTA-v2.0. Compared to DOTA-v1.5, it further adds the new categories of "airport" and "helipad". The 11,268 images of DOTA-v2.0 are split into training, validation, test-dev, and test-challenge sets. To avoid the problem of overfitting, the proportion of the training and validation sets is smaller than that of the test set. Furthermore, we have two test sets, namely test-dev and test-challenge, which are similar to MS COCO dataset [13].

The detailed splits are shown below:

- **Training** contains 1,830 images and 268,627 instances. We will release both the images and ground truths.
- **Validation** contains 593 images and 81,048 instances. We will release both the images and ground truths.
- **Test-dev** contains 2,792 images and 353,346 instances. We will release the images without ground truths. For evaluation, one can submit the results to the evaluation server that we built. The submission of each team is limited to once a day to avoid overfitting. All the DOTA-v2.0 experiments in this paper are evaluated on test-dev.
- **Test-challenge** contains 6,053 images and 1,090,637 instances. It will be only available during the contest.

### Table 5
Comparisons of the three versions of DOTA. We count the number of instances for each category and dataset split.

<table>
<thead>
<tr>
<th>Category</th>
<th>DOTA-v1.0</th>
<th>DOTA-v1.5</th>
<th>DOTA-v2.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plane</td>
<td>14,085</td>
<td>14,978</td>
<td>23,930</td>
</tr>
<tr>
<td>BD</td>
<td>1,130</td>
<td>1,127</td>
<td>3,843</td>
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<td>Bridge</td>
<td>3,760</td>
<td>3,804</td>
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<td>GTF</td>
<td>678</td>
<td>689</td>
<td>4,933</td>
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<td>SV</td>
<td>48,891</td>
<td>242,276</td>
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<tr>
<td>LV</td>
<td>31,613</td>
<td>39,249</td>
<td>89,353</td>
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<td>Ship</td>
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<td>833</td>
<td>893</td>
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<tr>
<td>CC</td>
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<td>3,887</td>
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<td>0</td>
<td>5,905</td>
</tr>
<tr>
<td>Helipad</td>
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<td>0</td>
<td>611</td>
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<tr>
<td>Total</td>
<td>98,990</td>
<td>210,631</td>
<td>1,793,658</td>
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<th>DOTA-v1.0</th>
<th>DOTA-v1.5</th>
<th>DOTA-v2.0</th>
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<tr>
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<td>210,631</td>
<td>268,627</td>
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<td>Validation</td>
<td>28,853</td>
<td>69,565</td>
<td>81,048</td>
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<td>Test/Test-dev</td>
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<td>Test-challenge</td>
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5 BENCHMARKS

5.1 Evaluation Tasks and Metrics

The task of object detection is to locate and classify the instances in images. We use two location representations (HBB and OBB) in our paper. The HBB is a rectangular region \((x, y, w, h)\), and the OBB is an oriented rectangular region \((x, y, w, h, \theta)\). Then, there are two tasks, detection with HBB and detection with OBB. To be more specific, we evaluate these methods on two kinds of ground truths: HBB and OBB ground truths. We adopt the PASCAL VOC 07 metric [11] for the calculation of the mean average precision (mAP). It is worthwhile to note that for the OBB task, the intersection over union (IoU) is calculated between OBBs.

5.2 Implementation Details

In the previous benchmarks [14], the algorithms were implemented with different codes and settings, which makes these algorithms hard to compare in DOTA. To this end, we implement and evaluate all the algorithms in one unified code library modified from MMDetection [28].

Since large images cannot be directly fed to CNN-based detectors due to the memory limitations, we crop a series of \(1,024 \times 1,024\) patches from the original images with a stride set to 824 (different from the previous stride of 512 [14]). During inference, we first send the patches (same settings as training) to obtain temporary results. Then we map the detected results from the patch coordinates to the original image coordinates. Finally, we apply NMS on these results in the original image coordinates. We set the threshold of NMS to 0.3 for the HBB experiments and 0.1 for the OBB experiments. For multi-scale training and testing, we first scale the original images to \([0.5, 1.0, 1.5]\) and then crop the images into patches of size \(1,024 \times 1,024\) and a stride of 824. We use 4 GPUs for training with a total batch size of 8 (2 images per GPU). The learning rate is set to 0.01. Except for RetinaNet [78], which adopts the “2×” schedule, the other algorithms adopt the “1×” [29] training schedule. We set the number of proposals and maximum number of predictions per image patch to 2,000 for all the experiments except when otherwise mentioned. The other hyperparameters follow those of Detectron [29].

