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L-band Digital Aeronautical Communications System (LDACS) draft-maeurer-raw-ldacs-01

#### Abstract

This document provides an overview of the architecture of the L-band Digital Aeronautical Communications System (LDACS), which provides a secure, scalable and spectrum efficient terrestrial data link for civil aviation. LDACS is a scheduled, reliable multi-application cellular broadband system with support for IPv6.

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## 1. Introduction

One of the main pillars of the modern Air Traffic Management (ATM) system is the existence of a communication infrastructure that enables efficient aircraft control and safe separation in all phases of flight. Current systems are technically mature but suffering from the VHF band's increasing saturation in high-density areas and the limitations posed by analogue radio communications. Therefore, aviation globally and the European Union (EU) in particular, strives for a sustainable modernization of the aeronautical communication infrastructure.

In the long-term, ATM communication shall transition from analogue VHF voice and VDL2 communication to more spectrum efficient digital data communication. The European ATM Master Plan foresees this transition to be realized for terrestrial communications by the development (and potential implementation) of the L-band Digital Aeronautical Communications System (LDACS). LDACS shall enable IPv6 based air- ground communication related to the aviation safety and regularity of flight. The particular challenge is that no additional spectrum can be made available for terrestrial aeronautical communication. It was thus necessary to develop co-existence mechanism/procedures to enable the interference free operation of LDACS in parallel with other aeronautical services/systems in the same frequency band.

## 2. Terminology

#### 2.1. Terms used in this document

GANP Global Air Navigation Plan

GSC Ground-Station Controller

GNSS Global Navigation Satellite System

The following terms are used in the context of DetNet in this document:

A/A Air-To-Air AeroMACS Aeronautical Mobile Airport Communication System A/G Air-To-Ground AM(R)S Aeronautical Mobile (Route) Service ANSP Air traffic Network Service Provider AOC Aeronautical Operational Control AS Aircraft Station ATC Air-Traffic Control ATM Air-Traffic Management ATN Aeronautical Telecommunication Network ATS Air Traffic Service CCCH Common Control Channel DCCH Dedicated Control Channel DCH Data Channel DLL Data Link Layer DLS Data Link Service DME Distance Measuring Equipment DSB-AM Double Side-Band Amplitude Modulation FAA Federal Aviation Administration FCI Future Communication Infrastructure FDD Frequency Division Duplex FL Forward Link

GS Ground Station

HF High Frequency

ICAO International Civil Aviation Organization IWF Interworking Function kbit/s kilobit per secong LDACS L-band Digital Aeronautical Communications System LLC Logical Link Layer LME LDACS Management Entity MAC Medium Access Layer MF Multi Frame MIMO Multiple Input Multiple Output OFDM Orthogonal Frequency-Division Multiplexing OFDMA Orthogonal Frequency-Division Multiplexing Access PDU Protocol Data Units PHY Physical Layer QoS Quality of Service RL Reverse Link SARPs Standards And Recommended Practices SESAR Single European Sky ATM Research SF Super-Frame SNP Sub-Network Protocol SSB-AM Single Side-Band Amplitude Modulation SNDCF Sub-Network Dependent Convergence Function TBO Trajectory-Based Operations TDM Time Division Multiplexing TDMA Time-Division Multiplexing-Access VDL2 VHF Data Link mode 2 VHF Very High Frequency VI Voice Interface

## 3. Motivation and Use Cases

Aircraft are currently connected to Air-Traffic Control (ATC) and Airline Operational Control (AOC) via voice and data communications systems through all phases of a flight. Within the airport terminal, connectivity is focused on high bandwidth communications, while during en-route high reliability, robustness, and range is the main focus. Voice communications may use the same or different equipment as data communications systems. In the following the main differences between voice and data communications capabilities are summarized. The assumed use cases for LDACS completes the list of use cases stated in [RAW-USE-CASES] and the list of reliable and available wireless technologies presented in [RAW-TECHNOS].

