

# Sound Production due to Swirl-Nozzle Interaction: Model-Based Analysis of Experiments

L. Hirschberg\*

*German Aerospace Center, 10623 Berlin, Germany*

S. J. Hulshoff†

*Delft University of Technology, 2629 HS Delft, the Netherlands*

F. Bake‡

*German Aerospace Center, 10623 Berlin, Germany*

**Indirect noise due to the interaction of flow inhomogeneities with a choked nozzle is an important cause of combustion instability in solid rocket motors and is believed to be important in aircraft engines. A previously published experiment demonstrated that interaction of a nozzle with time-dependent axial swirl can also be a source of sound. This axial swirl was generated by intermittent tangential mass injection upstream from a choked nozzle in a so-called Vortex Wave Generator. The present work discusses the impact of swirl-nozzle interaction in this experiment on the acoustic waves detected downstream of the nozzle. The main source of sound appears to be the reduction in mass flux through the choked nozzle, which depends quadratically on the swirl number. This effect is quantitatively predicted by a quasi-steady and quasi-cylindrical analytical model. The model, combined with empirical data for the decay of axial swirl in pipe flows, predicts the observed influence of the distance between the Vortex Wave Generator and the nozzle. The findings presented here contradict the hypothesis found in the literature, which presumes that sound production in the above-mentioned experiment is due to the acceleration of vorticity waves through the nozzle.**

## Nomenclature

$A_1$	=	upstream pipe section cross-sectional surface area, $m^2$
$A_2$	=	downstream cross-sectional surface area, $m^2$
$A(x)$	=	cross-sectional surface at axial position $x$ , $m^2$
$A_{th}$	=	cross-sectional surface in the throat, $m^2$
$c$	=	local sound speed, $m \cdot s^{-1}$
$c^*$	=	critical sound speed, $m \cdot s^{-1}$
$c_\theta$	=	sound speed in injection reservoir, $m \cdot s^{-1}$
$c_r$	=	reservoir sound speed, $m \cdot s^{-1}$
$c_{th}$	=	sound speed at nozzle throat, $m \cdot s^{-1}$
$c_2$	=	sound speed in downstream section, $m \cdot s^{-1}$
$c_p$	=	specific heat at constant pressure, $J \cdot kg^{-1} \cdot K^{-1}$
$c_v$	=	specific heat at constant volume, $J \cdot kg^{-1} \cdot K^{-1}$
$f_1$	=	upstream quarter-wavelength oscillation frequency, Hz
$f_2$	=	downstream quarter-wavelength oscillation frequency, Hz
$L_1$	=	upstream pipe section length, m
$M$	=	Mach number
$M_2$	=	Mach number in downstream section

\*DLR-DAAD Postdoctoral Fellow, German Aerospace Center, Institute of Propulsion Technology, Engine Acoustics, Mueller-Breslau-Straße 8, 10623 Berlin, Germany, AIAA Member.

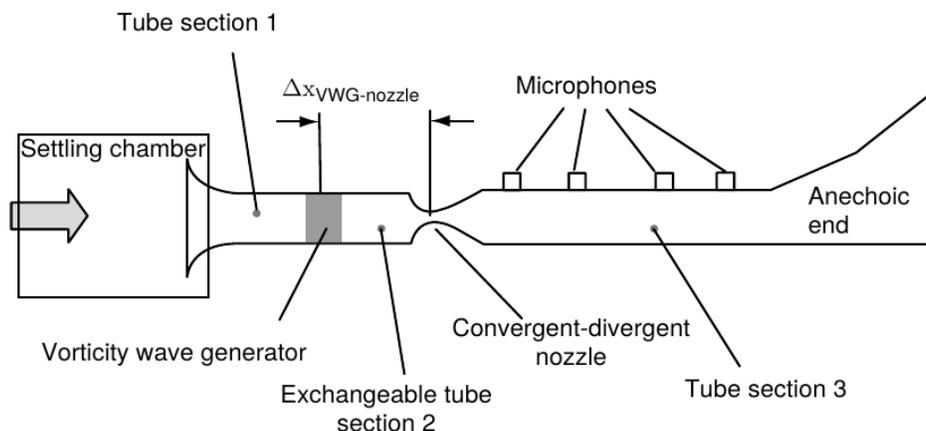
†Assistant Professor, Faculty of Aerospace Engineering, Delft University of Technology, Kluyverweg 1, 2629 HS Delft, the Netherlands

‡Team Leader Combustion Acoustics, German Aerospace Center, Institute of Propulsion Technology, Engine Acoustics, Mueller-Breslau-Straße 8, 10623 Berlin, Germany, AIAA Member.

