

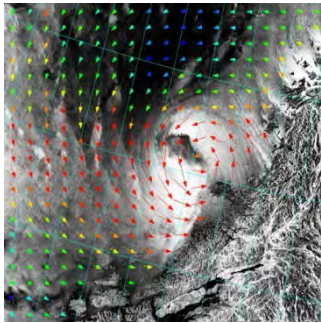
# Monitoring MetOcean parameters from space - Implications for offshore safety and security

Dr. Sven Jacobsen  
Maritime Safety and Security Lab Bremen  
German Aerospace Center (DLR)

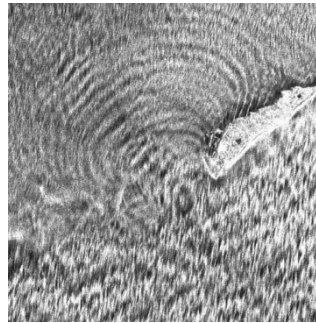


Knowledge for Tomorrow

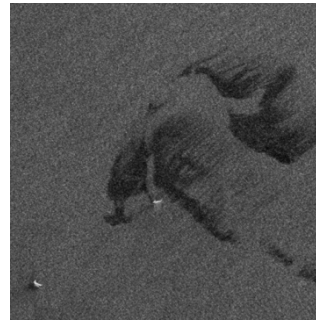




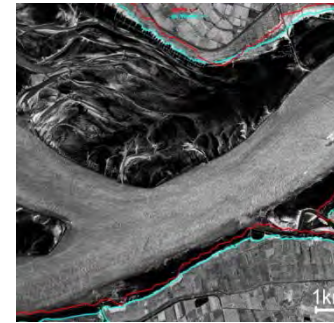
Wind



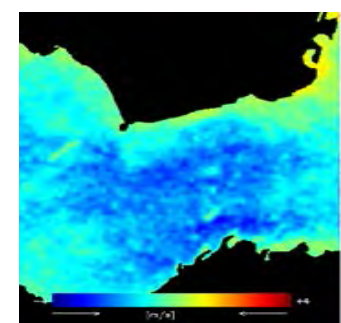
Sea State



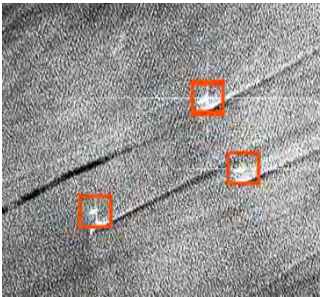
Oil



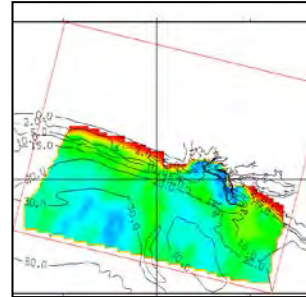
Land-Water Line



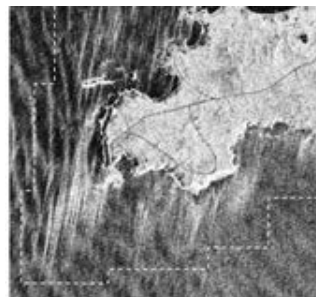
currents



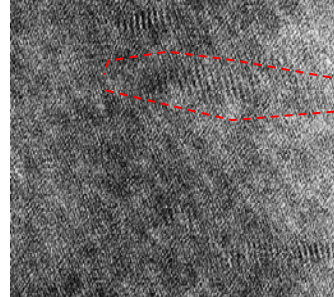
Ships



Bathymetry



Wave breaking



Wave groups



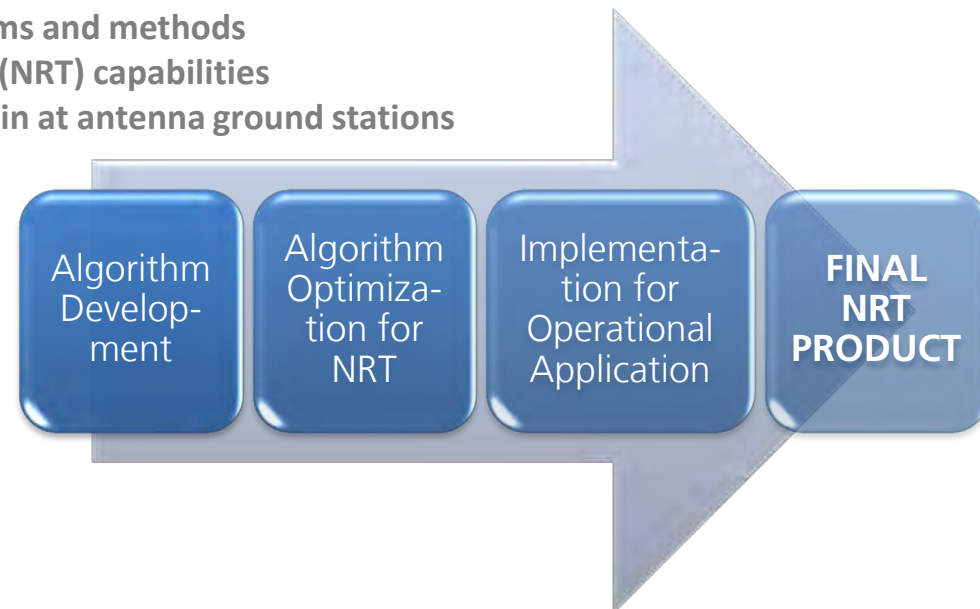
ice, Icebergs

## 1. Basic Research - Functions & Algorithms

- Fundamental research in SAR Imaging Mechanisms
- Finding interdependencies between SAR imaging and geophysical or oceanographic properties
- Develop (empirical) model functions to deduce sea surface properties from SAR

## 2. Software Development - Prototype & NRT Processor

- Robust implementation of developed algorithms and methods
- Performance optimisation for Near-Real-Time (NRT) capabilities
- Integration in operational data processing chain at antenna ground stations





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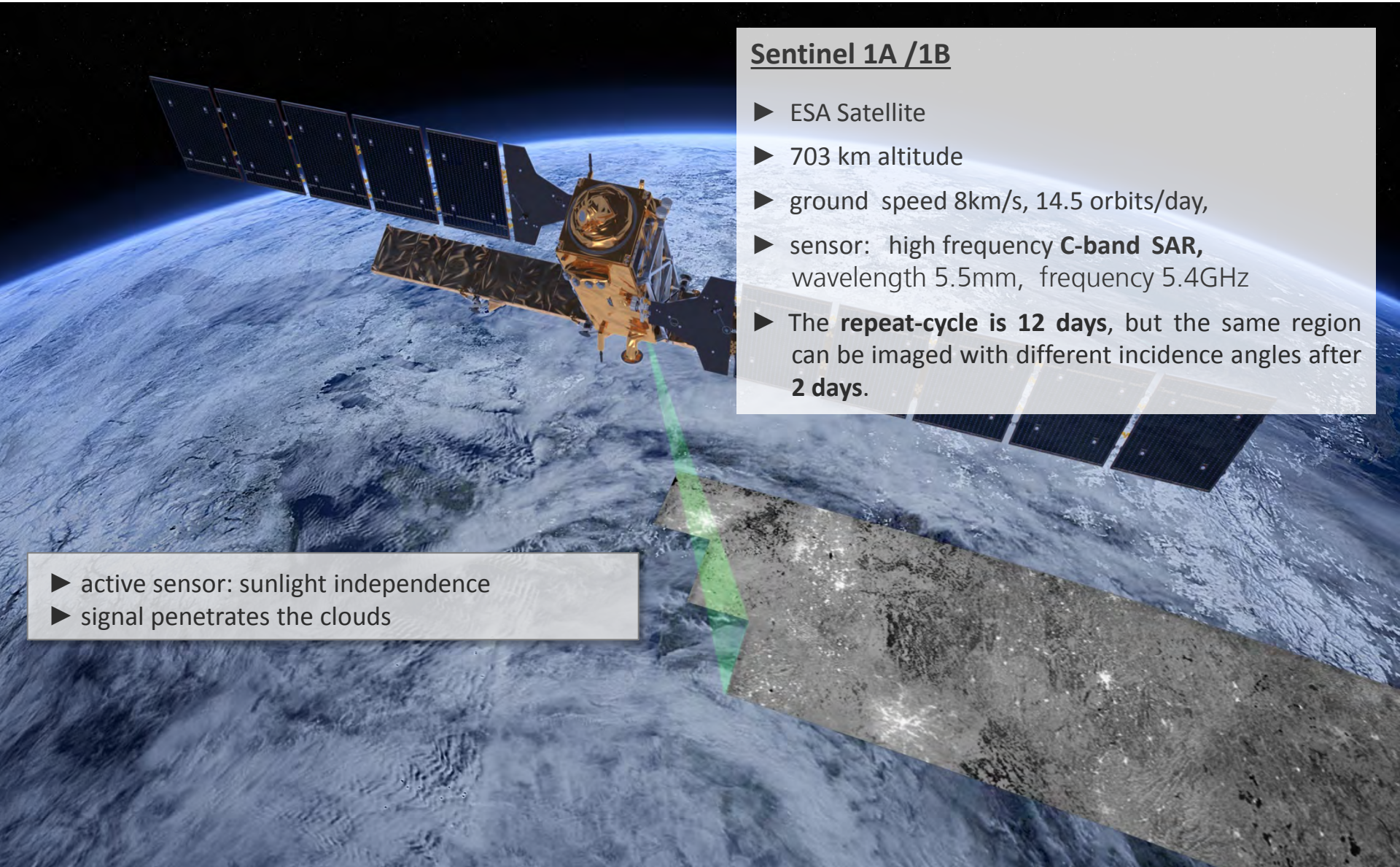
## 3. Processing, Databases and Scientific Exploitation