5.2.1 Baselines with HBBs

We use two ways to build baselines for the HBB task. The first way directly predicts the HBB results, while the second way first predicts the OBB results and then converts OBBs to HBBs. To directly predict the HBB results, we use RetinaNet [78], Mask R-CNN, Cascade Mask R-CNN, Hybrid Task Cascade and Faster R-CNN [53] as baselines. For the OBB predictions, we will introduce the methods in the following section.

5.2.2 Baselines with OBBs

Most of the state-of-the-art object detection methods are not designed for oriented objects. To enable these methods to predict OBBs, we build the baselines in two ways. The first is to change HBB head to OBB Head, which regresses the offsets of OBBs relative to the HBBs. The second is Mask Head, which considers the OBBs to a coarse mask and predicts the pixel-level classification from each RoI.

**OBB Head** To predict OBB, the previous Faster R-CNN OBB [14] and Textboxes++ [61] modified Roi Head of Faster R-CNN and the Anchor Head of the single-shot detector (SSD), respectively, to regress quadrangles. In this paper, we use the representation \((x, y, w, h, \theta)\) instead of \(\{(x_i, y_i)\mid i = 1, 2, 3, 4\}\) for OBB regression. More precisely, rectangular Rols (anchors) can be written as \(B = (x_{min}, y_{min}, x_{max}, y_{max})\). We can also consider it a special

OBB and rewrite it as $\mathcal{R} = (x, y, w, h, \theta)$. For matching, IoUs are calculated between the horizontal RoIs (anchors) and HBBs of the ground truths for computational simplicity. Each OBB, it has four forms: $G = \{gt_i| i = 1, 2, 3, 4\}$, where $gt_1 = (x_g, y_g, w_g, h_g, \theta_g)$, $gt_2 = (x_g, y_g, w_g, h_g, \theta_g + \pi)$, $gt_3 = (x_g, y_g, h_g, w_g, \theta_g)$, and $gt_4 = (x_g, y_g, h_g, w_g, \theta_g + \pi)$. Before calculating the targets, we choose the best matched ground-truth form. The index of the best matched form is calculated by $\xi = \arg \min_i D(R, gt_i)$, where $D$ is a distance function, which could be Euclidean distance or another distance function. We denote the best matched form by $gt_{\xi} = (x_b, y_b, w_b, h_b, \theta_b)$. Then the learning target is calculated as:

$$
\begin{align*}
t_x &= (x_b - x)/w, & t_y &= (y_b - y)/h, \\
t_w &= \log(w_b/w), & t_h &= \log(h_b/h), & t_\theta &= \theta_b - \theta 
\end{align*}
$$

We then simply replace the HBB RoI Head of Faster R-CNN and anchor head of RetinaNet with OBB Head and obtain two models, called Faster R-CNN OBB and RetinaNet OBB. We also modify the Faster R-CNN to predict both the HBB and OBB in parallel, which is similar to Mask R-CNN [79]. We call this model Faster R-CNN H-OB. We further evaluate the deformable RoI pooling (Dpool) and RoI Transformer by replacing the RoI Align in Faster R-CNN OBB. Then we have two models: Faster R-CNN OBB + Dpool and Faster R-CNN OBB + RoI Transformer. Note that the RoI Transformer introduced here is slightly different from the original one. The original RoI Transformer uses the Light Head R-CNN [80] as the base detector while we use Faster R-CNN.