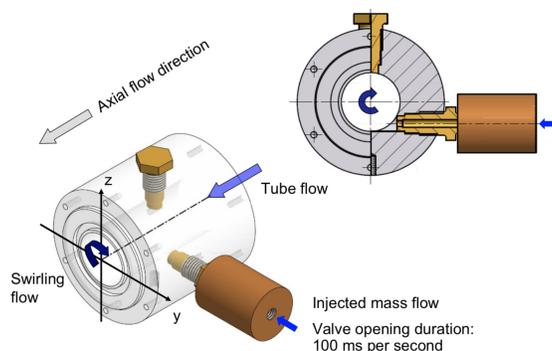
$M_{th}$	=	Mach number in the throat
$\dot{m}^*$	=	critical quasi-one-dimensional isentropic irrotational mass flux, $\text{kg} \cdot \text{s}^{-1}$
$\dot{m}_1$	=	mass flux in upstream section, $\text{kg} \cdot \text{s}^{-1}$
$\dot{m}_{st}$	=	stationary mass flux, $\text{kg} \cdot \text{s}^{-1}$
$\dot{m}_{th}$	=	mass flux through throat, $\text{kg} \cdot \text{s}^{-1}$
$\dot{m}_\theta$	=	mass flux of tangential injection, $\text{kg} \cdot \text{s}^{-1}$
$\delta\dot{m}_{st}/\dot{m}^*$	=	quasi-steady relative mass flux reduction
$\delta\dot{m}/\dot{m}^*$	=	relative mass flux variation
$p'_2$	=	downstream acoustic pressure pulse, Pa
$p_r$	=	reservoir pressure, Pa
$p_\theta$	=	injection reservoir pressure, Pa
$p_{atm}$	=	atmospheric pressure, Pa
$r$	=	radial coordinate, m
$R$	=	specific gas constant, $\text{J} \cdot \text{kg}^{-1} \cdot \text{K}^{-1}$
$R_1$	=	upstream pipe section radius, m
$R_\theta$	=	injector outlet surface radius, m
$R_{th}$	=	nozzle throat radius, m
$Re_1$	=	upstream Reynolds number
$S$	=	swirl number
$S_0$	=	stationary back ground swirl
$S_1$	=	upstream swirl number
$S_{1,vwg}$	=	swirl number at the injection point
$S_{th}$	=	throat swirl number
$t$	=	time, s
$T_r$	=	reservoir temperature, K
$T^*$	=	critical temperature, K
$T_{th}$	=	temperature at nozzle throat, K
$u'_2$	=	acoustic velocity fluctuation in downstream section, $\text{m} \cdot \text{s}^{-1}$
$u_x$	=	axial velocity, $\text{m} \cdot \text{s}^{-1}$
$u_2$	=	axial velocity in downstream section, $\text{m} \cdot \text{s}^{-1}$
$u^*$	=	critical velocity, $\text{m} \cdot \text{s}^{-1}$
$u_\theta$	=	azimuthal velocity due to tangential air injection, $\text{m} \cdot \text{s}^{-1}$
$x$	=	axial coordinate, m
$V_{set}$	=	settling chamber volume, $\text{m}^3$
$\beta$	=	exponential swirl-decay rate
$\gamma$	=	specific heat ratio, $\gamma \equiv c_p/c_v$
$\Delta x$	=	distance in the stream-wise direction from the tangential injector, m
$\mu$	=	dynamic viscosity, $\text{Pa} \cdot \text{s}$
$\rho$	=	local density, $\text{kg} \cdot \text{m}^{-3}$
$\rho^*$	=	critical density, $\text{kg} \cdot \text{m}^{-3}$
$\rho_1$	=	upstream density, $\text{kg} \cdot \text{m}^{-3}$
$\rho_2$	=	density in downstream section, $\text{kg} \cdot \text{m}^{-3}$
$\rho_\theta$	=	injection air density, $\text{kg} \cdot \text{m}^{-3}$
$\tau_{set}$	=	settling chamber pressure variation time scale, s

## I. Introduction

A key source of noise in turbulent combustion is unsteady gas expansion, which produces what is known as direct-combustion noise [1–4]. However, significant levels of indirect-combustion noise can also be produced by flow inhomogeneities. These include patches of fluid with differing entropy arising from non-uniform combustion, discrete vortices arising from unsteady flow separation, and compositional inhomogeneities arising from incomplete mixing, dilution, and variations in gas composition [1–4]. When such inhomogeneities leave the combustion chamber through a nozzle, sound waves are generated, some of which travel back into the chamber while others radiate outward. The sound waves returning to the combustion chamber can produce new inhomogeneities, which can result in a feedback



**Fig. 1 Schematic of the Vortex Wave Generator experimental setup. Figure taken from Ref. [17].**



**Fig. 2 The Vorticity Wave Generator module (VWG).**

loop which destabilises the combustion process. Waves radiated outward are also undesirable, as they contribute to environmental noise. Indirect-combustion noise due to entropy patches it is referred to as “entropy noise”, due to compositional inhomogeneities as “compositional noise”, and due to vortices as “vorticity noise” [1–3].

Of the three indirect-combustion types, entropy noise has been the most extensively studied [1, 2], and has been experimentally observed in isolation [5]. Compositional noise has only received attention more recently [3, 4]. Vorticity noise, on the other hand, has not been the focus of great research interest. Consequently, entropy noise and indirect combustion noise are often taken to be synonymous [1]. Nonetheless, as Morgans and Duran [1] state : “. . . the term ‘indirect combustion noise’ refers to the noise generated by the acceleration of both entropy and vorticity waves. The acceleration of vorticity waves also generates sound, . . .” Dowling and Mahmoudi explain [2] : “combustion also generates unsteady shear leading to vorticity perturbations, which also convect and generate pressure perturbations as they accelerate through the turbine nozzle guide vanes. . . . Acceleration of entropy and vorticity waves in the choked nozzle results in generation of pressure waves that propagate upstream . . . and downstream from the turbine stage as indirect combustion noise.” Vorticity noise is known to play a major role in the establishment of self-sustained pressure pulsations in large Solid Rocket Motors [6–16], and is believed to be a possible indirect-combustion noise source in aircraft engines and turbine combustors [1, 2, 17, 18].

Kings and Bake [17] performed a series of unique experiments with the aim of advancing the fundamental understanding of vorticity noise. These experiments were performed using a modified version of the experimental setup used for earlier canonical Entropy Wave Generator experiments [5]. A schematic of the setup is shown in Fig. 1. Specifically, the heating module in the Entropy Wave Generator experimental setup was replaced by a Vorticity Wave Generator module (VWG), shown in Fig. 2. Since it is difficult to distinguish between entropy and vorticity noise in experiments involving combustion, these experiments were performed using a cold gas (i.e. without combustion) in an attempt to observe vorticity noise in isolation.

Kings and Bake [17] hypothesized that the sound production in the experiments was due to acceleration of vorticity

through the nozzle [17]. Indeed, in their conclusion Kings and Bake [17] stated : “*The generation of vortex noise due to acceleration of artificial vorticity waves has been demonstrated in a model test rig.*” This assertion was likely inspired by Howe’s and Liu’s [19] paper. However, it was not based on the actual application of Howe’s and Liu’s [19] model. Howe and Liu [19] presented a low Mach number theory for the interaction of linear vorticity waves with a duct contraction [19]. The nozzle in Kings and Bake’s experiment [17] was choked, i.e., the flow was transonic. Furthermore, in the set of experiments considered here, a strong swirl was suddenly introduced into a swirl-free initial choked nozzle flow state. This, as will be argued in this paper, induces an essentially nonlinear (in terms of swirl) aero-acoustic response of the system. Thus, although Howe’s and Liu’s [19] paper is certainly interesting, the authors believe that the model is not applicable to the experiment reported in Ref. [17].

Kings [20, 21] also obtained results with a different VWG module than the one used for experiments in Ref. [17]. In Ref. [20], Kings reported acoustic signals obtained with VWG1 and VWG2 modules, respectively. The VWG1 module is the same as was used for the results in Ref. [17], but with a single tangential-injection port as shown in Fig. 2. VWG2 was different, it had eight tangential-injection ports, four of which injected permanently, establishing a stationary background flow with swirl  $S_0$ . The other four injectors were used to perturb the stationary swirling background flow by periodic unsteady air injection. For the most part, the VWG2 experiments are not analyzed in the present paper. Kings [21] performed radial injection experiments too. The acoustic response obtained with radial injection was strikingly different than the ones obtained with tangential injection. Specifically, the downstream response obtained with radial injection had the opposite sign and was an order of magnitude lower in amplitude. Thus, tangential injection was essential for the strong acoustic response reported in Refs. [17, 20].