- Contribution to improve forecasts, oceanographic and geophysical understanding
- Analysis of extreme events
- Possible applications for institutions and industry





# Satellites: X-band SAR (synthetic aperture radar)



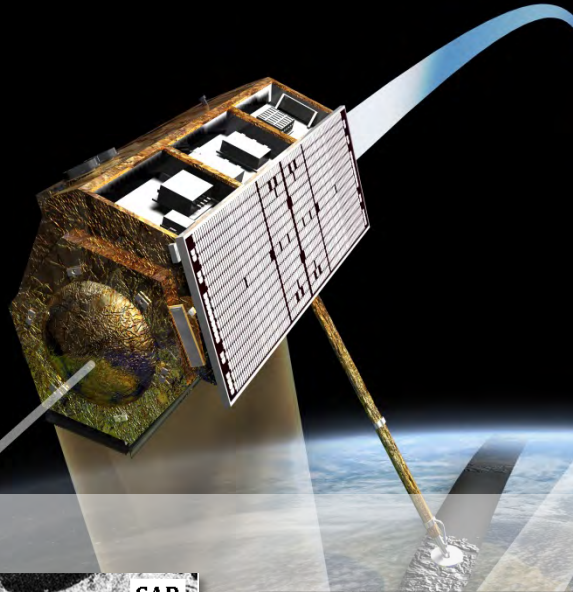
## Sentinel 1A /1B

- ▶ ESA Satellite
- ▶ 703 km altitude
- ▶ ground speed 8km/s, 14.5 orbits/day,
- ▶ sensor: high frequency **C-band SAR**, wavelength 5.5mm, frequency 5.4GHz
- ▶ The **repeat-cycle is 12 days**, but the same region can be imaged with different incidence angles after **2 days**.

- ▶ active sensor: sunlight independence
- ▶ signal penetrates the clouds



# Satellites: X-band SAR (synthetic aperture radar)



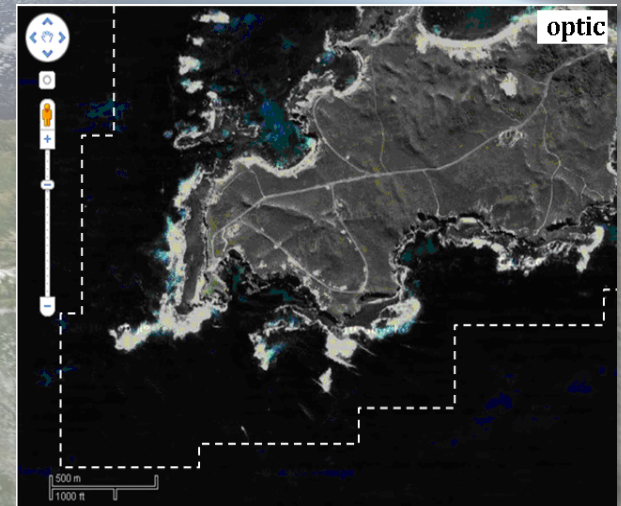
## TerraSAR-X / TanDEM-X

- ▶ DLR/AIRBUS Cooperation
- ▶ 514 km altitude
- ▶ ground speed 7km/s, 15 orbits/day,
- ▶ sensor: high frequency **X-band SAR**, wavelength 31mm, frequency 9,6GHz
- ▶ The **repeat-cycle is 11 days**, but the same region can be imaged with different incidence angles after **2 days**.

SAR



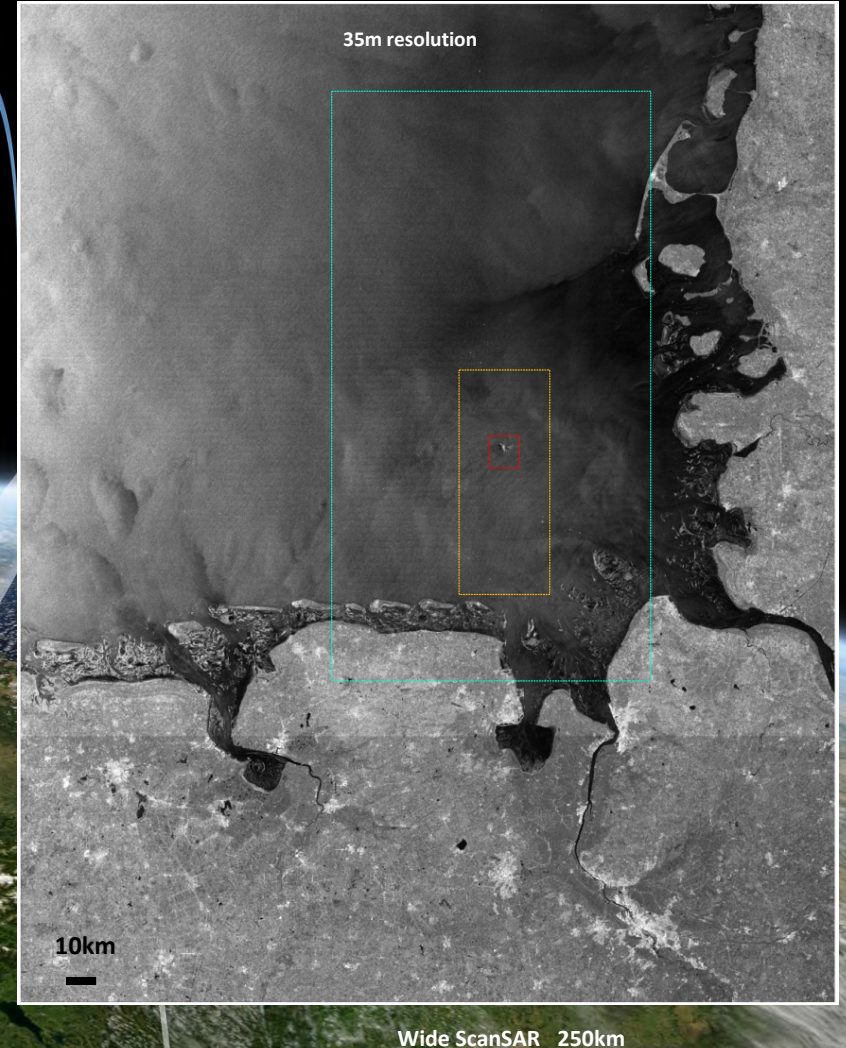
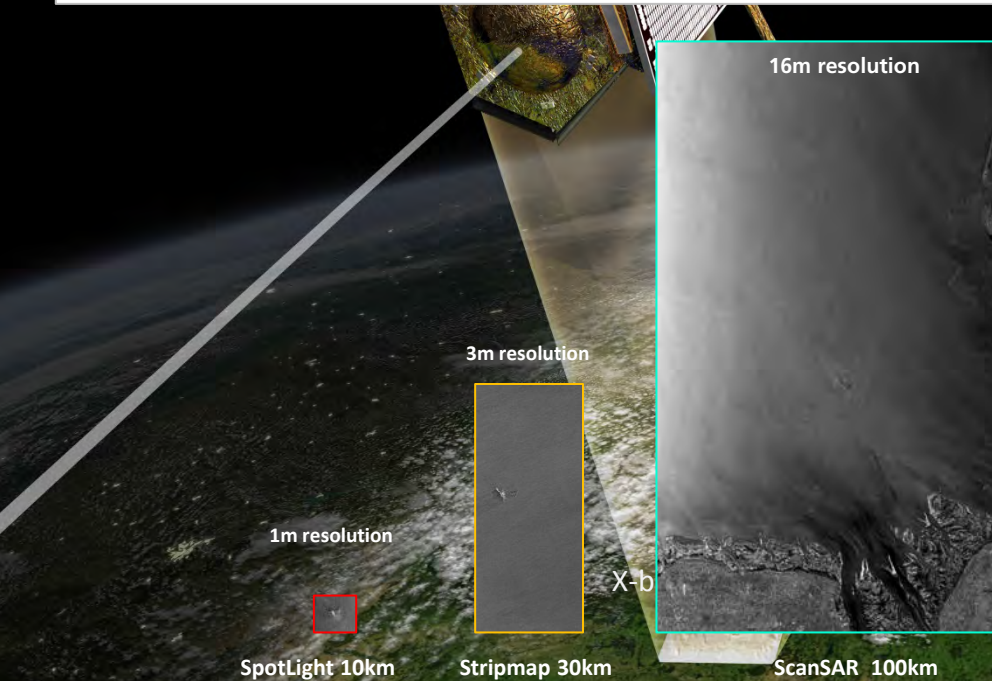
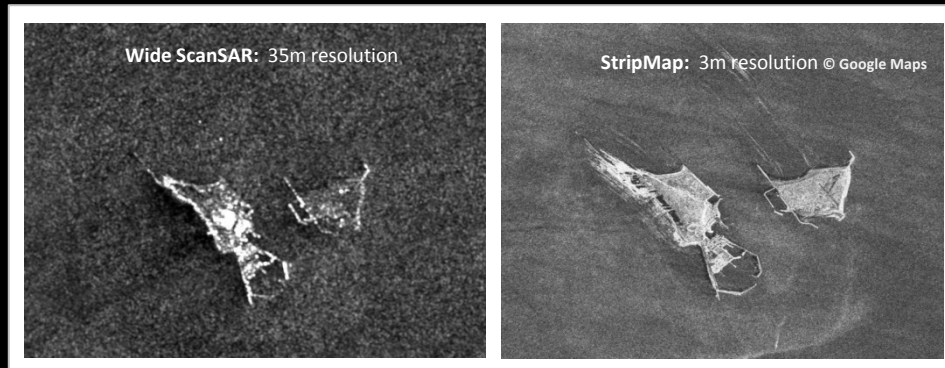
OPTICAL





