

The role of coordination costs in mode choice decisions: A case study of German cities

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Abstract

In times of accelerating urbanization and environmental pollution, mode choice decisions (MCD) are a critical parameter in a city's appearance and its environmental impacts. Simultaneously, the emerging smartphone multimodal traveller information systems (SMTIS) simplifies the usage of multimodal trips and, therefore, enhance the options in MCD. Current MCD models, in addition to considering classic parameters like travel time and cost, also consider socioeconomic variables and latent variables, such as modal preferences or mode-specific characteristics. However, from the users' perspective, one main influence is currently still not sufficiently considered in these models: Coordination costs for planning the trip, such as looking-up time tables for public transport. Consequently, we introduced this variable in a multinomial logit model and made a representative survey in Germany for measuring the coordination effort and evaluating our model. Our results support our hypothesis that coordination costs have a significant impact on MCD. We therefore conclude that further developments in information systems together with supporting policies may influence the MCD and, hence lead to more sustainable cities in the future.

Keywords: Mode choice decision, Mode choice, Behavior, Discrete choice, Coordination costs, Information systems

1. Introduction

At present, 55% of the world's population lives in urban areas and the share is still increasing (Worldbank, 2018). With their daily mobility decisions, especially with regard to their transportation mode, the inhabitants have a significant impact on their urban environment (Stradling et al., 2000, Hensher et al., 2013). In the short term, while mobility decisions influence the level of congestion, in the long term they impact common health by determining the city's mobility architecture, such as the "car-centric" Los Angeles or the "bike-centric" Copenhagen (Gehl, 2013, Abou-Zeid and Ben-Akiva, 2012). Furthermore, considering that today 18% of global CO_2 emissions causing climate change stem from street-related traffic (IEA, 2018) and its share is continuously increasing (Creutzig et al., 2015) peoples' mode choice behavior has become decisive for the mobility transition.

In the classic literature on mode choice decisions (MCD) quantitative variables like travel time, cost (Ortúzar and Willumsen, 2011, Kramers, 2014, Ben-Akiva et al., 2015) and the decision maker's socioeconomic characteristics are seen as the principal determinants of MCD (?). Ben-Akiva and Lerman (1985) has indicated that so far unobserved factors such as travel information influences MCD. These factors can stem from psychology-related unobserved user-specific factors as well as technology-related mode-specific heterogeneity. This ambiguity motivates us to identify and investigate additional explanatory factors, which can be quantified and integrated into MCD models in order to improve their predictive power (Ben-Akiva et al., 2015).

Today, ubiquitous web access via smartphones induce a development, in which information and transportation systems have become increasingly interrelated and interdependent (Sussman, 2005). As a result, a diverse set of smartphone multimodal traveler information systems (SMTIS) have emerged (Priemus et al., 2001). These SMTIS help to extend humans' bounded rationality by enabling information-rich and complex calculations related to MCD (Ben-Elia et al., 2013, Ben-Elia and Avineri, 2015). Hence, they facilitate the coordination effort of multimodal trips,

26 as well as the related transactions and travel activities (Lisson et al., 2016, 2017). Some services
27 in this field are: mapping and navigation services (e.g. GoogleMaps), car-sharing services (e.g.
28 SHARE NOW), route-planning services (e.g. Moovel or Qixxit), ride-sharing services (e.g. Uber
29 or BlaBlaCar), location-based service (e.g. ParkNow), and public transportation services (e.g. the
30 app of the local transport association or overarching aggregating services).

31 In this research environment the question arises of how can the simplification of multimodal
32 trips be considered in MCD analysis. As first steps into this research area, two research questions
33 (RQ) arise:

- 34 • *RQ1: How significant is the influence of habits (i.e., individual mobility type) on MCD?*
- 35 • *RQ2: Do mode-specific coordination costs have an impact on the MCD and how can we*
36 *integrate them into conventional MCD models?*

37 In order to address these questions, we start from the current status of MCD modelling, i.e.
38 we use a standard multinomial logit (MNL) model for MCD and compare its results with our
39 extended model, which considers coordination costs, too. As the main data source, our study
40 is based on a self-conducted online survey of 732 German traffic participants. We, therewith,
41 identify the significance of coordination costs for German urban citizens. Finally, further research
42 is motivated, which may use our results for analysing the concrete effects of SMTIS on (coordination
43 costs and) MCD.

44 This paper is structured as follows. While Section 2 summarizes relevant related work and
45 Section 3 explains the extended model, Section 4 introduces the empirical data. Section 5 starts
46 with a classification of survey participants in mobility types and provides the results for all applied
47 models. Subsequently, the results are discussed and RQ are answered in Section 6, before Section
48 7 concludes our findings.

49 2. Related work and background

50 The research field of MCD already exhibits an extensive and established body of research.
51 Especially, the identification of how individual habits or mobility types have an influence on the
52 MCD is widely analysed (Triandis, 1977, Ben-Akiva and Lerman, 1985, Verplanken et al., 1997,
53 Ramos et al., 2020) along with the identification of mobility types that reduce unobserved individ-
54 uals' heterogeneity (Diana and Mokhtarian, 2009, Ben-Akiva et al., 2015, Gärling and Axhausen,
55 2003) The research on MCD has nonetheless dynamically evolved during the last decades by in-
56 cluding insights that were previously hard to achieve, e.g. due to technical restrictions and missing
57 information (Kenyon and Lyons, 2003, Chorus, Molin and Van Wee, 2006, Priemus et al., 2001).

58 Continuous observations of real-world MCD via smartphones and the influence of intelligent
59 SMTIS on MCD has revolutionized the data availability in this field (Zhao et al., 2015, Kramers,
60 2014, Adler and Blue, 1998, Cottrill et al., 2013). Against this context, our research questions build
61 upon the following: established economic theories in the transportation domain (Ben-Akiva and
62 Lerman, 1985) and the recent findings in behavioral economics related to SMTIS and its influence
63 on MCD (Ben-Elia and Avineri, 2015, Gan and Ye, 2018). Therein utility functions are considered
64 key for investigating the factors influencing MCD, as they operationalize the complex decision on
65 mode choices at different occasions and thus represent individuals' (subjective) preferences over
66 the available transportation modes (Ben-Akiva and Lerman, 1985).