Kings’ Ph.D. thesis [20] reported hot-wire measurements of the velocity field performed upstream from the nozzle and downstream from the injection port, in a measurement plane perpendicular to the streamwise direction. Unfortunately these measurements do not include the flow in a 3 mm layer on the pipe wall, where a thin wall-bounded jet is expected. Another drawback of the hot-wire measurements is that these only measured absolute values of the velocity.

In the literature no attempts at model-based analysis of Kings’ and Bake’s [17] experiments, obtained with a VWG1 module were found. In this paper, for the first time, such analysis is proposed.

For the VWG2 experiments, only one attempt at model-based analysis, by Ullrich et al. [18], was found in the literature. Ullrich et al. [18] did not model the response to the unsteady change in swirl  $\delta S$  due to periodic injection. Instead a steady RANS solution for the flow resulting from constant injection through the four injection ports is used as a base flow for linearized Navier-Stokes simulations in the frequency-domain. In the latter, vorticity waves were removed upstream of the nozzle using an analytical body-force term. While Ullrich’s et al. [18] approach is interesting in its own right, it does not explicitly relate the experimentally-observed sound production to the experimentally set driving parameters. In contrast to Ullrich’s et al. [18] numerical simulation based approach, in the present paper the authors have sought a highly simplified analytical model.

In section II, the experiment is described in more detail. A discussion concerning the generated swirling flow is provided in section III. A quasi-steady model for sound production due to interaction of the swirl component of the flow with the nozzle is presented and used for the analysis of the experimental results, in section IV.

## II. The Vorticity Wave Generator Experiment

In section II.A, the experimental setup used by Kings and Bake [17] is described in detail. In section II.B Kings’ and Bake’s [17] recorded signal is discussed.

### A. Experimental Setup

In Fig. 1 a schematic sketch of the experimental setup is shown. The upstream part of the setup consisted of an upstream settling chamber (with a volume of 4.6 l) with a bell-mouth inlet to a tube section. The tube section had a 15 mm radius and 100 mm length (tube section 1 in Fig. 1). A single Vorticity Wave Generator (VWG1) was connected to the downstream end of this tube section. The VWG1 was followed by a uniform exchangeable tube of radius 15 mm (section 2 in Fig. 1). Tube lengths of 50, 100, and 200 mm could be used, resulting in short, medium and long configurations. After section 2 was a converging-diverging nozzle with throat diameter 7.5 mm and surface contraction ratio 1/16. Downstream from the nozzle was a uniform tube with a radius of 20 mm and a length of 1020 mm referred to as the “microphone section.” Four microphones (GRAS 40BP 1/4" Ext. Polarized Pressure Microphones) were mounted flush in its walls. These were used to detect pressure waves generated by flow structure nozzle interaction. An “anechoic”

termination\* was connected to the microphone section by a flexible tube (radius 20 mm and 980 mm length). The effect of acoustic reflections from this termination (at low frequencies) is discussed in section II.B.

A stationary non-swirling axial base flow was created by imposing a mass-flow rate of  $41 \text{ kg} \cdot \text{h}^{-1}$  in the settling chamber. At this mass-flow rate, choked nozzle conditions were obtained with a reservoir pressure  $p_r = 1.114 \text{ bar}$ . This imposed an upstream nominal inlet Mach number of  $M = 3.67 \times 10^{-2}$ . The downstream section pressure was atmospheric with a Mach number of  $M_2 = 2.2 \times 10^{-2}$ .

Within VWG1, a small nozzle of outlet radius 1.5 mm was used to tangentially inject gas into the base flow. The injection was done using a fast-switching valve for the first 0.1 s of the 1s experiment time. The opening and closing times of the valve were on the order of 2.5 ms (further details about the fast-switching valve can be found in Ref. [24]). For the experimental results analyzed in this text (Fig. 4 subsection II.B), the tangential injection reservoir pressure  $p_\theta$  was varied between 3 bar and 5 bar.

The mass injection rates reported by Kings and Bake [17, 20, 21] should actually have been determined using the reservoir pressure  $p_\theta$  of the injection system. As is detailed in appendix A, the initial tangential mass-injection rate is estimated to be about  $30 \text{ kg} \cdot \text{h}^{-1}$  for  $p_\theta = 5 \text{ bar}$ . The flow meter had a response time of the order of 2 s, and was therefore inadequate to measure fast varying mass flows. Therefore, the authors use the reservoir pressure  $p_\theta$  in the tangential injection system to specify the flow conditions of the tangential-injection experiments.

In Fig. 2, one can see a closed radial injection port. As radial injection does not generate a swirling flow component, Kings used it to study "direct noise" caused by the radial injection of gas into a stationary choked flow [21]. Kings showed that when the nozzle is critical, most of the direct noise due to radial gas injection is reflected back upstream, while only a small part travels downstream through the sonic line. For radial injection with  $p_\theta = 5 \text{ bar}$  into the same base flow as used for the tangential injection experiments, Kings reported a positive pressure signal (measured with microphone 2) with an amplitude of ca. 10 Pa.

## B. Recorded Acoustic Signal

The pressure signals due to tangential air injection reported by Kings and Bake [17] are shown, in Figs. 3 and 4. The signals were recorded with the second microphone downstream from the nozzle throat, referred to as microphone 2. The distance between the nozzle throat and microphone 2 was 730 mm. In the plots the pressure signal  $p'_2$  is shown as a function of time. As described in Ref. [17], the trigger signal to open and close the injection valve was given at the times  $t = 0.1 \text{ s}$  and  $t = 0.2 \text{ s}$ , indicated in the figures with vertical dashed black lines.

The section 2 pipe lengths considered were: 50 mm (short configuration, used for the blue line in Fig. 3), 100 mm (medium configuration, red line in Fig. 3 and used for the results shown in Fig. 4) and 200 mm (long configuration, used for the green line in Fig. 3). The signals in Fig. 4 were obtained using the medium configuration with six reservoir pressures of the tangential mass-injection system in the range  $3 \text{ bar} < p_\theta < 5 \text{ bar}$  (absolute).