# Satellites: X-band SAR (synthetic aperture radar)

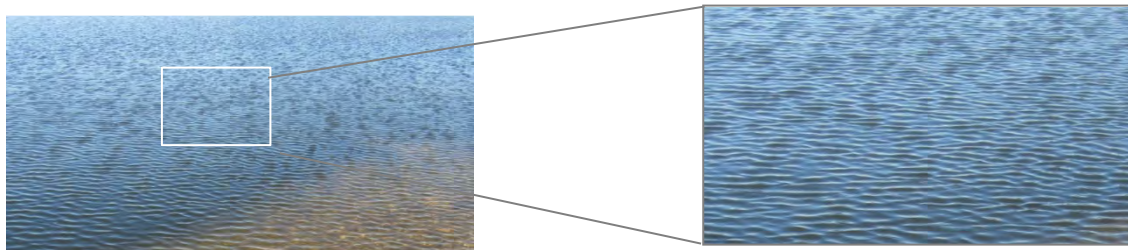
## TerraSAR-X



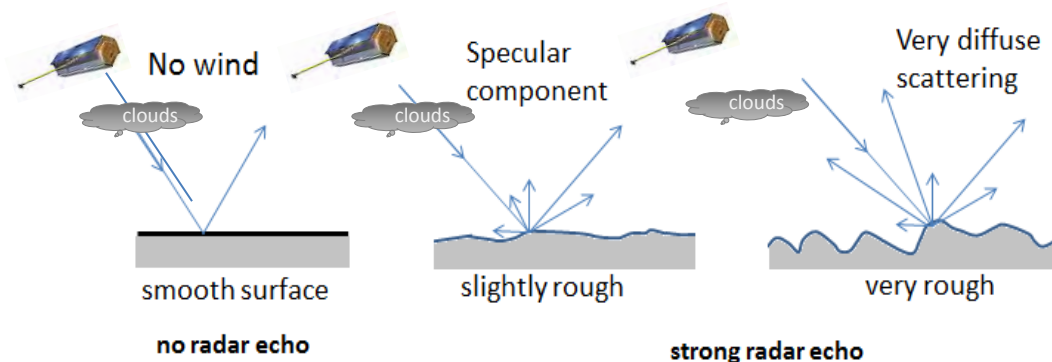


# SAR-Derived Wind Fields

Synthetic aperture radar is capable of providing wind information over the ocean by measuring the **roughness of the sea surface**.



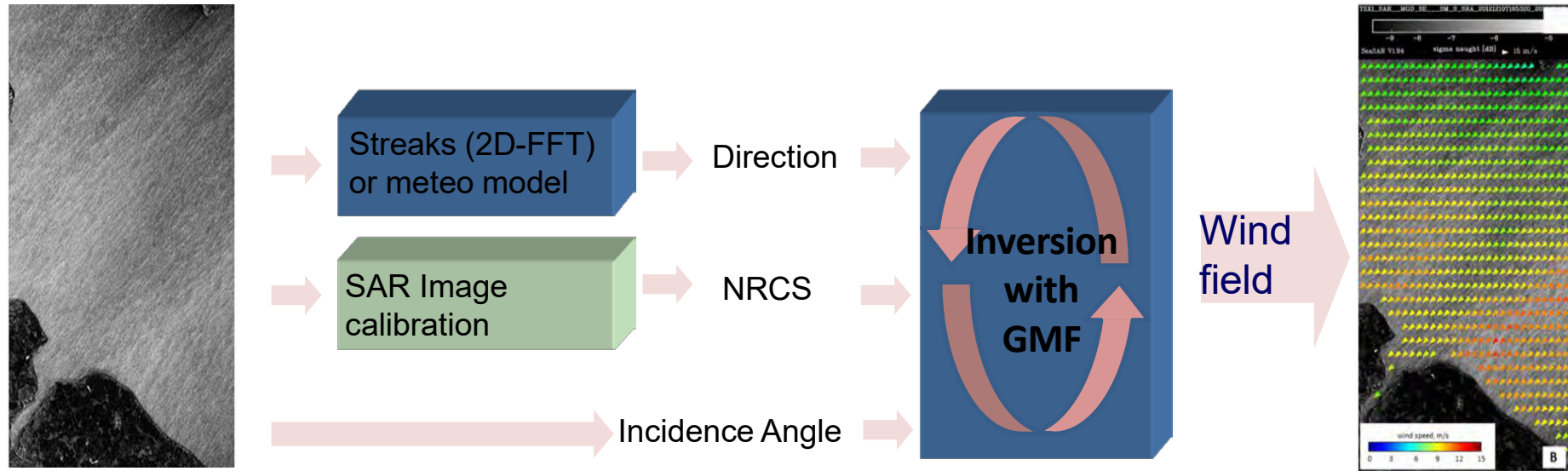
**Capillary waves** traveling along the boundary layer of a fluid are dominated by the effects of surface tension. The source is the turbulent fluctuations of wind vector.



stronger wind → high surface roughness → stronger radar backscatter



# SAR Wind Algorithms



Geophysical Model Function (GMF):

$$\sigma_0 = B_0(v, \theta) (1 + B_1(v, \theta) \cos \phi + B_2(v, \theta) \cos 2\phi)$$