## 3.1. Voice Communications Today

Voice links are used for Air-To-Ground (A/G) and Air-To-Air (A/A) communications. The communication equipment is either ground-based working in the High Frequency (HF) or Very High Frequency (VHF) frequency band or satellite-based. All VHF and HF voice communications is operated via open broadcast channels without any authentication, encryption or other protective measures. The use of well-proven communication procedures via broadcast channels helps to enhance the safety of communications by taking into account that other users may encounter communication problems and may be supported, if required. The main voice communications media is still the analogue VHF Double Side-Band Amplitude Modulation (DSB-AM) communications technique, supplemented by HF Single Side-Band Amplitude Modulation (SSB-AM) and satellite communications for remote and oceanic areas. DSB-AM has been in use since 1948, works reliably and safely, and uses low-cost communication equipment. These are the main reasons why VHF DSB-AM communications is still in use, and it is likely that this technology will remain in service for many more years. This however results in current operational limitations and becomes impediments in deploying new Air-Traffic Management (ATM) applications, such as flight-centric operation with point-to-point communications.

# 3.2. Data Communications Today

Like for voice, data communications into the cockpit is currently provided by ground-based equipment operating either on HF or VHF radio bands or by legacy satellite systems. All these communication systems are using narrowband radio channels with a data throughput capacity of some kilobits per second. While the aircraft is on ground some additional communications systems are available, like Aeronautical Mobile Airport Communication System (AeroMACS; as of now not widely used) or public cellular networks, operating in the Airport (APT) domain and able to deliver broadband communication capability.

The data communication networks used for the transmission of data relating to the safety and regularity of the flight must be strictly isolated from those providing entertainment services to passengers. This leads to a situation that the flight crews are supported by narrowband services during flight while passengers have access to inflight broadband services. The current HF and VHF data links cannot provide broadband services now or in the future, due to the lack of available spectrum. This technical shortcoming is becoming a limitation to enhanced ATM operations, such as Trajectory-Based Operations (TBO) and 4D trajectory negotiations.

Satellite-based communications are currently under investigation and enhanced capabilities are under development which will be able to provide inflight broadband services and communications supporting the safety and regularity of flight. In parallel, the ground-based broadband data link technology LDACS is being standardized by ICAO and has recently shown its maturity during flight tests [SCH191]. The LDACS technology is scalable, secure and spectrum efficient and provides significant advantages to the users and service providers. It is expected that both - satellite systems and LDACS - will be deployed to support the future aeronautical communication needs as envisaged by the ICAO Global Air Navigation Plan (GANP).

## 4. Provenance and Documents

The development of LDACS has already made substantial progress in the Single European Sky ATM Research (SESAR) framework, and is currently being continued in the follow-up program, SESAR2020 [RIH18]. A key objective of the SESAR activities is to develop, implement and validate a modern aeronautical data link able to evolve with aviation needs over long-term. To this end, an LDACS specification has been produced [GRA19] and is continuously updated; transmitter demonstrators were developed to test the spectrum compatibility of LDACS with legacy systems operating in the L-band [SAJ14]; and the overall system performance was analyzed by computer simulations, indicating that LDACS can fulfil the identified requirements [GRA11].

LDACS standardization within the framework of the ICAO started in December 2016. The ICAO standardization group has produced an initial Standards and Recommended Practices (SARPs) document [ICAO18]. The SARPs document defines the general characteristics of LDACS. The ICAO standardization group plans to produce an ICAO technical manual - the ICAO equivalent to a technical standard - within the next years. Generally, the group is open to input from all sources and develops LDACS in the open.

Up to now the LDACS standardization has been focused on the development of the physical layer and the data link layer, only recently have higher layers come into the focus of the LDACS development activities. There is currently no "IPv6 over LDACS" specification publicly available; however, SESAR2020 has started the testing of IPv6-based LDACS testbeds.

The IPv6 architecture for the aeronautical telecommunication network is called the Future Communications Infrastructure (FCI). FCI shall support quality of service, diversity, and mobility under the umbrella of the "multi-link concept". This work is conducted by ICAO Communication Panel working group WG-I.

In addition to standardization activities several industrial LDACS prototypes have been built. One set of LDACS prototypes has been evaluated in flight trials confirming the theoretical results predicting the system performance [GRA18] [SCH191].

#### 5. Characteristics

LDACS will become one of several wireless access networks connecting aircraft to both Aeronautical Telecommunications Network (ATN, IPS as well as OSI) and ACARS/FANS networks [FAN19].

### 5.1. LDACS Sub-Network

An LDACS sub-network contains an Access Router (AR), a Ground-Station Controller (GSC), and several Ground-Stations (GS), each of them providing one LDACS radio cell serving up to 512 aircraft stations (AS). User plane interconnection to the ATN is facilitated by the Access Router (AR) peering with an Air/Ground Router (A/G Router) connected to the ATN. It is up to implementor's choice to keep Access Router and Air-Ground Router functions separated, or to merge them. The internal control plane of an LDACS sub-network is managed by the Ground-Station Controller (GSC). An LDACS sub-network is illustrated in Figure 1.