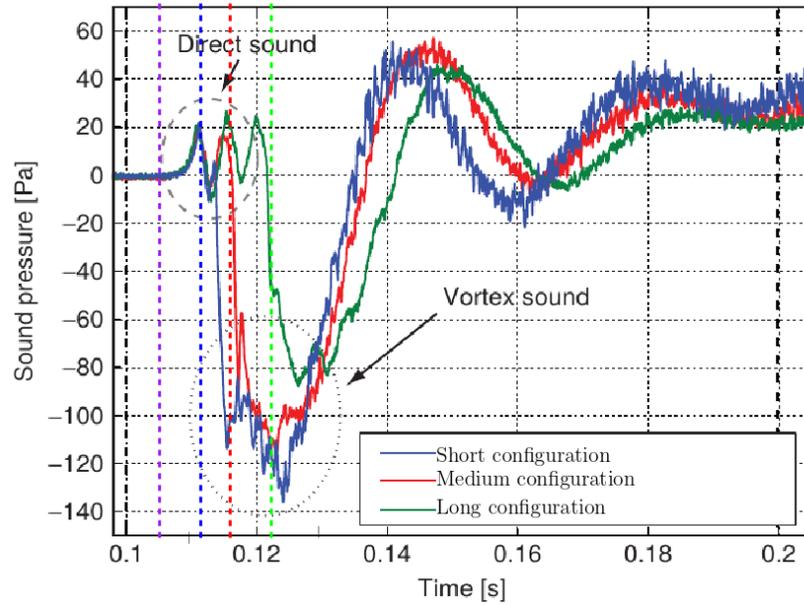
Fig. 3 shows the influence of a varied section 2 pipe length on the first part of the experimentally-obtained signal. The beginning of the strong acoustic pressure peak induced by the entrance of the swirl into the nozzle (indicated by the blue, red and green vertical lines in Fig. 3) occurs with a time delay relative to the beginning of the direct sound generated by the unsteady volume injection at 0.105 s (purple vertical dashed line). This time delay can be estimated as the convection time between the injection point and the nozzle inlet. For the three configurations, this distance was 85mm, 135 mm and 235 mm, respectively. The main flow velocity of  $12.5 \text{ m} \cdot \text{s}^{-1}$  ( $M = 3.67 \times 10^{-2}$ ) lead to convective delays of 6.8 ms, 10.8 ms and 18.8 ms. The sound generated by vorticity noise should therefore begin at  $t = 0.112 \text{ s}$ , 0.116 s and 0.124 s, respectively. This agrees qualitatively with the experimental results shown in Fig. 3, confirming that the observed negative acoustic peak is due to the arrival of the vortex at the nozzle.

At  $t \approx 0.13 \text{ s}$  one observes a negative acoustic pressure peak difference of 40 Pa between the results obtained with the long configuration those of the short configuration. This difference can be explained by swirl decay in the upstream tube and is expanded upon in sections III.B and IV.

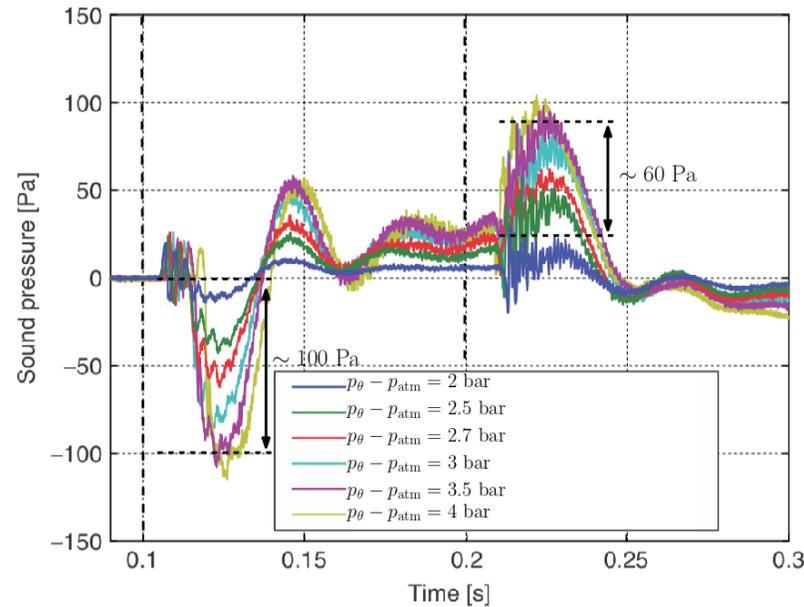
For a reservoir pressure of 5 bar in the injection system, an oscillating signal with a frequency of  $2 \times 10^2 \text{ Hz}$  is observed ca. 5 ms after the valve is opened. In the legend of Fig. 4, the pressure difference between the reservoir pressure in the injection system  $p_\theta$  and the atmospheric pressure  $p_{\text{atm}}$  is indicated.

This first recorded signal is due to a quarter-wavelength oscillation, caused by the abrupt start of tangential mass injection in the pipe section upstream of the nozzle throat (in Fig. 1: tube section 1, VWG1, and section 2). This signal is referred to as direct sound, because it does not involve the interaction of the nozzle with the structure

\*The termination was found not be completely anechoic in numerical studies of Bake's EWG experiment [5, 22, 23]. Specifically, the termination is not anechoic at very low frequencies.



**Fig. 3 Influence of section 2 length on acoustic signal, where the blue, red and green lines are for 50 mm (short), 100 mm (medium) and 200 mm (long) section lengths. Results were obtained with for  $p_{\theta} - p_{atm} = 4$  bar. Original figure taken from Ref. [17] and annotated.**



**Fig. 4 Downstream recorded pressure signal due to swirl-nozzle interaction. Results were obtained with the medium configuration. Annotated image, original taken from Ref. [17].**

generated by tangential mass injection. Section 1, the VWG1 module and section 2 have a combined length of 0.220 m, 0.270 m and 0.370 m, for the short, medium and long configurations, respectively. Assuming an end correction for the open side of the tube (at bell-mouth inlet) of 0.03 m, one can estimate the frequency of a quarter-wavelength oscillation in the upstream pipe sections. Using  $f_1 = c/(4L_1)$ , one estimates quarter-wavelength oscillation frequencies of  $3.4 \times 10^2$  Hz,  $2.8 \times 10^2$  Hz and  $2.1 \times 10^2$  Hz for the short, medium and long configurations, respectively. Essentially, these correspond to what was experimentally observed. Specifically, using fig. 3 one estimates for the short, medium and long configurations direct noise quarter-wavelength oscillation frequencies of  $3.4 \times 10^2$  Hz,  $2.6 \times 10^2$  Hz and  $2.2 \times 10^2$  Hz.

