

Article

Visualizing the Provenance of Personal Data using Comics

Andreas Schreiber^{1,*} and Regina Struminski^{1,2}

¹ Intelligent and Distributed Systems Department, Simulation and Software Technology, German Aerospace Center (DLR), Cologne, Germany; andreas.schreiber@dlr.de

² Faculty of Media, University of Applied Sciences Düsseldorf, Düsseldorf, Germany; regina.struminski@study.hs-duesseldorf.de

* Correspondence: andreas.schreiber@dlr.de; Tel.: +49-2203-601-2485

Abstract: Personal health data is acquired, processed, stored, and accessed using a variety of different devices, applications, and services. These are often complex and highly connected. Therefore, use or misuse of the data is hard to detect for people, if they are not capable to understand the trace (i.e., the provenance) of that data. We present a visualization technique for personal health data provenance using comics strips. Each strip of the comic represents a certain activity, such as entering data using a smartphone application, storing or retrieving data on a cloud service, or generating a diagram from the data. The comic strips are generated automatically using recorded provenance graphs. The easy-to-understand comics enable all people to notice crucial points regarding their data such as, for example, privacy violations.

Keywords: provenance; quantified self; personal informatics; visualization; comics

1. Introduction

Understanding how a piece of data was produced, where it was stored, and by whom it was accessed, is crucial information in many processes. Insights into the data flow are important for gaining trust in the data; for example, trust in its quality, its integrity, or trust that it has not been accessed by organizations unwantedly. Especially, detecting and investigating privacy violations of personal data is a relevant issue for many people and companies. For example, personal health data should not be manipulated, if doctors base a medical diagnosis on that data. Health-related data and personal data from self-tracking (Quantified Self; QS) [1,2] should not be available to other people or companies, as this might lead to commercial exploitation or even disadvantages for people, such as higher health insurance contributions.

In this field, data is often generated by medical sensors or wearable devices, then processed and transmitted by smartphone and desktop applications, and finally stored and analyzed using services (e.g., web or cloud services operated by commercial vendors). Following the trace of data through the various distributed devices, applications, and services is not easy. Especially, people who are not familiar with software or computer science are often not able to understand where their data is stored and accessed.

To understand the trace of data, the *provenance* [3] of that data can be recorded and analyzed. Provenance information is represented by a directed acyclic property graph, which is recorded during generation, manipulation, and transmission of data. The provenance can be analyzed using a variety of graph analytics and visualization methods [4]. Presenting provenance to non-experts is an ongoing research topic ("*Provenance for people*"). As a new visualization technique for provenance, we present *provenance comics* that we introduced and applied to trace personal data [5].

The remaining article is organized as follows:

- We shortly give an overview about provenance and our provenance model for Quantified Self data and self-tracking workflows [6,7] (Section 2).

- 36 • We explain the general idea of *provenance comics* for provenance compliant with the PROV
- 37 standard [8] (Section 3).
- 38 • We describe a visual mapping between the provenance of Quantified Self data and their graphical
- 39 representations in comic strips (Section 4).
- 40 • We briefly describe our prototype for *automatically generating provenance comics* (Section 5).
- 41 • We give details and results of a qualitative user study (Section 6).

42 2. Provenance of Quantified Self Data

43 2.1. Provenance of Electronic Data

44 The definition of *provenance* is: “*Provenance is a record that describes the people, institutions, entities,*

45 *and activities involved in producing, influencing, or delivering a piece of data or a thing. In particular, the*

46 *provenance of information is crucial in deciding whether information is to be trusted, how it should be integrated*

47 *with other diverse information sources, and how to give credit to its originators when reusing it. In an open and*

48 *inclusive environment such as the Web, where users find information that is often contradictory or questionable,*

49 *provenance can help those users to make trust judgments [8]”.*

50 With the previous definition, World Wide Web Consortium (W3C) started in 2011 and finalized in

51 2013 the generic provenance model PROV, which has specifications for a data model PROV-DM [8]

52 and an ontology PROV-O [9], among others. PROV was inspired by various different approaches [10],

53 that is adaptable to any domain. The general provenance model can be seen as a property graph with

54 three different types of nodes: *Entities*, *Activities*, and *Agents*. Entities represent physical (e.g., sensors

55 or medical devices), digital (e.g., data sets), conceptual (e.g., a workflow description), or any other

56 kinds of objects. An activity is a process that uses or generates entities and that can be associated with

57 an agent, meaning that the agent is responsible for the activity.

58 Provenance is being recorded during runtime of a process. To make Quantified Self workflows

59 provenance-aware requires to gather information that is required by the provenance model (see [7] for

60 some possible approaches). This information is stored in a provenance database or provenance store.

61 For example, PROVSTORE [11] is publicly available provenance store. Large provenance graphs of

62 long running real world workflows are stored in scalable databases more efficiently (e.g., using graph

63 databases such as NEO4J [12]).

64 2.2. Provenance Visualization

65 For analyzing data provenance, visualization is a feasible method. Several solutions to visualize

66 provenance exist, for example, publicly available web-based tools such as PROV-O-VIZ [13], desktop

67 tools such as VISTRAILS [14], or numerous other graph visualization tools.

68 Provenance is usually represented as a directed acyclic graph (DAG). In many visualizations the

69 graph is sorted topologically from left to right or top to bottom. Much like in a family tree, the “oldest”

70 data can then be seen at the left or top and the “youngest,” most recent data at the right or bottom.

71 While these graphs may, to some extent, seem quite self-explaining to scientists, they can be rather

72 hard to understand for laymen who are not usually concerned with graphs at all and have not been

73 trained to read them.

74 Furthermore, provenance graphs can sometimes grow to enormous sizes, becoming so huge that

75 even experts will have a hard time reading them. Since the span of immediate memory is limited

76 to 7 ± 2 entities at a time [15], graphs containing more than five to nine items will become gradually

77 harder to interpret with every new item being added. However, 7 ± 2 is a value that is easily reached

78 and exceeded by even simple examples of provenance graphs. The larger the graphs become, the more

79 difficult it is to draw conclusions and derive new findings from the provenance data.

80 The possibility to view the provenance of their own data is of no value to end users, if the

81 visualization of that provenance is unintelligible to them. It cannot be expected that they learn how to

82 read an abstract, possibly complex graph. Instead, the visualization should be simple, self-explaining,
83 and familiar in such a way that end users can read and understand it almost effortlessly.

84 2.3. Quantified Self Provenance Model

85 Based on a requirements study of Quantified Self workflows and analysis of documentation from
86 breakout sessions at Quantified Self Conferences (such as the QSEU14 Breakout session on Mapping
87 Data Access [16]), we developed a provenance model for Quantified Self workflows [6].

88 The possible activities in Quantified Self workflows are categorized into six abstract functionalities:
89 *Input, Sensing, Export, Request, Aggregation, and Visualization*. We defined a provenance sub model for
each of these abstract functionalities¹.