67 In economic theory, it is still assumed that individuals attempt to select transportation modes
68 with the highest perceived utility (Train, 1980), which not only considers economic (Ben-Akiva
69 et al., 2015) aspects but also accounts for behavior-related ones (Ben-Elia and Avineri, 2015, Hen-
70 sher et al., 2013, Vij et al., 2013). Driven by a higher diversity of available transportation modes
71 and services, intermodal urban transport networks are becoming more complex and interrelated
72 (Nuzzolo and Lam, 2017, Sussman, 2005). This makes it practically impossible or at least cog-
73 nitively difficult to gather all the information that is required for a sophisticated route choice or

74 MCD (Ben-Elia et al., 2008). This leads to uncertainties in MCD and might lead to an inefficient
75 allocation of mobility resources (Kahneman and Egan, 2011, Ben-Elia and Avineri, 2015). The
76 lack of knowledge predominantly exists along two dimensions (Bonsall, 2004, Chorus, Arentze,
77 Molin, Timmermans and Van Wee, 2006). First, travelers may not know all available and feasible
78 travel alternatives, regarding mode-route combinations that may bring them to their destination
79 (Ramming, 2002, Hoogendoorn-Lanser and Van Nes, 2004). And second, of the alternatives they
80 do know, they may not be aware of their precise attributes concerning travel times, costs and other
81 relevant factors (Ouwersloot et al., 1997, Avineri and Prashker, 2003, Bates et al., 2001).

82 Researchers have acknowledged this problem and have called for a deeper investigation into
83 how “cognitive effort” or “mental effort” is caused by humans’ bounded rationality (Simon, 1956,
84 1997) in a complex transportation environment (Sussman, 2005, Kramers, 2014) and how its con-
85 sideration can improve the prediction of MCD (Gao et al., 2011, Grotenhuis et al., 2007, Hensher
86 et al., 2013, Ben-Elia and Avineri, 2015). Such a consideration of limited information processing
87 capabilities in decisions is an established approach in the Information Systems domain (Malone
88 et al., 1987, Bakos and Brynjolfsson, 1998). Therein, the phenomenon of coordination costs is
89 defined as the costs of gathering information, evaluating alternative options, negotiating, contract-
90 ing, and physically transacting an object (Williamson, 1989, 1981). These costs result from the
91 complexity and uncertainty of the particular system. Malone et al. (1987) formalized these “coor-
92 dination cost” and incorporated them into utility functions in the context of electronic markets.
93 In the MCD context, coordination effort represents the required cognitive effort to conduct a trip
94 of a certain distance from origin to destination with a particular transportation mode.

95 Reverting to findings in the Information Systems domain, where e.g. Malone et al. (1987)
96 exemplified the explanatory power of coordination costs in transaction cost economics, transporta-
97 tion researchers have hypothesized that coordination effort, such as cognitive costs and mental
98 effort, would have an effect on MCD, too (Grotenhuis et al., 2007, Hensher et al., 2013, Ben-Elia
99 and Avineri, 2015). They saw technical support in the form of SMTIS and its predecessor, like

100 intelligent traveller information systems (Adler and Blue, 1998) or advanced traveller information
101 systems (Kenyon and Lyons, 2003, Polydoropoulou, 1997), as a possibility to address that problem
102 (Kramers, 2014). This relates to such systems' data processing capability and their provision of
103 personalized travel information, which can positively influence the MCD in these complex environ-
104 ments (Abdel-Aty et al., 1997, Chorus, Molin and Van Wee, 2006, Lisson et al., 2016). Thereby,
105 researchers have found that SMTIS reduces mobility-related complexity by an intelligent use of
106 information systems that coordinate individual user preferences with appendant resources of trans-
107 portation modes (Ben-Elia and Avineri, 2015, Lisson et al., 2017, Kenyon and Lyons, 2003, Vij
108 et al., 2013). Furthermore, the provision of an adequate travel information is expected to decrease
109 the uncertainty of mobility options and thereby assist travelers in making better choices given the
110 uncertain and complex multimodal travel networks (Walker and Ben-Akiva, 1996, Adler and Blue,
111 1998, Ben-Elia and Avineri, 2015). Tying up with the preceding work, we hypothesize that, *ceteris*
112 *paribus*, higher mode-related coordination effort would have a negative effect on the likelihood of
113 a particular transportation mode to be chosen.

114 Our contribution in the field of current MCD modelling is to integrate mode-specific coordina-
115 tion costs in MNL models and show its significance for an urban German case study by applying
116 a comprehensive statistical model.

117 **3. Extending the current MCD modelling by considering coordination costs**

118 In order to improve the current MCD modelling, we first introduce a classical MNL model which
119 includes, in addition to the usual variables, mobility types, which are identified by implementing
120 a two-stage clustering approach (cf. Section 3.1, where the focus is on RQ1). Second, we show the
121 extension of the existing MNL model by integrating coordination costs into them (cf. Section 3.2,
122 where the focus is on RQ2).

123 *3.1. Identifying mobility types by clustering*

124 It is undeniable that humans are biased in their MCD (cf. Ben-Elia and Avineri (2015)) and
125 show individual preferences for the different modes (Bhat, 2000). However, the way to account for
126 this phenomenon is not yet decided in the literature. While some focus on measuring the habits
127 directly during the MCD (cf. the Self-Report Habit Index (SRHI) as developed by Verplanken
128 and Orbell (2003) and applied by Gardner et al. (2012) or Gutiérrez et al. (2020)), others prefer
129 to either apply statistical approaches which consider further latent and attitudinal information
130 in mode choice models (e.g. Habib and Zaman (2012)) or conduct a cluster analysis. As this
131 issue of mobility types is not our core task, we chose the cluster analysis approach. For considering
132 habits on mode and route choice decisions, as identified by Triandis (1977), Ben-Akiva and Lerman
133 (1985), Lanken et al. (1994), and for identifying mobility types that reduce unobserved individuals’
134 heterogeneity (Diana and Mokhtarian, 2009, Ben-Akiva et al., 2015, Gärling and Axhausen, 2003)
135 we apply a two-stage clustering approach.