After this signal, there is a second lower-frequency (28 Hz) strongly-damped acoustic pressure signal (Fig. 4). Initially this has a strong negative peak, related to a decrease of mass flux through the nozzle throat when the generated swirling flow structure enters the nozzle. The following 28 Hz oscillation corresponds to a strongly damped quarter-wavelength in the part of the experimental setup downstream from the nozzle throat. It is most pronounced for  $p_\theta - p_{\text{atm}} = 4$  bar. With an effective diffusor section length of  $0.250/3 \text{ m} \approx 8 \times 10^{-2} \text{ m}$ , microphone section length of 1.02 m, flexible tube length of 0.98 m and assuming the termination module to have an acoustic-effective length of 0.92 m, one estimates  $f_2 \approx 340 \text{ m} \cdot \text{s}^{-1}/(4 \times 3.0\text{m}) \approx 28 \text{ Hz}$ . As will be explained in more detail in section IV, the authors believe this signal is triggered by the decrease in mass flux through the nozzle caused by the presence of the swirl component in the nozzle throat. A more detailed discussion of the acoustic response of the downstream part of the system is provided in Refs. [5, 23]. This includes data on measurements of the acoustic response of the downstream termination.

After a delay of approximately 5 ms following the electrical signal at 0.2 s driving the closing of tangential mass injection valve, one again observes a  $2.6 \times 10^2$  Hz quarter-wavelength oscillation of the upstream pipe section (with respect to the nozzle throat). Superimposed on this  $2.6 \times 10^2$  Hz oscillation one observes a strong oscillation at about 1 kHz. The 1 kHz is assumed to be due to a quarter-wavelength oscillation in the injection pipe, between the injection orifice and the closed fast valve (corresponding to an effective length of ca. 8 cm). The  $2.6 \times 10^2$  Hz signal is observed as a modulation of the amplitude of the 1 kHz signal. This is followed by a superimposed strongly-damped 28 Hz quarter-wavelength oscillation in the downstream part of the setup. This strongly-damped oscillation has an initial positive peak. Its origin is the abrupt increase of mass flux through the nozzle as the swirl component leaves the nozzle. This sound production mechanism will be expanded on in section IV.

It is interesting to note that there is some asymmetry between the initial negative pulse observed at 0.13 s, of ca.  $-100$  Pa due to the entrance of the vortex in the nozzle, and the positive pulse at 0.23 s of ca.  $+60$  Pa generated as the vortex leaves the nozzle (see Fig. 4 for  $p_\theta - p_{\text{atm}} = 4$  bar). Before the valve is opened, air in the pipe upstream from the valve including the tube section upstream from the Bronckhorst F-203AV linear resistance flow meter is at reservoir pressure (5 bar absolute). When the valve is opened, air situated in the tube connecting the valve to the flow meter is injected tangentially into the axial main flow initially at a rate of ca.  $30 \text{ kg} \cdot \text{h}^{-1}$ . Because of the flow resistance of the meter, this lowers the pressure in the tube section between the valve and flow meter with respect to the reservoir pressure upstream from the flow meter. Thus, during the time the valve is opened, this pressure driving the tangential injection mass-flow rate decreases below  $p_\theta$ . This leads to a decrease in swirl during the experiment, which could partially explain the observed asymmetry in the acoustic pressure signal between the opening and closing of the valve.

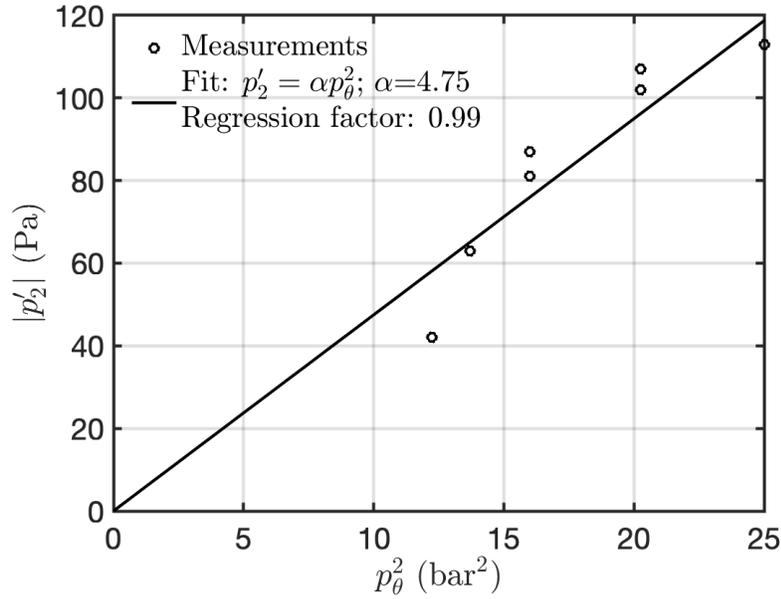
### III. The swirling flow in the experiment

#### A. Remarks concerning the flow due to unsteady mass injection

Particle image velocimetry measurements reported in Refs. [17, 21] and hot-wire measurements in Ref. [20], point to a thin wall bounded-jet swirling flow being due to tangential air injection in the experiments.

However, a precise description of the resulting swirling flow is currently not possible due to its complex nature. This is first indicated in figure 5.22 (a) on page 101 in Ref. [20], where comparison is made between the absolute values of the axial velocity profiles  $|u_x(r)|$ , measured by means of a hot-wire, in the pipe before injection and 0.05 s after the start of the injection. The central part of the flow  $r < 6$  mm is most probably directed towards the (upstream) settling chamber. The external part  $6 \text{ mm} < r < 15$  mm is likely directed towards the nozzle inlet. Using this assumption one can verify that the volume flux does not change much between the initial flow before injection and 0.05 s after the start of injection.

That the volume flow remains almost constant is confirmed by the fact that the settling chamber time constant for a change in reservoir pressure is  $\tau_{\text{set}} = \rho_1 V_{\text{set}}/\dot{m}_1 \approx 0.7$  s. If one would assume that the flow is directed entirely downstream, the volume flow would have to almost double. However, the volume flow cannot change by a factor two within 0.05 s. Hence it is most likely that a reversed jet flow dominates the center of pipe flow upstream from the nozzle.



**Fig. 5** Amplitude of downstream recored acoustic response  $|p'_2|$  as a function of the absolute injection reservoir pressure squared  $p_\theta^2$ , for  $p_\theta \geq 3.5$  bar.