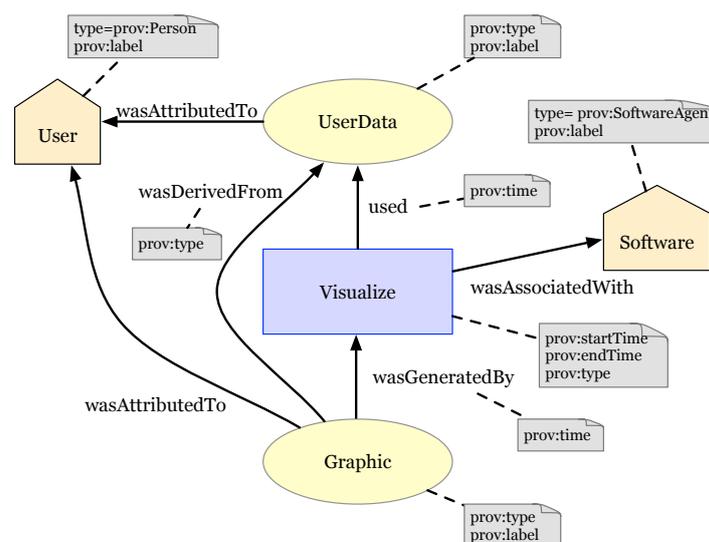


Figure 1. Provenance model for the Quantified Self activity *Visualize*.

90 As an example, Figure 1 show the provenance model for the *Visualize* activity where data (PROV
91 entity “UserData”) that belongs to a human (PROV agent “User”) is visualized by method (PROV
92 activity “Visualize”) from a certain software (PROV agent “Software”) which results in a graphic
93 (PROV entity “Graphic”). The respective PROV elements can contain attributes, which specify meta
94 information such as time of creation, names, or data types.
95

96 While the basic Quantified Self activities and the provenance of these activities are easy to
97 understand conceptually, the representation of that provenance can be difficult to understand as
98 explained in Section 2.2. For example, the two most common representations of provenance are
99 a graphical representation as a graph (Figure 2) and a textual representation in PROV-N notation
100 (Figure 3).

101 3. Provenance Comics

102 The basic idea of *provenance comics* is to present the provenance information of data processes in a
103 visual representation, which people can understand without prior instruction or training. A general
104 advantage of comics over conventional visualizations, like node-link diagrams, is their familiarity:
105 Almost anyone has probably seen some comics in their life. No training is required to read them, and
106 they can transport meaning with minimal textual annotation. They are easy to interpret and not as
107 strenuous to read as, for example, a graph or a long paragraph of continuous text.

¹ <https://github.com/onyame/quantified-self-prov>

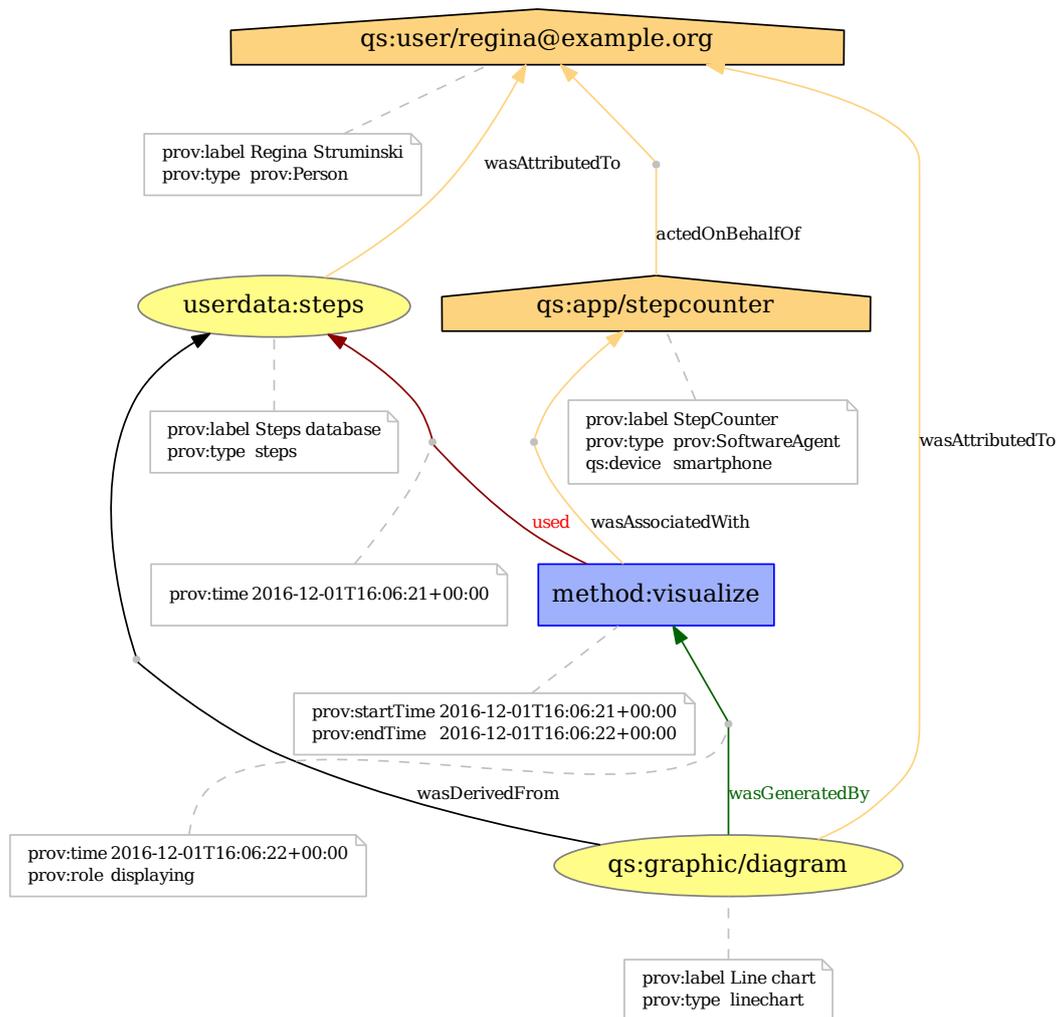


Figure 2. Graphical representation of the provenance for the QS activity *Visualize* as a directed acyclic graph (<https://provenance.ecs.soton.ac.uk/store/documents/115521/>).

108 Data provenance has a temporal aspect: origin, manipulation, transformation, and other activities
 109 happen sequentially over time. The directed, acyclic provenance graph guarantees that, while moving
 110 through its nodes, one always moves linearly forward or backward in time. It is therefore possible to
 111 derive a temporal sequence of happenings from the graph that can be narrated like a story.

112 We generate a comic strip for each basic activity in the provenance data (e.g., for the activity
 113 “Visualize” in Figures 1 or 2). Each strip consists of a varying number of panels, which are small
 114 drawings that provide further details about the activity. The comic strip for the earliest activity in
 115 the provenance document is at the top, while the strip for the newest, most recent activity is at the
 116 bottom. The complete set of comic strips shows the “story” of the data. Of course, when there are
 117 many activities, the collection of comic strips could become quite large. In this case, one could choose
 118 a subset of the provenance, containing only those activities that are relevant in real use cases.

