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# A review of static and dynamic heliostat wind loads

Matthew Emes<sup>a,\*</sup>, Azadeh Jafari<sup>a</sup>, Andreas Pfahl<sup>b</sup>, Joe Coventry<sup>c</sup>, Maziar Arjomandi<sup>a</sup>

<sup>a</sup> Centre for Energy Technology, School of Mechanical Engineering, The University of Adelaide, SA 5005, Australia

<sup>b</sup> German Aerospace Center (DLR), Institute of Solar Research, Professor-Rehm-Str. 1, 52428 Juelich, Germany

<sup>c</sup> School of Engineering, College of Engineering and Computer Science, Australian National University, Canberra ACT 2601, Australia

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# ABSTRACT

Accurate estimation of the static and dynamic wind loads on heliostats based on detailed measurement and characterisation of turbulence is crucial to avoid structural failure and reduce the cost of the structural heliostat components. Wind load predictions for heliostats are not specified in design standards for buildings because of a heliostat's non-standard shape and the variations of wind velocity and turbulence in the lowest 10 m of the atmospheric boundary layer (ABL). This paper reviews the static and dynamic wind loads on heliostats in the most unfavourable operating and stow positions, with a focus on the aerodynamic effects related to the heliostat structural component geometry, turbulence parameters in the ABL and field spacing. An increased resolution of field-scale wind measurements at heliostat field sites is recommended to fully characterise the ABL turbulence, as the high-intensity gusts over shorter durations at heights below 10 m lead to high-amplitude displacements with larger frequencies than observed in standard building structures. Increased understanding and development of aerodynamic wind load predictions for heliostats, based on their critical scaling parameters and local wind conditions, would increase the accuracy of annual field efficiency models through an improved resolution of operating load data and reduce the capital cost of structural components in power tower plants.

## 1. Introduction

The application of concentrating solar thermal (CST) power tower technology is emerging as a means for industrial process heating and dispatchable renewable electricity production. Thermal energy is collected by a receiver located at the top of a central tower where solar radiation is concentrated by a large field of heliostats through two-axis tracking of the sun. Cumulative installed capacity of power tower plants increased by five times to approximately 6.3 GW and their levelised cost of electricity (LCOE) decreased by 47% to USD \$0.182/kWh between 2010 and 2019 (IRENA 2020). During this time, the capacity factor of deployed commercial-scale power tower plants increased from 30% to 45% through increased power cycle efficiencies operating at high temperatures (Mehos et al. 2017) and increased energy storage capacity from 5 h to 7.7 h at sites with larger direct solar resources (IRENA 2020). According to projections by IRENA, the LCOE will further decrease to USD \$0.07-0.08/kWh for power tower plants commissioned in 2021. One promising opportunity to achieve a reduction in the LCOE is by reducing the heliostat field cost, which contributes approximately 40-50% of the total plant cost (Kolb et al. 2011; Pfahl et al. 2017a). Currently the total cost of industrial scale heliostats is estimated as USD

\$140/m<sup>2</sup> by National Renewable Energy Laboratory (NREL) (Turchi et al. 2019), with the 2030 DOE target set at USD \$50/m<sup>2</sup> (Department of Energy 2017). The most typical heliostat design in the current commercial CST plants, such as the 50 MW Khi Solar One heliostat field in Fig. 1(a), consists of glass mirror facets supported by steel beams and trusses and a T-shaped pedestal and torque tube with azimuth and elevation drives for tracking (Téllez et al. 2014). Techno-economic analysis by Emes et al. (2020a) found that the steel support structure components (Fig. 1b) increased their contribution from 18% to 34% of the total heliostat cost due to increased wind loads with increasing heliostat size from 25 m<sup>2</sup> to 150 m<sup>2</sup>. Furthermore, the total heliostat cost was reduced by 40% and the optimal heliostat size increased from 25 m<sup>2</sup> to 50  $\text{m}^2$  by lowering the stow design wind speed from 20 m/s to 10 m/s (Emes et al. 2015). To achieve the cost reduction targets, innovative designs of the heliostat structural components must be developed to reduce their manufacturing and installation cost (Pfahl 2014a; Pfahl et al. 2017a). This requires a detailed understanding of the flow field aerodynamics for a reliable estimation of the wind loads on heliostats.

Heliostats are exposed to atmospheric wind that imposes unsteady loads on the drives, torque tube, pylon, foundation and mirror trusses. Overestimation of the design wind loads increases the capital cost of a solar plant. The wind-bearing heliostat components are designed for a

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<sup>\*</sup> Corresponding author.

ASurface area of heliostat panel (m²) $L_u^x$ Integral length scale of longitudinal velocity (m)ARAspect ratio (width/height) of heliostat panel =b/c $L_w^x$ Integral length scale of vertical velocity (m)	Nm)
AR Aspect ratio (width/height) of heliostat panel $=b/c$ $L_w^{*}$ Integral length scale of vertical velocity (m)	Nm)
	Nm)
$\alpha_U$ Exponent of power law velocity profile $M_{Hy}$ Hinge moment about elevation axis of heliostat (	
$\alpha$ Elevation angle of heliostat panel (°) $M_y$ Overturning moment about base of heliostat peder	stal (Nm)
$\beta$ Angle of attack of wind with respect to heliostat (°) $M_z$ Azimuth moment about vertical axis of heliostat	(Nm)
b Width of heliostat panel (m) $\rho$ Density of air (kg/m <sup>3</sup> )	
c Chord length of heliostat panel (m) p Differential pressure between upper and lower sur	face (Pa)
$c_{Fi}$ Coefficient of force $F_i$ where $i = x, z$ $r$ Displacement (mm)	
$c_{Mi}$ Coefficient of moment $M_i$ where $i = Hy, y, z$ $S_{uu}$ Longitudinal velocity spectrum (m <sup>2</sup> /s)	
$\delta$ Atmospheric boundary layer depth (m) $S_{ww}$ Vertical velocity spectrum (m <sup>2</sup> /s)	
$\delta_{ASL}$ Atmospheric surface layer depth (m) $\theta$ Mean potential temperature (°C)	
$d_f$ Foundation pile depth (m) $U_H$ Mean velocity at elevation axis height of heliosta	t (m/s)
$F_x$ Drag force on heliostat (N) $U_{\infty}$ Freestream velocity in the ABL (m/s)	
$F_z$ Lift force on heliostat (N) $u_r$ Friction velocity (m/s)	
f Frequency (Hz) x Longitudinal/streamwise direction (m)	
$G_u$ Gust factor of wind velocity y Lateral/spanwise direction (m)	
H Elevation axis height of heliostat (m) z Height (m)	
$I_u$ Turbulence intensity of longitudinal velocity component $z_0$ Logarithmic velocity profile surface roughness he	ight (m)
$I_w$ Turbulence intensity of vertical velocity component	-
k Von Karman's constant	

serviceability condition with stiffness to minimise local deformations of the mirror surface during operation at different elevation angles ( $\alpha$  >  $0^{\circ}$ ), and a survivability condition with strength against the maximum loads during high-wind events (e.g. gust front, storm) when the heliostat surface is aligned horizontally ( $\alpha = 0^{\circ}$ ) in the stow position. The aerodynamics of these two conditions vary significantly: operating heliostats are characterised by bluff body features including maximum drag forces with increasing surface area with respect to the approaching wind and vortex shedding from the sharp edges of rectangular heliostat mirrors. Stowed heliostats are characterised by slender streamlined body features including maximum lift forces in a highly turbulent flow generated by upstream roughness in the atmospheric boundary layer (ABL). Furthermore, the dynamic wind loads induced by coupling between the temporal variations of the wind loads and the dynamic properties of the heliostat structure, lead to oscillations of the heliostat surface that impacts the tracking (mirror orientation) accuracy and optical performance of the heliostat field.

Evaluation of the maximum wind loads at the appropriate temporal resolution is essential for the cost-effective design of heliostats, since a wide range of sizes and structural designs is currently deployed in the CST industry. Historically, design wind loads on industrial-scale heliostats incorporated aerodynamic coefficients using scaled models of the heliostats in boundary layer wind tunnel experiments. The nondimensional aerodynamic coefficients for the drag and lift forces on the heliostat surface, and the bending moments about the elevation axis, vertical axis and base of the pylon, were applied following benchmark wind tunnel studies by Peterka et al. on isolated heliostats. Peterka and Derickson (1992) measured the mean and peak wind load coefficients in a simulated ABL with a turbulence intensity  $I_u = \sigma_u / \overline{U}_H = 18\%$ , denoted as the root-mean-square of the longitudinal velocity component to the mean wind speed at the elevation axis height H of a square-facet heliostat model (c = 0.27 m, H = 0.13 m). The forces and moments were calculated using high-frequency base force balance measurements on the heliostat model (Peterka et al. 1988; Peterka et al. 1989). The maximum aerodynamic load coefficients on a scaled model heliostat (Peterka et al. 1988; Peterka et al. 1989; Peterka and Derickson 1992) were reported in the simulated ABL representing an open country terrain  $(z_0 = 0.03 \text{ m})$  with  $I_u = 18\%$  and  $G_u = 1.6$  at the heliostat elevation axis height. It has been widely acknowledged that the aerodynamic coefficients in this benchmark study were reported for a single case, whereas the mean wind speed and turbulence intensity profiles of the ABL approaching the heliostat vary significantly with height and surface roughness. The unsteady pressure distribution on the mirror panel due to turbulence in the wind imposes highly fluctuating moments, which can create maximum loads on the heliostat pedestal, foundation and drives. Assessment of the dynamic response of the heliostats under



Fig. 1. Photographs of (a) the 50 MW Khi Solar One heliostat field (Abengoa Solar 2016), and (b) structural heliostat components of the Abengoa Solar heliostat. Adapted from Advisian Worley Group (2021).

unsteady wind loads is necessary for preventing structural failure due to resonance and buffeting (Pfahl et al. 2017a), which may result from the convergence of the dominant frequency of the wind fluctuations to the natural frequency of heliostat structures in the typical range of 1.6-3 Hz (Gong et al., 2012; Griffith et al., 2015; Vásquez-Arango et al., 2015). Deformations and displacements of the heliostat structural elements caused by unsteady pressure distributions and dynamic amplification of peak wind loads impacts the ability of heliostats to minimise tracking error and spillage losses of solar radiation at the receiver (Arbes et al., 2017; Blume et al., 2020), and to withstand strong wind gusts in the stow position at high wind speeds (Emes et al. 2017; Vasquez Arango et al. 2017; Emes et al. 2018; Pfahl 2018; Jafari et al. 2019a). Numerical methods, such as Large Eddy Simulation (LES), are generally associated with large computational effort and uncertainties to model the fluctuating wind loads due to ABL turbulence and the transient response characteristics of heliostat structures. RANS methods would be less extensive but are not suitable to simulate the upstream turbulence structures. Hence, experimental data through wind tunnel and field measurements of the ABL turbulence characteristics are usually obtained in the design of a heliostat field, for the assessment of operational performance models and feasibility analyses of power tower systems.

Heliostats in operating positions act as bluff bodies within the ABL, where the interaction of their wakes with the incoming highly turbulent flow results in the aerodynamics of multiple heliostats varying significantly from a single body. The vortices shed by an upstream heliostat or the tower can create vibrations and unsteady loads, due to the fluctuating turbulence component of wind velocity, on the downstream infield heliostats positioned in the intermediate wake. Due to a blocking effect caused by upstream heliostats, wind tunnel measurements on an array of heliostats in multiple rows reveal that reducing the distance between heliostats decreases the time-averaged loads on the heliostats in the inner rows (Peterka et al. 1986). Peterka et al. (1987) In comparison to a heliostat in the first row, the mean drag force and hinge moment coefficients on an instrumented heliostat in the fourth row of a four-row array with low and high field densities were decreased by 10% to 50%. In comparison to a heliostat in the first row, the peak drag force on the heliostat in the fourth row increased by 40% (Peterka et al. 1987). Hence, the distance between heliostat rows and the layout of heliostat rows in a field impact the mean and peak wind loads on heliostats differently throughout a field.

This paper presents a review of the literature on the wind loads and aerodynamics of heliostats, with the aim to highlight the key parameters that impact the accuracy of wind load predictions in the design and development of industrial-scale azimuth-elevation heliostats. A solid understanding of the wind loads is a major driver to reduce the structural cost of the heliostat field, without compromising the field efficiency and power tower plant performance. Section 2 discusses the temporal and spatial distributions of turbulence, including the state-ofthe-art experimental modelling techniques for simulation of the ABL in a wind tunnel and the similarity requirements for heliostat wind load measurements over the range of surface roughness at different field sites. Section 3 describes the conventional coordinate system of an azimuthelevation heliostat and discusses the effect of the geometry of a heliostat concentrator and its supporting structure components on the wind loads. Field experiment investigations focusing on the dynamic wind load effects on heliostat vibration and tracking error due to the distribution of surface pressures and wind-induced oscillations are outlined in Section 4, followed by a discussion of the wind loads in a heliostat array representing a section of field and the flow around multiple heliostats in Section 5. The key aspects of the literature that are critical to the development of wind load design guidelines for heliostats and future research opportunities for wind load reduction are discussed in Section 6.