136 Our two-stage process aligns to the one conducted by Diana (2010) and is extended with
137 more sophisticated data analysis methods used by Bishop (2006) and Witten et al. (2016). The
138 clustering aims at maximizing the distance (i.e. dissimilarity) between groups while simultaneously
139 minimizing the distance within a group. To compute the distance between two objects, we use
140 squared Euclidean distance metric – which is the sum of the squared differences in values for each
141 variable. The squared Euclidean distance between two points, x and y , is given in Equation 1.

$$d^2(x, y) = \sum_{i=1}^n (x_i - y_i)^2 \tag{1}$$

142 We use a hierarchical clustering procedure, the Ward’s method, to identify the structure in the
143 data and generate cluster centers. Subsequently, we use those cluster centers as the starting point
144 for a more robust non-hierarchical (K-means) clustering procedure (Ward Jr, 1963, Kaufman and

145 Rousseeuw, 2009).

146 In order to cluster individuals into different mobility types, we use a set of multiple variables as
147 the clustering criteria. These include the typical usage frequency of the following transportation
148 modes: bicycle, car (as a driver), car (as a passenger), walking, public transport, long distance
149 train or bus, and combination of different transport modes.

150 3.2. Extending the multinomial logit model for considering coordination costs

For testing the influence of coordination effort on MCD, we estimate a MNL model (cf. Chapter 4). These models are built on individual utility maximization framework which is consistent with random utility theory. According to random utility theory, an individual i facing J modes will choose a mode that maximizes his or her utility. The utility function, U , for the individual i choosing the j mode is given as follows:

$$U_{ij} = V_{ij} + e_{ij} \quad (2)$$

151 where V represents the deterministic part of the utility function, which depends on regressors and
152 unknown parameters. e is the standard extreme-value distributed error term. Based on this, the
153 MNL model that we estimate is given below:

$$Prob_{ij} = \frac{e^{V_{ij}}}{\sum_{k=1}^J e^{V_{ik}}} \quad (3)$$

where

$$V_{ij} = \theta_j + \beta \cdot X'_{ij} + \alpha_j \cdot Z'_i \quad (4)$$

154 X is the vector of mode-specific variables which vary with individuals and modes. Z is the

155 vector of individual-specific variables which vary only with individuals and not with modes. θ are
156 alternative-specific intercepts. β are coefficients for mode-specific variables, while α are coefficients
157 for individual-specific variables. We first estimate the standard MCD model wherein we include
158 variables which have been identified as important in influencing MCD. These include, as discussed
159 in Section 2, mode-specific variables like travel time and travel cost, and individual-specific vari-
160 ables like mobility types and socio-economic characteristics of people.

We then extend the model and include mode-specific coordination effort.

$$V_{ij} = \theta_j + \beta \cdot X'_{ij} + \alpha_j \cdot Z'_i + \gamma \cdot coord'_{ij} \quad (5)$$

161 where *coord* refers to coordination effort. γ is our main coefficient of interest. We expect γ to
162 be negative – that is, increase in coordination effort for one mode should decrease the demand for
163 that mode and increase the demand for the other modes.

164 4. Empirical data

165 Having introduced our model, this section deals with data gathering (cf. Section 4.1), the
166 description of socio-demographic information on the survey participants (cf. Section 4.2), and
167 their stated mode-specific coordination efforts (cf. Section 4.3).

168 4.1. Data gathering

169 For evaluating the research model, a questionnaire-based study was conducted in 2017 following
170 the guidelines by Krosnick (2018) and Groves et al. (2011). The target population comprises of a
171 representative share of the entire population of major German urban areas. We assumed that the
172 larger the city, the more mobility options are available and, consequently, the larger the portfolio
173 of SMTIS. We therefore selected four out of the six largest cities in Germany which provide
174 besides a very diversified public transportation system including among others metro, tramway,

175 and buses also several SMTIS opportunities such as car-sharing and advanced mobility information
176 systems. The selected cities are: Berlin (3.7 million inhabitants), Hamburg (1.8), Munich (1.5),
177 and Stuttgart (0.6).

178 The survey is composed of three thematic blocks: First, general questions on the modal choice
179 are asked. Second, specific questions on the everyday mode choice for commuting (habitual deci-
180 sion) as well as for seldom leisure trips (new decision) follow, before, third, user-specific attributes
181 are focused on (cf. Appendix D). The average time of completion has been 13 minutes. All com-
182 pletions under 5 minutes and over 50 minutes have been excluded due to data cleansing related
183 to “rushing” and “satisficing” (Groves et al., 2011). The survey was conducted online in June
184 2017 using Survey-Monkey. The representative subject pools have been acquired over two distinct
185 marketing agencies. Thereby 732 people were approached to participate and 657 sustained after
186 omitting the answers not given within the time constraint.

187 *4.2. Socio-demographics*

188 The sample’s key socio-demographic characteristics are described by gender, income, and age.
189 With regard to gender, the distribution in the sample with 53.8% female to 46.2% male participants
190 is slightly skewed in favor of female ones. The distribution with regard to income and age resembles
191 those of the empirical population (cf. Figure Appendix.1 and Appendix.2) and other surveys (cf.
192 Jamal and Habib (2019) and Chlond et al. (2013)). This, together with the distribution of SMITS
193 usage, is shown in Table 1.

194 *4.3. Mode-specific coordination effort*

195 In the survey all participants reported the coordination effort in minutes that they perceived
196 when coordinating a trip with a particular transportation mode. The results indicate the following
197 average coordination effort across different modes: walking (3.53 min.), bicycle (4.86 min.), car as
198 a driver (6.95 min.), public transport (7.95 min.), combination of different transports (8.30 min.),
199 car as a passenger (10.17 min.), and long-distance trains and buses (11.97 min.). Evidently the

Income		Age		SMITS usage	
In Euro	Distribution	In years	Distribution	Frequency	Distribution
1000 and less	13.2%	20 and less	3.8%	Seldom or never	2.4%
1001- 2000	27.0%	20-29	21.8%	1-3 times per year	6.4%
2001-3000	29.1%	30-39	25.5%	1-3 times per month	23.3%
3001-4000	17.9%	40-49	20.6%	1-3 times per week	21.6%
4001-5000	6.4%	50-59	17.5%	4-6 times per week	20.8%
5001 and more	6.5%	60-69	8.5%	Once per day	
		70 and above	2.2%	or more often	25.6%

Table 1: Distribution of main user characteristics

200 transportation modes which are under an individual’s full control – that is, under its ownership
201 – such as the feet, bicycle and individual car exhibit a lower level of average coordination effort
202 compared to the modes that are used by multiple people and are not owned and controlled by a
203 single person. This seems reasonable since pooling requires additional coordination effort to match
204 multiple entities’ needs and interest on a limited set of resources such as public transportation.