$v$ : Wind Speed

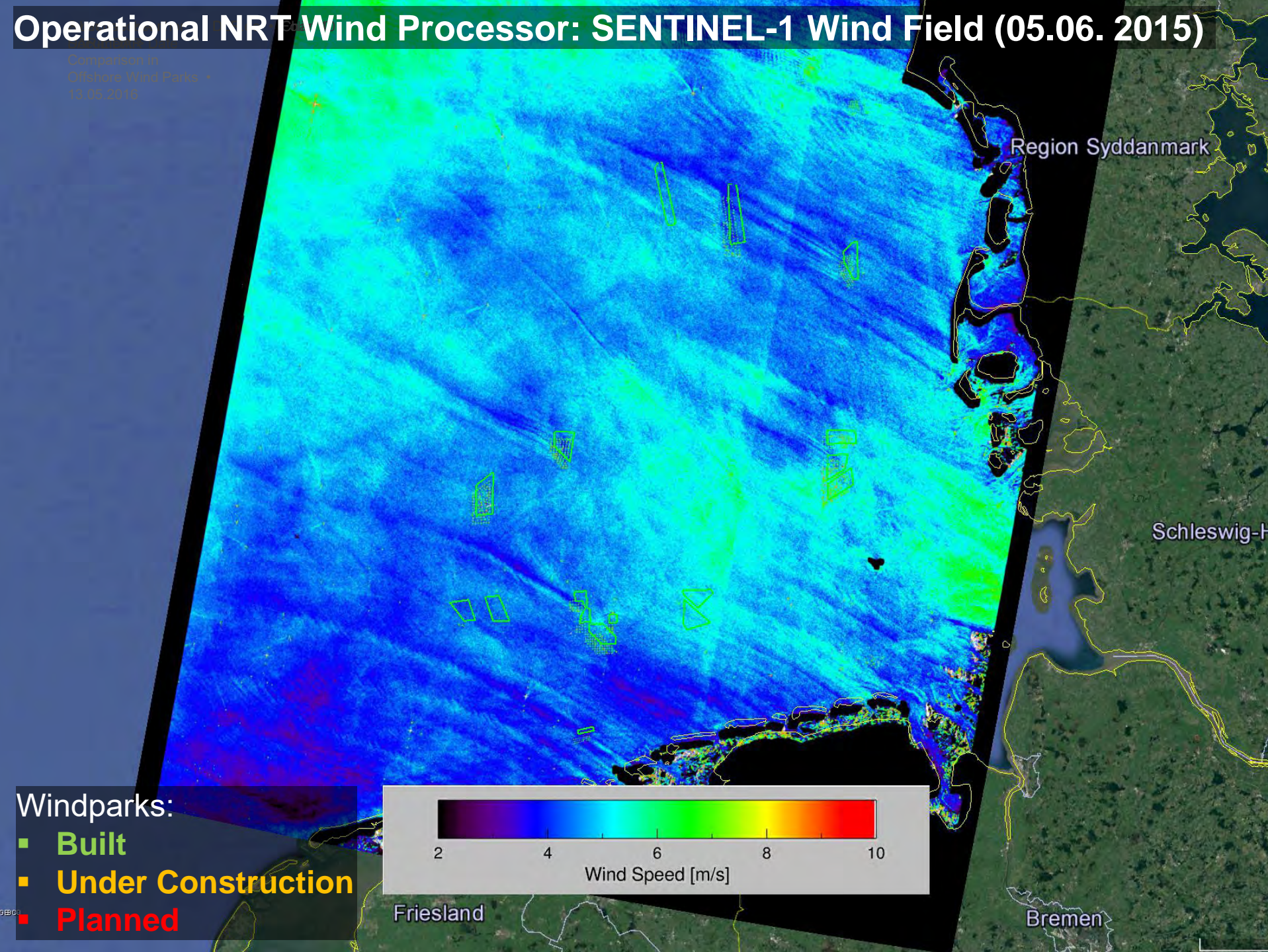
$\theta$ : Incidence Angle

$\phi$ : Wind Direction

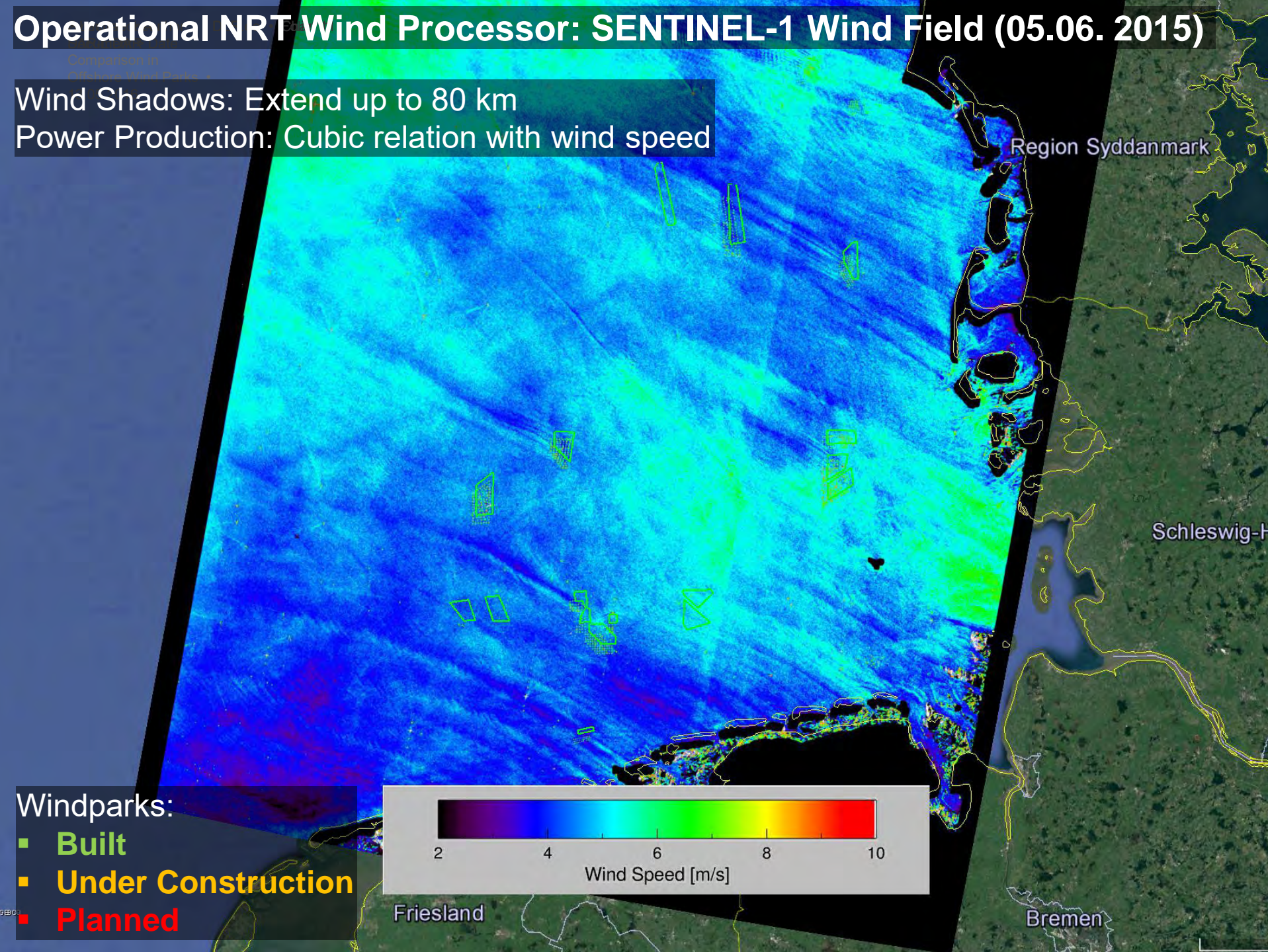
Radar band	GMF	Spaceborne SAR Sensors
C-band (5.6GHz)	CMOD4,CMOD5/5N	ERS/SAR,ENVISAT/ASAR, RADARSAT-1/2
L-band (1.3GHz)	LMOD1/2	JERS-1, ALOS PALSAR-1/2
X-band (9.6GHz)	<b>XMOD/XMOD2</b>	TerraSAR-X/TanDEM-X, Cosmo-SkyMed