**Mask Head** Mask R-CNN [79] was originally used for instance segmentation. Although DOTA does not have pixel-level annotation for each instance, the OBB annotations can be considered coarse pixel-level annotations, so we can apply Mask R-CNN [79] to DOTA. During inference, we calculate the minimum OBBs that contain the predicted masks. The original Mask R-CNN [79] only applies a mask head to the top 100 HBBs in terms of the score. Due to the large number of instances per image, as illustrated in Fig. 2, we apply a mask head to all the HBBs after NMS. In this way, we evaluate Mask R-CNN [79], Cascade Mask R-CNN and Hybrid Task Cascade [81].

We first show the difficulties of ODAI.

### 6.1 Benchmark Results and Analyses

In this section, we conduct a comprehensive evaluation of over 70 experiments and analyze the results. First, we demonstrate the baseline results of 10 algorithms on DOTA-v1.0, DOTA-v1.5 and DOTA-v2.0. The baselines cover both two-stage and one-stage algorithms. For most algorithms, we report the mAPs of HBB and OBB predictions, respectively, except for RetinaNet and Faster R-CNN, since they do not support oriented object detection. For algorithms with only OBB heads (RetinaNet OBB, Faster R-CNN OBB, Faster R-CNN OBB + DPool, Faster R-CNN OBB + RoI Transformer), we obtain their HBB results by transferring from OBB as described in Sec. 5.2.1. For algorithms with both HBB and OBB heads (Mask R-CNN, Cascade Mask R-CNN, Hybrid Task Cascade*, and Faster R-CNN H-OB), the HBB mAP is the maximum of the predicted HBB mAP and the transferred HBB mAP. It can be seen that the OBB mAP is usually slightly lower than the HBB mAP for the same algorithm since the OBB task needs a more precise location than the HBB task.

Tab. 6 shows that the performance on DOTA-v1.0, DOTA-v1.5 and DOTA-v2.0 are declining, indicating the increased difficulty of the datasets. To give more detailed comparisons of speed vs. accuracy, we evaluate all algorithms at different backbones (as shown in Fig. 8). From the speed-accuracy curve, the Faster R-CNN OBB + RoI Transformer outperforms the other methods. To explore the properties of DOTA and provide guidelines for future research, we evaluate the module design and the hyper-parameter setting. Then, we analyze the influence of data augmentation in detail. Finally, we visualize the results to show the difficulties of ODAI.

#### 6.1.1 Mask Head vs. OBB Head

The OBB head tackles oriented object detection as a regression problem, while the mask head tackles oriented object detection as a pixel-level classification problem. The mask head more easily converges and achieves better results but...
TABLE 6
Baseline results on DOTA. For the evaluation of DOTA-v2.0, we use the DOTA-v2.0 test-dev set. The implementation details are described in 5.2. All the algorithms in this table adopt the ResNet-50 with an FPN as backbone. The speed refers to the inference speed, which is reported for a single NVIDIA Tesla V100 GPU on DOTA-v2.0 test-dev. The image size is $1,024 \times 1,024$. Hybrid Task Cascade* means that the semantic branch is not used since there are no semantic annotations in DOTA.

<table>
<thead>
<tr>
<th>method</th>
<th>speed (fps)</th>
<th>DOTA-v1.0 HBB mAP</th>
<th>OBB mAP</th>
<th>DOTA-v1.5 HBB mAP</th>
<th>OBB mAP</th>
<th>DOTA-v2.0 HBB mAP</th>
<th>OBB mAP</th>
</tr>
</thead>
<tbody>
<tr>
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<td>16.7</td>
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<td>61.64</td>
<td>51.64</td>
<td>49.31</td>
<td>51.64</td>
<td>49.31</td>
</tr>
<tr>
<td>RetinaNet OBB</td>
<td>12.1</td>
<td>69.05</td>
<td>62.49</td>
<td>59.16</td>
<td>48.26</td>
<td>50.71</td>
<td>46.68</td>
</tr>
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<td>Mask R-CNN</td>
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<td>62.67</td>
<td>51.16</td>
<td>50.34</td>
<td>49.47</td>
</tr>
<tr>
<td>Cascade Mask R-CNN</td>
<td>7.2</td>
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<td>63.41</td>
<td>64.03</td>
<td>50.98</td>
<td>50.04</td>
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<td>Hybrid Task Cascade*</td>
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<td>63.40</td>
<td>50.88</td>
<td>50.34</td>
<td>49.47</td>
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<td>60.80</td>
<td>49.37</td>
<td>47.31</td>
<td>48.77</td>
</tr>
<tr>
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<td>63.85</td>
<td>62.00</td>
<td>50.48</td>
<td>48.77</td>
<td>49.47</td>
</tr>
<tr>
<td>Faster R-CNN OBB + Dpool</td>
<td>12.1</td>
<td>71.83</td>
<td>63.67</td>
<td>62.20</td>
<td>50.48</td>
<td>48.77</td>
<td>49.47</td>
</tr>
<tr>
<td>Faster R-CNN H-OBB</td>
<td>13.7</td>
<td>70.37</td>
<td>64.43</td>
<td>62.57</td>
<td>50.38</td>
<td>48.90</td>
<td>49.47</td>
</tr>
<tr>
<td>Faster R-CNN OBB + RoI Transformer</td>
<td>12.4</td>
<td>74.59</td>
<td>66.09</td>
<td>65.03</td>
<td>53.37</td>
<td>52.81</td>
<td>52.81</td>
</tr>
</tbody>
</table>