205 5. Application of the extended model

206 In the following, we estimate the models introduced in Section 3.2 in order to gain deeper
207 insights into the factors that drive MCD. The following section presents the results for mobility
208 types that are identified through cluster analysis (cf. Section 3.1). Section 5.2 presents the results
209 from the extended MNL model (cf. Section 3.2). Section 5.3 gives robustness checks.

210 5.1. Identification of mobility types through cluster analysis

211 As mentioned in Section 3.1, we use mode-specific frequency of usage as the variable to cluster
212 the survey participants. The application of Ward’s linkage method gives us a three-group clustering
213 solution. The corresponding statistics and information (Cluster dendrogram, Duda-Hart index,
214 pseudo T-squared values, and Calinski-Harabasz pseudo-F values) are provided in Appendix B.
215 We then apply a K-means clustering method, pre-specifying the number of clusters to be three.
216 The results across both the Ward’s linkage and K-means clustering methods remain mostly stable.

Cluster	bicycle	cardrive	car-passenger	multi modal	foot	public trans.	train or bus
1	1.53	1.41	0.95	1.72	3.44	3.13	0.89
2	0.57	0.18	0.21	0.20	0.53	1.32	0.05
3	0.34	3.43	0.26	0.08	0.24	0.29	0.05
Total share	0.81	1.53	0.46	0.65	1.39	1.60	0.32

Table 2: Comparison of average frequency of usage across the three clusters

217 Table 2 presents the mean value of respondents in each cluster across the seven clustering
 218 variables. The results suggest that the three clusters can be interpreted as follows:

- 219 • **Cluster 1** represents people who use walking and public transportation as the main modes
 220 of transportation. The cluster accounts for 32.3% of the overall sample.
- 221 • **Cluster 2** represents people who mostly rely on public transportation. It accounts for 38.5%
 222 of the overall sample.
- 223 • **Cluster 3** represents people who mostly drive cars. It accounts for 29.2% of the overall
 224 sample.

225 Accordingly, we name the three clusters as follows: “walkers and public transport lovers”,
 226 “public transport lovers” and “car drivers”. We assign dummies to these groups and use them in
 227 the model estimation.

228 5.2. Results from the extended MNL model estimation

229 By applying the maximum likelihood estimation method, we first estimate the classical MNL
 230 model that is used in the existing literature and analyze the MCD of people while going to work.
 231 Herein, we could not analyze the following modes due to limited observations: long distance train
 232 and buses (N=2), car as a passenger (N=14), and intermodal trips (N=38). We therefore focus on:
 233 bicycle, walking, public transport, and car driving. In the model, we include travel time, travel
 234 cost, mobility types (as identified in Section 5.1), and socio-economic characteristics of people like

235 age, income, and gender. The description of the variables is given in Table 3, and the descriptive
 236 statistics are provided in Table 4.

Variable	Description
Age	individual-specific variable with: 1 (<20 years); 2 (20-29); 3 (30-39); 4 (40-49); 5 (50-59); 6 (60-69); 7 (> 69 years).
Income	individual-specific variable with: 1 (< €1,000); 2 (€1,001-2,000); 3 (€2,001-3,000); 4 (€3,001-4,000); 5 (€4,001-5,000); 6 (>€5,001).
Gender	individual-specific dummy variable with "1" for male.
Mobility type	individual-specific dummy variable representing three types of mobility types obtained from cluster analysis: walkers and public transport lovers, public transport lovers, and car drivers.
Travel time	mode-specific variable derived from commuting distance and mode-specific velocity factors obtained from (Ahrens et al., 2014).
Travel cost	mode-specific variable derived as a product of commuting distance and mode-specific cost factors (€/km) (Hütter, 2013).
Coordination effort	mode-specific variable with: 1 (<1 min); 2 (1-2 mins); 3 (3-5 mins); 4 (6-10 mins); 5 (11-15 mins); 6 (> 16 mins).
Family size	individual-specific variable with : 1 (1 person); 2 (2-3); 3 (4-5); 4 (> 6 people).
Education	individual-specific variable with: 1 (Hauptschule, CSE); 2 (Mittlere Reife, GCSE); 3 (Abitur, A levels); 4 (Hochschulabschluss, university degree).
Employment	individual-specific dummy variable with "1" for employed.
Location of residence	individual-specific categorical variable representing the following: big city, countryside, suburbs, small city, and medium city.
Time to pub trans. from residence	individual-specific variable representing how long does it take to get to the nearest public transport connection on foot from the place of residence: 1 (<1 min); 2 (2-5); 3 (6-10); 4 (11-15); 5 (>16).
Time to pub trans. from work	individual-specific variable representing how long does it take to get to the nearest public transport connection on foot from the place of "work": 1 (<1 min); 2 (2-5); 3 (6-10); 4 (11-15); 5 (>16).
Freq. of pub trans. connections	individual-specific variable with: 1 (less than once in an hour); 2 (41-60); 3 (21-40); 4 (11-20); 5 (3-10); 6 (<2 min).
Difficulty in parking	individual-specific dummy variable with "1" for the parking being difficult.
Ownership of car	individual-specific dummy variable with "1" if individuals own a car.

Table 3: Description of variables

Variable	Mean	Std. Dev.	Min	Max
Age	4.03	1.54	1	7
Income	3.05	1.32	1	6
Travel time	1.44	1.7	0.02	8.16
Travel cost	1.29	1.63	0	7.22
Coordination effort	3.14	1.87	1	6
Family size	1.84	0.70	1	4
Education	2.70	1.03	1	4
Time to pub trans. from residence	2.32	0.84	1	5
Time to pub trans. from work	2.51	0.99	1	5
Freq. of pub trans. Connections	4.30	1.08	1	6

Table 4: Descriptive statistics for the metric variables

237 The results are presented in Table 5. We take car driving as the base outcome. The results
 238 show that income and mobility types are important determinants of MCD. All else equal, the
 239 individuals with high income will prefer to drive cars over using a bicycle and public transportation.
 240 Similarly, car lovers will prefer to drive cars as compared to using other modes. Among mode-
 241 specific variables, travel time has a significantly negative effect on MCD. Higher travel time for a
 242 particular mode would decrease the demand for that mode and increase the demand for the other
 243 modes. These results are in line with the literature which suggests that travel time, habits, and
 244 socio-economic characteristics of individuals are robust determinants of MCD (e.g. Lanken et al.
 245 (1994), Ortúzar and Willumsen (2011), and Ben-Akiva et al. (2015)).