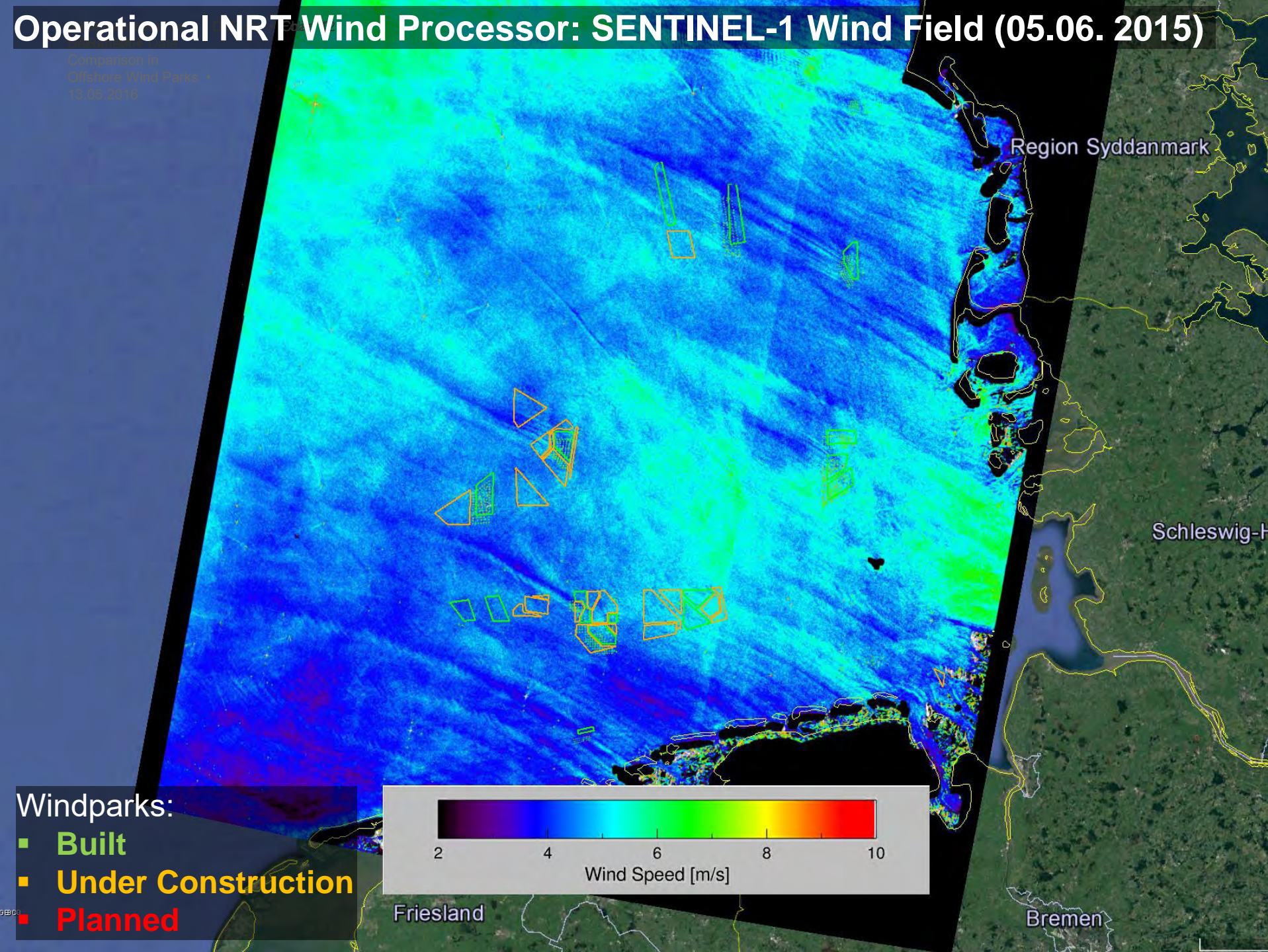








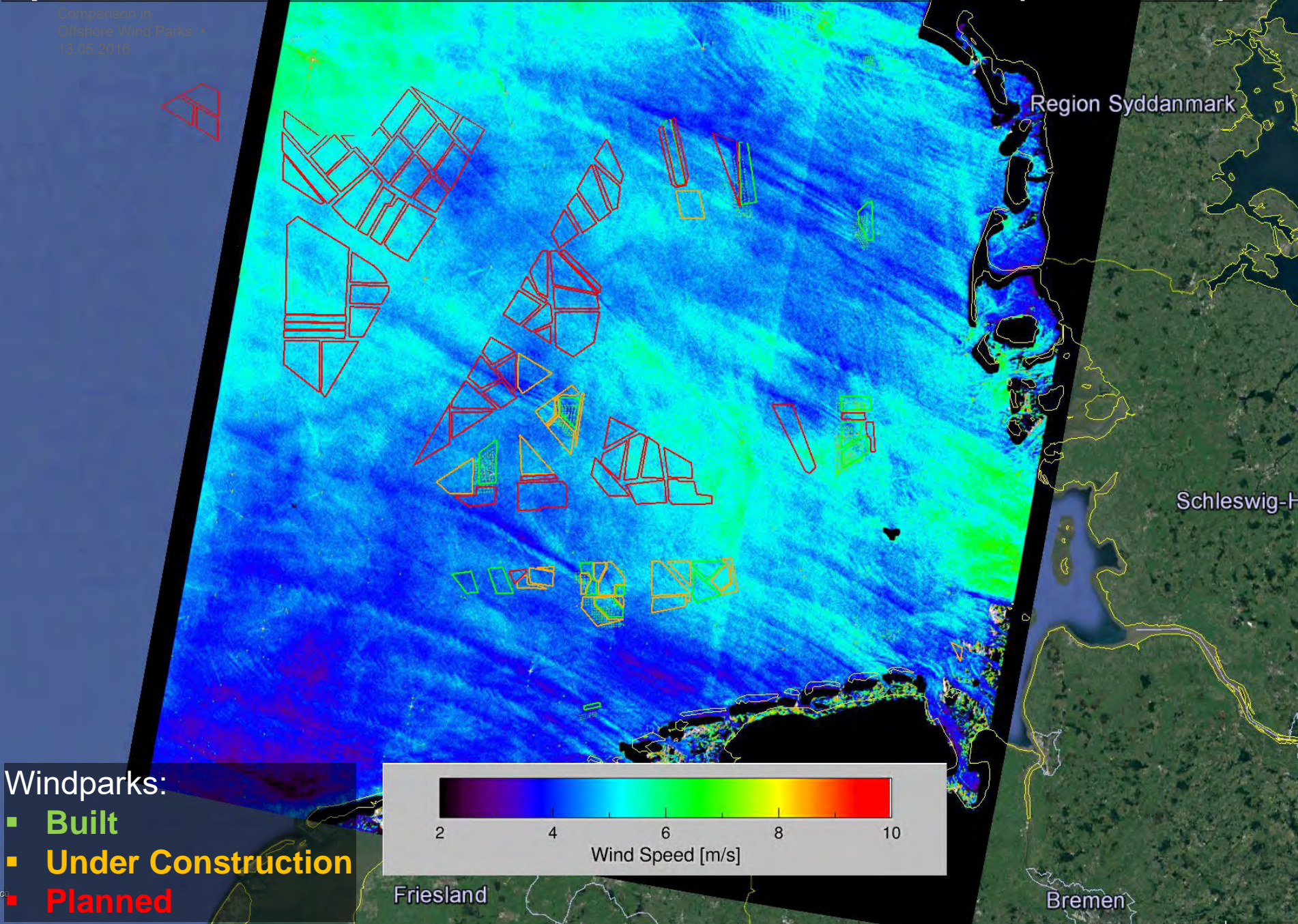




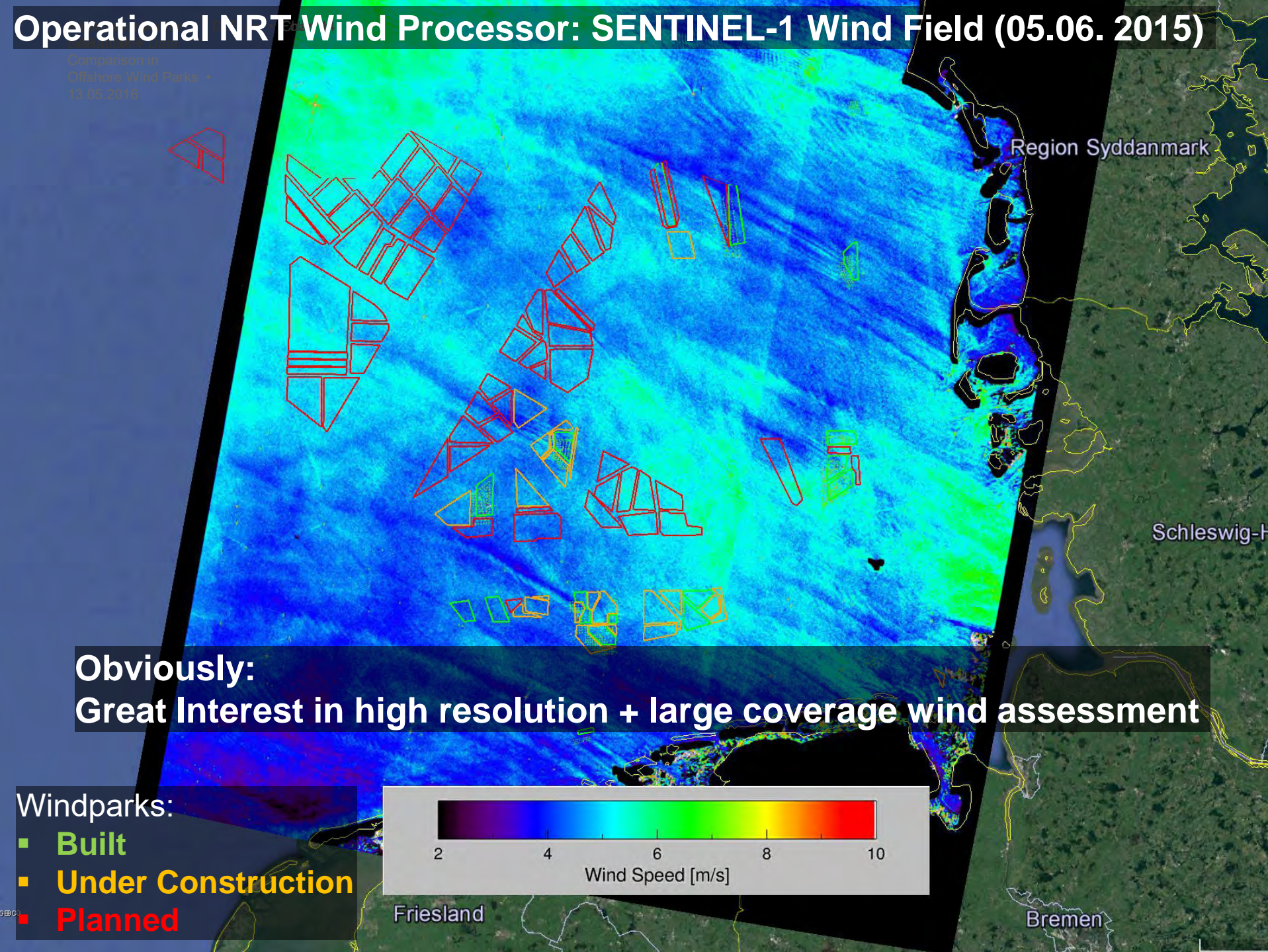


# Operational NRT Wind Processor: SENTINEL-1 Wind Field (05.06. 2015)

Comparison in  
Offshore Wind Parks •  
13.05.2016









# Open Questions:

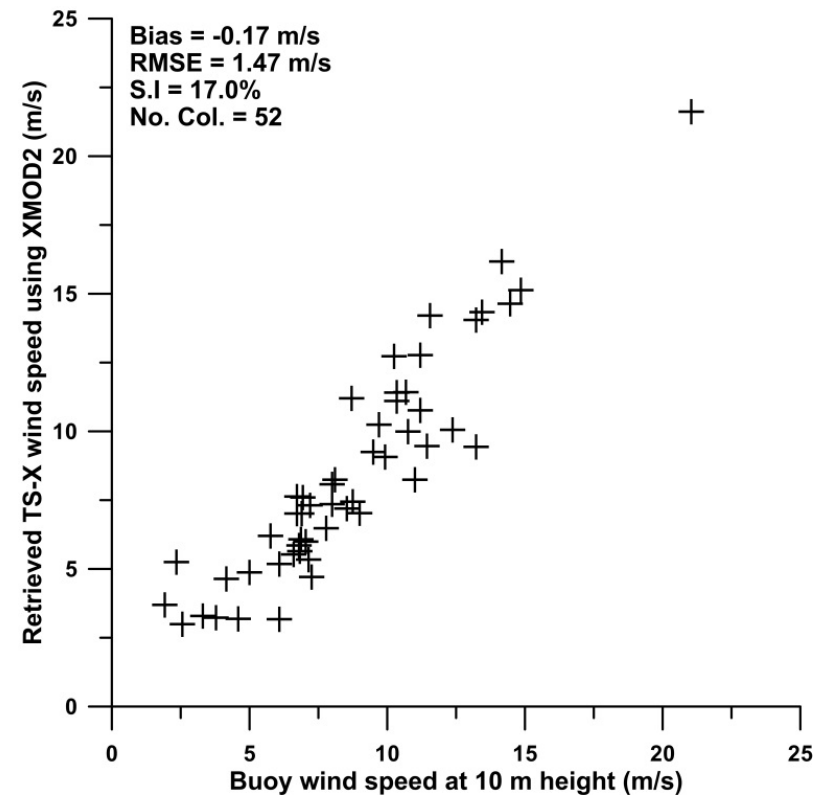
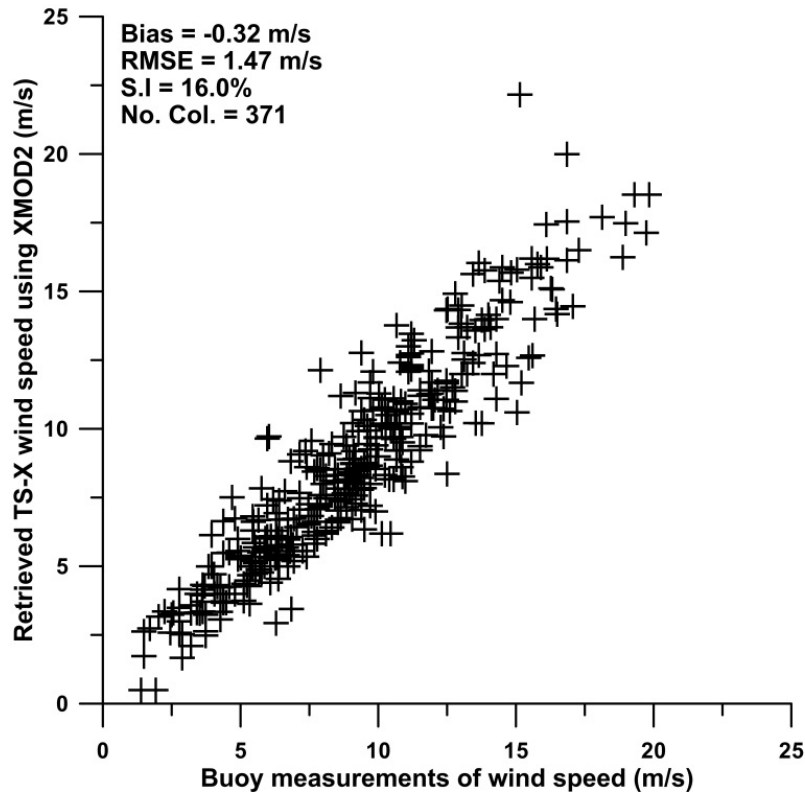
- How accurate are GMFs designed for and validated with larger footprints to wind variations on 100m-500m scale ?