#### 2. Atmospheric boundary layer modelling

The atmospheric boundary layer (ABL) is the lowest 1-2 km of the troposphere, where the mechanical properties of the wind are directly influenced by the Earth's surface (Stull 1988). The lower 100 m of the ABL, where heliostats and other physical structures including buildings and bridges are positioned, is known as the atmospheric surface layer (ASL). Surface friction and vertical temperature gradient are two important parameters that influence the wind structure in the ASL (Kaimal and Finnigan 1994). Turbulence in the ASL during near-neutral stability conditions relevant to heliostat design wind speeds is mechanically generated by shear from the terrain surface roughness, with a negligible impact of the mean potential temperature gradient  $\partial \theta / \partial z =$ 0 and the net vertical heat flux  $\overline{w'\theta'} = 0$  (Stull 2005). The wind velocity profile in a neutral boundary layer is conventionally modelled as a logarithmic profile in wind engineering applications, such as the ultimate design wind loads on heliostats at high wind speeds during storms and gust fronts.

#### 2.1. Effect of surface roughness on wind speed and turbulence profiles

The aerodynamic surface roughness determines the velocity and turbulence characteristics over a terrain, based on the height and surface roughness (Simiu and Scanlan 1996). Wind speed is commonly decomposed into a time-averaged mean component and a fluctuating turbulent component. The mean velocity profile in the ABL has been modelled to various degrees of accuracy by the logarithmic law and power law (Kaimal and Finnigan 1994; Xu 2013), respectively:

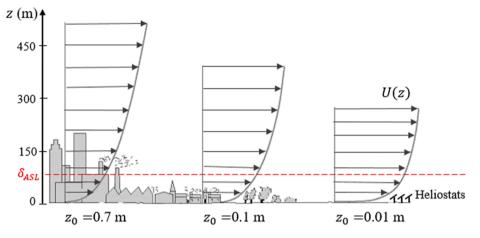
$$U(z) = \frac{u_{\rm r}}{k} \ln\left(\frac{z}{z_0}\right) \tag{1}$$

$$U(z) = U_{\infty} \left(\frac{z}{\delta}\right)^{a_U}$$
(2)

where  $U_{\infty}$  (m/s) is the freestream wind speed,  $\delta$  (m) is the boundary layer depth,  $u_r$  is the friction velocity,  $\kappa$  is von Karman's constant equal to 0.4,  $z_0$  is the aerodynamic surface roughness height, and  $a_U$  is the power law exponent that characterises the level of surface roughness. The depth  $\delta$  of the neutrally stratified ABL can vary between a few hundred metres to several kilometres, depending on the surface roughness of the terrain (Xu 2013). Typical values of  $z_0$  for different terrains are shown in Fig. 2, varying in scale from millimetres in a very flat terrain (e.g. desert) to metres in an urban terrain. The zero-plane displacement is negligible for small surface roughness lengths, such as flat and open-country terrains (Cook 1985), where heliostats are usually located. With increased surface roughness and at lower heights in the ASL, the gradient of the velocity profile increases. Hence, more gusty wind conditions occur due to the increasing fluctuating wind speed component due to turbulence close to the surface.

The power law has been shown to be suitable for modelling the mean velocity profile at heights around 30–300 m, and thus it is most widely used for study of wind loads on tall buildings and other large civil structures (Xu 2013). Initially derived from the turbulent boundary layer on a flat plate, the logarithmic law has been demonstrated to be most suitable for modelling the mean velocity profile at heights below 100 m, representing the average depth  $\delta_{ASL}$  of the atmospheric surface layer (ASL) (Cook 1997; Li et al. 2010; Sun et al. 2014). The logarithmic law provides an accurate velocity profile independent of atmospheric stability for heights below 10 m very close to the ground (Kaimal and Finnigan 1994), and is therefore appropriate for modelling the mean velocity profile for study of wind loads on heliostats.

Statistical parameters of turbulence in the ABL are typically used to determine the wind velocity fluctuations. Turbulence intensity is representative of the amplitude of velocity fluctuations compared to the mean velocity, defined as:

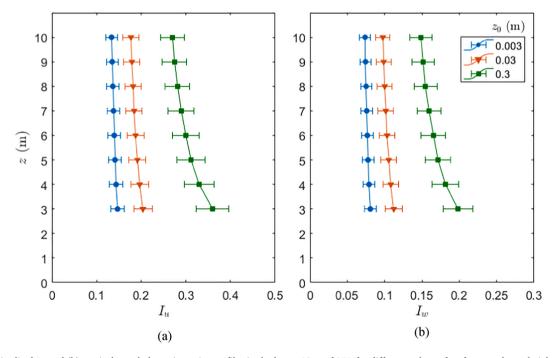


**Fig. 2.** Effect of surface roughness on wind velocity profiles in the atmospheric boundary layer. Adapted from Gilooly and Taylor-Power (2016).

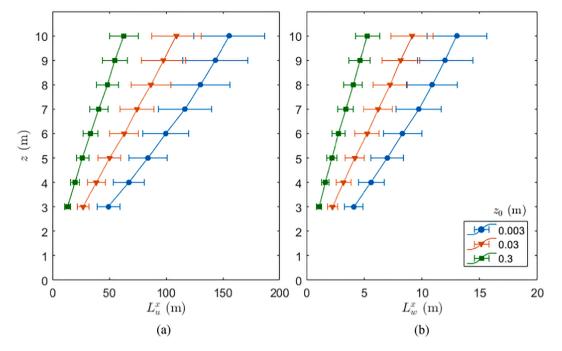
$$I_i = \frac{\sigma_i}{U} \tag{3}$$

where  $\sigma_i$  is the standard deviation of the velocity component i = u, v, *w* in the longitudinal, lateral, and vertical directions, respectively. Turbulence in the lowest 10 m of the ASL is anisotropic and the intensity of the turbulent fluctuations is the largest in the streamwise direction. Fig. 3 shows the dependence of the longitudinal  $(I_{u})$  and vertical  $(I_{w})$ turbulence intensity on the height z from the ground, and the aerodynamic surface roughness height  $z_0$  defined in the logarithmic velocity profile in equation 1. The profiles of turbulence intensity are genertaed from semi-empirical data in ESDU 85020 (2001) for U = 20 m/s at z =10 m, with an estimated uncertainty of  $\pm$  10% within the full-scale ABL with uniform terrain roughness for an upwind fetch distance of 30 km. The level of surface roughness impacts the magnitude and gradient of  $I_{u}$ , where the intermediate "open country" terrain ( $z_0 \approx 0.01$ –0.05 m) is commonly defined in wind engineering study of buildings and heliostats. For instance, in Fig. 3(a) at z = 6 m that approximates the hinge height of a 120 m<sup>2</sup> heliostat,  $I_{\mu}$  increases from 0.14 in a very flat terrain  $(z_0 = 0.003 \text{ m})$  to 0.3 in a suburban terrain  $(z_0 = 0.3 \text{ m})$ . According to the empirical relationships in ESDU 85020 (2001) derived from atmospheric data,  $\sigma_v/\sigma_u$  and  $\sigma_w/\sigma_u$  in the ASL are approximately equal to 0.78 and 0.55 at lower heights where  $z \ll \delta$ . The average depth  $\delta$  of the atmospheric boundary layer during neutral stability conditions is typically between 450 m and 600 m, depending on the terrain roughness (Counihan 1975; Xu 2013). Hence, the vertical turbulence intensities in Fig. 3 (b) follow a similar trend and are approximately half the magnitude of the longitudinal turbulence intensities.

Turbulence in the atmospheric flow is dependent on the features of the terrain and varies based on the site of different heliostat fields. With increasing height from the ground, turbulence intensity decreases in Fig. 3 while the integral length scale of turbulence increases in Fig. 4 (ESDU 85020 2001). The integral length scale of turbulence represents the average size of the energy-containing eddies within a turbulent boundary layer (Emes et al., 2019c). Therefore, based on the height of the heliostats from the ground and the terrain surrounding the heliostat field, the turbulence intensities and length scales show a very large



**Fig. 3.** (a) Longitudinal  $I_u$ , and (b) vertical $I_w$  turbulence intensity profiles in the lower 10 m of ABL for different values of surface roughness height  $z_0$  (ESDU 85020 2001). Error bars indicate  $\pm$  10% uncertainty of turbulence intensity for equilibrium conditions in the neutral ASL with U = 20 m/s.



**Fig. 4.** (a) Longitudinal and (b) vertical integral length scales of turbulence as a function of height *z* and surface roughness height  $z_0$  (ESDU 85020 2001). Error bars indicate  $\pm$  20% uncertainty of integral length scales for equilibrium conditions in the neutral ASL with U = 20 m/s.

variation in the lowest 10 m of the ASL. Commercial-scale heliostats are manufactured with hinge heights in a typical range between 3 m and 6 m. ESDU 85020 (2001) predicts the longitudinal integral length scale  $L_u^x$ in Fig. 4(a) to range from 27 m to 63 m in an open country terrain ( $z_0 =$ 0.03 m) and from 50 m to 100 m in a very flat terrain ( $z_0 = 0.003$  m) with increasing z from 3 m to 6 m. The average longitudinal extent of the energetic eddies is therefore typically the same order as the chord length of the heliostat and up to an order of magnitude larger. Eddies that are similar in size to the heliostat panel characteristic length are presumably responsible for the peak wind loads on heliostats in stow position, as turbulence length scales that are comparable with the length scale of the structure create a well correlated pressure distribution on the structure (Mendis et al. 2007). This is because smaller eddies do not cause high net pressures that are correlated over the heliostat surface, whereas considerably larger eddies have significantly lower vertical velocity fluctuations at the elevation axis height of the heliostat (Pfahl et al. 2015). Furthermore, the vertical component of the fluctuating velocity, defined by the vertical integral length scales  $L_w^x$  in Fig. 4(b), increases from 2.2 m to 5.3 m in an open country terrain ( $z_0 = 0.03$  m) and from 4.1 m to 8.3 m in a very flat terrain ( $z_0 = 0.003$  m) with increasing hinge height from 3 m to 6 m. The integral length scales of the vertical velocity component are similar in magnitude to the heliostat chord length, which impacts the surface pressure distribution and the maximum hinge moment on a heliostat in stow position. The interaction of the energetic eddies with similar sizes to the heliostat (i.e.  $L_w^x/c \approx 1$ ) are therefore speculated to be responsible for dynamic effects observed in the field, such as aeroelastic flutter and fatigue loads on heliostats.

#### 2.2. Scaling of heliostat models and turbulence spectra

The mismatch of scaling ratios, between the ABL thickness and chord length of the heliostat, is an important consideration in wind tunnel modelling of heliostats due to their small dimensions compared to the ABL. It is possible to model heliostats with the same scaling ratio as the ABL, due to the due to technological constraints in modelling the structural details and measurement of the pressure and forces on a heliostat model. Therefore, heliostats are usually modelled using higher scaling ratios. between 1:10 to 1:50. This results in violated similarity of the Reynolds number and the turbulence spectra between wind tunnel experiments and the full-scale condition. The impact of Reynolds number similarity can be overcome on sharp-edged models at Reynolds numbers above 50,000 (Tieleman 2003). This has been demonstrated by the independence of aerodynamic coefficients of heliostats with Reynolds number at freestream velocities between 5 m/s and 35 m/s (Pfahl and Uhlemann 2011b). However, the turbulence fluctuations and their spectral distribution with the wide range of frequencies in the ABL affect the wind loads significantly (Jafari et al. 2019b).

Fig. 5 schematically presents the range of dimensions of a model heliostat in three sets of wind tunnel experiments studies (Peterka et al. 1989; Pfahl et al. 2011a; Emes et al. 2017) and compares the geometric scaling of a full-scale heliostat and ABL with their respective models in a wind tunnel. These studies measured wind loads, expressed as aero-dynamic coefficients of drag,  $c_{Fx}$ , and lift,  $c_{Fz}$ , forces, and the moments induced at the hinge,  $c_{MHy}$ , the foundation,  $c_{My}$  and the vertical azimuth axis,  $c_{Mz}$ , as shown in Fig. 5(b) on heliostat models in stow position and inclined at different elevation angles ( $\alpha$ ) in operating positions:

$$c_{Fx} = \frac{F_x}{1/2\rho U_H^2 A} \tag{4}$$

$$c_{Fz} = \frac{F_z}{1/2\rho U_H^2 A} \tag{5}$$

$$c_{MHy} = \frac{M_{Hy}}{1/2\rho U_H^2 A c} \tag{6}$$

$$c_{My} = \frac{M_y}{1/2\rho U_H^2 A H} \tag{7}$$

$$c_{Mz} = \frac{M_z}{1/2\rho U_H^2 A c} \tag{8}$$

where *c* is the heliostat chord length in the longitudinal (windward) direction, *H* is the elevation axis (hinge) height, and  $U_H$  is the time-averaged wind speed at the height of heliostat elevation axis.