Variable	Modes	Bicycle	Walking	Pub.Trans.
Age		-0.0831 (0.164)	0.0251 (0.215)	0.0898 (0.156)
Income		-0.540*** (0.207)	-0.392 (0.261)	-0.607*** (0.177)
Gender		0.254 (0.515)	-0.489 (0.636)	-0.598 (0.461)
Pub trans. lovers		1.375** (0.654)	-0.0812 (0.735)	0.333 (0.580)
Car lovers		-4.079*** (0.756)	-20.96*** (0.805)	-6.449*** (0.726)
Travel time	-4.587*** (0.883)			
Travel cost	-0.513 (0.323)			
Constant		3.637*** (1.337)	6.888*** (1.437)	5.385*** (1.167)
Observations	1816	1816	1816	1816
Log likelihood	-252.84	-252.84	-252.84	-252.84
No. of individuals	454	454	454	454

Note: Dependent variable is the means of transport that people use to get to their place of work/training/university or school. Multinomial logit model is used. Base outcome is car driving. Robust standard errors are reported in parentheses. *, **, *** represent that the estimates are significant at 10%, 5% and 1% levels of significance respectively.

Table 5: Mode choice decisions while going to work

246 We now estimate the model which also includes coordination effort – our main variable of
247 interest. The results of the extended model are presented in Table 6. The results support our
248 hypothesis. Coordination effort has a negative effect on MCD and this effect is significant at 1%
249 level of significance. An increase in coordination effort for a particular mode will decrease the
250 demand for that mode and increase the demand for the other modes.

Variable	Modes	Bicycle	Walking	Pub.Trans.
Age		-0.201 (0.188)	-0.326 (0.259)	0.0154 (0.170)
Income		-0.607*** (0.234)	-0.360 (0.280)	-0.571*** (0.197)
Gender		0.154 (0.587)	-0.106 (0.738)	-0.711 (0.553)
Pub trans. lovers		2.344*** (0.889)	0.749 (0.970)	1.231 (0.824)
Car lovers		-2.960*** (0.777)	-19.15*** (0.941)	-5.286*** (0.729)
Travel time	-4.010*** (0.903)			
Travel cost	-0.605* (0.329)			
Coordination effort	-0.448*** (0.143)			
Constant		3.791*** (1.304)	6.770*** (1.426)	5.079*** (1.145)
Observations	1151	1151	1151	1151
Log likelihood	-184.99	-184.99	-184.99	-184.99
No. of individuals	360	360	360	360

Note: Dependent variable is the means of transport that people use to get to their place of work/training/university or school. Multinomial logit model is used. Base outcome is car driving. Robust standard errors are reported in parentheses. *, **, *** represent that the estimates are significant at 10%, 5% and 1% levels of significance respectively.

Table 6: Mode choice decisions while going to work (including coordination effort)

251 To put the results in perspective we compute the marginal probability effects at the mean value
252 of explanatory variables. The results are presented in Table 7. The results suggest that a one point
253 decrease in coordination effort for public transportation will increase the probability of using public
254 transportation by around 9 percentage points, and reduce the probability of car driving by around
255 2 percentage points. Similarly, a one point decrease in coordination effort for bicycle and walking
256 will increase the probability of using the respective modes by 6.6 and 1.4 percentage points. The
257 corresponding decrease in car driving would be 0.4 and 0.1 percentage points respectively.

$\frac{d(prob.)}{d(coord.eff.)}$	Probability of using different modes			
	Bicycle	Walking	Public trans.	Car driving
Bicycle	.066	-.003	-.059	-.004
Walking	-.003	.014	-.011	-.001
Public trans.	-.059	-.011	.088	-.018
Car driving	-.004	-.001	-.018	.024

Table 7: Marginal effects of mode-specific decreasing coordination effort by one point on mode choice decisions

258 *5.3. Robustness checks*

259 We now undertake measures to check the robustness of our results. We first check for the
260 presence of multicollinearity which could lead to the problem of imprecise estimation. Table C1
261 in Appendix shows that the correlation among variables is low, suggesting that multicollinearity
262 is not a concern. We include other socio-economic variables in the model and test if the results for
263 coordination effort remain consistent. These include the level of education, employment status, and
264 family size. The results, as presented in Table C2 in the Appendix, show that coordination effort
265 is still a significant determinant of MCD. We also checked the robustness of results by controlling
266 for location of residence, public transport connections and frequencies, parking situation at work,
267 and ownership of car (see Table C3 in the Appendix). These results, as well as further regressions
268 which are not indicated here, indicate a robust negative influence of coordination effort for all the
269 tested models.

270 Until now, we have analyzed people’s MCD while going to work (habitual decision). We now
271 analyze if coordination effort influences the mode choice of people while going to new destinations
272 (new decision, often a leisure event). We estimate a model where the dependent variable is the
273 mode of transport that the survey participants used while going to a leisure event in the past. We
274 include coordination effort in the model along with all the core independent variables. The results,
275 as presented in Table C4 in the Appendix, show again that coordination effort has a negative effect
276 and that the effect is significant at 1% level of significance.

277 The overall results suggest that coordination effort is indeed an important variable that in-

278 fluences MCD and should not be neglected as has been done in the existing studies. Including
279 coordination effort in MCD models is important for studying the mode decisions of people in a
280 comprehensive manner.

281 **6. Discussion and implications**

282 With the results at hand this section discusses the implications. We structure this section
283 according to the two research questions from Section 1.