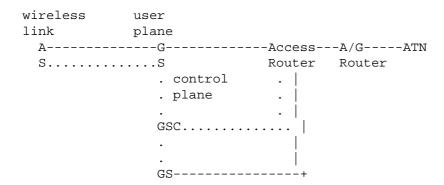


Figure 1: LDACS sub-network with two GSs and one AS

The LDACS wireless link protocol stack defines two layers, the physical layer and the data link layer.

## 5.2. LDACS Physical Layer

The physical layer provides the means to transfer data over the radio channel. The LDACS GS supports bi-directional links to multiple aircraft under its control. The forward link direction (FL; ground-to-air) and the reverse link direction (RL; air-to-ground) are separated by frequency division duplex. Forward link and reverse link use a 500 kHz channel each. The ground-station transmits a continuous stream of Orthogonal Frequency-Division Multiplexing (OFDM) symbols on the forward link. In the reverse link different aircraft are separated in time and frequency using a combination of Orthogonal Frequency-Division Multiple-Access (OFDMA) and Time-Division Multiple-Access (TDMA). Aircraft thus transmit discontinuously on the reverse link with radio bursts sent in precisely defined transmission opportunities allocated by the ground-station.

## 5.3. LDACS Data Link Layer

The data-link layer provides the necessary protocols to facilitate concurrent and reliable data transfer for multiple users. The LDACS data link layer is organized in two sub-layers: The medium access sub-layer and the logical link control sub-layer. The medium access sub-layer manages the organization of transmission opportunities in slots of time and frequency. The logical link control sub-layer provides acknowledged point-to-point logical channels between the aircraft and the ground-station using an automatic repeat request protocol. LDACS supports also unacknowledged point-to-point channels and ground-to-air broadcast.

## 5.4. LDACS Data Rates

The user data rate of LDACS is 315 kbit/s to 1428 kbit/s on the forward link, and 294 kbit/s to 1390 kbit/s on the reverse link, depending on coding and modulation.

# 5.5. Reliability and Availability

LDACS has been designed with applications related to the safety and regularity of flight in mind. It has therefore been designed as a deterministic wireless data link (as far as possible).

### 5.5.1. LDACS Medium Access

LDACS medium access is always under the control of the ground-station of a radio cell. Any medium access for the transmission of user data has to be requested with a resource request message stating the requested amount of resources and class of service. The ground-station performs resource scheduling on the basis of these requests and grants resources with resource allocation messages. Resource request and allocation messages are exchanged over dedicated contention-free control channels.

LDACS has two mechanisms to request resources from the scheduler in the ground-station.

Resources can either be requested "on demand" with a given class of service. On the forward link, this is done locally in the ground-station, on the reverse link a dedicated contention-free control channel is used called Dedicated Control Channel (DCCH; roughly 83 bit every 60 ms). A resource allocation is always announced in the control channel of the forward link (Common Control Channel (CCCH); variable sized). Due to the spacing of the reverse link control channels every 60 ms, a medium access delay in the same order of magnitude is to be expected.

Resources can also be requested "permanently". The permanent resource request mechanism supports requesting recurring resources in given time intervals. A permanent resource request has to be canceled by the user (or by the ground-station, which is always in control).

User data transmissions over LDACS are therefore always scheduled by the ground-station, while control data uses statically (i.e. at cell entry) allocated recurring resources (DCCH and CCCH). The current specification documents specify no scheduling algorithm. However performance evaluations so far have used strict priority scheduling and round robin for equal priorities for simplicity. In the current prototype implementations LDACS classes of service are thus realized as priorities of medium access and not as flows. Note that this can starve out low priority flows. However, this is not seen as a big problem since safety related message always go first in any case. Scheduling of reverse link resources is done in physical Protocol Data Units (PDU) of 112 bit (or larger if more aggressive coding and modulation is used). Scheduling on the forward link is done Bytewise since the forward link is transmitted continuously bythe ground-station.

The LDACS data link layer protocol running on top of the medium access sub-layer uses ARQ to provide reliable data transmission.