As the hot-wire measurements could not be performed near the wall of the upstream tube (the measurements in Ref. [20] are only available for  $r < 12$  mm), they only offer partial insight into the nature of the generated flow.

In the literature one finds the following definition of swirl intensity [25–27]:

$$S = \frac{2\pi \int_0^{R_1} \rho u_x u_\theta r^2 dr}{2\pi R_1 \int_0^{R_1} \rho u_x^2 r dr}. \quad (1)$$

The authors were unable to derive a simple equation relating the experimentally set parameters  $\dot{m}_1$ ,  $R_1$ ,  $\dot{m}_\theta$  and  $R_\theta$  to the swirl intensity as defined above. Part of  $\dot{m}_\theta$  is likely directly deflected towards the settling chamber. This means that flow prediction can only be done by means of numerical simulations that take the presence of the settling chamber into account.

Moving forward, the authors make the following ansatz: the experimentally set swirl intensity is proportional to the tangentially-injected mass flow rate,  $\dot{m}_\theta$ . One knows that  $\dot{m}_\theta$  depends linearly on the injection reservoir pressure  $p_\theta$  (appendix A). With that in mind, in Fig. 5 the authors have plotted the amplitude of the downstream measured pressure response,  $|p'_2|$ , as a function of the relative injection absolute reservoir pressure squared,  $p_\theta^2$ . A linear fit (with regression factor 0.99), indicates that the downstream acoustic response  $p'_2$  depends quadratically on the injected mass flow rate  $\dot{m}_\theta$  for  $p_\theta \geq 3.5$  bar. This corresponds to what was reported in Ref. [20]. Thus, as anticipated, the downstream  $p'_2$  depends quadratically on the swirl intensity.

The authors extend their ansatz by assuming that, with respect to the unperturbed quasi-steady 1D mass flow  $\dot{m}^*$ , the relative change in mass-flow rate  $\delta\dot{m}_{st}/\dot{m}^*$  due to the presence of a tangential flow component can be expressed as a function of the swirl intensity at the throat  $S_{th}$  squared. This assumption is supported by data reported in Ref.'s [25] figure 6, in which  $\delta\dot{m}_{st}/\dot{m}^*$  for uniform ( $n=0$ ), solid body rotation ( $n=1$ ) and exponential tangential velocity profiles are shown. In Ref.'s [25] figure 6,  $\delta\dot{m}_{st}/\dot{m}^*$  as a function of  $S_{th}^2$  for  $n=0$  and  $n=1$  are quite nearly identical. The relative difference between  $n=0$  and the exponential tangential velocity profile is about 20%. Thus, for sake of simplicity, to develop a model the authors have assumed  $u_\theta$  to be uniform. The authors then followed van Holten et al.'s [28] modeling approach (details in appendix B), which yields

$$\frac{\delta \dot{m}_{st}}{\dot{m}^*} = -\frac{9}{8} S_{th}^2. \quad (2)$$

This result is the same as reported for  $n = 0$  in Ref.'s [25] figure 6. Expressed in terms of the swirl intensity at the nozzle inlet  $S_1$ , Eq. (2), becomes

$$\frac{\delta \dot{m}_{st}}{\dot{m}^*} = -\frac{9}{8} S_1^2 \left( \frac{R_{th}}{R_1} \right)^2 \left( \frac{2}{\gamma + 1} \right)^{\frac{2}{\gamma-1}} \quad (3)$$

where  $R_{th}$ ,  $\gamma$  are the radius at the throat and heat capacity ratio, respectively. Eq. (3) was derived from Eq. (2) by using the conservation of mass-flow and impulse momentum, with the assumption of the preservation of the tangential flow profile.

### B. Viscous Swirl Decay

Steenbergen and Voskamp [26] describe the decay of swirl in a pipe flow as a process caused by the transport of angular momentum to the pipe wall, viz., viscous action. The process was found to depend on the Reynolds number,  $Re$ . The Reynolds number in the upstream pipe section of Kings' and Bake's experiment [17, 21], is estimated as follows:

$$Re_1 = \frac{\rho_1 u_x (2R_1)}{\mu} \simeq 2.7 \times 10^4$$

where  $\mu$  is the dynamic viscosity of the flow.

Following Ref. [26], a simple relation for the ratio of swirl at distance  $\Delta x$  in the streamwise direction from the injection point  $S_1(\Delta x)$  and the swirl at the injection point  $S_{1,vWG}$  (i.e. the swirl reduction factor) is proposed:

$$\frac{S_1(\Delta x)}{S_{1,vWG}} = \exp \left( -\beta \frac{\Delta x}{2R_1} \right) \quad (4)$$

where the exponential swirl decay rate  $\beta$  is in the range:  $0.03 \leq \beta \leq 0.04$  for the above Reynolds number. Thus, for a 50 mm pipe length of section 2, at the nozzle inlet ( $\Delta x = 85$  mm) one finds a swirl reduction factor with respect to  $S_{1,vWG}$  of 0.92. For a section 2 length of 200 mm one finds a swirl reduction factor with respect to  $S_{1,vWG}$  of 0.79 (i.e. addition of a 150 mm pipe to section 2 causes a swirl reduction of 0.86 relative to the initial (shorter) configuration). The effect of swirl decay will be used in section IV to explain the difference in results between the short, medium and long configurations, shown in Fig. 3.

## IV. Swirl-nozzle interaction sound production model

The variation in mass-flow rate,  $\delta \dot{m} / \dot{m}^*$ , caused by the swirl component entering and exiting the nozzle, will induce an expansion and compression acoustic wave downstream. If one neglects the influence of the weak normal shock in the divergent part of the nozzle, the effect of the normal vortex component (normal to the duct axis), the time-dependence of the upstream settling chamber pressure and the influence of entropy inhomogeneity associated with the generation of a swirling flow structure and assumes a low Mach number flow in the downstream pipe of uniform cross-section  $A_2$ , one has

$$\frac{u'_2}{u_2} = \frac{\delta \dot{m}}{\dot{m}^*}. \quad (5)$$

where

$$\frac{\delta \dot{m}}{\dot{m}^*} = \begin{cases} +\frac{\delta \dot{m}_{st}}{\dot{m}^*} & \text{after the valve is opened} \\ -\frac{\delta \dot{m}_{st}}{\dot{m}^*} & \text{after the valve is closed.} \end{cases} \quad (6)$$

One can then estimate the acoustic pressure pulse,  $p'_2$ , generated in the section downstream from the nozzle, using:

$$p'_2 \simeq \rho_2 c_2 u'_2. \quad (7)$$

This is the pressure pulse amplitude one would measure in an infinitely long downstream tube (anechoic termination). The acoustic model could be used to estimate  $S_1$ , from  $p'_2$ . However, this is not explored further in the present paper.