119 Some questions that the provenance comics should answer and explain are *When was data generated*
 120 *or changed?*, *Where was the user?*, or *Where was the user’s data stored?* At this time, the comics do not
 121 contain the actual data. They only represent information contained in the provenance of the user’s

```

document
  prefix userdata <http://software.dlr.de/qs/userdata/>
  prefix qs <http://software.dlr.de/qs/>
  prefix graphic <http://software.dlr.de/qs/graphic/>
  prefix app <http://software.dlr.de/qs/app/>
  prefix user <http://software.dlr.de/qs/user/>
  prefix device <http://software.dlr.de/qs/device/>
  prefix method <http://www.java.com>

  wasGeneratedBy(qs:graphic/diagram, method:visualize, 2016-12-01T16:06:22+00:00, [prov:role="displaying"])
  activity(method:visualize, 2016-12-01T16:06:21+00:00, 2016-12-01T16:06:22+00:00)
  entity(qs:graphic/diagram, [prov:type="linechart", prov:label="Line chart"])
  entity(userdata:steps, [prov:type="steps", prov:label="Steps database"])
  agent(qs:user/regina@example.org, [prov:type="prov:Person", prov:label="Regina Struminski"])
  agent(qs:app/stepcounter, [prov:type="prov:SoftwareAgent", qs:device="smartphone", prov:label="StepCounter"])
  wasAttributedTo(qs:graphic/diagram, qs:user/regina@example.org)
  wasAttributedTo(userdata:steps, qs:user/regina@example.org)
  actedOnBehalfOf(qs:app/stepcounter, qs:user/regina@example.org, -)
  used(method:visualize, userdata:steps, 2016-12-01T16:06:21+00:00)
  wasDerivedFrom(qs:graphic/diagram, userdata:steps, -, -, -)
  wasAssociatedWith(method:visualize, qs:app/stepcounter, -)
endDocument

```

Figure 3. Textual representation of the provenance for the Quantified Self activity *Visualize* in PROV-N (<https://provenance.ecs.soton.ac.uk/store/documents/115521/>).

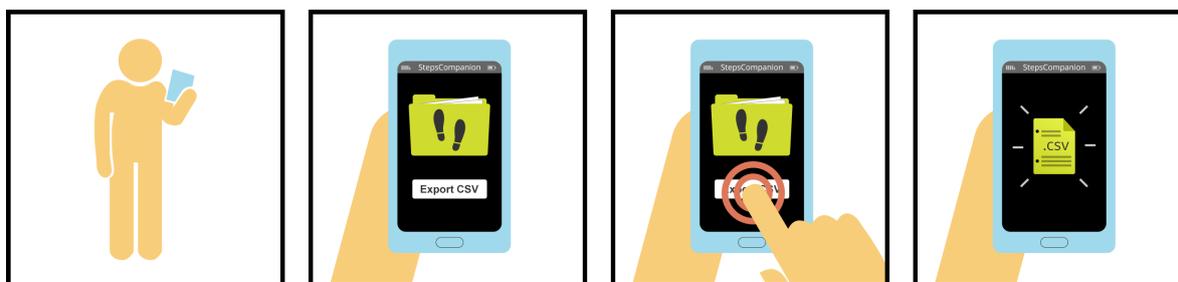


Figure 4. Generated provenance comic strip depicting the export of step data into a file in CSV format.

122 data. This might be extended in the future by using (parts of the) data for representing the real
 123 measurements, geographical coordinates, etc.

124 4. Visual Mapping

125 To generate the provenance comics, we defined a consistent visual language [17]. This visual
 126 language allows to translate the provenance data into corresponding drawings. Generally speaking,
 127 we mapped elements of the PROV standard (*Entity, Activity, Agent*) onto three distinctive graphical
 128 features: *shapes, colors, and icons or texts*.

129 4.1. Shapes

130 We designed and selected shapes according to several criteria. Most importantly, we created
 131 shapes that do not show much detail. Instead, they have a “flat” look without any textures, decorations,
 132 shadows, or three-dimensional elements. Flat design became popular in mobile UI and icon design [18]
 133 and despite of the fact that study results shows a higher cognitive load for searching flat icons [19],
 134 we stick to flat design in the first approach since we have use cases in mind, where the comics are
 135 incorporated into mobile applications.

136 Table 1 gives an overview of the shapes we selected to reflect the different types of elements in
 137 the Quantified Self PROV model [6]. Activities are not directly listed here. Unlike agents or entities,
 138 activities are actions that take place over time, as described in Section 3. Thus they are not depicted
 139 as a single graphic; instead, they represent a temporal progress and only become visible through the
 140 sequence of events in the next three to five panels of the comic.

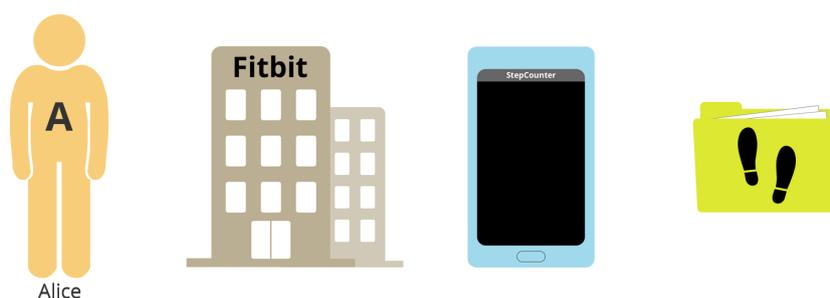
Table 1. Shapes defined for different types of PROV elements.

Element type	Shape	Example
Agent type: Person	human silhouette	
Agent type: SoftwareAgent	smartphone, computer, ... (depending on the agent's "device" attribute)	
Agent type: Organization	office building	
Entity	file folder, document, chart, ... (depending on the entity's "type" attribute)	
Activity-related objects	button, icon, ... (depending on the activity's name or "role" attribute)	

141 4.2. Icons, Letters, and Labels

142 As a second distinctive feature, all main actors in the comics carry some kind of symbol on them,
143 whether it be an icon, a single letter, or a whole word (Figure 5).

- 144 • Person agents always wear the first letter of their name on the chest.
- 145 • Organization agents display their name at the top of the office building.
- 146 • SoftwareAgents show an application name on the screen.
- 147 • Entities are marked by an icon representing the type of data they contain. A few icons have been
148 defined for some types of data that are common in the Quantified Self domain (Table 2).

**Figure 5.** Agents and entities using three distinctive features (shape, color, icons/text).

149 4.3. Colors

150 We defined colors for entities as well as the different types of agents. For example, *Person* agents
151 use a light orange color, while *SoftwareAgents* have a light blue and *Organization* agents a tan color.
152 Entities are always colored in a bright yellowy green. We took care that colors are well-distinguishable
153 even for people suffering from color vision deficiencies (pronatopia, deuteranopia, tritanopia, and
154 achromatopsy). In the few cases where they are not, discriminability is still granted through the other
155 two distinctive features, namely shape and icons or labels.

Table 2. Icons for some typical Quantified Self data types.

Data type	Icon	Description
Blood pressure		a heart outline with a pressure indicator
Heart rate		a heart containing an ECG wave
Sleep		a crescent moon with stars
Steps		a pair of footprints
Weight		a weight with the abbreviation "kg" cut out

156 4.3.1. Colors for objects of the same type

157 Alternative color shades have been defined for both agents and entities in case that two or three
158 objects of the same type ever need to appear at once.

159 The first alternative was determined by reducing the main color's lightness (in the HSL color
160 space) by 60%, the second alternative by reducing the lightness by 30%–45%. Figures 6, 7, and 8
161 exemplarily simulate the effect of different types of color blindness on agent and entity colors².