Standard practice in scale-model simulations determines the geometric scaling ratio of a heliostat model considering the effects of both terrain and height, and the spectrum of the simulated boundary layer in

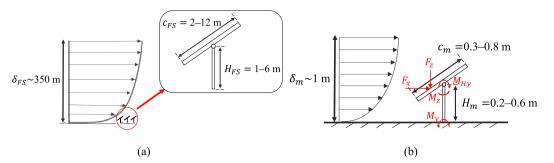


Fig. 5. A schematic showing the dimensions of: (a) a full-scale heliostat placed in ABL, (b) a model heliostat in a wind tunnel boundary layer, and the forces and moments on the heliostat model. The dimensions of the model heliostat are based on the studies in the literature (Peterka et al. 1989; Pfahl et al. 2011a; Emes et al. 2017). The boundary layer thickness is  $\delta$ , *c* defines the chord length of the heliostat mirror panel and *H* is the heliostat hinge height. The subscripts *FS* and *m* represent full scale and model scale, respectively.

a wind tunnel (Cook 1978). Heliostat models were positioned in a simulated boundary layer with the mean velocity profile and turbulence intensity matched to the ABL in an open-country terrain in wind tunnel tests. Peterka et al. (1989) tested a heliostat model at a scale of 1:40 in the Meteorological Wind Tunnel of the Fluid Dynamics and Diffusion Laboratory at Colorado State University. The boundary layer thickness in their wind tunnel simulation was about 1 m, which compared to the average ABL thickness in open terrains suggests a scaling factor of 1:350 for the ABL. The same scaling challenge was evident for the German Aerospace Center (DLR) experiments by Pfahl et al. (2011a), where the heliostat model was at a 1:20 scale. A similar heliostat model scaling ratio, which was considerably larger than the ABL scaling ratio of approximately 1:100, was used in the University of Adelaide large-scale wind tunnel by Emes et al. (2019a). The difference in scaling ratios is speculated to have led to variations in the reported wind load coefficients for the maximum operational and stow heliostat configurations at different elevation angles ( $\alpha$ ) with respect to the horizontal in Table 1. This raises uncertainty of the accuracy of the wind load measurements. The similarity of wind tunnel experiment simulations for the evaluation of heliostat wind loads can be verified by an instrumented full-scale heliostat prototype at a field site, however such data has been scarcely reported in the literature (Jafari et al. 2019b).

The power spectral density function of the wind speed provides critical information about the scales of energy-containing turbulent eddies, which is necessary for evaluation of unsteady wind loads on structures. The non-dimensional power spectral density of the velocity fluctuations compares the distribution of turbulence energy in the wind tunnel boundary layer with that predicted by ESDU 85020 (2001) in the ASL through a modified form of the von Kármán (1948) model:

$$\frac{fS_{uu}}{\sigma_u^2} = \frac{4n_u}{\left(1 + 70.8n_u^2\right)^{5/6}} \tag{1}$$

$$\frac{fS_{ww}}{\sigma_w^2} = \frac{n_w (1+755.2n_w^2)}{(1+283.2n_w^2)^{11/6}}$$
(2)

where  $S_{uu}$  and  $S_{ww}$  are the power spectral density functions of the fluctuating streamwise and vertical velocity components, respectively, and  $\sigma_u^2$  and  $\sigma_w^2$  are the streamwise and vertical velocity variances. The non-dimensional frequency is defined as  $n_i = fL_i^x/U$ , where  $L_w^2$  and  $L_w^2$  are

the integral length scales of the longitudinal and vertical velocity components, respectively. These represent the average size of eddies corresponding to the peak of the turbulence spectrum, which can be determined semi-empirically from the peak spectral frequency, or from the auto-correlation of the fluctuating velocity component (Farell and Iyengar 1999).

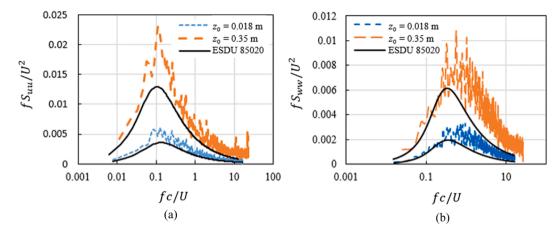
Fig. 6(a) shows a noticeable shift of the longitudinal power spectra to higher energy levels with increasing turbulence intensity at a height of 0.3 m within two different wind tunnel boundary layers (Jafari et al. 2019a). The shift in the spectral peak to smaller length scales by matching the turbulence intensity also indicates that the low-frequency part of the spectra cannot be reproduced, as due to the wind tunnel's restricted cross-section and length, the generation of turbulent eddies is limited. (Peterka et al. 1998; Iyengar and Farell 2001; Banks 2011; Kozmar 2012; De Paepe et al. 2016; Leitch et al. 2016). A similar trend is shown for the vertical turbulence spectra in Fig. 6(b), with a shift to higher frequencies. Pfahl et al. (2015) suggested that reproducing the vertical power spectrum is important for evaluating the peak wind loads on a stowed heliostat, because of the linear relationship found by Rasmussen et al. (2010) between the vertical spectra and the lift forces and hinge moments on a horizontal flat plate exposed to small vertical turbulence  $I_w \leq 10\%$ . Jafari et al. (2019b) found that turbulent length scales of the same order as the heliostat's chord (windward) length and an order of magnitude larger, corresponding to a range of reduced frequencies, 0.1 < fc/U < 1, effectively contribute to the unsteady wind loads. Hence, it was proposed by Jafari et al. (2019b) that this range of reduced frequencies of the turbulence spectra should be carefully simulated in wind tunnel studies in order to reduce the scaling impact on the measured peak wind loads and provide accurate wind load predictions on the full-scale structure.

The discrepancies between wind tunnel and atmospheric turbulence spectra bring into question the reliability of wind load measurements and whether they correspond to the wind loads on full-scale heliostats. However, the formation of turbulent eddies in a wind tunnel is restricted by the tunnel's limiting dimensions, therefore the integral length scales in the full-scale ABL cannot be replicated (Jafari et al. 2019a). The integral length scales of the vertical velocity component increase with height from the ground in wind tunnel and full-scale measurements. In contrast, the longitudinal length scales are larger near the surface in a

Table 1

Comparison of peak operational and stow wind load coefficients reported in the literature.

Wind tunnel experiment	Operation				$\mathrm{Stow} lpha = 0^\circ$				$I_u(\%)$
	$\alpha = 90^{\circ}c_{Fx}$	$lpha=30^\circ c_{Fx}$	$\alpha = 90^{\circ}c_{My}$	$lpha=30^\circ c_{MHy}$	C <sub>Fx</sub>	$c_{Fz}$	c <sub>My</sub>	с <sub>мну</sub>	
Peterka et al. (1989) Pfahl et al. (2011a), Pfahl et al. (2015) Emes et al. (2019a)	4 3.3 2.25	2.8 2.1 1.89	4.35 3.2 2.29	0.6 0.55 0.21	0.9 0.43 0.39	0.6 0.38 0.49	1 0.53 0.43	0.2 0.18 0.13	18 18 13



**Fig. 6.** Comparison of wind tunnel measurements in two simulated ABLs (Jafari et al. 2019a) with the modified von Karman form (ESDU 85020 2001) of nondimensional turbulence spectra of the (a) longitudinal fluctuating component of wind speed *u*, (b) vertical fluctuating component of wind speed *w*. Simultaneous matching of both the longitudinal and vertical spectra in the critical range of reduced frequencies cannot be achieved in scaled model wind tunnel experiments. Similarity of turbulence spectra should be applied to the velocity component that contributes to the unsteady wind loads on the heliostat configuration being investigated.

wind tunnel boundary layer, where an increased base width of the spires generates large vortices through separation. Regardless of the different mechanisms that create turbulence in the wind tunnel and the lowest 20 m of the full-scale ABL, the increase in  $L_{u}^{x}$  and the decrease in  $L_{w}^{x}$  is also seen in the ASL's lower regions due to blocking of the vertical velocity component near the ground (Jafari et al. 2019a). Pfahl (2018) concluded that matching the vertical turbulence intensity with full-scale standard data, despite a shift of the streamwise turbulence spectrum to higher frequencies in wind tunnel experiments, was appropriate for determining the lift force and hinge moment measurements on a model-scale stowed heliostat. Hence, the geometric scaling ratio of a heliostat model should be determined according to the turbulence spectrum for the corresponding full-scale structure, considering the effects of both terrain and height, and the spectrum of the simulated boundary layer in a wind tunnel. The geometric scaling ratios for modelling a prototype heliostat in an open-country terrain were determined as an example by Jafari et al. (2019b). It was found that similarity of the streamwise velocity spectrum is required to model the unsteady drag force on a vertical heliostat at  $\alpha = 90^{\circ}$  and a 1:20 scale model with larger dimensions showed the closest match to the modified von Karman spectrum (ESDU 85020 2001). In contrast, accurate measurement of the unsteady lift force on a stowed heliostat requires similarity of the vertical turbulence spectrum, which showed the closest match to the von Karman spectrum (ESDU 85020 2001) for a 1:60 model with smaller dimensions. The relative contribution of the longitudinal and vertical components of turbulence, for a stowed heliostat and over the range of heliostat operating conditions, should be further verified through wind tunnel and full-scale measurements. Since the unsteady longitudinal and vertical turbulence components are not generated independently using spires and roughness elements, this would require investigation of active methods of turbulence generation. Analysis of wind loads on full-scale heliostats with respect to the incoming wind turbulence measured simultaneously can also verify the scaling effects observed in wind tunnel experiments to provide a more reliable estimation of wind loads.

#### 2.3. Effect of turbulence intensity and length scales on peak wind loads

The impact of turbulence on heliostat wind loads has been widely investigated through systematic wind tunnel experiments in the literature. Further to the variation of the time-averaged component of the wind speed with height and surface roughness in the ABL for the determination of design wind speeds on heliostats, the temporal characteristics are defined by the intensity of the velocity fluctuations and the spatial variations are characterised by the integral length scale of

turbulent eddies. Turbulence intensity in the approaching flow is a commonly reported parameter that affects the wind loads on operating and stowed heliostats. Peterka et al. (1989) studied the mean and peak wind loads on a heliostat at different elevation angles in simulated boundary layers at  $I_u = 14\%$  and  $I_u = 18\%$ . It was found that with increasing  $I_{u}$ , the peak lift and drag force coefficients increased for all elevation angles,  $\alpha$ , of the heliostat panel with respect to the horizontal, with best-fit curves shown by the dashed lines in Fig. 7. The maximum drag force coefficient at  $\alpha = 90^{\circ}$  increased from 3 to 4, and the peak lift force coefficient at  $\alpha = 30^{\circ}$  increased from 1.7 to 2.7 by increasing  $I_u$  at the heliostat hinge height from 14% to 18%. Furthermore, according to Peterka et al. (1987), the peak lift force coefficient on a heliostat at stow increased from 0.5 to 0.9 when  $I_{\mu}$  increased from 14% to 18%. Peterka et al. (1989) discussed that the reason for the increase in the wind loads was not found in their experiments but it was likely to be was linked to the interaction of turbulence and separated shear layers near the plate's edge.

Emes et al. (2019a) further investigated the effect of turbulence intensity on the peak aerodynamic hinge and overturning moment coefficients on a single heliostat model, through an extension of turbulent ABLs simulated in previous wind tunnel experiment studies by Peterka et al. (1989) and Pfahl et al. (2015). The percentages in the legend of Fig. 8 indicate the longitudinal turbulence intensity at the hinge height of the heliostat model for open terrains of a range of roughness heights. Increased intensity of turbulence of the approaching ABL flow directly correlated to increases in the peak moment coefficients. The quasisteady peak values of the force and moment coefficients are determined as the sum of the mean and three-times the standard deviation of the fluctuating moment, with a 99.7% probability of not being exceeded following a Gaussian distribution (Simiu and Scanlan 1996). As reported by Peterka and Derickson (1992), there is an approximately linear increase of the peak coefficients with increasing turbulence intensity at  $I_u \ge 10\%$ . The difference between the scaling factors of the model-scale ABL and heliostat in wind tunnel experiments with respect to their fullscale counterparts led to variations in the peak wind load coefficients. The relative sizes of the heliostat chord length and the energycontaining eddies is another important factor influencing the range of frequencies that contribute to the generation of fluctuating loads.

Due to the anisotropic nature of atmospheric turbulence and depending on the orientation of the heliostat panel, both streamwise and vertical turbulence parameters can be of significance for the wind loads. While in the previous experiments by Peterka et al. (1989) and Emes et al. (2017), all components of turbulence intensity varied during the experiments, the observed effects on the wind load coefficients were

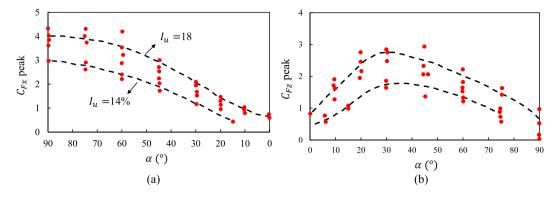
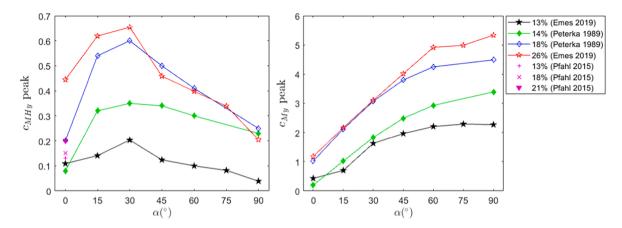
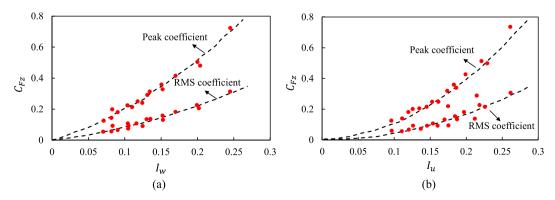


Fig. 7. (a) Peak drag force and (b) lift force coefficients on a heliostat at different elevation angles,  $\alpha$ , at $I_u = 14\%$  and  $I_u = 18\%$ . Reproduced from Peterka et al. (1989).