## 5.5.2. LDACS Mobility

The LDACS mobility service manages in the GSC and LME cell entry, cell exit and handover between cells.

LDACS supports internal handovers to different RF channels. Handovers may be initiated by the aircraft (break-before-make) or by the ground- station (make-before-break). Make-before-break handovers are only supported for ground-stations connected to the same ground-station controller.

External handovers between non-connected LDACS deployments or different aeronautical data links shall be handled by the FCI multilink concept.

### 5.5.3. LDACS Incremental Deployment

The LDACS data link provides enhanced capabilities to the future IPv6 based ATN enabling it to better support user needs and new applications. The deployment scalability of LDACS allows its implementation to start in areas where most needed to improve immediately the performance of already fielded infrastructure. Later the deployment is extended based on operational demand.

## 6. Protocol Stack

The protocol stack of LDACS is implemented in the AS, GS, and GSC: It consists of the Physical Layer (PHY) with five major functional blocks above it. Four are placed in the Data Link Layer (DLL) of the AS and GS: (1) Medium Access Layer (MAC), (2) Voice Interface (VI), (3) Data Link Service (DLS), (4) LDACS Management Entity (LME). The last entity resides within the sub-network layer: Sub-Network Protocol (SNP). The LDACS network is externally connected to voice units, radio control units, and the ATN network layer.

Figure 2 shows the protocol stack of LDACS as implemented in the AS and GS.

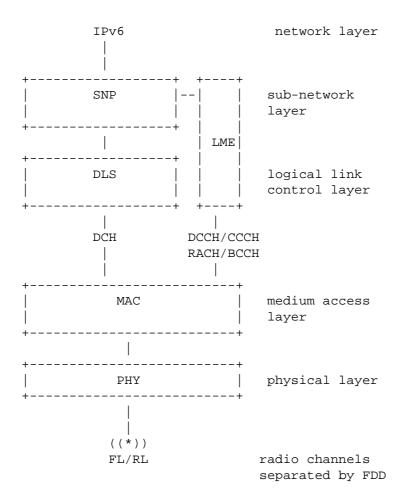


Figure 2: LDACS protocol stack in AS and GS

# 6.1. Medium Access Control (MAC) Entity Services

The MAC time framing service provides the frame structure necessary to realize slot-based Time Division Multiplex (TDM) access on the physical link. It provides the functions for the synchronization of the MAC framing structure and the PHY layer framing. The MAC time framing provides a dedicated time slot for each logical channel.

The MAC sub-layer offers access to the physical channel to its service users. Channel access is provided through transparent logical channels. The MAC sub-layer maps logical channels onto the appropriate slots and manages the access to these channels. Logical channels are used as interface between the MAC and LLC sub-layers.

The LDACS framing structure for FL and RL is based on Super-Frames (SF) of 240 ms duration. Each SF corresponds to 2000 OFDM symbols. The FL and RL SF boundaries are aligned in time (from the view of the

In the FL, an SF contains a Broadcast Frame of duration 6.72 ms (56 OFDM symbols) for the Broadcast Control Channel (BCCH), and four Multi-Frames (MF), each of duration 58.32 ms (486 OFDM symbols).

In the RL, each SF starts with a Random Access (RA) slot of length 6.72 ms with two opportunities for sending reverse link random access frames for the Random Access Channel (RACH), followed by four MFs. These MFs have the same fixed duration of 58.32 ms as in the FL, but a different internal structure

Figure 3 and Figure 2 illustrates the LDACS frame structure.