Had one had a straightforward expression for  $S_{1,\text{VWG}}$ , one would have been able to estimate  $S_1$  then determine  $u'_2$  from Eq. 5 the result of which would be used with Eq. 7 to calculate  $p'_2$ . However, as explained in section III.A, no straightforward method for estimating  $S_{1,\text{VWG}}$  has been found by the authors.

Thus, an alternative means of validation is pursued. Consider Fig. 3, in which the influence of section 2 length variation on the acoustic signal is shown. One observes, e.g., a decrease of ca. 40 Pa between the short configuration (blue line) and long configuration (green line) of section 2. By virtue of Eqs. (3), (5) and (7), one has

$$p'_2 \propto S_1^2. \quad (8)$$

The effect of swirl decay, discussed in section III.B, will be used to estimate the pressure difference  $\Delta p'_2$  between two configurations of the setup. To estimate  $\Delta p'_2$ , the following is used

$$\Delta p'_2 \simeq \left(1 - \exp\left(-\beta \frac{\Delta L_1}{R_1}\right)\right) \times 120 \text{ Pa}, \quad (9)$$

where  $\Delta L_1$  is the difference in upstream pipe length between two configurations and 120 Pa is taken to be the maximum amplitude of the short configuration. Using the expression above, one finds

$$\Delta p'_2 \simeq \begin{cases} 35 \pm 4 \text{ Pa between long and short,} \\ 13 \pm 2 \text{ Pa between medium and short,} \end{cases} \quad (10)$$

which is in satisfactory agreement with what one sees in Fig. 3.

## V. Conclusions

In the experiments analyzed in this paper, the most important contribution to the recorded acoustic signal is due to the passage of the swirl component of the upstream-generated structure. As it transits through the nozzle, it temporarily reduces the mass flux through the nozzle throat. A quasi-steady model of this effect, which includes a model for viscous swirl decay to predict the influence of the distance between the injection point and the choked nozzle inlet, was used to explain the difference between the short, medium and long upstream channel configurations in the experiments. The model predicts an essentially quadratic swirl number dependence of the generated sound amplitude. These findings contradict the hypothesis found in the literature, which presumes that sound production in the above-mentioned experiment is due to the acceleration of vorticity waves through the nozzle.

## Appendix

### A. Estimated tangential mass injection

In the pipe upstream from the choked nozzle air was tangentially injected with a mass-flow rate  $\dot{m}_\theta$ . Before tangential injection was initiated, the reservoir pressure upstream was  $p_r = 1.114$  bar. Tangential injection was done through an injection port of radius  $R_\theta = 1.5$  mm. There was a flow meter between the injection valve and reservoir, which implies flow resistance. Initially, as the valve was opened, the pipe between the valve and flow meter acted as a reservoir at  $p_\theta$  bar. Given that  $p_\theta \gg p_r$  the tangential-injection port will be choked.

Assuming a frictionless steady flow one can estimate the initial value of  $\dot{m}_\theta$ , using the compressible Bernoulli equation for a perfect gas [29]:

$$\dot{m}_\theta = \rho_\theta c_\theta \left(\frac{2}{\gamma + 1}\right)^{\frac{\gamma+1}{2(\gamma-1)}} \pi R_\theta^2. \quad (11)$$

For  $p_\theta = 5$  bar,  $\rho_\theta = 6 \text{ kg} \cdot \text{m}^{-3}$  the reservoir density,  $c_\theta = 340 \text{ m} \cdot \text{s}^{-1}$  the speed of sound at reservoir temperature and  $\gamma = 1.4$  the heat capacity ratio of air, one finds  $\dot{m}_\theta = 30 \text{ kg} \cdot \text{h}^{-1}$ ,

## B. Theory for analytical model for quasi-steady response of a choked nozzle to a fluctuation in the swirl Component

In this appendix, for given fixed reservoir conditions of pressure  $p_r$  and temperature  $T_r$ , an analytical model for the influence of swirl component on the steady-mass flow through a choked nozzle is presented. The approach described by van Holten et al. [28] is followed.

The circular cross-section of the nozzle at axial position  $x$  is denoted  $A(x)$ . The nozzle throat corresponding to the minimum of cross-section ( $dA/dx = 0$ ) is  $A_{th}$ . The hypothetical quasi-one-dimensional isentropic irrotational mass flux,  $\dot{m}^*$ , through a choked nozzle is given by:

$$\dot{m}^* = \rho^* u^* A_{th}. \quad (12)$$

Here the critical velocity,  $u^*$ , is equal to the critical speed of sound,  $c^*$ . For an isentropic flow of an ideal gas with constant specific heat ratio  $\gamma = c_p/c_v$  ( $c_p$  and  $c_v$  at constant pressure and volume respectively), one thus has

$$u^* = c^* = \sqrt{\gamma RT^*} \quad (13)$$

where  $R = c_p - c_v$  is the specific ideal gas constant and  $T^*$  the critical temperature.  $T^*$  is related to the reservoir temperature  $T_r$  through Bernoulli's equation [29, 30], as follows

$$T^* = \frac{2}{\gamma + 1} T_r. \quad (14)$$

Due to the axial rotation of the flow, the mass flux through the nozzle will be lower than  $\dot{m}^*$ . To account for this, it is assumed that entropy production during the generation of the swirl component is negligible. Furthermore, it is assumed that the total enthalpy of the flow remains constant and equal to the reservoir enthalpy. It is also assumed that the flow can be described as quasi one-dimensional, neglecting the non-uniformity induced by the change in cross-section. For simplicity, it is assumed that the tangential velocity  $u_\theta$  is uniform in each cross-section. This approximation will be referred to as the "quasi-cylindrical" flow approximation here. In the "quasi-cylindrical" flow approximation the local Mach number  $M$  at a specific point in the flow is related to the local axial velocity,  $u_x$ , and local tangential velocity,  $u_\theta$ , through the definition

$$M^2 = \frac{u_x^2 + u_\theta^2}{c^2}. \quad (15)$$

The local speed of sound  $c$  is related to the reservoir value  $c_r$  through Bernoulli's equation, as follows

$$c_r^2 = c^2 + \frac{\gamma - 1}{2} (u_x^2 + u_\theta^2). \quad (16)$$

Substitution of Eq. (16) into Eq. (15), yields

$$M^2 = \frac{u_x^2 + u_\theta^2}{c_r^2 - \frac{\gamma - 1}{2} (u_x^2 + u_\theta^2)} \quad (17)$$

This corresponds to van Holten et al.'s [28] model and Gany et al.'s model (for  $n = 0$ ) [25].