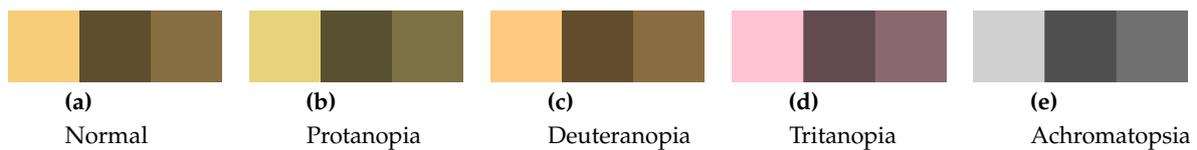


Figure 6. Person agent color shades and how they are seen by colorblind people

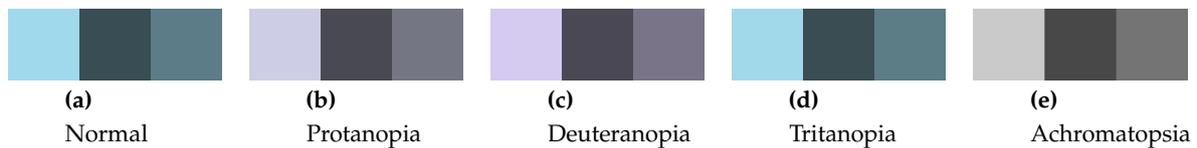


Figure 7. SoftwareAgent color shades and how they are seen by colorblind people

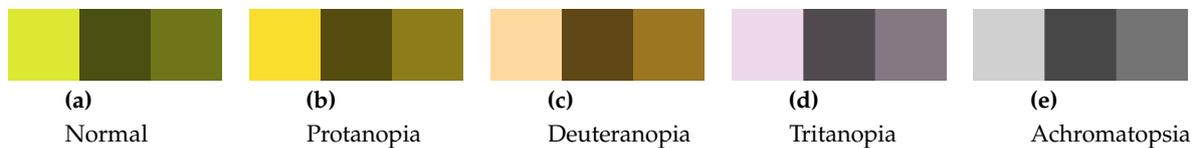


Figure 8. Entity color shades and how they are seen by colorblind people

162 In a previous approach, colors had been rotated by 180°, 90°, and 270° to obtain well-matched
163 second, third and even fourth colors. However, two problems arose: First of all, the whole comic
164 would generally have become very colorful, which would possibly have led to confusion. Depending
165 on the situation, there might, for example, have been a blue person that owns a blue phone and a pink

² Simulations generated by <http://www.color-blindness.com/coblis-color-blindness-simulator/>

166 entity, while at the same time a pink person is present owning a blue entity. Some similar items would
 167 have had very dissimilar colors, while some dissimilar items would have had very similar colors.
 168 Apart from causing a certain visual inconsistency, this might also have suggested to the reader that
 169 there were some deeper meaning to the colors, other than discriminability. For example, the reader
 170 might have thought that similar colors indicate a grouping of some kind (e.g. that a pink entity belongs
 171 to a pink person).

172 4.3.2. Colors for objects of different types

173 The distinctiveness between the colors of different object types is not as important as that between
 174 colors of the same types of objects. That is to say: Color is more important for distinguishing two
 175 items that have the same shape than it is for two items with different shapes. Thus the selection and
 176 discriminability of colors need not be handled as strictly for different types of actors.

177 Figure 9 shows that especially the default colors of Person agents and entities are not well
 178 distinguishable by readers suffering from color vision deficiencies. However, since shape and icon or
 179 text will be different, the weak color difference is neglectable. Figure 10 shows that items are still well
 180 distinguishable due to their shapes and icons.

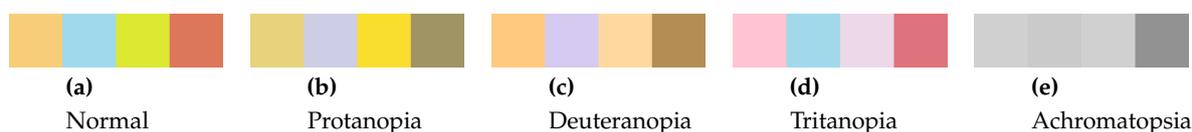


Figure 9. Default colors for Persons, SoftwareAgents, entities, and a “button press” effect and how they are seen by colorblind people

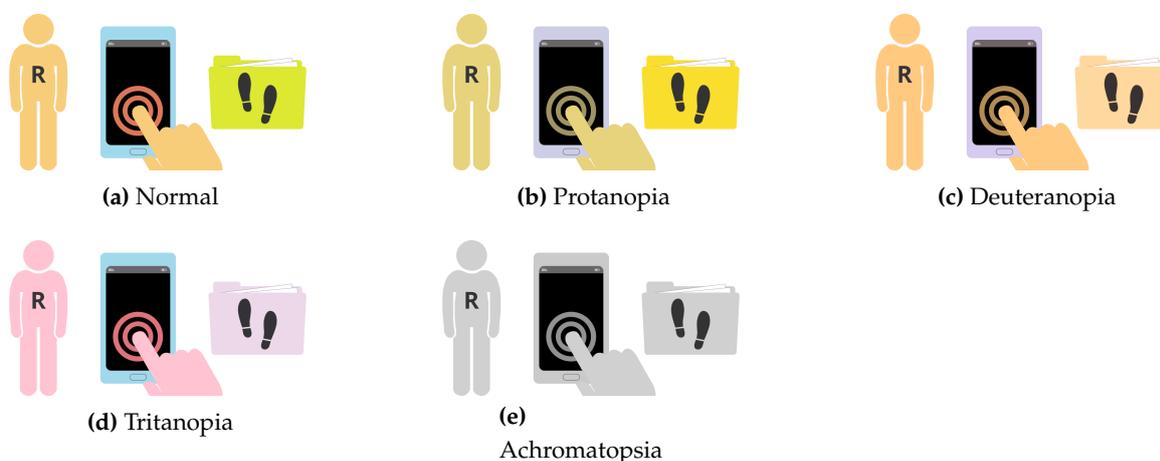


Figure 10. Default colors and shapes for different objects and how they are seen by colorblind people.

181 4.3.3. Text and icon colors

182 In a number of cases, agents and entities will be labeled with texts, letters or icons. To keep those
 183 recognizable on different background colors, a simple rule of thumb has been established using the
 184 colors’ equivalents in the Lab color space:

- 185 • If a color’s L (lightness) value is between **0 and 49**, the text or icon color is **white**.
- 186 • If a color’s L value is between **50 and 100**, the text or icon color is **black**.

187 By choosing the font color this way, a contrast ratio of at least 3:1 (often a lot higher) is achieved,
 188 which is “the minimum level recommended by ISO-9241-3 and ANSI-HFES-100-1988 for standard
 189 text and vision” [20]. The WCAG’s SC 1.4.3 (MINIMUM CONTRAST) requires a ratio of 4.5:1 for
 190 standard text, and 3:1 for “large-scale text and images of large-scale text”, with “large-scale text”
 191 having a size of at least 18 point, or 14 point and bold style. The even stricter SC 1.4.6 (ENHANCED
 192 CONTRAST) requires a ratio of 4.5:1 for large-scale text and 7:1 for standard text [20].