**Fig. 8.** Effect of turbulence intensity  $I_u$  (%) and elevation angle  $\alpha$  of a heliostat in wind tunnel experiments (Peterka et al. 1989; Pfahl et al. 2015; Emes et al. 2019a) on: (a) peak hinge moment coefficient, and (b) peak overturning moment coefficient.

only correlated with longitudinal turbulence intensity and the variations of vertical turbulence components were not differentiated. Pfahl (2018) proposed that at stow position, vertical velocity is more decisive for the pressure forces on the panel as it acts normal to it and therefore the lift force coefficient on a stowed heliostat was suggested to be more closely correlated with vertical turbulence intensity,  $I_w$ . The lift force on a stowed heliostat model in a simulated boundary layer was measured in a series of tests, where  $I_u$  and  $I_w$  varied in the wake of cylinders of different diameters. Fig. 9 shows the peak and root mean square (RMS) lift force coefficients as a function of  $I_u$  and  $I_w$ . Pfahl (2018) discussed that the curve-fitted coefficients showed a better match as a function of  $I_w$ , and therefore,  $I_w$  has a stronger effect on the lift force than  $I_u$ . This conclusion was drawn from comparison of the lift force coefficients for two cases in the cylinder wake with a heliostat model in a simulated boundary layer with  $I_w = 10\%$  was identical. However, the turbulence in the wake of a cylinder is dominated by quasi-static vortex shedding with different vertical turbulence profiles and spectral properties than in the ABL. Pfahl (2018) suggested that the lift force and hinge moment coefficients in stow position were largely dependent on the vertical turbulence intensity compared with dissimilarities of the turbulence spectra. Despite changes in the shape of the spectra affecting the pressure distribution, it was found that the differences in strength and width of the high-pressure suction region near the heliostat mirror panel's edge compensate each other regarding these wind load coefficients.



**Fig. 9.** Effect of turbulence intensity on peak and RMS lift force coefficients on a stowed heliostat for: (a) longitudinal turbulence intensity,  $I_u$ , (b) vertical turbulence intensity,  $I_w$ . Reproduced from Pfahl (2018).

Another important parameter which influences the wind loads is the integral length scale of turbulence in the boundary layer. The ratio of the integral length scale to the heliostat chord length was found to impact the wind loads on a heliostat at stow position. Emes et al. (2017) studied the effect of changes in  $L_{\mu}^{x}/c$  by measuring the lift force on stowed heliostat models of different chord length dimensions in a modelled atmospheric boundary layer. They found that the peak lift force coefficient increased with increasing  $L_{\mu}^{x}/c$ , however both  $L_{\mu}^{x}/c$  and  $L_{\mu}^{x}/c$  varied simultaneously by changing the chord length dimensions of the heliostat. By stowing a fixed heliostat size with constant *c* at different heights in a simulated ABL, Jafari et al. (2019a) showed that the peak lift coefficient was more strongly correlated with  $L_w^x/c$  than  $L_u^x/c$ . As shown in Fig. 10, the peak lift coefficient increased by 65% when  $L_w^x/c$  increased from 0.3 to 0.5 at a constant  $L_{\mu}^{x}/c = 1$ . In comparison, only a 10% reduction in the lift coefficient was observed with increasing  $L_u^x/c$  from 1 to 1.15 at a constant  $L_w^x/c = 0.5$ . Hence, this demonstrates that the vertical component of the fluctuating velocity makes a larger contribution to the generation of the lift force on a stowed heliostat. The relative influence of the longitudinal and vertical turbulence components on the heliostat wind loads at intermediate elevation angles, such as the maximum operating lift force and hinge moment at  $\alpha = 30^{\circ}$ , should be considered in future investigations.

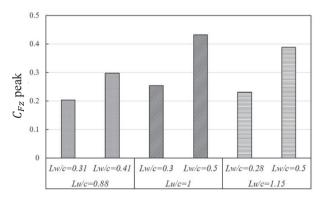
The combined effects of intensity and integral length scales of turbulence on the aerodynamic load coefficients were studied by measurement of the unsteady wind loads on vertical ( $\alpha = 90^{\circ}$ ) and stowed ( $\alpha = 0^{\circ}$ ) heliostats in two simulated ABLs by Jafari et al. (2018) and Jafari et al. (2019a), respectively. Heliostat models of different chord length dimensions between 0.3 m and 0.8 m at a fixed height H = 0.5 m were tested for the maximum drag case on the vertical heliostat. Three chord length dimensions (c = 0.5, 0.6, 0.7 m) with H/c ratios between 0.2 and 1.3 were tested for the maximum lift case on the stowed heliostat. The peak drag force coefficient on a vertical heliostat (Fig. 11a) followed a logarithmic function of the longitudinal turbulence intensity and longitudinal integral length scale:

$$c_{F_{x}} = 1.05 \ln \left[ I_{u} \left( \frac{L_{u}^{x}}{c} \right)^{0.48} \right] + 4$$
(9)

In contrast, the peak lift force on a heliostat at stow position (Fig. 11b) was shown to correlate with a logarithmic function of the vertical turbulence intensity and length scale:

$$c_{Fz} = 0.267 \ln \left[ I_w \left( \frac{L_w^x}{c} \right)^{2.4} \right] + 1.566$$
(10)

The turbulence parameters in equations 9–10 describe the spatial and temporal release of turbulence energy and their effect on the fluctuating load coefficients. The larger exponent of 2.4 in the logarithmic



**Fig. 10.** Comparison of peak lift force coefficients in stow position for similar values of  $L_u^x/c$  and different values of  $L_w^x/c$  in the ABL with  $z_0 = 0.018$  m (Jafari et al. 2019a).

function in equation 10 shows a larger sensitivity of the peak lift force coefficient to  $L_w^x/c$  than to  $I_w$ . As a result, the influence of the vertical velocity turbulent energy's spatial distribution on the lift force on a stowed heliostat is greater than the vertical velocity turbulent energy's temporal release. In contrast, the smaller exponent of 0.48 indicates that the spatial release of longitudinal energy in the investigated range of  $L_u^x/c$  between 1 and 4, has a relatively smaller effect on the peak drag force coefficient on a vertical heliostat. Hence, the peak wind loads on heliostats in the ABL can effectively be estimated for these two critical load cases using the defined turbulence parameter, in terms of the expected full-scale turbulence intensity and length scales that are a function of the surface roughness of the terrain in Fig. 3 and Fig. 4, respectively.

# 3. Heliostat geometry effects on wind loads

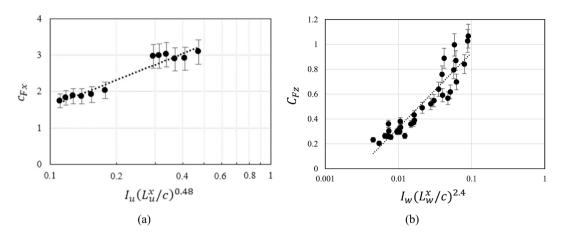
The wind effects on heliostats are well represented by the bluff body aerodynamics of the large reflecting surface inclined at different elevation and azimuth angles during operation of a power tower plant. Fig. 12 shows the wind loads on a conventional azimuth-elevation heliostat, consisting of an array of rectangular glass facets mounted on tubular steel components in a T-shaped configuration to withstand the maximum bending moments about the hinge and the base of the heliostat pedestal. When inclined at different elevation angles, the gap between the lower edge of the heliostat panel and the ground which enlarges as  $\alpha$  decreases. The critical scaling parameters that have been investigated in the literature include the aspect ratio of the rectangular heliostat panel in section 3.1, the gaps between the elevation axis and the ground by the pylon height in section 3.3.

# 3.1. Aspect ratio

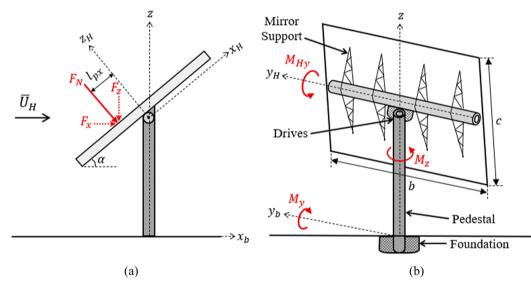
The aspect ratio of the heliostat, defined as the ratio of the width to the height AR = b/c of the panel in Fig. 12(b), has a significant but varying impact on the wind load components on a heliostat. The main components of the heliostat that are exposed to wind effects are the foundation, the pedestal, the panel and the elevation and azimuth drives. Fig. 13 shows the impact of the aspect ratio of a heliostat panel on the normalised load coefficients for the maximum operating load cases and in stow position ( $\alpha = 0^{\circ}$ ), based on fitted exponential functions of scale-model heliostat measurements in a boundary layer wind tunnel (Pfahl et al. 2011a). It can be observed that  $M_y$  about the base of the upright heliostat at  $\alpha = 90^{\circ}$  decreases by approximately 30% at AR = 1.5 and by as much as 60% at AR = 3 relative to a square-shaped heliostat (AR = 1). A reduction in  $M_y$  and  $M_{Hy}$  with increasing aspect ratio indicates smaller loads on the elevation drive and that the foundation pile depth and pylon diameter can be reduced. However, the  $M_z$  on operating heliostat and  $F_z$  on stowed heliostat increase by 47% and 30%, respectively, with increasing AR from 1 to 3. Hence, there is a trade-off between the dimensions of the pedestal with the elevation drive and the torque tube with the azimuth drive in the heliostat design.

# 3.2. Facet gap

Conventional heliostats are designed with small gaps between the mirror facets. Wu et al. (2010) found that small gaps have a negligible impact on the force and moment coefficients through wind tunnel tests and numerical analysis. However, wider gaps in the mirror panel caused a larger pressure difference at the edges of the gap at the windward corners. This led to a 20% increase of the hinge moment on a heliostat at  $\alpha = 30^{\circ}$ , due to a shift of the low-pressure region on the leeward surface away from the central elevation axis for wind flow along the gap at  $\beta = 0^{\circ}$  (Pfahl et al. 2011c). The peak hinge moment at stow position with a wide gap was also increased due to a similar effect. Peterka and Derickson (1992) stated that the area represented by slits in the mirror



**Fig. 11.** Peak wind load coefficients on a heliostat: (a) drag force coefficient at  $\alpha = 90^{\circ}$  as a function of longitudinal turbulence intensity and integral length scale (Jafari et al. 2018); (b) lift force coefficient at  $\alpha = 0^{\circ}$  as a function of vertical turbulence intensity and integral length scale (Jafari et al. 2019a). The dashed lines indicate the logarithmic relationships in equations 9–10 based on the longitudinal and vertical turbulence parameters, respectively.



**Fig. 12.** Schematic diagram of the (a) drag and lift forces on the heliostat surface inclined at elevation angle *α*, (b) hinge, overturning and azimuth moments on the heliostat components (Emes et al. 2020a).

panel can be considered as a solid surface area up to a ratio of 15%. The wind load coefficients were compared with no gap and a heliostat with two mirror facets separated by a wide gap mirror facets. The total mirror area ( $30 \text{ m}^2$  at full scale, modelling scale 1:20), with a gap width of 0.5 m corresponded to a portion of 8% of the opening. With the exception of the peak operating hinge moment at  $\alpha = 30^{\circ}$  increasing by 20%, there was only a small effect of gap on the wind loads, in agreement with the findings by Peterka and Derickson (1992). The shielding effect of support structure components contributed to small increases in the drag force in stow position and operating load cases with wind impacting the back surface of the heliostat. Hence, the geometry of a heliostat concentrator consisting of facets with narrow gaps can effectively be modelled as a thin flat plate when considering the aerodynamic wind loads on a heliostat, whereas accurate prediction of the dynamic wind loads (refer to Section 4) requires similarity of the structural stiffness and mass distribution of the heliostat support structure.

# 3.3. Pylon height

Conventional azimuth-elevation heliostats are commonly designed for a ratio of hinge height to mirror chord length, H/c = 0.5, increasing to 0.7 for a heliostat with a horizontal primary axis (Téllez et al. 2014). As shown in in Fig. 14(a), the peak lift coefficient in stow position at H/c = 0.5 varies over a range between 0.4 and 0.9, depending on the spectral distribution of ABL turbulence (refer to Section 2.2) and the ratio of the integral length scales to the scale model heliostat characteristic length in different wind tunnel experiments (Emes et al. 2017). Measurement of the peak lift force on models with varying pylon heights over a range of H/c between 0.2 and 0.8 was used to study the effect of heliostat hinge height on stow loads. Jafari et al. (2019a) found that the lift coefficient on a stowed heliostat followed a linear variation with H/cfrom 0.5 to 0.2, such as a reduction from 0.3 to 0.2 at  $I_w = 9\%$  ( $z_0 =$ 0.018 m), and from 0.65 to 0.48 at  $I_w = 19\%$  ( $z_0 = 0.35$  m). The rate of reduction of  $c_{Fz}$  with decreasing H/c is larger in the ABL with  $z_0 = 0.35$ m, such that the slope of the linear function at  $z_0 = 0.35$  m is three times larger than for  $z_0 = 0.018$  m. Fig. 14(b) shows the peak lift force coefficient on a heliostat at stow, normalised with respect to H/c = 0.5 as a function of H/c for different values of aerodynamic roughness length  $z_0$ . The peak  $c_{Fz}$  on a stowed heliostat within the ABL follows a linear function of H/c that is relatively independent of  $z_0$ . This relationship indicated that the stow lift force can be decreased by up to 80% by lowering the stow height of a fixed size panel such that H/c decreases

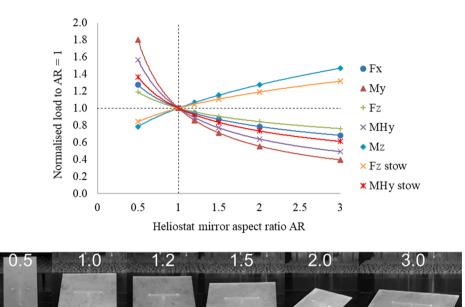
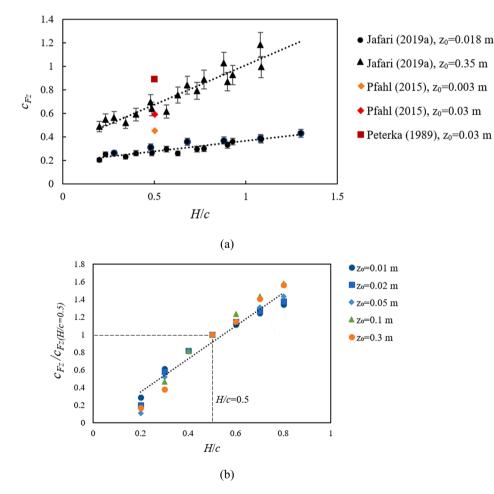


Fig. 13. Effect of aspect ratio on the normalised heliostat loads for the maximum wind load configurations during operation and stow. Reproduced from the best-fit power law exponents in Table 3 of Pfahl et al. (2011a).