284 *6.1. RQ1: How significant is the influence of habits (i.e., individual mobility type) on MCD?*

285 While the influence of habitual behavior has often been shown to have an influence on MCD
286 by many studies (cf. Section 2), our findings extend the literature for the case of German urban
287 citizens. As indicated in Section 5.1, our clustering approach shows unique mobility types which
288 can be clearly separated. In these German cities public transportation seems to play a major
289 role as the two largest clusters include public transportation, i.e. Cluster 1, Walkers and Public
290 Transport Lovers, and Cluster 2, Public Transport Lovers. The third cluster is dominated by car
291 drivers. Considering the influence of these mobility types, our findings provide empirical support
292 that the inclusion of habits represented by mobility types has a significant impact on MCD.

293 *6.2. RQ2: Do mode-specific coordination costs have an impact on the MCD and how can we*
294 *integrate them into conventional MCD models?*

295 Our dedicated survey on coordination costs among German urban citizens (cf. Section 4) and
296 the corresponding evaluation of the MNL model (cf. Section 5.2) showed that there is a mode-
297 dependent negative influence of coordination costs on the MCD. The strong elasticity (i.e. a one
298 point decrease in coordination effort for public transportation increases the probability of using
299 public transportation by around nine percentage points) is providing a significant leverage for pol-
300 icymakers to focus on measures for reducing coordination costs of environmentally friendly modes,
301 such as public transport. This insight contributes to the current challenges of the car-congested

302 urban environments and the ongoing discussions in the literature since it supports the hypothesis
303 derived from studies by previous researchers (cf. Section 2).

304

305 By affirming RQ2 and as SMTIS seems to reduce and equalize all coordination costs (cf. Sec-
306 tions 1 and 2), we conclude that SMTIS have a substantial potential for contributing to sustainable
307 transport systems by influencing MCD in car-dominated urban areas. This insight is in line with
308 Polydoropoulou (1997). Policy makers may provide convincing and sustainable modes (such as a
309 convenient public transportation system, prioritized bicycle lines, ride-sharing services, etc.) in
310 order to accelerate this process.

311 *6.3. Limitations of our study and future work*

312 One core limitation of our study is that it is based on stated preferences and does not use
313 empirical data of MCD. This might be an interesting subject for future research. Second, the
314 survey is cross sectional and hence does not consider the developments over time. Furthermore, we
315 identified a weak correlation (with only few observations for long distance trips) between coordina-
316 tion effort and trip distance, hence, coordination costs seem to be mode-distance-specific. Further
317 analysis on this seems promising. Additionally, analyzing the determinants of coordination costs
318 (e.g. SMTIS) using the empirical data from smartphones (cf. Thomas et al. (2019)) might be of
319 interest for further research.

320 **7. Conclusions**

321 German modal split is still dominated by car trips. Most car addicted traffic participants seem
322 to choose this mode, because of their habits. Hence, the habits have a substantial influence on
323 our mode choices today. Until the late nineties, classical mode choice modelling focused mainly
324 on travel costs, travel time, and socio-demographic variables. The studies since then have also
325 operationalised the habitual mobility behaviour.

326 We considered this trend in our approach and integrated mobility types in our multinomial
327 logit based MCD model. Furthermore, we extended this approach by an additional and a very
328 decisive factor: the coordination costs of different mode choices. With our dedicated survey of
329 German urban citizens we established a unique basis for proving this concept. Our findings are
330 threefold:

- 331 1. We confirmed current insights in literature by identifying habit-based mobility types for
332 German cities, which show significantly different mode preferences.
- 333 2. We developed a method for measuring mode-specific coordination costs and integrated them
334 into a current mode choice decision model.
- 335 3. We proved that these coordination costs have a substantial influence on the mode choice
336 decisions in German cities.

337 From these findings, we conclude that SMTIS will influence mode choice decisions and may
338 contribute significantly to more sustainable urban mobility systems. A stronger effort to implement
339 attractive SMTIS along with the availability of convenient alternative modes, especially in car-
340 dominated regions, might accelerate our transition to a more sustainable mobility system.

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506 **Appendix A: Histograms of main user characteristics from our survey compared to**
 507 **the empirical population**

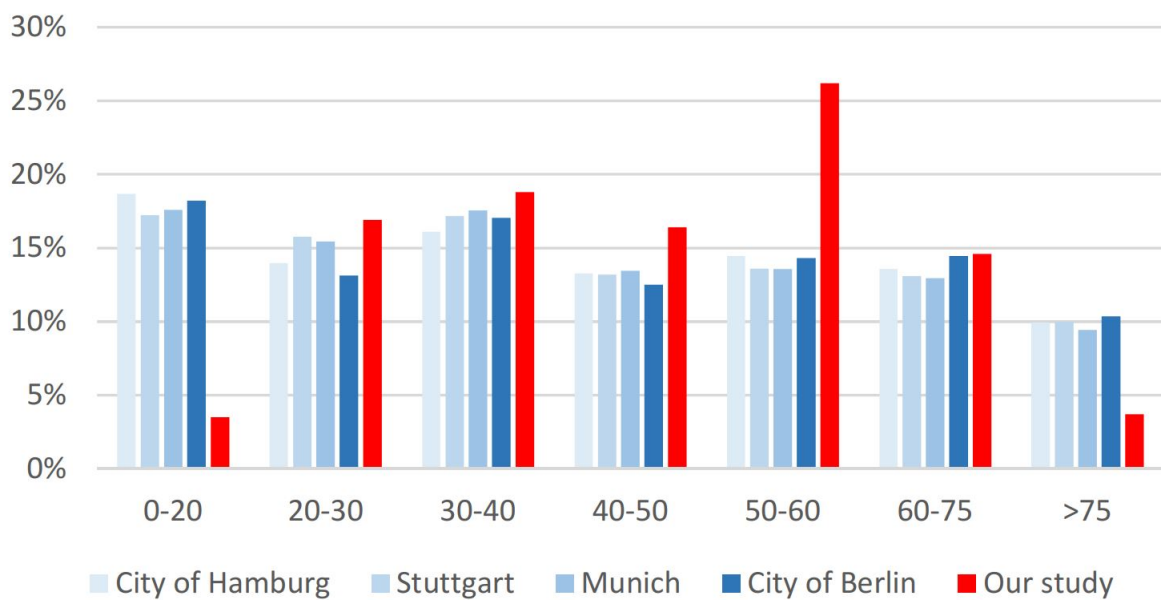


Figure Appendix.1: Histogram of the age of our survey participants compared to the empirical age in the cities considered. As we focus on the 'independent' mobile population (aged between 18 and 80) the group below 20 and above 75 is underrepresented by our data. Unfortunately, the age group of users between 50 and 60 years old is somewhat overrepresented. This impact on the overall results is, however, marginal.