- How accurately can small-scale SAR wind variations be extrapolated to greater heights ?



© Vattenfall Wind Power, Denmark



# XMOD2 Validation



**Colocations**

**Bias**

**RMSE**

**SI**

371 (training)

-0.32 m/s

1.47 m/s

16.0%

52 (validation)

-0.17 m/s

1.47 m/s

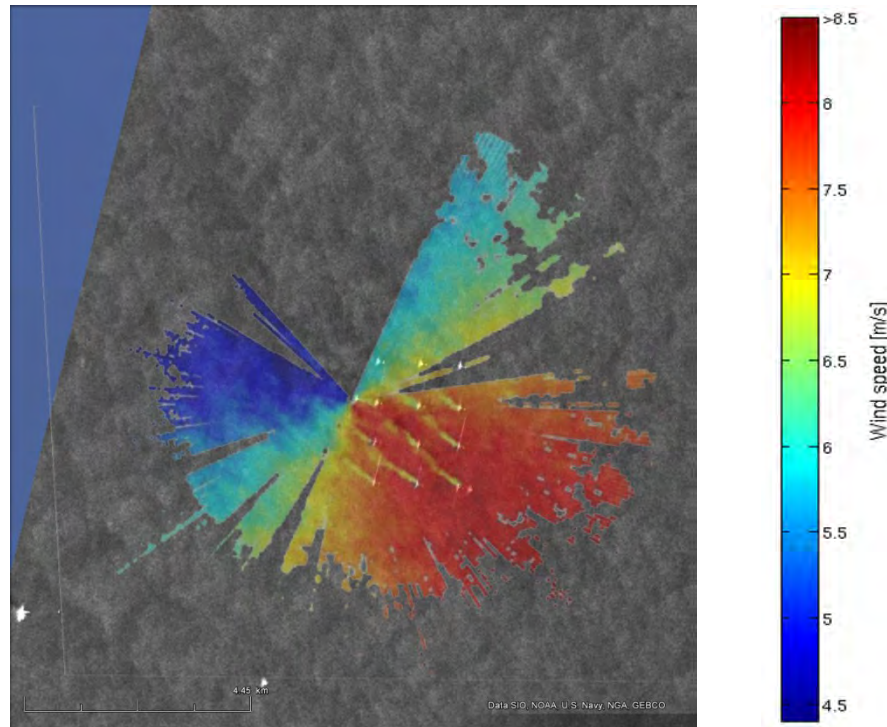
17.0%

X.-M. Li and S. Lehner, "Algorithm for Sea Surface Wind Retrieval From TerraSAR-X and TanDEM-X Data," *IEEE Transactions on Geoscience and Remote Sensing*, vol. Early Access Online, 2013.