**Fig. 14.** Effect of the hinge height to panel chord length ratio H/c on: (a) the peak lift force coefficient at stow based on different wind tunnel studies, (b) peak lift coefficient normalised with respect to heliostat with H/c = 0.5, as a function of ABL aerodynamic roughness height  $z_0$ . Reproduced from Jafari et al. (2019a).

from 0.5 to 0.2 (Jafari et al. 2019a). The pylon height is fixed in contemporary heliostat designs (Pfahl et al. 2017a), nevertheless novel concepts such as a carousel heliostat with spindle drive (Pfahl et al. 2017b) to lower the heliostat mirror close to the ground in stow during high-wind conditions can reduce the maximum wind loads and the cost of a cantilevered heliostat.

# 4. Dynamic wind effects on heliostat vibrations and tracking error

# 4.1. Heliostat surface pressure distributions

Dynamic wind load analysis on heliostats has been investigated using transient FEA simulations and experimental data from wind tunnel or full-scale measurements, such as through surface pressure measurements by Gong et al. (2013) on a 1:10 scale model T-shaped heliostat. Gong et al. (2013) showed that at the leading edge of the stowed heliostat mirror surface, substantial negative peak wind pressure coefficients occurred (see Fig. 15). It is presumed that the turbulent eddies associated with the peaks of the turbulence spectra that are similar in size to the chord length of the heliostat mirror have a large impact on the maximum lift forces and hinge moments on stowed heliostats (Pfahl et al. 2015). However, the effect of the size of these eddies relative to the size of the heliostat chord length on the unsteady loads and non-uniform pressure distributions on stowed heliostats has not previously been investigated.

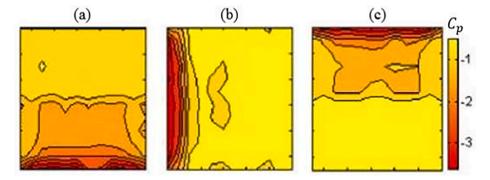
Pfahl et al. (2014b) showed that the temporal variation of the stow hinge moment on an 8 m<sup>2</sup> heliostat, instrumented with 84 differential pressure sensors in an open field in Lilienthal in northern Germany (Fig. 16a), exhibited distinctive peaks over consecutive durations of approximately one second. This suggests that the 3-second gust wind speed commonly applied in design codes and standards (ASCE 7-02 2002; EN 1991-1.4 2010; AS/NZS 1170.2 2011) and recommended by the World Meteorological Organisation (WMO) for wind measurements, can under-estimate the gust wind speed and thus the maximum unsteady wind loads on heliostats. The peak pressure coefficient distribution in Fig. 16(b) at the instant of the maximum hinge moment, with peak  $c_{MHy} = 0.18$  and  $c_{Fz} = 1.0$  at  $\beta = 47^{\circ}$  and U = 5 m/s indicates a significant variation of positive pressure (suction) along the side edges. Pfahl (2018) discussed that the peak aerodynamic coefficients showed a general agreement with tabulated values derived in controlled wind tunnel experiments by Peterka and Derickson (1992) at  $I_u = 18\%$  and U = 12.5 m/s. However, the turbulence characteristics of the ABL flow in the field study by Pfahl (2018) were not reported. Notably the spatial similarity of the heliostat chord length (c = 2.5 m) and the integral length scale of the energy-containing turbulent eddies was only estimated as  $L_u^x = 3$  m at z = H = 2 m, based on extrapolation of semiempirical data (ESDU 85020 2001) in an open country terrain with  $z_0 = 0.03$  m. High-frequency field measurements of wind velocity are

thus required to validate the turbulence characteristics at heights below 6 m where heliostats are stowed and verify the peak wind load coefficients corresponding to the critical operating and stow load cases of heliostats established in wind tunnel experiments.

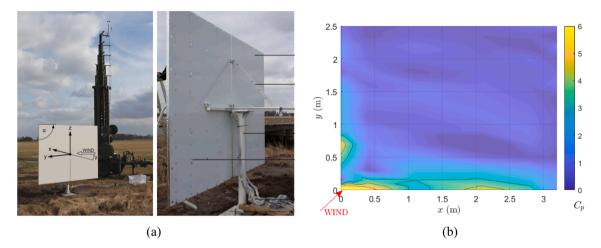
Emes et al. (2019a) showed that the hinge moment was highly correlated with the movement of the unsteady centre of pressure from the central elevation axis, which increased significantly with increasing turbulence intensity and decreasing elevation angle of the heliostat. Through the decomposition of the hinge moment into the net normal force and the centre of pressure distance, the pressure distributions on the heliostat surface representing the maximum hinge, overturning and azimuth moments were determined (Emes et al. 2019b). A high-pressure region was observed on the operating heliostat surface at  $\alpha = 30^{\circ}$  in Fig. 17(a), leading to the maximum  $c_{Fz} = 2.83$  and  $c_{MHy} = 0.18$ . Despite smaller peak values of  $c_{Fz} = 0.42$  and  $c_{MHy} = 0.11$  on the stowed heliostat at  $\alpha = 0^{\circ}$  in Fig. 17(b), there was an increased longitudinal (x) movement from the central elevation axis (y = 0.4 m) relative to the operating heliostat. During operation, an area of high-pressure difference on the frontal half of the heliostat surface ( $\alpha = 30^{\circ}$ ) and flow separation at the windward edge of the stowed heliostat surface ( $\alpha = 0^{\circ}$ ) created the highest hinge moment on the torque tube. In contrast, the maximum azimuth moment during operation (Fig. 17c) corresponded to the maximum drag coefficient  $c_{Fx} = 2.29$  at  $\alpha = 90^{\circ}$ ) but with wind approaching the heliostat at  $\beta = 60^{\circ}$ . Probability distributions of the transient load fluctuations followed a Gaussian distribution for most of the load cases except the maximum operating azimuth moment (Emes et al. 2020a). In contrast, wind tunnel measurements by Xiong et al. (2021) found that the fluctuating shear force at the base of the heliostat pylon followed a Gaussian distribution at  $\alpha$  between 0° and 20° and the peak value of the base shear force was most accurately represented by a Generalized Pareto Distribution (GPD) at  $\alpha$  between 30° and 90°. This suggests that the quasi-steady peak wind loads are generally appropriate to predict the maximum loads in operating and stow configurations, but extreme value analysis of the fluctuating load distribution should be considered in operating positions. It should be noted that despite the smaller peak coefficients on a stowed heliostat, the ultimate design loads should consider a larger survival wind speed compared to the wind speed for calculation of the maximum operating hinge and overturning moments.

#### 4.2. Modal vibration analysis and fatigue loads

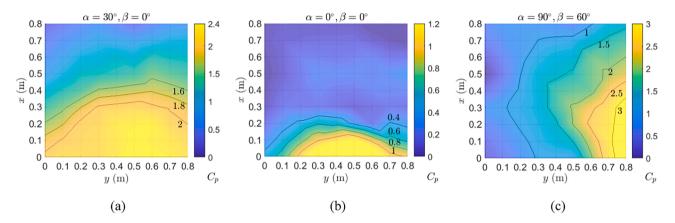
The dynamic response of small-scale structures such as heliostats affects their ability to withstand gusts in the ABL and maintain structural integrity for their expected design life. As heliostats are slender in shape and have low natural frequencies less than 10 Hz, the structural components of heliostats can be exposed to flow-induced vibrations from the unsteady fluctuating loads caused by turbulence effects. Vortex shedding can generate cyclic wind load fluctuations on the elevation and



**Fig. 15.** Peak pressure coefficient contours on a stowed heliostat at different azimuth angles: (a)  $\beta = 0^{\circ}$ ; (b)  $\beta = 90^{\circ}$ ; (c)  $\beta = 180^{\circ}$ . Reproduced from Gong et al. (2013).



**Fig. 16.** (a) Field heliostat instrumented with differential pressure sensors in open country terrain (Pfahl 2014a); (b) peak pressure coefficient distribution corresponding to the maximum hinge moment  $c_{MHy} = 0.18$  on the stowed heliostat at  $\beta = 47^{\circ}$ , reproduced from Pfahl (2018).



**Fig. 17.** Peak pressure distributions on an instrumented heliostat in a boundary layer wind tunnel with  $I_u = 13\%$  and  $I_w = 8\%$ , leading to the maximum: (a) operating hinge moment, (b) stow hinge moment, (c) azimuth moment (Emes et al. 2019a).

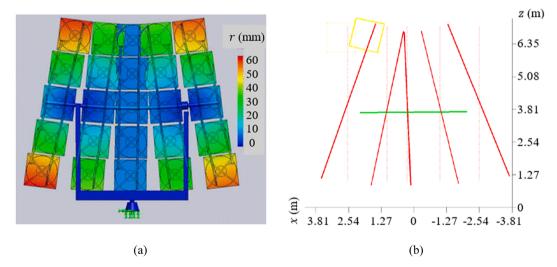


Fig. 18. Heliostat deformed shape for torque tube bending mode 3: (a) FEA simulation of displacement contours with modal frequency of 3.002 Hz, and (b) experimental hammer excitation test with modal frequency of 3.034 Hz. Adapted from Menicucci et al. (2012). The red lines represent the deformed experimental mode shape of the five columns from the undeformed reference geometry, the yellow box represents the instrumented facet, and the green line represents the yoke with measurement locations at the endpoints.

azimuth drives in the frequency range between 1 and 5 Hz of a conventional heliostat, as well as significant vibration and resonance effects (Gong et al. 2012; Griffith et al. 2015). Excessive deflections and stresses caused by wind-induced oscillations can lead to structural failure (Jain et al. 1996; Mendis et al. 2007). Galloping and torsional flutter tend to occur at frequencies on the order of 1 Hz where the turbulence integral length scales are similar in size to the characteristic length of the heliostat components, such as the pylon, torque tube and mirror structural truss members. A quasi-steady increase in mean velocity occurs when the turbulence scale is increased beyond the order of magnitude of the body scale (Nakamura 1993) and the galloping effect becomes negligible when the turbulence scale is decreased below the size of the structural member as smaller eddies cannot cause high net pressures over the surface (Pfahl et al. 2015).

The equivalent static wind loads have been the subject of most experimental studies, however the dynamic loads due to wind-induced displacements are important for determining the heliostat drive units and support structure components. Dynamic testing of full-scale heliostats was undertaken by Sandia National Laboratories at the National Solar Thermal Test Facility (NSTTF) on a 37 m<sup>2</sup> heliostat instrumented with triaxial accelerometers, strain gauges and anemometers to evaluate the modal shapes and frequencies (Andraka et al. 2013). Modal tests of the NSTTF heliostat using hammer excitation identified a number of modes of vibration, including bending of the support structure in modes 1 and 2, bending of the torque tube in modes 3 (Fig. 18) and 4, and inplane and out-of-plane bending of the mirror-truss assemblies (Griffith et al. 2012; Ho et al. 2012). The natural frequencies derived from experimental measurements showed good agreement with finite element analysis (FEA) predictions of the wind-excited dynamic response, such as a modal frequency of 3 Hz corresponding to the first torque tube bending mode 3 in Fig. 18. However, higher order modes with dependence on the stiffness properties of joints and drive mechanisms, such as out-of-plane support structure bending modes, were not accurately predicted by the FEA model. Furthermore, the low-frequency modes of vibration showed increased damping by 24-120% due to aerodynamic damping excited by the wind at speeds of 5-15 m/s compared with the calm winds during the hammer-excited tests. Comparison of the modal frequencies on different heliostat sizes and elevation angles showed that the azimuth drive modal frequency increased from 1.28 Hz to 2.28 Hz at  $\alpha = 90^{\circ}$  and from 1.04 Hz to 1.75 Hz at  $\alpha =$  $0^{\circ}$  with increasing heliostat size from 37 m<sup>2</sup> to 60 m<sup>2</sup> (Ho et al. 2012).

Vásquez-Arango et al. (2015) validated a finite element analysis (FEA) model with hammer-excited experimental modal data, which showed that the shapes of vibration corresponding to rigid body modes of the mirror frame, such as the oscillation about the elevation axis, were excited by fluctuating wind loads. Admittance functions were applied

using spectral analysis of the transient velocity, load and displacements following a normal distribution to predict peak values and standard deviations of moments about principal axes of mirror frame and displacements in the normal direction of the mirror surface. Structural failure through overstressing was evaluated by estimating the maximum stresses on support structure components, such that the maximum displacements due to the dynamic response were calculated to be less than 1% of the heliostat chord length (Vasquez Arango et al. 2017).