193 The majority of icons and letters used in the PROV COMICS qualify as large-scale text. By
 194 choosing the font or icon color according to the simple “black or white” rule proposed here, it is
 195 guaranteed that a contrast ratio of at least 3:1 is always achieved. In fact, when combined with the
 196 previously defined agent and entity colors, this rule yields a contrast ratio of at least 4.5:1 for all
 197 graphics containing text or icons. Thus, they even fulfill the stricter SC 1.4.6 (ENHANCED CONTRAST)
 198 for large-scale text. Figure 11 shows some example graphics with high-contrast icons or letters³.

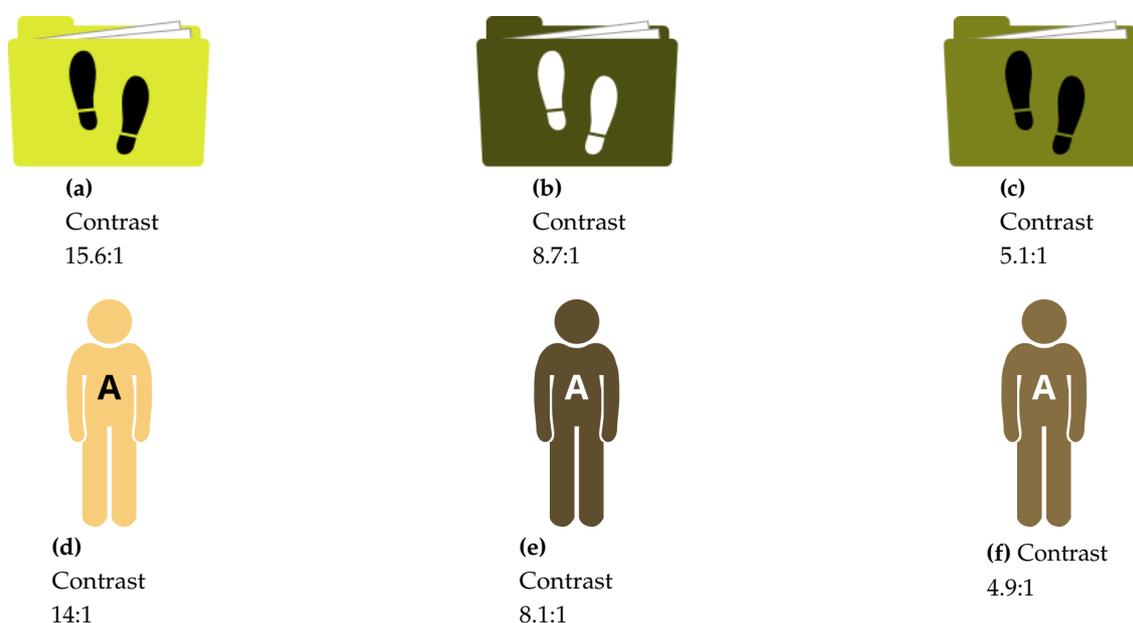


Figure 11. Examples of entities and agents with icons passing the WCAG SC 1.4.6 (ENHANCED CONTRAST).

199 4.4. Panels and layout

200 All panels are perfect squares. Horizontally, they are separated from each other by a whitespace
 201 of 10% of the panel size, while the vertical distance between rows of panel is 20% of the panel size.
 202 For example, 600x600 pixel panels have 60 pixels of white space between them horizontally, and 120
 203 pixels of white space vertically. By arranging them this way, panels are grouped into rows, helping
 204 the reader determine the correct reading direction. This is explained by the gestalt law of proximity:
 205 Objects that are close to each other are perceived as a group [21].

206 However, no requirements are made as to how many panels each row should contain. Due to the
 207 fact that the comics are to be viewed on different devices the layout needs to be scalable. While a row
 208 may consist of four or five panels on a desktop or tablet computer, there might only be enough space
 209 for one panel per row on a smartphone.

³ Contrast ratios calculated by <http://leaverou.github.io/contrast-ratio/>

210 The panels have black borders, the width of which should amount to 1% of the panel size. For
211 example, a 600x600 pixel panel should use a 6 pixel border. In case a caption or introductory text is
212 added to the top of a panel, it is separated from the rest of the panel by a bottom border with the same
213 properties. Borders group the different graphics inside a panel together, so they are perceived as one
214 large image. This is an application of the law of closure, which states that objects in a framed area are
215 perceived as one unit [21].

216 4.5. Captions and text

217 We aimed to include as little text as possible in the comics. Most of the information should be
218 conveyed by the graphics to provide an effortless “reading” experience. However, in certain cases, a
219 few words are useful to support the interpretation of symbols. For example, when up- or downloading
220 data, the words “Uploading...” or “Downloading...” are added below the cloud icon. These short
221 annotations take only little cognitive capacity to read, but may greatly help understand certain icons.

222 Buttons also use textual labels, as it is very difficult to convey the actions they represent in the
223 form of graphics. The labels are only very short though, mostly consisting of only one or two words
224 (e.g., “View graph” or “Export CSV”).

225 Captions are used to expose the date and time when activities took place. Every comic strip
226 begins with such a caption in the very first panel to give the reader temporal orientation. If a relevant
227 amount of time has passed between two activities, a caption may be used again to communicate this to
228 the reader.

229 The comic depicted in Figure 14 contains examples of these textual annotations, button labels,
230 and captions.

231 4.6. Level of Detail

232 The comics are characterized by extreme simplicity and reduction to the essentials. The reader
233 should never have to look for the important parts of the image. Thus, only relevant items are pictured;
234 no purely decorative graphics are used. This includes the background, which is plain white at all
235 times. No surroundings or other possible distractions are ever shown. By eliminating details, reducing
236 images to their essential meaning, and focusing on specific elements, the emphasis is put on the actual
237 information.

238 4.7. Recurring image structures

239 Activities will not be represented by a single graphic, but by a sequence of three to five comic
240 panels. Similar activities should be illustrated by similar sets of panels, making use of recurring image
241 compositions. For example, the activities of the data sub-models *Export*, *Aggregate*, and *Visualize* are
242 comparable in that they take one kind of data and create a different kind of data from it. They can thus
243 be visualized in a very similar manner (see Figures 4, 12, and 14).

244 Using recurring image structures whenever possible adds to the comics’ consistency,
245 comprehensibility and learnability: Once readers have understood the *Export* panels, for example, they
246 will easily be able to understand *Aggregate* and *Visualize* panels, too.

247 4.8. Commonly Known Symbols

248 Some of the graphics used in the comics rely on the reader’s experience. For example, “sheet of
249 paper” and “document folder” icons have been used for decades to symbolize data and collections
250 of data, and in recent years, the “cloud” icon has become a widely known symbol for external data
251 storage space.