# Approach: Wind Fields From two Independent Methods



*Alpha Ventus Offshore Wind Park*



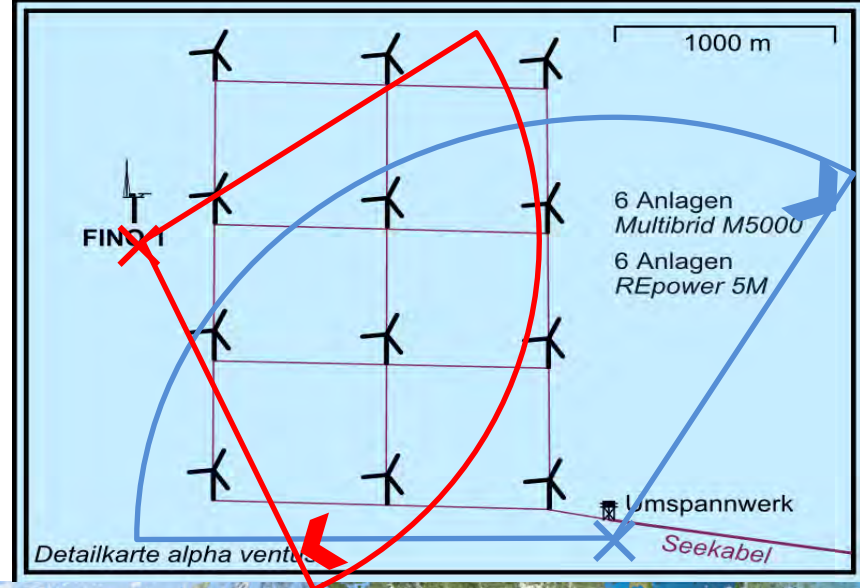
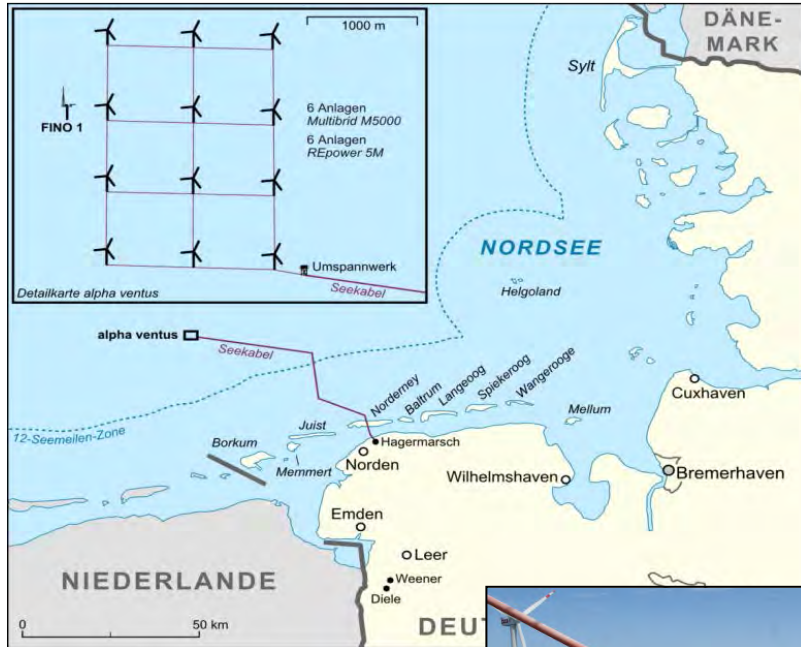
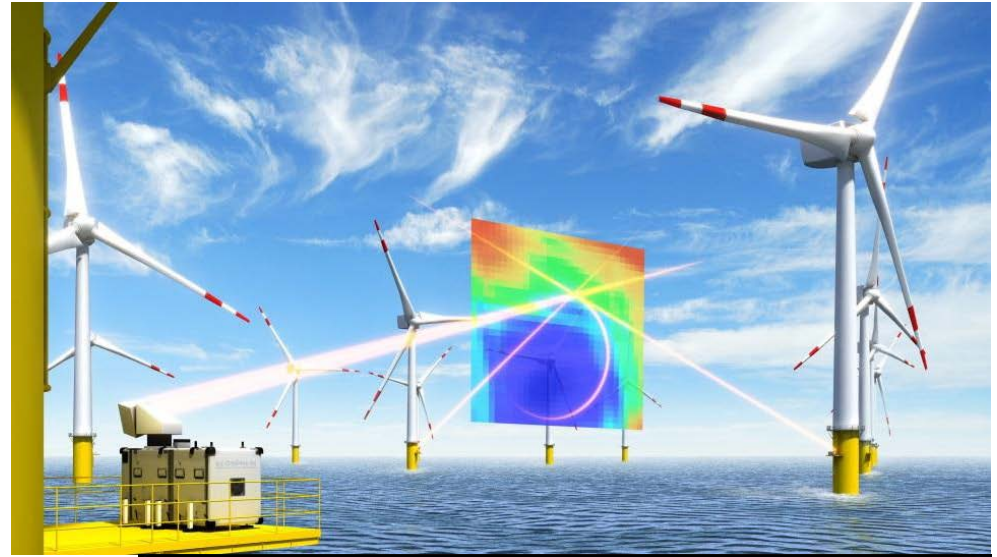
# Joint Campaign with ForWind (Oldenburg)



(Oldenburg)



## On-Site LIDAR

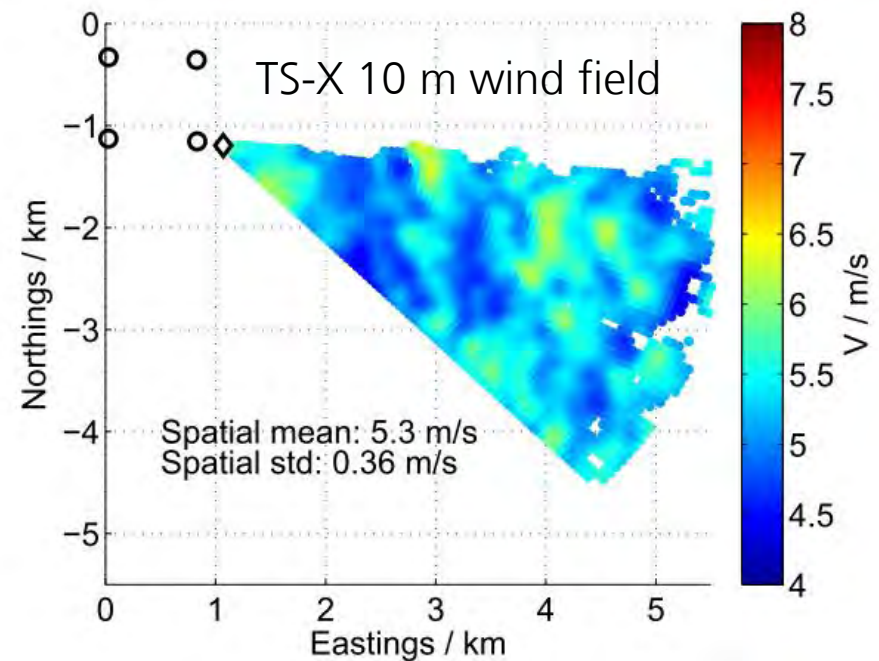
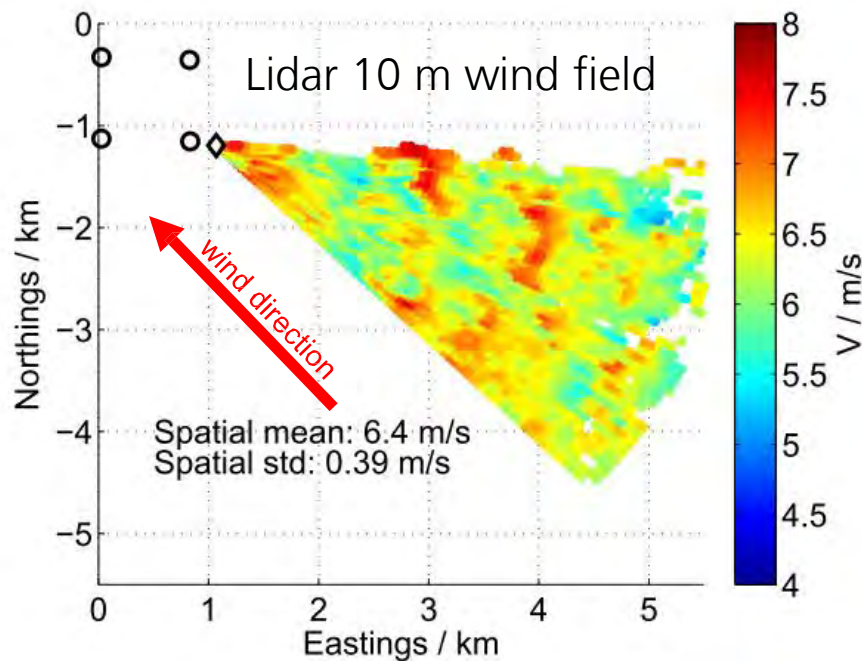