Dynamic wind loads on heliostats have been investigated by fluid--structure interaction (FSI), combining transient CFD, FEA simulations and modal analysis to link the resolved flow field with the structural response. A FSI analysis by Vasquez Arango et al. (2017) showed a pronounced peak at f = 3.8 Hz in the spectral distribution of the overturning moment coefficients on a 2.5 m  $\times$  3.22 m heliostat model. In comparison, spectral analysis of the fluctuating azimuth and overturning moments on a 0.8 m square heliostat model by Emes et al. (2020b) in a boundary layer wind tunnel experiment showed a clearly defined peak at f = 7 Hz. Wolmarans and Craig (2019) performed a oneway FSI modal analysis with scale resolving CFD simulation of a fullscale heliostat to determine the location of maximum stress at two elevation angles. As shown in Fig. 19(a), the maximum von Mises stress occurred near the base of the LH-2 heliostat on the back face of the pylon. The dynamic behaviour consisted of back-and-forth motion of the concentrator due to the large bending moment caused by the maximum frontal area to the oncoming wind at  $\alpha = 90^{\circ}$ . In contrast, the maximum induced stress decreased and was located at the T-joint between the torque tube and the pylon at  $\alpha = 30^{\circ}$  in Fig. 19(b). Spectral analysis of the fluctuating stresses indicated dominant frequencies in the 6 Hz range corresponding to the modal frequencies, with increasing side-to-side and flexural motions of the concentrator at  $\alpha = 30^{\circ}$  caused by the peak hinge moment about the torque tube. Although coupled or two-way FSI using LES is a promising method to investigate dynamic wind loads on heliostats, the computational effort with increased accuracy models is very high (Pfahl et al. 2017a; Wolmarans and Craig 2019). Consideration of the dynamic amplification of the load fluctuations on the heliostat components requires further investigation to understand the conditions that promote the coupling effects between ABL turbulence and modal frequencies of the structure.

#### 4.3. Wind-induced tracking error and operational performance

Ho et al. (2012) investigated two rigid-body vibrational modes at 1-2 Hz of the 37 m<sup>2</sup> NSTTF heliostat correlating to backlash of the elevation and azimuth drives in a field experiment test at Sandia National Laboratories (Ho et al. 2012; Griffith et al. 2015). Furthermore, hammer-excited experimental modal analysis showed that the truss

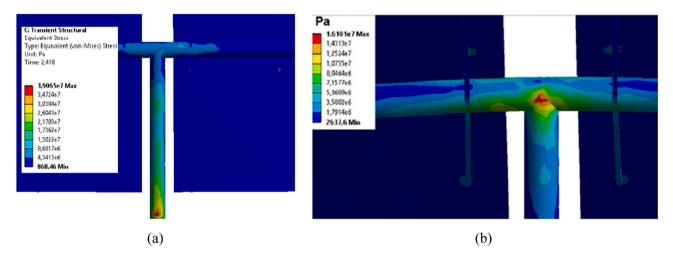


Fig. 19. Maximum von Mises stress contour from a one-way FSI modal analysis of the LH-2 heliostat at (a)  $\alpha = 90^{\circ}$ , and (b)  $\alpha = 30^{\circ}$  (Wolmarans and Craig 2019).

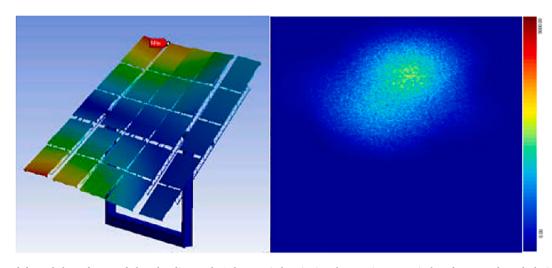
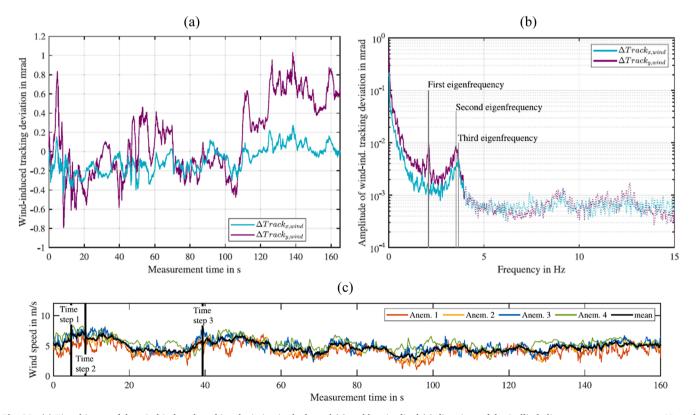


Fig. 20. Heliostat deformed shape for out-of-plane bending mode 2 due to wind excitation that can impact optical performance through deviation of the beam centroid (Ho et al. 2012).

member to torque tube interfaces due to out-of-plane bending modes (Fig. 20) were most vulnerable to wind-induced stresses. Maximum beam deviations of 0.17 m and 1.58 m in the horizontal and vertical directions were observed on the tower target, compared with deviations of 0.1 m and 0.25 m due to gravity in the absence of wind (Ho et al. 2012).

Dynamic photogrammetry measurements on the 48.5  $m^2$  Stellio heliostat by Blume et al. (2020) revealed that the wind-induced tracking deviation of 0.44 mrad RMS (Fig. 21a) contained a resonant component RMS value an order of magnitude smaller than the combined RMS values of the mean and background components. This tracking deviation caused by the wind contributed to approximately one third of the typical total tracking deviation of heliostats. Wind-induced oscillations and deformations at frequencies below 4 Hz in the amplitude spectra (Fig. 21b) most significantly impacted the optical performance of the heliostat at a mean wind speed of 4.8 m/s and turbulence intensity of 26% (Fig. 21c). To complement the relationships between quasi-static peak wind loads and ABL turbulence in Section 2, spectral analysis correlations between the fluctuating components of the wind velocity and the resonant component of the tracking deviations in field investigations would provide a further insight into the wind-induced oscillations that impact the operational performance of a range of full-scale heliostat prototypes.



**Fig. 21.** (a) Time history of the wind-induced tracking deviation in the lateral (*x*) and longitudinal (*y*) directions of the Stellio heliostat concentrator at  $\alpha = 45^{\circ}$  and  $\beta = 76^{\circ}$ ; (b) amplitude spectra of the wind-induced tracking deviations with a low-pass filter and cut-off frequency of 4 Hz; (c) time history of wind speed averaged over four ultrasonic anemometers on measurement mast at the Jülich DLR field site (Blume et al. 2020).



Fig. 22. Different layouts of a heliostat field. (a) A radial heliostat field, Noor III in Morocco. Image from www.masen.ma, (b) a polar heliostat field, PS10 in Spain. Image from www.eusolaris.eu.

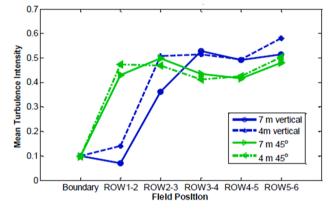
### 5. Aerodynamics of a heliostat field

Heliostat fields are arranged in rows in a radial (Fig. 22a) or polar (Fig. 22b) configuration surrounding a central tower. For an optimum optical performance, the radial distance between the rows in a heliostat field typically ranges between a value larger than the chord length of the mirror panel, x/c > 1 in the inner field rows, to x/c = 8 at the perimeter of the field (Hui 2011). Heliostats close to the tower have field densities greater than 40% with smaller shading effects and are typically spaced less than 20 m apart for a typical heliostat mirror area of 120 m<sup>2</sup> (Noone et al. 2012). With increasing distance from the central tower, the field density decreases to less than 20% and spacing between heliostats of up to 45 m at the outer boundary of the field (Pfahl et al. 2011c; Noone et al. 2012). The layout of heliostat fields in power tower plants has been optimised disregarding of wind load and primarily with respect to the optical efficiency of the field. However, static wind loads on tandem heliostats are strongly dependent on the spacing between the heliostat mirrors, defined by the gap ratio x/c and the heliostat field density defined as the ratio of mirror area to land area. As wind flows over a heliostat, a region of disturbed flow is created downstream in its wake. Within the field, the mean flow and turbulence characteristics might be significantly different from the incoming ABL and thus alter the wind loads on heliostats in the field from those on a single heliostat. Hence, wind loads on heliostats at different in-field positions could be evaluated given knowledge of differences in flow and turbulence characteristics within a field. This provides a chance to optimise the design and cost of a heliostat field, with respect to the inner flow field aerodynamics represented by a combination of ABL turbulence and upstream heliostat wake-generated turbulence.

#### 5.1. Heliostat wake measurements

Flow around a heliostat, in the absence of the support structure and the pylon, is resembled by flow around a thin flat plate. As flow passes around a thin flat plate, it separates from the plate at its edges and a lowpressure region is formed in its immediate downstream. The separated shear layers then roll up into large scale vortices shedding into the wake. Blockage of the flow by the plate and vortex shedding in the wake lead to a reduction of mean velocity and an increase in turbulence intensity. The alternate shedding of the rolled-up shear layers into the wake creates oscillations in the flow, characterised by the dominant frequency of vortex shedding. The aerodynamics of multiple heliostats differ from a single heliostat due to the interference of their wakes with each other and the interaction of the downstream heliostats depending on their arrangement and spacing between them.

The profiles of mean velocity and turbulence intensity of the approaching boundary layer were characterised by <u>Sment and Ho</u> (2014) using three tri-axial ultrasonic anemometers mounted on a weather tower upstream of a row of instrumented heliostats. Anemometers were also mounted on the heliostats and on portable towers



**Fig. 23.** Turbulence intensity in different rows of a heliostat field as a function of heliostat elevation angle (Sment and Ho, 2014).

between five rows of the NSTTF heliostat field to measure of the turbulence statistics of the flow in the vicinity of the heliostats. Fig. 23 shows that an increase of turbulence intensity to more than 50% downstream of the first and second row of heliostats at  $\alpha = 90^{\circ}$  (vertical) and 45°. For the heliostats in stow (not shown) however, turbulence intensities showed only a small variation in downstream rows and remained below the maximum turbulence intensity of 20% approaching the outer row of the field (Sment and Ho 2014).

Within the boundary layer, the variable shear and turbulence in affect the development of the wake of a heliostat and the turbulence structure in its wake significantly. Jafari et al. (2020a) conducted velocity measurements in the wake of a heliostat model placed in simulated atmospheric boundary layers in the wind tunnel to characterise the turbulence variations in the heliostat wake. It was found that in the wake of a heliostat, the turbulence properties were significantly different from the atmospheric boundary layer. The results showed a reduction in mean velocity in the wake, which did not recover over the measured downstream distance up to x/c = 8. This was accompanied by an increase in turbulence intensity up to x/c = 4, with a peak at approximately x/c =1.5 where the streamwise and vertical turbulence intensities increased by more than 12-times their incoming values at elevation angles of 60° and 90°. Furthermore, it was found that in the wake immediately downstream of the heliostat, the length scales of turbulence were significantly smaller as the large inflow turbulence length scales were broken into smaller scales.

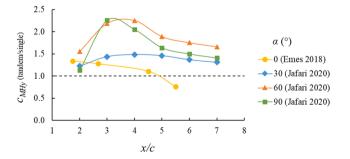
The variations of turbulence intensity in the heliostat wake at different streamwise distances indicates the impact of field density on heliostat wind loads. For example, due to the higher turbulence intensity caused by the heliostat wake, the unsteady wind loads in high-density zones of a heliostat field at x/c = 1-3 are greater than in low-density zones. This shows the impact of dynamic wind loads for design of he-

liostats as they are likely to influence the dominant frequencies of the unsteady and dynamic loads on heliostats in dense zones of a field. Furthermore, despite the reduced mean wind speed within the field, static wind loads such as the hinge moment may increase within the field depending on the field density and the elevation angle of heliostats during operation.

#### 5.2. Loads in heliostat field arrays

The review of the aerodynamics of tandem flat plates and side-byside flat plates shows that the wake flow around multiple heliostats and thus the wind loads on in-field heliostats can differfrom those on a single heliostat. One of the critical parameters that influences the wind loads is the non-dimensional gap in the longitudinal direction with respect to the mirror chord length, x/c, between the heliostats in an array. Emes et al. (2018) investigated the variation of the stow wind loads on two tandem heliostats and showed that the peak lift force coefficient on the second tandem heliostat in stow was up to 7% larger than that for the single stowed heliostat for x/c > 1.5. As shown in Fig. 24, Jafari et al. (2020b) found that the peak hinge moment coefficient on a tandem heliostat increased to 1.5-times that on a single heliostat at an elevation angle of  $30^{\circ}$  and more than double at elevation angles of  $60^{\circ}$  and  $90^{\circ}$ . Despite the lower mean pressure coefficient on the tandem heliostat, a region of large-magnitude peak pressure existed at the leading edge of the panel. Furthermore, analysis of the unsteady pressure distributions showed an increased unsteady centre of pressure variation on the second tandem heliostat, specifically at elevation angles of 30° and 60°. The unsteady variations of the position of the centre of pressure as a result of the larger turbulence intensity in the wake were found increase the mean and peak hinge moment coefficients on the second heliostat. The large increase of the hinge moment coefficient can outweigh the reduced wind speed in the wake with respect to the gap between the heliostats and the elevation angle of the heliostat panel. For example, at an elevation angle of  $30^{\circ}$  and x/c between 4 and 8, the mean wind speed reduced by less than 10%, while the hinge moment coefficient was 50% larger than the single heliostat, leading to an increase of between 20% and 50% in the peak hinge moment. Hence, the results highlight an opportunity to modify the heliostat design for in-field heliostats compared to field-edge heliostats.