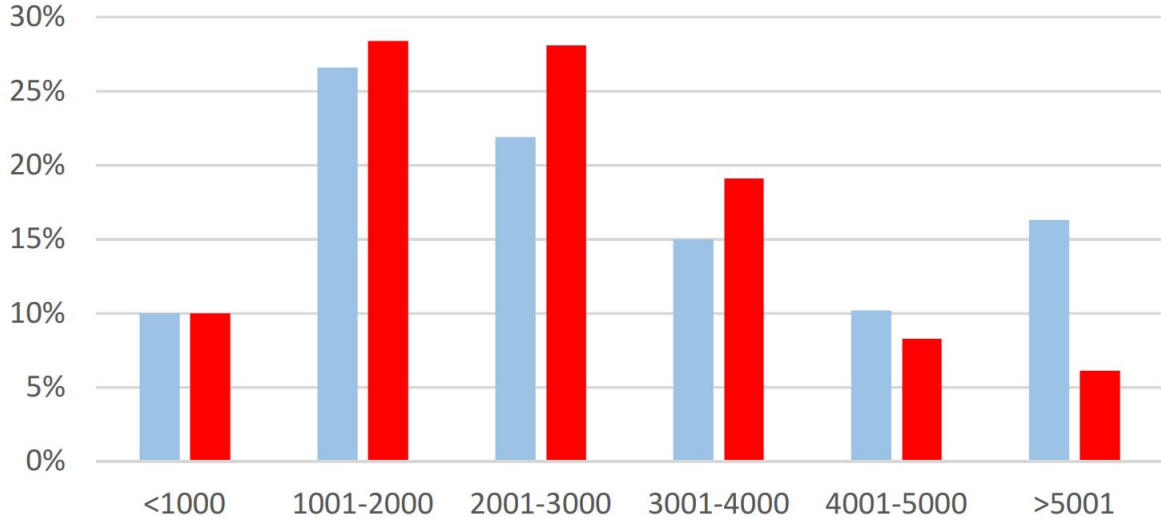


Figure Appendix.2: Histogram of the household net income (in €) of our survey participants (in red) compared to the empirical distribution for Germany (in green). People with very high income are somewhat underrepresented while the middle-income group is overrepresented. This bias seems not influencing our results significantly. There is no available data on city-level.

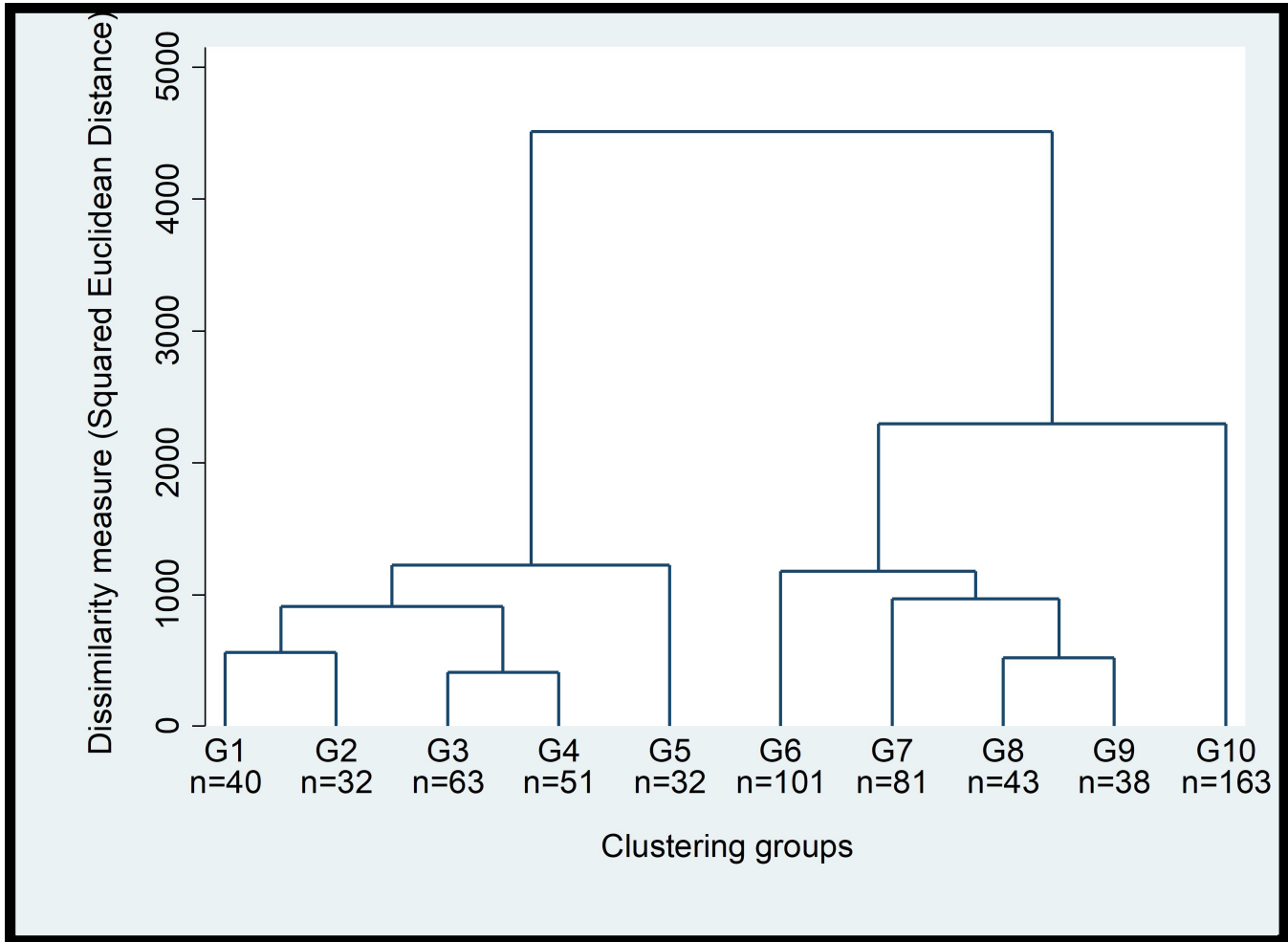


Figure Appendix.3: Cluster Dendrogram

No. of clusters	Je(2)/Je(1)	T^2
1	0.7428	222.27
2	0.6774	201.91
3	0.7924	56.61
4	0.7288	97.15
5	0.6670	79.89
6	0.7752	53.36
7	0.5329	61.35
8	0.6347	45.47
9	0.7878	30.17
10	0.8094	14.36