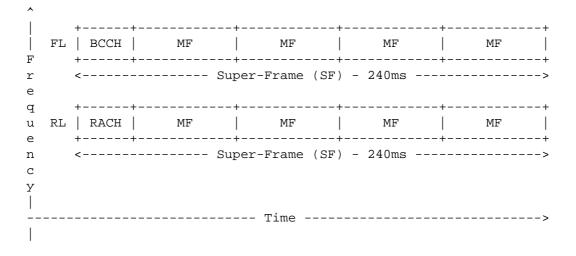


Figure 3: LDACS super-frame structure

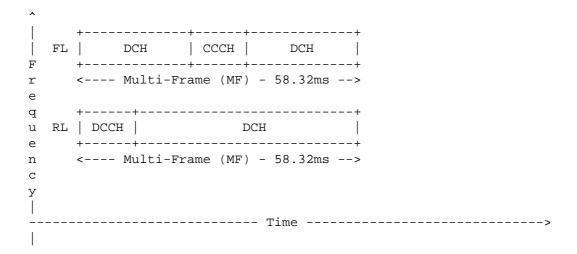


Figure 4: LDACS multi-frame (MF) structure

### 6.2. Data Link Service (DLS) Entity Services

The DLS provides acknowledged and unacknowledged (including broadcast and packet mode voice) bi-directional exchange of user data. If user data is transmitted using the acknowledged data link service, the sending DLS entity will wait for an acknowledgement from the receiver. If no acknowledgement is received within a specified time frame, the sender may automatically try to retransmit its data. However, after a certain number of failed retries, the sender will suspend further retransmission attempts and inform its client of the failure.

The data link service uses the logical channels provided by the MAC:

- 1. A ground-stations announces its existence and access parameters in the Broadcast Channel (BC).
- 2. The Random Access Channel (RA) enables AS to request access to an LDACS cell.
- 3. In the Forward Link (FL) the Common Control Channel (CCCH) is used by the GS to grant access to data channel resources.
- 4. The reverse direction is covered by the Reverse Link (RL), where aircraft-stations need to request resources before sending. This happens via the Dedicated Common Control Channel (DCCH).
- 5. User data itself is communicated in the Data Channel (DCH) on the FL and RL.

This is illustrated in Figure 2.

## 6.3. Voice Interface (VI) Services

The VI provides support for virtual voice circuits. Voice circuits may either be set-up permanently by the GS (e.g. to emulate voice party line) or may be created on demand. The creation and selection of voice circuits is performed in the LME. The VI provides only the transmission services.

## 6.4. LDACS Management Entity (LME) Services

The mobility management service in the LME provides support for registration and de-registration (cell entry and cell exit), scanning RF channels of neighbouring cells and handover between cells. In addition, it manages the addressing of aircraft/ ASs within cells. It is controlled by the network management service in the GSC.

The resource management service provides link maintenance (power, frequency and time adjustments), support for adaptive coding and modulation (ACM), and resource allocation.

### 6.5. Sub-Network Protocol (SNP) Services

The data link service provides functions required for the transfer of user plane data and control plane data over the LDACS sub-network.

The security service provides functions for secure communication over the LDACS sub-network. Note that the SNP security service applies cryptographic measures as configured by the ground station controller.

## 7. Security Considerations

Aviation will require secure exchanges of data and voice messages for managing the air-traffic flow safely through the airspaces all over the world. The main communication method for ATC today is still an open analogue voice broadcast within the aeronautical VHF band. Currently, the information security is purely procedural based by using well-trained personnel and proven communications procedures. This communication method has been in service since 1948. Future digital communications waveforms will need additional embedded security features to fulfill modern information security requirements like authentication and integrity. These security features require sufficient bandwidth which is beyond the capabilities of a VHF narrowband communications system. For voice and data communications, sufficient data throughput capability is needed to support the security functions while not degrading performance. LDACS is a mature data link technology with sufficient bandwidth to support security.

Security considerations for LDACS are defined by the official ICAO SARPS [ICAO18]:

- 1. LDACS shall provide a capability to protect the availability and continuity of the system.
- 2. LDACS shall provide a capability including cryptographic mechanisms to protect the integrity of messages in transit.
- 3. LDACS shall provide a capability to ensure the authenticity of messages in transit.
- 4. LDACS should provide a capability for nonrepudiation of origin for messages in transit.
- 5. LDACS should provide a capability to protect the confidentiality of messages in transit.
- 6. LDACS shall provide an authentication capability.
- 7. LDACS shall provide a capability to authorize the permitted actions of users of the system and to deny actions that are not explicitly authorized.
- 8. If LDACS provides interfaces to multiple domains, LDACS shall provide capability to prevent the propagation of intrusions within LDACS domains and towards external domains.

The cybersecurity architecture of LDACS [ICAO18], [MAE18] and its extensions [MAE191], [MAE192] regard all of the aforementioned requirements, since LDACS has been mainly designed for air traffic management communication. Thus it supports mutual entity authentication, integrity and confidentiality capabilities of user data messages and some control channel protection capabilities [MAE192].

# 8. Privacy Considerations

LDACS provides a Quality of Service (QoS), and the generic considerations for such mechanisms apply.

# 9. IANA Considerations

This memo includes no request to IANA.

### 10. Acknowledgements

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