These equations are complemented by the integral-mass-conservation law for the stationary mass flux  $\dot{m}_{st}$ :

$$\dot{m}_{st} = \rho u_x A = \text{constant} \quad (18)$$

or in differential form

$$\frac{1}{\dot{m}_{st}} \frac{d\dot{m}_{st}}{dx} = \frac{1}{\rho} \frac{d\rho}{dx} + \frac{1}{u_x} \frac{du_x}{dx} + \frac{1}{A} \frac{dA}{dx} = 0. \quad (19)$$

From axial conservation of angular momentum, for a circular cross-section, one has

$$\dot{m}_{st} u_{\theta} \sqrt{\frac{A}{\pi}} = \text{constant} \quad (20)$$

or in differential form

$$\begin{aligned} \frac{1}{\dot{m}_{st}} \frac{d\dot{m}_{st}}{dx} + \frac{1}{u_{\theta}} \frac{du_{\theta}}{dx} + \frac{1}{2A} \frac{dA}{dx} &= \\ \frac{1}{u_{\theta}} \frac{du_{\theta}}{dx} + \frac{1}{2A} \frac{dA}{dx} &= 0. \end{aligned} \quad (21)$$

At the throat of the nozzle, where  $(dA/dx)_{th} = 0$ , using Eq. (21) one finds that

$$\left( \frac{du_{\theta}}{dx} \right)_{th} = 0. \quad (22)$$

Mass conservation at the throat can then be written as

$$-\frac{1}{A} \frac{dA}{dx} = \frac{1}{\rho} \frac{d\rho}{dx} + \frac{1}{u_x} \frac{du_x}{dx} = 0 \quad (23)$$

or, noting that for steady isentropic flow  $(1/\rho)d\rho/dx$  is a function of  $M^2$  and Eq. (22)

$$\begin{aligned} \frac{1}{\rho} \frac{d\rho}{dM^2} \left( \frac{\partial M^2}{\partial u_x} \frac{du_x}{dx} + \frac{\partial M^2}{\partial u_{\theta}} \frac{du_{\theta}}{dx} \right) + \frac{1}{u_x} \frac{du_x}{dx} &= 0 \\ \frac{1}{\rho} \frac{d\rho}{dM^2} \left( \frac{\partial M^2}{\partial u_x} \frac{du_x}{dx} \right) + \frac{1}{u_x} \frac{du_x}{dx} &= 0 \end{aligned} \quad (24)$$

where (by virtue of Eq. 17)

$$\frac{\partial M^2}{\partial u_x} = 2 \frac{u_x \cdot c_r^2}{c^4}. \quad (25)$$

As the flow is assumed isentropic and the gas ideal, one finds

$$\frac{1}{\rho} \frac{d\rho}{dM^2} = -\frac{1}{2} \left( \frac{c}{c_r} \right)^2. \quad (26)$$

Substitution of Eqs. (25) and (26) into Eq. (24), because for a choked nozzle  $(du_x/dx)_{th} \neq 0$ , yields

$$(u_x)_{th} = c_{th}. \quad (27)$$

Consequently, the Mach number at the throat is

$$M_{th}^2 = 1 + \frac{(u_{\theta})_{th}^2}{c_{th}^2}. \quad (28)$$

Using this and Eq. 27, the stationary mass flux  $\dot{m}_{st} = \dot{m}_{th}$  (by conservation of mass) can be calculated for an isentropic flow using:

$$\dot{m}_{st} = \frac{\rho_{th} c_{th}}{\rho^* c^*} \rho^* c^* A_{th}. \quad (29)$$

Assuming an isentropic flow of a perfect gas, implies

$$\dot{m}_{st} = \left( \frac{T_{th}}{T^*} \right)^{\frac{\gamma+1}{2(\gamma-1)}} \dot{m}^*. \quad (30)$$

Using Bernoulli for compressible flow and Eq. (28), one finds

$$\begin{aligned}\frac{T_{\text{th}}}{T^*} &= \frac{\frac{\gamma+1}{2}}{1 + \frac{\gamma-1}{2} M_{\text{th}}^2} \\ &= \frac{1}{1 + \frac{\gamma-1}{\gamma+1} \left(\frac{(u_{\theta})_{\text{th}}}{c_{\text{th}}}\right)^2}\end{aligned}\quad (31)$$

With a first order Taylor expansion for  $((u_{\theta})_{\text{th}}/c_{\text{th}})^2 \ll 1$ , one obtains

$$\dot{m}_{\text{st}} \simeq \left(1 - \frac{1}{2} \left(\frac{(u_{\theta})_{\text{th}}}{c_{\text{th}}}\right)^2\right) \dot{m}^*. \quad (32)$$

Using Eq. (32), one can find an approximation for the quasi-steady relative mass-flux reduction  $(\dot{m}_{\text{st}} - \dot{m}^*)/\dot{m}^* \equiv \delta\dot{m}_{\text{st}}/\dot{m}^*$ :

$$\frac{\delta\dot{m}_{\text{st}}}{\dot{m}^*} \simeq -\frac{1}{2} \left(\frac{(u_{\theta})_{\text{th}}}{c_{\text{th}}}\right)^2. \quad (33)$$

Assuming a uniform tangential velocity,  $u_{\theta}$ , uniform axial velocity,  $u_x$ , and uniform density  $\rho$ , the swirl number (Eq. (1)) becomes

$$S = \frac{2u_{\theta}}{3u_x}. \quad (34)$$

Eq. (33) becomes

$$\frac{\delta\dot{m}_{\text{st}}}{\dot{m}^*} \simeq -\frac{9}{8} S_{\text{th}}^2. \quad (35)$$

In the upstream section with cross-sectional surface  $A_1$ , one finds, in terms of  $(u_{\theta})_1$  at  $A_1$ , using the conservation of angular momentum assuming a low upstream Mach number  $M_1 \ll 1$ , that after some algebra Eq. (35) becomes

$$\frac{\delta\dot{m}_{\text{st}}}{\dot{m}^*} = -\frac{9}{8} S_1^2 \frac{A_{\text{th}}}{A_1} \left(\frac{2}{\gamma+1}\right)^{\frac{2}{\gamma-1}}. \quad (36)$$

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