252 Conventions like these are useful when it comes to depicting rather abstract items. Concrete
253 objects, such as a person, a smartphone, or a computer, can easily be drawn as a simplified graphic,
254 but it is not as easy with more abstract notions like “data.” The graphics representing exported files,

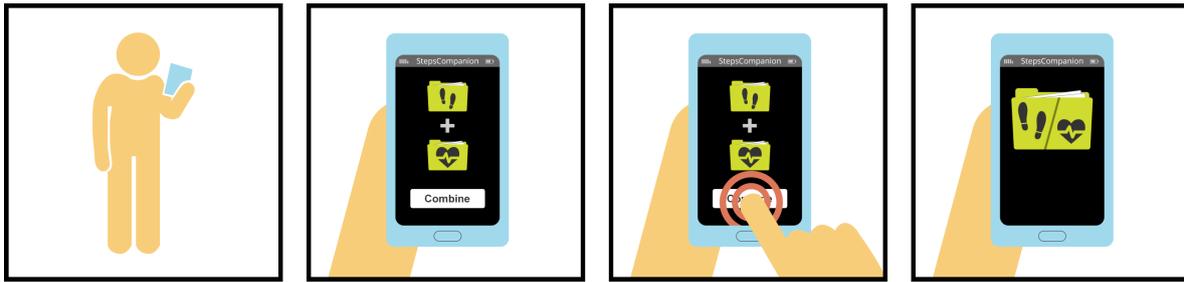


Figure 12. Comic depicting the aggregation of step count and heart rate data into a new set of data.



Figure 13. Provenance graph of two user actions (<https://provenance.ecs.soton.ac.uk/store/documents/115642/>)

255 collections of Quantified Self data, but also data transmission and synchronization build upon icons
256 that have been adopted into many peoples' "visual vocabulary."

257 4.9. Example

258 Figure 14 shows an example of two comic strips that correspond to the provenance graph in
259 Figure 13. The example contains the consecutive strips for two user actions: *downloading steps count*
260 *data from a cloud service to the user's smart phone* (PROV activity "request"), and *visualizing the steps data*
261 *in a line chart* (PROV activity "visualize").

262 5. Implementation

263 For generating the comic strips, we developed the web application PROV COMICS in
264 JavaScript [22] (Figure 15). This web application fetches provenance documents directly from
265 a provenance store. The current prototype supports the publicly available provenance store
266 PROVSTORE [11] using the PROVSTORE JQUERY API to retrieve public documents from the PROVSTORE
267 for a certain user.

268 Within the provenance document, the script first looks for activities to determine what kinds of
269 panels need to be displayed. If there is more than one activity, the correct order is derived from the
270 activities' timestamps. As mentioned earlier in Section 4.7, activities will not be represented by a single
271 graphic, but by a sequence of three to five comic panels. Similar activities are illustrated by similar sets
272 of panels.

273 After that, the script reads the attributes of involved agents, entities, and relations to decide
274 which graphics to include in these panels. For example, the attributes indicate whether to display a
275 smartphone or a computer, a folder or a single document, a steps icon or a weight icon, etc.

276 For generating the comics, the ProvComics.js script defines three JavaScript prototypes
277 ("classes"):

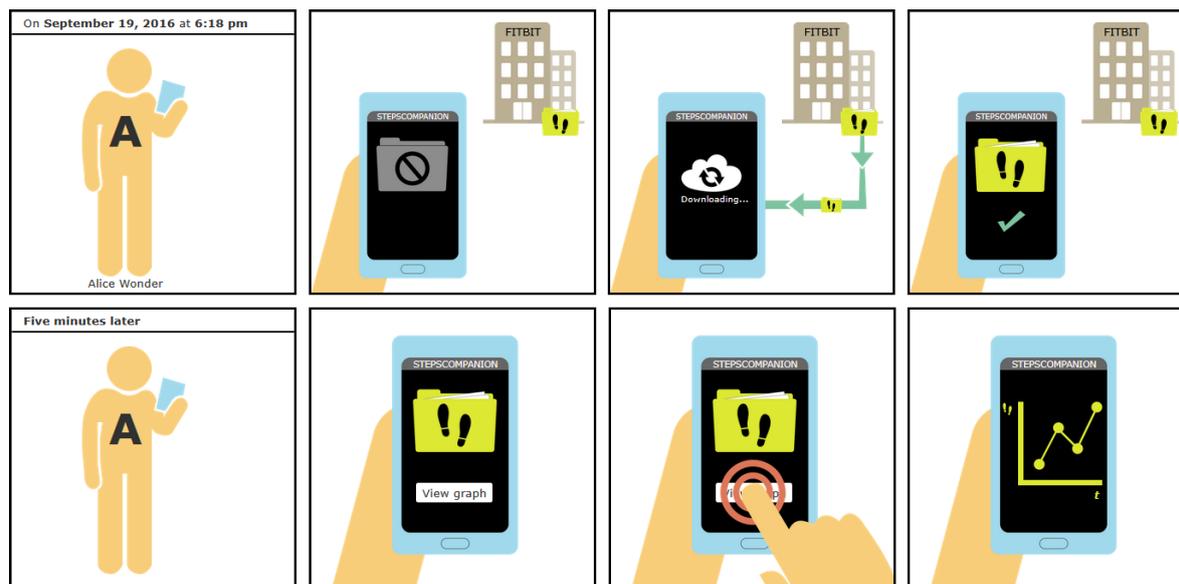


Figure 14. Generated provenance comics strip for two consecutive user actions.

278 **ProvComic** serves as a frame to contain all comic panels. It is also the general starting point for creating
 279 a *provenance comic* inside a given HTML element. For example, if there is a `<div id='comic'>`
 280 tag in the HTML, a new *provenance comic* may be started within the `div` element by declaring `var`
 281 `comic = new ProvComic('#comic')`.

282 **Panel** represents a single comic panel and has all necessary abilities to create any of the panels
 283 described in the concept. For example, it provides functions to add captions, *Persons*,
 284 *SoftwareAgents*, *Organizations*, different types of entities, etc.

285 **PanelGroup** represents a predefined sequence of panels. They make it easier to insert recurring panel
 286 sequences. For example, it provides a function to add all panels depicting a download *Request* at
 287 once.

288 6. Qualitative User Study

289 We conducted a user study to evaluate the clarity and comprehensibility of the provenance
 290 comics. Ten test subjects were shown a number of test comics and asked to re-narrate the story as they
 291 understood it.

292 6.1. Study Design

293 We decided that a *qualitative study* was the better choice—in contrast to a quantitative study—in
 294 order to find out whether or not the PROV COMICS are comprehensible. Different people may
 295 understand the comics in different ways, or have different problems when reading them. These can
 296 hardly be compared or measured in numbers, and creating a standardized questionnaire with closed
 297 questions would have been very difficult. Moreover, it would probably have led to further problems;
 298 for example, if asking about certain features of the comics using single or multiple choice questions, the
 299 question itself as well as the available answers might have provided hints and suggested something to
 300 the participants that they actually did not understand by themselves when they first read the comics.

301 Due to these considerations, we let test readers speak freely about the comics and performed a
 302 qualitative analysis afterwards. However, to make the test readers' answers accessible to statistics and
 303 comparison, we created a list for each of the comics, containing 10 to 23 findings that participants might
 304 discover and verbalize. It was thus possible to gain quantitative data by calculating the percentage of
 305 discovered findings.