Fig. 8. Results for different backbones. The algorithms are tested on DOTA-v2.0 test-dev. For each algorithm, we choose 3 different backbones (ResNet-50 with an FPN, ResNet-101 with an FPN and 64×4d ResNetX1-101 with an FPN). Faster R-CNN-O means the Faster R-CNN OBB in this work. RetinaNet-O means RetinaNet OBB. Dpool means the Deformable RoI Pooling. RT means the RoI Transformer. The speeds are tested on a single Tesla V100.

is more computationally expensive. Taking the results on the DOTA-v2.0 test-dev set as an example, Mask R-CNN still outperforms Faster R-CNN H-OBB by 0.57 points in OBB mAP. Nevertheless, Mask R-CNN is slower than Faster R-CNN H-OBB by 4 fps. Note that the process of transferring the mask to the OBB is not considered here. Otherwise, Mask R-CNN should be slower.

6.1.2 RoI Transformer vs. Deformable RoI Pooling
Geometric variations are still challenging in object detection. In this part, we evaluate RoI Transformer and Dpool by replacing RoI Align in Faster R-CNN OBB. We call these two models Faster R-CNN OBB + RoI Transformer and Faster R-CNN OBB + Dpool. Tab. 6 and Fig. 8 show that Dpool improves the performance of Faster R-CNN OBB at most times, while RoI Transformer performs better than Dpool. This finding verifies that carefully designed geometry transformation modules such as RoI Transformer are better than general geometry transformation modules such as Dpool for aerial images.

6.1.3 Excluding Small Instances
During the training on DOTA-v1.5 and DOTA-v2.0, many extremely small instances will cause numerical instability. For the experiments in DOTA-v1.5 and DOTA-v2.0, we set a threshold to exclude too small instances. We try to explore the influence of different thresholds on DOTA-v2.0. We filter the small instances by two rules: 1) the area of instance is below a certain threshold, and 2) $\max(w, h)$ is below a threshold, where the $w$ and $h$ are the width and height, respectively, of the corresponding HBB. The results in Tab. 7 show that small instances have little influence on the results.

6.1.4 Number of Proposals
The number of proposals is an important hyperparameter in modern detectors. As mentioned before, the possible number of instances in aerial images is quite different from that in natural images. In DOTA, one 1,024 × 1,024 image may contain more than 1,000 instances. There is no doubt that the parameters that perform well for natural images are not optimal for aerial images. Here we explore the optimal settings for aerial images. As shown in Tab. 8, the number of proposals with the highest performance for Faster R-CNN OBB + RoI Transformer is 8,000. For Faster R-CNN OBB, the increase in the mAP slows at approximately 8,000 proposals. Furthermore, from 1,000 to 10,000 proposals, the improvements in Faster R-CNN + RoI Transformer and Faster R-CNN OBB are 2.2 and 1.39 points in mAP, respectively. However, the increased number of proposals bring more computation. Therefore, for the other experiments in this paper, we choose 2,000 proposals. The optimal number of proposals in DOTA is quite larger than that in PASCAL VOC, where 300 is the optimal number. This finding confirms that the difference between aerial and natural images is again massive.