Table B1: Duda Hart criteria

No. of clusters	Calinski/Harabasz pseudo-F
2	222.27
3	203.28
4	180.32
5	176.74
6	176.47
7	182.63
8	179.92
9	180.08
10	178.98
11	172.77

Table B2: Using Calinski/Harabasz pseudo F values

509 **Appendix C: Description of variables and further results for the extended MNL model**

	Travel time	Travel cost	Coordination effort	Age	Income	Male
Travel time	1.00					
Travel cost	-0.11*	1.00				
Coordination effort	0.14*	0.16*	1.00			
Age	-0.01	-0.01	-0.04*	1.00		
Income	0.05*	0.05*	-0.02	0.05*	1.00	
Male	0.00	0.00	-0.07*	0.28*	0.12*	1.00

Table C1: Correlation Matrix

Variable	Modes	Bicycle	Walking	Pub.Trans.
Age		-0.137 (0.217)	-0.319 (0.303)	0.0895 (0.203)
Income		-0.709*** (0.269)	-0.491 (0.333)	-0.767*** (0.235)
Gender		0.135 (0.607)	-0.114 (0.730)	-0.697 (0.564)
Pub trans. lovers		2.307*** (0.844)	0.718 (0.939)	1.196 (0.769)
Car lovers		-2.915*** (0.789)	-19.43*** (0.994)	-5.278*** (0.686)
Family size		0.380 (0.454)	0.331 (0.554)	0.554 (0.421)
Education		0.136 (0.282)	0.155 (0.375)	0.293 (0.247)
Employment		-0.603 (0.897)	-0.189 (1.065)	-0.285 (0.984)
Travel time	-4.097*** (0.990)			
Travel cost	-0.615* (0.353)			
Coordination effort	-0.430*** (0.145)			
Constant		3.332** (1.633)	6.328*** (2.049)	3.846*** (1.396)
Observations	1148	1148	1148	1148
Log likelihood	-184.97	-184.97	-184.97	-184.97
No. of individuals	359	359	359	359

Note: Dependent variable is the means of transport that people use to get from their place of residence to the leisure event. Multinomial logit model is used. Base outcome is car driving. Robust SE are reported in parentheses.

Table C2: Mode choice decisions while going to work (controlling for other socio-economic characteristics)

Variable	Modes	Bicycle	Walking	Pub.Trans.
Age		-0.187 (0.233)	-0.576** (0.287)	0.111 (0.184)
Income		-0.616** (0.259)	-0.00789 (0.316)	-0.534** (0.216)
Gender		0.295 (0.627)	-0.0582 (0.909)	-0.585 (0.575)
Pub trans. lovers		2.612*** (1.007)	1.549 (1.051)	1.073 (0.936)
Car lovers		-2.399*** (0.841)	-17.13*** (0.903)	-4.882*** (0.753)
Residence in countryside		-14.25*** (1.403)	2.732** (1.385)	0.809 (1.266)
Residence in suburbs		0.00752 (0.831)	0.0627 (1.071)	-0.0749 (0.744)
Residence in small city		-0.671 (1.196)	0.783 (1.824)	-0.837 (1.168)
Residence in medium city		-0.275 (0.879)	0.514 (0.976)	-1.793* (0.921)
Time to pub trans. from residence		-0.401 (0.470)	0.0149 (0.746)	-0.343 (0.476)
Time to pub trans. from work		0.0732 (0.387)	-0.399 (0.568)	0.354 (0.365)
Freq. of pub trans. connections		0.886 (0.571)	1.052 (0.663)	0.0135 (0.348)
Difficulty in parking		0.154 (0.662)	0.483 (0.860)	0.849 (0.646)
Ownership of car		-1.411* (0.846)	-2.620*** (0.980)	-2.140*** (0.735)
Travel time	-3.678*** (0.930)			
Travel cost	-0.639* (0.367)			
Coordination effort	-0.477*** (0.162)			
Constant		1.089 (3.087)	2.889 (3.796)	5.736** (2.580)
Observations	987	987	987	987
Log likelihood	-142.01	-142.01	-142.01	-142.01
No. of individuals	304	304	304	304

Note: Dependent variable is the means of transport that people use to get from their place of residence to the leisure event. Multinomial logit model is used. Base outcome is car driving.

Robust SE are reported in parentheses.

Table C3: Mode choice decisions while going to work (controlling for residence and workplace characteristics and the access to infrastructure)

Variable	Modes	Bicycle	Walking	Pub.Trans.
Age		-0.145 (0.186)	-0.332 (0.300)	0.0445 (0.103)
Income		0.166 (0.206)	-0.374 (0.383)	0.001 (0.115)
Gender		0.187 (0.527)	-0.423 (0.819)	-0.116 (0.298)
Pub trans. lovers		-0.101 (0.605)	-1.152 (1.199)	0.349 (0.368)
Car lovers		-2.148** (0.970)	-0.607 (1.151)	-0.983*** (0.341)
Travel time	-9.548*** (2.872)			
Travel cost	-1.818** (0.741)			
Coordination effort	-0.593*** (0.118)			
Constant		1.055 (0.970)	6.222*** (1.961)	2.242*** (0.633)
Observations	1079	1079	1079	1079
Log likelihood	-224.14	-224.14	-224.14	-224.14
No. of individuals	370	370	370	370

Note: Dependent variable is the means of transport that people use to get from their place of residence to the leisure event. Multinomial logit model is used. Base outcome is car driving. Robust standard errors are reported in parentheses. *, **, *** represent that the estimates are significant at 10%, 5% and 1% levels of significance respectively.

Table C4: Mode choice decisions while going to the leisure event

510 **Appendix D: Questions of the survey**

511 Dear Reviewer, please click on the Supplementary Material below. In the final manuscript, the
512 survey questions will be directly included here.