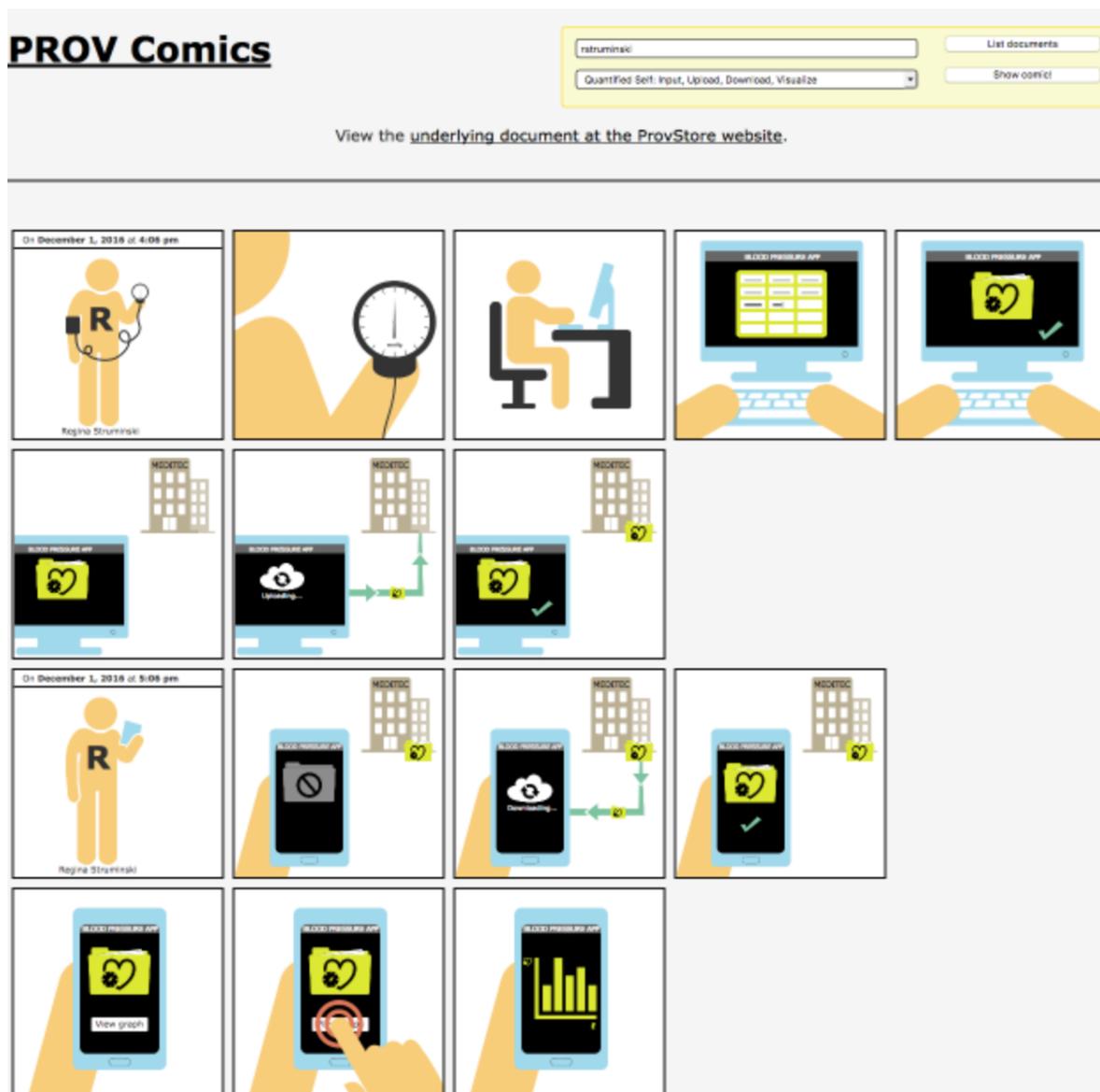


Figure 15. Screenshot of the PROV COMICS web application (<http://provcomics.de>).

306 6.1.1. Research Question

307 The general research question that was to be answered by the study is whether the comics are
 308 comprehensible to average end users:

- 309 • *Are the selected graphics and the visual language they form understandable? and*
- 310 • *Do users understand the history of their own data (i.e., when and how their data originated, what*
 311 *conversions and transformations it underwent, and who had access to or control over it in the course of*
 312 *time)?*

313 The study was also to reveal misunderstandings that may arise from a lack of technical knowledge on
 314 the reader's part and help determine passages where the images are not explanatory enough and need
 315 to be improved or extended.

316 6.1.2. Test comics

317 We selected five different scenarios as test comics to be included in the user study [17]. The first
318 three test comics each depicted a combination of two activities (e.g., *Input* and *Visualize*). The fourth
319 and fifth comics are a little longer, combining three to four activities.

320 6.1.3. Questions

321 We decided to have test readers speak freely about the comics and do a qualitative analysis
322 afterwards. However, to make the test readers' answers accessible to statistics and comparison, we
323 created a list for each of the comics, containing 10 to 23 findings that participants might discover and
324 verbalize. It was thus possible to gain quantitative data by calculating the percentage of discovered
325 findings.

326 6.1.4. Timing

327 Test readers were interviewed one at a time, and each reader was interviewed only once; there
328 were no repeated interviews with the same persons. All participants were shown the same comics in
329 the same order. The interviews took about thirty minutes each and were conducted over a period of
330 several days.

331 6.1.5. Selection of test subjects

332 No special background was required of the test persons; on the contrary, it was desired that they
333 have no previous knowledge about data provenance and no special expertise in the Quantified-Self
334 domain. No limitations were set in terms of age, gender, or occupation. Table 3 gives an overview
335 about the selected participants.

Table 3. Study participants.

Test subject	Gender	Age	Technical expertise (0 = none, 3 = expert)	# QS applications used	Profession
on	f	28	2	4	Cook's mate / waitress
er	f	63	1	4	Senior executive in aged care
mm	m	25	2	4	Student (computer science)
42	m	25	3	4	Student (computer science)
ab	m	26	3	4	Student (computer science)
nn	f	43	2	3	Primary school teacher
al	m	49	1	1	Commercial clerk
ud	f	40	2	1	Optometrist
te	m	49	2	0	Soldier
xe	m	29	2	1	Computer scientist / programmer
Average	n/a	37.7	2	2.6	n/a
Median	n/a	34.5	2	3.5	n/a

336 6.1.6. Tasks, rules and instruments

337 For each participant, five different sheets with comic strips were printed out and handed to
338 them on paper. To obtain comparable results, all test subjects were asked to fulfill the exact same
339 tasks for each of the five comics: first read the comic silently for themselves, and then re-narrate their
340 interpretation of the story. To avoid influencing the process in any way, the examiner did not talk to
341 participants at this stage. A smartphone running a dictaphone app was used to record the participants'
342 re-narrations of the comics.

343 6.1.7. Debriefing

344 After all comics had been worked through, any difficult parts were revisited and analyzed in an
345 informal conversation. Participants were encouraged to comment freely on the comics, giving their
346 own opinion and suggestions for improvements.

347 6.2. User Study Results

348 The average percentage of findings that participants verbalized over all five comics was 77%. The
349 value was remarkably high for some particular comics, the highest one being 87%. Women showed a
350 better overall performance than men (84% for women vs. 73% for men). Figure 16 shows results for
351 all test comics. However, the number of test subjects in this small study is too low to draw any general
conclusions from that.