In the literature, wind tunnel studies have been performed to study the influence of fences on the wind loads on heliostats in field arrangements. Peterka et al. (1986) measured the wind loads on a heliostat placed in an array with perimeter and in-field fences. The configuration of the heliostat array was chosen based on different regions of a field with different densities. Fences with porosities of 0.4, 0.5 and 0.6 and two heights, equal to 0.9 and 1.35 times the heliostat hinge height, were investigated. They found that with addition of the fence, the mean drag force coefficient on a heliostat at  $\alpha = 90^{\circ}$  and a wind direction of 250° in the third row of an array was reduced from approximately 1 to 0.45. The results in Fig. 25(c) were presented as a function of generalised blockage



**Fig. 24.** Peak hinge moment coefficient on a tandem heliostat normalised to a single heliostat as a function of longitudinal gap spacing x/c between tandem heliostats at elevation angle  $\alpha$  (Emes et al. 2018; Jafari et al. 2020b).

area (GBA), defined as the ratio of the area of upstream blockage projected to wind direction, including external and internal fences and upstream heliostats, over the field ground area. Peterka et al. (1989) reports the ratio of the peak drag and lift force coefficients in a field as a function of GBA as shown in Fig. 25(a–b). The results show cases where the peak coefficients are larger than a single heliostat, shaded by red in Fig. 25(a–b). The reason for increase of wind loads was not explained by Peterka et al. (1986). Furthermore, the elevation angles and heliostat configurations for the presented results were not provided, and it is not clear for which conditions the wind loads were larger than a single heliostat. Moreover, the results were only presented as a function of GBA, which includes the effects of both the fence and blockage by upstream heliostats. Hence, the influence of the fence on the wind loads was not distinguished.

Peterka et al. (1987) measured the wind loads on 1:60 scale-model heliostats in the fourth row of a four-row arrangement for two different gap ratios between consecutive rows, x/c = 6.4 and x/c = 3.07, representing low- and high-density zones of a heliostat field. The mean drag force coefficient of a fourth-row heliostat was found to be 12% lower than that of a front-row heliostat at x/c = 3.07. For a higher field density, the reduction in the mean drag coefficient increased to only 32% of that in the first row. In contrast, the peak drag force coefficient on of a fourth-row heliostat with x/c = 6.4 was found to be 40% larger than that of a front-row heliostat. Pfahl et al. (2011c) measured the wind loads on 1:20 scale-models of a four-row tandem arrangement with 30  $m^2$  mirror area for field densities of 10% and 50% corresponding to gap ratios (x/c) between the mirrors of 5.5 and 1.5, respectively. Peterka et al. (1987) and Pfahl et al. (2011c) found up to 50% reduction in peak drag and lift forces on a second heliostat at  $\alpha = 90^{\circ}$  compared to the front-row heliostat in a tandem arrangement at a field density of 50%. The larger peak drag coefficient may be correlated with an increase in longitudinal turbulence intensity of the flow, however the relative contribution of the longitudinal and vertical turbulence components to the lift and hinge moment coefficients on operating heliostats has not been determined. This highlights the importance of characterisation of turbulence in the wake of heliostats and its effect on the wind loads, and measurement of wind loads in a field. Understanding the variations of wind loads within a heliostat field can help to improve the field design with respect to the wind loads. For regions of a field with reduced wind speed and increased turbulence intensity, the structural stiffness and foundation depth of heliostats can be decreased if the dynamic loads are not overcompensated by an increase in unsteady wind loads.

In a similar experiment, Pfahl (2018) measured the wind loads on a heliostat in the fourth row of an array in presence of a fence upstream of the first row. The fence had a porosity of 40% and height equal to 1.25 times the heliostat hinge height. Different cases with varied distances between the heliostat rows and between the fence and the front row were investigated, through which GBA varied between 0.053 and 0.46. Their results in general showed that the maximum wind load coefficients at operating elevation angles were less than a single heliostat for the investigated range of GBA. As shown in Fig. 26, the peak lift force coefficient on a stowed heliostat was up to 25% larger than a single heliostat for GBA values less than 0.1. The increase in the stow lift force coefficient was suggested to be related to an increase in vertical velocity component downstream of the fence. If the entire field is to have a consistent heliostat design, according to Pfahl (2018), application of fences therefore may not be beneficial due to the increase of the lift force in stow position and the negligible impact of the fence on low density regions of the field. As the results were presented as a function of GBA, the effect of fence was not differentiated from the effect of blockage by heliostats at the upstream rows. Pfahl (2018) discussed that the uncertainty in the reported results was large due to the limited measurement cases.

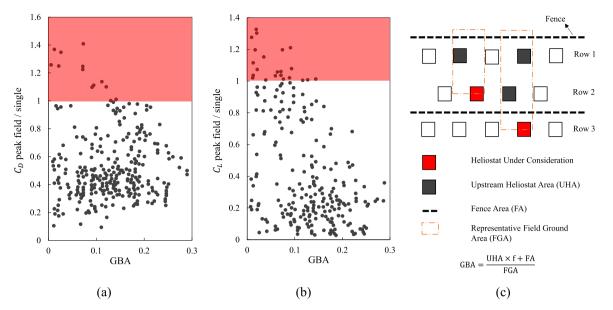


Fig. 25. (a) Peak drag and (b) peak lift force coefficients in an array with perimeter and in-field fences normalised with the peak force coefficients on a single heliostat as a function of generalised blockage area (GBA). The red shaded regions show cases where the peak wind load coefficients are larger compared to a single heliostat. (c) A schematic of the heliostat array demonstrating the calculation of GBA. Reproduced from Peterka et al. (1989).

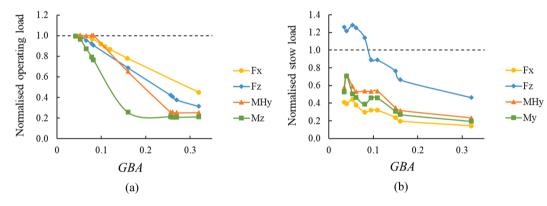


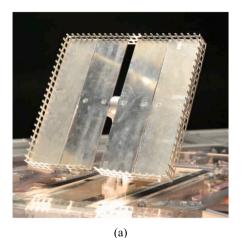
Fig. 26. Peak aerodynamic coefficients as a function of GBA, normalised with respect to GBA = 0 for an isolated heliostat in (a) maximum operating position (Peterka and Derickson 1992); (b) stow position (Pfahl 2018).

## 5.3. Wind load mitigation techniques

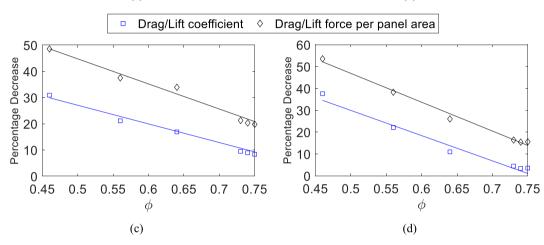
The wind load reduction on in-field heliostats, in wind tunnel experiments by Peterka et al. (1986) and Pfahl (2018), compared to a single heliostat were presented as a function of GBA, such that the effects of both the fence and blockage by upstream heliostats were not distinguished. Hence, the manipulation of inflow ABL turbulence by the fence and its effectiveness in wind load reduction were not reported. Turbulence properties downstream of mesh fences (Fig. 27b) of various mesh opening widths and porosities were determined from experimental measurements in a wind tunnel (Jafari et al. 2021). It was found that with application of fences with porosities between 0.46 and 0.75, an inflow streamwise turbulence intensity of 12.5% could be reduced to between 8.8% and 9.9%. Furthermore, a significant reduction in the integral length scale of turbulence was shown immediately downstream of the fences and grew afterwards with increasing the downstream distance, with the longitudinal length scale remaining 25% and the vertical length scale remaining 21% below the inflow level for the fences with porosities between 0.46 and 0.64. Through comparison of the turbulence reduction behind wire mesh fences with different porosities and mesh opening widths, it was found that porosity was the main factor which determined the reduction in turbulence intensity and length

scales. Based on the variation of mean velocity, turbulence intensity and integral length scale behind the fences, it was estimated that the peak drag force on a heliostat at the vertical position could be reduced by 48% with utilisation of a wire mesh fence with a porosity of 0.46 using the developed relationships in Jafari et al. (2018). it was predicted that the peak lift force on a stowed heliostat could be reduced by 53% behind a wire mesh fence with a porosity of 0.46 based on the correlation given in Jafari et al. (2019a), as shown in Fig. 27(b). With increasing the porosity of the wire mesh fence to 0.75, the reduction in peak drag and lift forces could only reach 19% and 15%, respectively. The measurement of forces on a heliostat behind the fence can further verify these estimated peak load reductions derived from the turbulence intensities and length scales reductions due to the fence. For such a method to be employed in a heliostat field, further study is necessary in the future. to determine the optimum geometric parameters of the mesh fence, including its height and distance to the heliostats.

Wind load reduction by fences may be more appropriate for heliostats of smaller dimensions, due to the increased material cost of larger fences that would be required for a field of large-scale heliostats. Although fences at the perimeter of the field have been shown to have negligible impact on the forces on heliostats with increasing distance into the field, a modification in the design of perimeter fences to







**Fig. 27.** Wind load mitigation techniques using (a) heliostat edge-treatment devices (Pfahl et al. 2013), and (b) a heliostat field perimeter porous wire mesh fence (Jafari et al. 2021). The plots show the effect of wire mesh fence porosity on the predicted reduction of (c) the peak drag force/coefficient on a heliostat at  $\alpha = 90^{\circ}$ , (d) the peak lift force/coefficient on a heliostat at  $\alpha = 0^{\circ}$  (Jafari et al. 2021).

heliostat edge-mounted devices may reduce the wind loads on in-field heliostats. The high overturning moments on a stowed heliostat are due to the vertical velocity component of the turbulent flow separating at the leading edge, which creates suction on the other side of the mirror and a high-pressure difference between the upper and lower heliostat surfaces. Wind tunnel experiments by ToughTrough indicated that fence-like "spoilers" (Fig. 27a) can reduce separation and suction near the leading edge in stow position, leading to 40% wind load reduction and 30% weight reduction of heliostat support structure (Pfahl et al. 2014b). A disadvantage of such flow manipulator devices is the additional maintenance cost to clean the mirrors and the shading of the mirrors.

# 6. Discussion

# 6.1. Resolution of heliostat field measurements in plant performance models

Typical meteorological year (TMY) data contains wind and solar radiation data averaged over a duration of one hour as an input to annual solar field efficiency models. In practice during operation of a power tower field, however, heliostats are stowed based on a 3-second gust wind speed (Price et al. 2020). Second-generation heliostats were defined by Murphy (1980) with specifications for gust wind speeds of 22 m/s and 40 m/s at a 10-m height for the maximum operational and stow survival design conditions, respectively. This is the same as the 3-second gust wind speed stated in design wind guidelines and norms for buildings and other physical structures with natural frequencies smaller than

1 Hz at a height of 10 m. Standard wind velocity data at automatic weather stations (Bureau of Meteorology 2020; National Climatic Data Center 2020) are not obtained at a sufficient frequency to reliably determine the longitudinal and vertical turbulence intensities that impact the maximum heliostat wind loads (Blackmon 2014). Long-span cable-supported bridges are sensitive to peak gusts of a duration of the order of 2-3 s (Xu 2013), whereas stowed heliostats are exposed to shorter duration gusts of approximately 1 s (Pfahl 2018). Hence, it is expected that the relevant gust period for a heliostat is shorter than that of a building and thus the dynamic response and vibrational mode shapes of heliostats are different. The collection of high frequency (i.e. second) wind velocity and solar radiation data at concentrating solar power plant sites over an extended duration (e.g. years) would increase the accuracy of annual field efficiency models through an improved resolution of operating load data. The transient nature of the ABL should therefore be accounted for in the design of a heliostat field, including the wind load predictions and the assessment of operational performance models.

## 6.2. Assessment of critical aerodynamic load cases of a heliostat

Design wind load codes and standards provide aerodynamic shape factors, external pressure coefficient and design external pressure, aerodynamic (drag) force coefficient  $c_F$  and the centre of pressure distance  $l_{px}/c$  from the windward edge of simple-shaped structures based on a characteristic length c of the structure. For example, *Chapter 5 - Wind Loads* of ASCE 7–02 (2002) provides a range of tables containing the design pressures for solid freestanding walls, solid signs and

monoslope roofs with tilt angles  $10-30^{\circ}$  (in increments of  $5^{\circ}$ ) and aspect ratio of the cross-sectional roof area varying between 1/5 and 5. Furthermore, the IEC 61400-1 (2005) wind turbine design standard provides guidance on the static and dynamic loads on wind turbine components, considering the effects of turbulence intensity and length scales and the variation of average and gust wind speeds across the rotor plane. However, heliostats have a non-standard shape that does not conform to conventional shapes of buildings (ASCE 7-02 2002; EN 1991-1.4 2010; AS/NZS 1170.2 2011) and rooftop solar panels (ASCE/SEI 7-16 2016) associated with corner vortices and separation at the leading edge of the building roof (Kopp et al. 2012). The thin plate and tubular geometries of heliostat facets, support beams, torque tube and pedestal are not applicable to the design procedures outlined for buildings and wind turbines in terms of their size, shape and position within the lowest 10 m of the ABL. This can lead to under-estimation of the peak loads on heliostats, such as in stow position due to the large dynamic response caused by near-surface gust events (Durst 1960; Mendis et al. 2007).