6.1.5 Data Augmentation
In this section, we explore the influence of data augmentation in detail. The experiments on data augmentation are conducted on DOTA-v1.5. In [25], the authors used data augmentation of multi-scale training and testing, as well as rotation training. We follow the data augmentation strategies in [25] and further conduct rotation testing. The model we select is Faster R-CNN OBB + RoI Transformer. We choose R-50-FPN as the backbone and adopt five data augmentation strategies. The first is the high patch overlap. We change the overlap between patches from 200 to 512 since the large instances may be cut off at the edge.
The second and third are multi-scale training and testing, respectively. We resize the original images by factors of \([0.5, 1.0, 1.5]\) and then crop the original images into patches of size \(1,024 \times 1,024\). The fourth is the rotation training. For images with roundabouts and storage tanks, we rotate the patches randomly by four angles \(\pi, -\pi, 0.5\pi, -0.5\pi\). For images with the other categories, we rotate the angle randomly from \([-\pi, \pi]\) continuously during training. The last is rotation during testing, we rotate the images at four angles \((0, \pi/2, \pi, 3\pi/2)\). As shown in Tab. 9, both scale and rotation data augmentations improve the performance of object detection by a large margin, which is consistent with the large scale and orientation variations in DOTA. Furthermore, this baseline model already used a feature pyramid network (FPN) and RoI Transformer. This indicates that the FPN and RoI Transformer do not completely solve the problem of scale and rotation variations, and geometric modeling with CNNs is still an open problem.

### 6.1.6 Visualization of the Results

We show the performance of Faster R-CNN [53], Faster R-CNN OBB, RetinaNet OBB, Mask R-CNN and Faster R-CNN OBB + RoI Transformer on difficult scenes in Fig. 9: 

1) The first row demonstrates densely packed large vehicles. Faster R-CNN misses many instances due to the high overlaps between neighboring large vehicles in the HBBs. Those large vehicles are suppressed through NMS. Faster R-CNN OBB, Mask R-CNN and Faster R-CNN OBB + RT perform well, while RetinaNet OBB has lower location precision due to feature misalignment. 

2) The second and third rows show long shape instances with large ARs. These instances are self-similar, which means that each part of the instance has a similar feature as the whole instance. For example, the second row shows that all methods have at least two predictions on a single bridge. The third row also reveals this problem. There exist several predictions on a single ship. 

3) The second and third rows also indicate that several different categories have very similar features. Bridges are easily classified as airports and harbors while the ships are easily to be classified as harbors and bridges. 

4) The latest row shows the difficulty of detecting extremely small instances (less than or approximately 10 pixels). The recall of the extremely small instances is very low.

### 6.2 State-of-the-Art Results on DOTA-v1.0

In this section, we compare the performance of Faster R-CNN OBB + RoI Transformer with the state-of-the-art algorithms on DOTA-v1.0 [14]. As shown in Tab. 10, Faster R-CNN OBB + RoI Transformer achieves an OBB mAP of 73.76 for DOTA-v1.0, and it outperforms all the previous state-of-the-art except that proposed by Li et al. [25]. Note that the method of Li et al. [25], SCRDet [24] and the image cascade network (ICN) [19] all use multiple scales for training and testing to achieve high performance. 

The method of Li et al. [25] further used rotation data augmentation during training as described in Sec. 6.1.5. When using the same data augmentation, we achieve an mAP of 79.82. It outperforms the method of Li et al. [25] by 3.46 points in OBB mAP and 1.96 points in HBB mAP. In addition, there is a significant improvement in densely packed small instances (e.g., the small vehicles, large vehicles, and ships). For example, the detection performance for the large vehicle category gains an improvement of 12.18 points compared to the previous results.