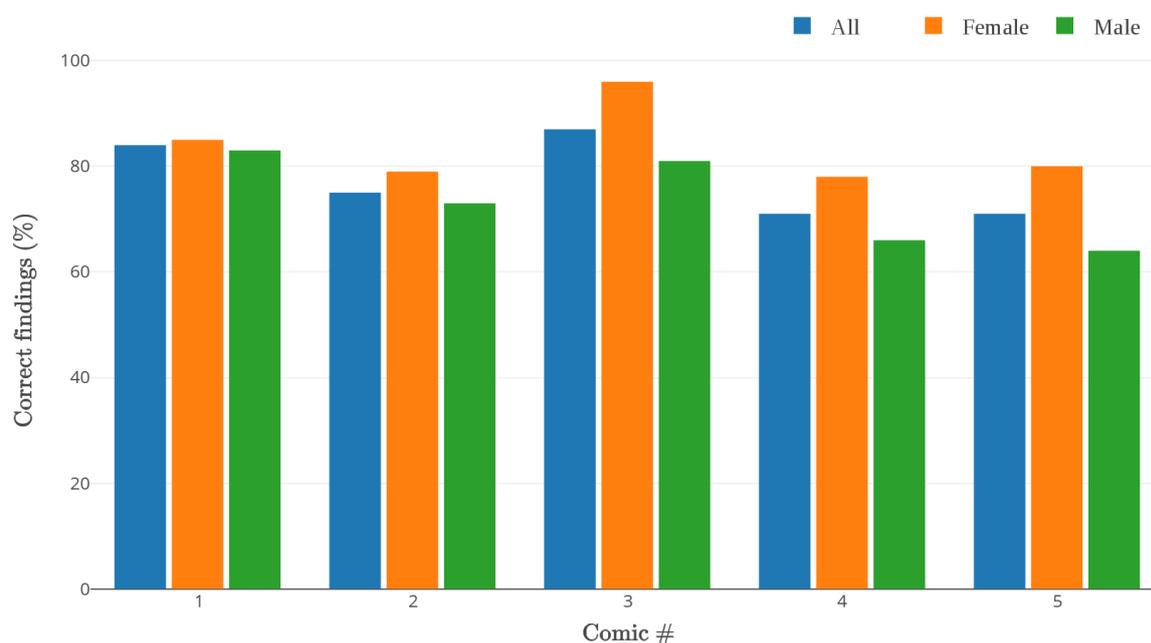


Figure 16. Evaluation of results: Percentage of correct findings for all participants as well as for women and men only (<https://plot.ly/~onyame/50/>).

352

353 There were certain difficult parts in some of the comics, which mostly stemmed from a lack of
354 experience with Quantified Self applications or web services. However, even in these cases, the general
355 essence of the story was largely interpreted correctly.

356 Participants had no difficulties recognizing and interpreting the different icons for concrete
357 elements, like persons, smartphones, computers, and bracelets or smartwatches. But even more
358 abstract notions (e.g., “transmitting data from one device to another,” “synchronizing data with a
359 cloud”) were well-understood, since they relied on icons that are commonly used in software and web
360 applications and were understood by most readers without any confusion.

361 Readers also had no problem identifying themselves with the comic figure (human silhouette).
362 Almost every re-narration was told from a first-person point of view, using sentences like “I was
363 walking”, “I was wearing a bracelet”, “I clicked the button”, etc.

364 In summary, all users were able to explain correctly the scenarios depicted in the comic strips.
365 Some users suggested minor changes and improvements to the visual representation.

366 Current work includes user studies with a much broader set of people, especially with very
367 limited knowledge about the technology behind wearable devices, smartphone applications, and
368 services.

369 7. Related Work

370 Usually, visualization in Quantified Self focuses on the *data*, where all kinds of visualization
371 techniques are used [23]. For example, time series visualizations or geographical visualization are very
372 common⁴.

373 For *provenance* visualization, most tools found in literature visualize provenance graphs using
374 ordinary node-link diagrams, or tree representations similar to node-link diagrams. PROVENANCE
375 MAP ORBITER [24], PROVENANCE BROWSER [25], and PROVENANCE EXPLORER [26] are based upon
376 node-link diagrams. Large provenance graphs are then simplified by combining or collapsing
377 sub-nodes or hiding nodes that are not of interest right now. The user can interactively explore
378 the graph by expanding or zooming into these nodes.

379 Other tools, such as VISTRAILS [14], use a tree representation similar to node-link diagrams.
380 Visual clutter is reduced by hiding certain nodes, limiting the depth of the tree, or displaying only the
381 nodes that are related to the selected node.

382 PROBE-IT! [27] and CYTOSCAPE [28] basically display provenance as ordinary graphs. However,
383 Probe-It! does not only show the *provenance* of data, but also the *actual* data that resulted from process
384 executions. In CYTOSCAPE, users can create their own visual styles, mapping certain data attributes
385 onto visual properties like color, size, transparency, or font type.

386 One work that stands out due to its completely different and novel approach is INPROV [29]. This
387 tool displays provenance using an interactive radial-based tree layout. It also features time-based
388 grouping of nodes, which allows users to examine a selection of nodes from a certain period of time
389 only.

390 There are some more related works, even though they are not directly concerned with provenance
391 visualization. A non-visual approach to communicating provenance is natural language generation
392 by Richardson and Moreau [30]. In this case, PROV documents are translated into complete English
393 sentences.

394 Quite similar to provenance comics are *Graph Comics* by Bach et Al. [31], which are used to
395 visualize and communicate changes in dynamic networks using comic strips.

396 8. Conclusions and Future Work

397 The goal of this work was to develop a self-explaining, easy-to-understand visualization of data
398 provenance that can be understood by non-expert end users of Quantified Self applications.

399 A detailed concept has been created that defines a consistent visual language. Graphics for PROV
400 elements like different agents and entities were designed, and sequences of comic panels to represent
401 different activities were determined. Symbols, icons, and panel sequences were specified in an exact
402 and uniform manner to enable the automatic generation of comics.

403 As proof of concept, a prototypical website has been developed which is able to automatically
404 generate comics from PROV documents compliant with the existing Quantified Self data model. The
405 documents are loaded from the PROVSTORE website.

406 A reading study involving ten test readers has shown that a non-expert audience is mostly able
407 to understand the provenance of Quantified Self data through provenance comics without any prior
408 instruction or training. The overall percentage of 77% for findings verbalized by participants is deemed
409 a good result, given that the checklists were very detailed and contained findings that some readers
410 probably omitted, because they seemed too obvious and self-evident to them.

411 Future work will focus on graphical improvements. This includes suggested improvement
412 measures that resulted from the reading study. A major step will be quantitative comics, which also
413 show actual measured values. For example, diagrams on depicted devices could show real plots of

⁴ See visualization examples at the "Quantified Self" website: <http://quantifiedself.com/data-visualization/>

414 health data, and single comic panels may include real geographical information. Another improvement
415 could be the use of glyph-based depiction [32], where the body shape of depicted humans represent
416 real values such as weight. A more technical improvement will be the consequent use of *provenance*
417 *templates* [33,34], which will help to standardize the recorded provenance with templates provided to
418 tool developers and which then helps tools for generating comic strips based on these standardized
419 provenance.

420 A useful improvement of the provenance comics would be to make them application-generic to
421 some extent, (i.e., not restricted to the Quantified Self domain). We plan to explore whether provenance
422 comics might be useful for other application domains, such as electronic laboratory notebooks, writing
423 news stories in journalism, or security breaches in Internet-of-Things environments. For example,
424 using provenance comics seem to be a feasible approach to communicate hacking attempts in smart
425 home systems, if provenance of such attacks is available (such as by the recent works of Wang et
426 Al. [35]).

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