Table 2 shows the maximum operating wind load configurations, in terms of the elevation and azimuth angles that result in the peak wind load coefficients reported in wind tunnel measurements in Table 1 (refer to Section 2.2). For wind approaching an upright heliostat at  $\alpha = 90^{\circ}$ from the front ( $\beta = 0^{\circ}$ ) or back ( $\beta = 180^{\circ}$ ), the maximum drag force  $F_r$ on the concentrator leads to the maximum overturning moment  $M_{\rm y}$  at the base of the heliostat pylon for design of the foundation. Similarly, on a heliostat inclined at  $\alpha = 30^{\circ}$ , the maximum lift force on the heliostat panel leads to a maximum hinge moment  $M_{Hy}$  about the elevation axis of the heliostat in operation that impacts the design of the torque tube and elevation drive. The maximum load case for the azimuth drive is the moment about the vertical axis of an upright operating heliostat ( $\alpha =$ 90°) with wind approaching from an oblique angle  $\beta = 60^{\circ}$  and  $120^{\circ}$ . The wind load coefficients found by Peterka et al. (1989) apply to one case of the ABL with limited information on the turbulence spectra and length scales, particularly in the vertical turbulence component that is crucial to the maximum wind loads in stow position. The maximum wind loads on heliostats often considered wind impacting the front of the heliostat at  $\beta = 0^{\circ}$ , however the maximum wind loads on a heliostat at  $\beta = 180^{\circ}$  can be larger and the presence of an upstream heliostat influences the spectral peak of pressure variations in operating positions (Yu et al. 2019). The number of working conditions for azimuthelevation heliostat configurations can be reduced from 130 to 13 through the application of uniform design method and regression analysis to all wind load coefficients (Xiong et al. 2019). The contribution of spectral energy in the turbulent eddies to wind loads and the resulting aerodynamic effects on heliostat geometry over a larger range of orientations has been investigated in more detail in recent wind tunnel experiments (Pfahl et al. 2015; Emes et al. 2017; Emes et al. 2019a; Jafari et al. 2019a).

Prediction of the design loads on heliostats should allow for the maximum operating cases and stow cases, due to both the scaling parameters of individual components and the level of ABL turbulence represented by the surrounding terrain. The influence of the heliostat concentrator aspect ratio (Pfahl et al. 2011a) and the pylon height (Emes et al. 2017; Jafari et al. 2019a) have a large effect on the maximum aerodynamic coefficients, whereas small gaps between mirror facets have a negligible impact on the pressure distribution and the wind loads (Wu et al. 2010). Structural reliability of the heliostat components through stress analysis by Benammar and Tee (2019) suggested that the

Table 2

Critical operating load cases of an azimuth-elevation heliostat.

Maximum aerodynamic coefficient	<i>α</i> (°)	β(°)
$F_x, M_y$	90	0, 180
$F_z, M_{Hy}$	30	0, 180
$M_z$	90	60, 120

thickness of the pedestal and torque tube can be reduced for operating conditions at low wind speed sites, whereas the torque tube is a critical component that can lead to structural failure in stow position at increased wind speeds. Wind tunnel experiments have shown that for measurement of the unsteady drag force on a heliostat at  $\alpha = 90^{\circ}$ , similarity of the streamwise velocity spectrum is required and a model with larger dimensions (i.e. smaller scaling ratio) can be used. In contrast, accurate measurement of the unsteady lift force on a stowed heliostat requires similarity of the vertical turbulence spectrum, which can only be achieved for a model with smaller dimensions or larger scaling ratio (Jafari et al. 2019b). The relative contribution of the longitudinal and vertical components of turbulence, for a stowed heliostat and over the range of heliostat operating conditions with varying gap between the lower heliostat edge and the ground, should be further verified through wind tunnel and full-scale measurements. Analysis of wind loads on full-scale heliostats with respect to the incoming wind turbulence measured simultaneously can verify the scaling effects observed in wind tunnel experiments to provide a more reliable estimation of wind loads.

# 6.3. Modal analysis of heliostat vibrations and wind-induced displacements

Measurements of local deformations and displacements on full-scale heliostats have provided an insight into the dynamic wind loads, such as vibrations and fatigue loads on drive units and support structure components. Modal analyses have been conducted in the literature both computationally and experimentally to determine the mode shapes and frequencies of a heliostat structure. Low-frequency vibrational modes corresponding to quasi-static sway motion of the heliostat subjected to time-averaged loads can be accurately reproduced by numerical simulations. However, modes that are dependent on the stiffness and damping of joints, such as elevation and azimuth drives, are most accurately characterised through full-scale experiments and two-way fluid-structure interaction that captures the gust spectrum range  $(\sim 1-2 \text{ Hz})$  of the fluctuating load distribution caused by backlash or slop in the gear drives (Griffith et al. 2012; Ho et al. 2012). High-amplitude dynamic response of the pylon and support structure was less likely to be impacted by the shedding of vortices from the heliostat structure (Wolmarans and Craig 2019), although this applies to a broad range of frequencies depending on the wind speed and the heliostat size (Ho et al. 2012). Hence, the heliostat structure should be designed to avoid wind loads that cause high-amplitude or high-cycle counts in the drive components that result from resonant effects due to convergence of the modal frequencies with the gust frequencies of energy-containing eddies in the approaching wind and the vortex shedding frequency from upstream heliostats. Further work is still necessary to examine dynamic wind loads on heliostats positioned inside the heliostat field. For instance, field measurements can provide validation points to complement numerical studies to investigate load amplification factors associated with different operational wind speeds and turbulence characteristics over an increasing range of heliostat orientations and structural designs.

#### 6.4. Dynamic wind effects on operational heliostat tracking error

Wind engineering design standards do not account for the dynamic effects of heliostats, such as a dynamic response or amplification factor in AS/NZS 1170.2 (2011) for slender buildings and large permanent structures ( $H \le 200$  m) with natural frequencies less than 1 Hz. To avoid structural excitation due to buffeting and torsional galloping, the natural frequency of a long inclined flat plate (i.e. solar array) is recommended to be greater than 5 Hz. Hence, the essential scaling parameters of the heliostat structure and the aerodynamic loads on the tubular components were shown to be very sensitive to the variations of turbulence intensity and length scale with height and surface roughness in the ABL

(Emes et al., 2020c,a). Although square-mirrored heliostats are less likely to be exposed to torsional vibrations, the ratio  $L^x/c$  of the integral length scales in the longitudinal and vertical directions to the heliostat chord length significantly affects the peak wind loads on heliostats in operating and stow positions (Emes et al. 2017; Jafari et al. 2019a). Based on the common sizes of heliostat mirrors that are currently manufactured,  $L_u^x/c \approx 6.5$  in an open country terrain with  $z_0 = 0.03$  m (ESDU 85020 2001). However,  $L_u^x/c$  decreases with increasing surface roughness to  $L_u^x/c = 5.5$  at  $z_0 = 0.05$  m and  $L_u^x/c = 4.5$  at  $z_0 = 0.1$  m. To reduce the maximum wind loads as  $L_u^x/c$  and  $L_w^x/c$  approach unity, a heliostat of fixed mirror chord length can be stowed at a lower elevation axis height *H* that is closer to the ground (Pfahl et al. 2017b) through a reduction of H/c and  $L_u^x/c$ .

#### 6.5. Variation of wind loads on heliostats throughout a field

Due to the variation in heliostat orientations across a field with respect to the wind, the aerodynamic loads on some heliostats in favourable orientations can be reduced with respect to the maximum load cases in the field. Statistical correlation of wind speed and DNI data with heliostat tracking angles at the Plataforma Solar de Almeria (PSA) CESA-I field by Emes et al. (2020c) indicated that a stowing strategy based on wind speed and direction can increase the annual operating time of the heliostat field by 6% with increasing stow design wind speed from 6 m/s to 12 m/s. For an assumed 10-minute stow transition from operating positions of the heliostat field, a stowing strategy that allowed "protected" heliostats with reduced wind loads at  $\beta = 90 \pm 15^{\circ}$  to continue to operate at wind speeds larger than 10 m/s was investigated. Emes et al. (2020c) found this strategy achieved an additional 24 GWh of thermal energy collected annually by heliostat field operation during periods that would conventionally stow the entire field. It is therefore apparent that there is a potential to increase the operating performance through consideration of wind load distributions and "smart" stowing strategies of the heliostat field to maximise the energy yield of a power tower plant.

Porous fences were found by Jafari et al. (2021) to reduce the turbulence intensity and integral length scales by 20-25% relative to the incoming ABL, but the material cost of perimeter fences for large heliostats and their area of influence into a heliostat field remained a research question. Other methods to reduce the wind loads on heliostats positioned at the inner rows of a field include the attachment of "edge treatment" devices to the heliostat, such as to mitigate the impact of vortex shedding from the leading and trailing edges. Alternatively, the installation of a series of slender plate or rod large-eddy break-up (LEBU) devices at the perimeter of a heliostat field can reduce the effect of the energetic turbulent eddies in the ABL on the heliostat field operation. Characterisation of the flow and wind loads using these methods are required for an improved understanding of their effectiveness. A techno-economic analysis of the cost-effectiveness of fences in heliostat fields is required to assess the sensitivity of reduced loads and heliostat capital cost with respect to the increased land area and material cost of the fence construction.

# 7. Conclusions

There has been an extensive range of studies on heliostat aerodynamic wind loads in the literature. The aerodynamic coefficients form a basis for the design wind loads on isolated heliostats, which were shown to depend on the geometric parameters of the heliostat, along with wind speed and turbulence parameters in the atmospheric boundary layer (ABL). The following major conclusions can be drawn from the literature to further develop the understanding of the aerodynamic wind loads on heliostats:

- 1) In wind standards, turbulence intensity and integral length scale profiles are only given for heights above three metres. However, there is demand for smaller heliostats as they are advantageous for high-temperature applications, such as hydrogen production due to lower astigmatism losses. Therefore, field investigations of the wind characteristics between one and three metres height for typical solar sites would be beneficial.
- 2) The maximum operational loads and the stow survival loads have been defined by the heliostat orientation with respect to the wind. It is most important to model the range of reduced frequencies of the turbulence spectrum that contribute to the unsteady forces on heliostats in wind tunnel experiments in order to reduce the scaling effect on the measured peak wind loads and accurately reproduce the wind loads on the full-scale structure. These maximum heliostat load cases were referenced to design wind speeds and turbulence intensities at a constant height, such as the standard reference height of 10 m in wind load codes and standards. An increased resolution of field-scale wind measurements is essential to understand the effect of surface roughness on the peak aerodynamic coefficients at a range of heliostat field sites to fully characterise the longitudinal and vertical turbulence intensities and length scales that impact the maximum wind loads for operating serviceability and stow survivability considerations.
- 3) Scaling factors and relationships have been derived in scale-model wind tunnel experiments that account for the variation in wind loads due to geometry effects, such as the aspect ratio, mirror chord length and pylon height from a baseline square-mirror azimuthelevation heliostat. Further investigations should focus on the influence of wind direction and heliostat shape due to changes in aspect ratio, and the effect of the gap between the lower heliostat edge and the ground on the aerodynamic coefficients.
- 4) Dynamic wind loads and modal analysis of local deformations of heliostat components was most effectively investigated in field environments with the mechanical and structural properties of a fullscale heliostat. Due to the large range of heliostat sizes and structural types, the design wind loads are commonly estimated using a combination of peak aerodynamic coefficients and appropriate loadresponse correlations from finite element models at the relevant design wind speeds. Dynamic amplification factors for alternative heliostat designs to a conventional azimuth-elevation tracking configuration (e.g. spinning axis, tilt-roll) should be further investigated, such as the lowering of the mirror closer to the ground in stow position and resonance effects in the transition to stow due to increases of wind speed at intermediate operating angles.
- 5) Systematic experimental studies in small-scale boundary layer wind tunnel measurements have effectively simulated the aerodynamics and quasi-static wind loads through investigation of the critical scaling parameters of isolated, tandem and arrays of heliostats over a range of wind turbulence conditions in the ABL. Wind loads on the structural heliostat components, such as bending moment reactions to be resisted by the drives, torque tube and foundation, have been characterised through scale-model testing in wind tunnel experiments. The variation of wind-induced displacements due to operational wind loads on in-field heliostats has been related to the vortex shedding and vibrational modes, but simultaneous load and wake measurements can provide understanding on how the field spacing and orientation affects the operational performance of individual heliostats throughout the field. Instrumenting arrays of heliostats in different rows within a field would also be highly beneficial to better understand the relative contribution of heliostat-generated wake turbulence and incoming ABL turbulence on the heliostat field aerodynamics, wind load distributions and wind-induced tracking errors during operation of a field.
- 6) It is postulated that the total cost of the heliostat field is conservative as all heliostats are designed based on the maximum wind load coefficients on a single heliostat, while the loads on heliostats in

various rows vary across the field. Heliostat wind loads in arrays have presented wind load reductions on in-field heliostats based on the concept of GBA, however the fence's independent effect was not distinguished from the impact of upstream heliostat blockage. Understanding the variation of wind loads within a heliostat field through the systematic analysis of independent wind load reduction methods can help to improve the field design with respect to the wind loads. Characterisation of the flow and wind loads using favourable methods to reduce heliostat wind loads, such as perimeter and in-field fences and edge treatment devices, should independently assess their cost-effectiveness and feasibility in power tower plants.

There is a strong case for the development of design guidelines for wind load predictions on full-scale heliostats that account for the effects of ABL turbulence based on the scaling of the heliostat structural components and field layout. Such guidelines can benefit the operational performance of the plant and the material costs of manufacturing based on the local wind conditions below heights of 10 m at different sites. Accurate prediction of the maximum wind loads in real-scale operating conditions provide greater confidence in field efficiency and power tower plant performance models, which enhances the reliability of techno-economic analyses of the solar field operation and structural design of the heliostat components.

# **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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