### 6.3 DOAI 2019 Challenge Results

DOTA-v1.5 has been used to hold the Challenge-2019 on ODAI in conjunction with CVPR 2019 (DOAI 2019)7. There were 173 registrations in total, 13 teams submitted valid results on the OBB Task, and 22 teams submitted valid results on the HBB Task. Finally, team USTC—NELSLIP [85] from University of Science and Technology of China received first place in OBB Task and second place in the HBB Task. The team peco_lab [25] from Nanjing University of Science and Technology received first place in the HBB Task and second place in the OBB Task. We list the top 3 results of the challenge for the OBB and HBB tasks in Tab. 11. The detailed leaderboards for OBB and HBB tasks can be found on the DOAI 2019 website8, including team information such as members, institute and methods used. Note that the top results are an ensemble of different models. However, peco_lab [25] reported one single model and achieved 74.9 in OBB mAP and 77.9 in HBB mAP. Data augmentations such as multi-scale training, testing and rotation training are adopted. Our best model with the same data augmentation is 76.43 in OBB mAP and 77.24 in HBB mAP as shown in


Fig. 9. Visualization of the results on DOTA-v2.0 test-dev. The five models are from the DOTA-v2.0 models in Tab. 6. The predictions with scores above 0.1 are shown. The results illustrate the performance in cases of orientation variations, density variations, large ARs and small ARs.

TABLE 10
State-of-the-art results on DOTA-v1.0 [14]. The short names for categories are defined as: BD–Baseball diamond, GTF–Ground field track, SV–Small vehicle, LV–Large vehicle, TC–Tennis court, BC–Basketball court, SC–Storage tank, SBF–Soccer-ball field, RA–Roundabout, SP–Swimming pool, and HC–Helicopter. FR-O indicates the Faster R-CNN OBB detector, which is the previous official baseline provided by DOTA-v1.0 [14]. ICN [19] is the image cascade network. The LR-O + RT means Light Head R-CNN + RoI Transformer. DR-101-FPN means deformable ResNet-101 with an FPN. SCRDet means small, cluttered and rotated object detector. R-101-SF-MDA means ResNet-101 with sampling fusion network (SF-Net) and multi-dimensional attention network (MDA-Net). RT means RoI Transformer. Aug. means the data augmentation method described in Sec. 6.1.5. FR-O* means the re-implemented Faster R-CNN OBB detector in this paper, which is slightly different from the FR-O [14] in the details.

<table>
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<th>Backbone</th>
<th>Plane</th>
<th>BD</th>
<th>Bridge</th>
<th>GTF</th>
<th>SV</th>
<th>LV</th>
<th>Ship</th>
<th>TC</th>
<th>BC</th>
<th>ST</th>
<th>SBF</th>
<th>RA</th>
<th>Harbor</th>
<th>SP</th>
<th>HC</th>
<th>mAP</th>
</tr>
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<tbody>
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<td>50.30</td>
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<td>47.89</td>
<td>47.40</td>
<td>54.13</td>
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<td>ICN [19]</td>
<td>DR-101-FPN</td>
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<td>74.30</td>
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<td>69.98</td>
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<td>53.64</td>
<td>52.40</td>
<td>46.39</td>
<td>46.17</td>
<td>52.88</td>
<td></td>
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<tr>
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<td>73.68</td>
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Tab. 9. Ours is higher in OBB mAP and comparable in HBB mAP.

7 Conclusion
ODAI is challenging. To advance future research, we introduce a large-scale dataset, DOTA, containing 1,793,658 instances annotated by OBBS. The DOTA statistics show that it can well represent the real world well. Then, we build a code library for both oriented and horizontal ODAI to conduct a comprehensive evaluation. We hope these experiments can act as benchmarks for fair comparisons between ODAI algorithms. The results show that hyperparameter selection and module design of the algorithms (e.g., number
of proposals) for aerial images are very different from those for natural images. It indicates that DOTA can be used as a supplement to natural scene images to facilitate universal object detection.

In the future, we will continue to extend the dataset, host more challenges, and integrate more algorithms for oriented object detection into our code library. We believe that DOTA, challenges and code library will not only promote the development of object detection in Earth vision but also pose interesting algorithmic questions for general object detection in computer vision.

REFERENCES