# Results in free flow

## Comparison of spatial structures

- Offset in average wind measurement 1.1 m/s
- Spatial standard deviation comparable



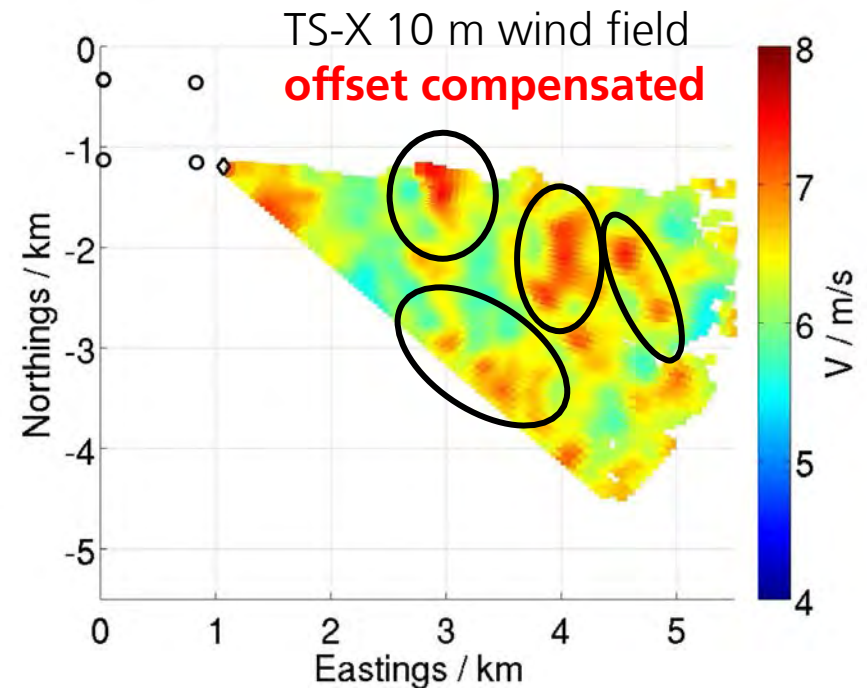
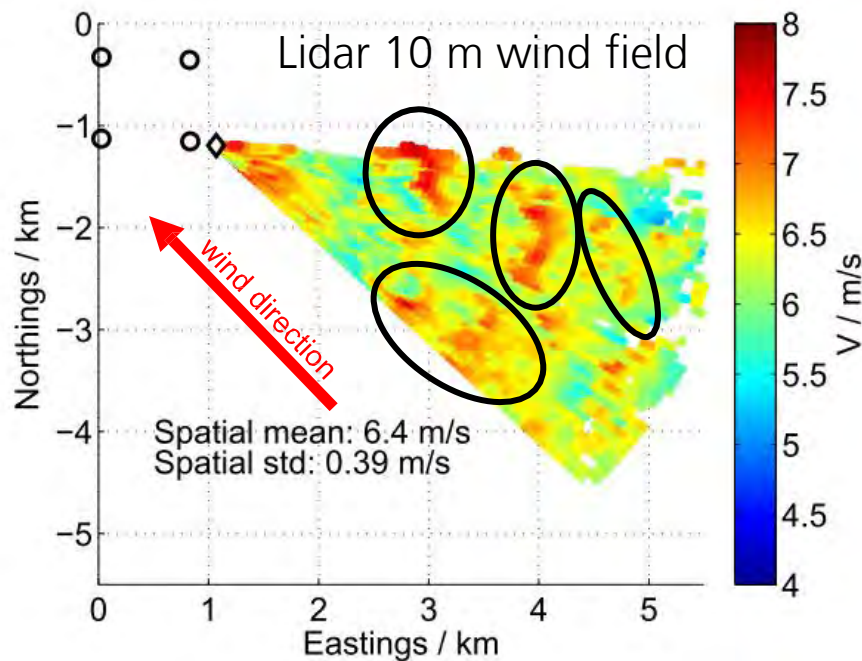
10



# Results in free flow

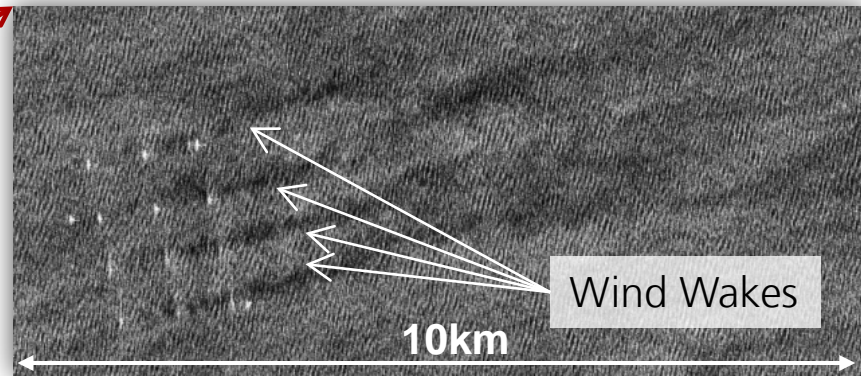
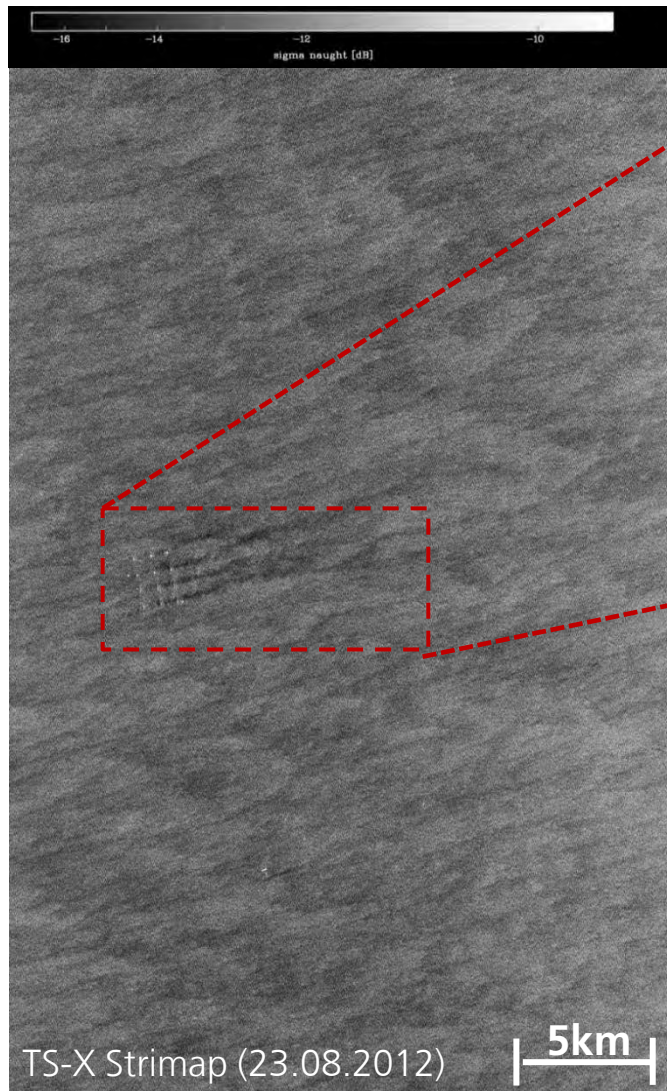
## Comparison of spatial structures

- Spatial structures of lidar measurement well observable in TS-X measurement

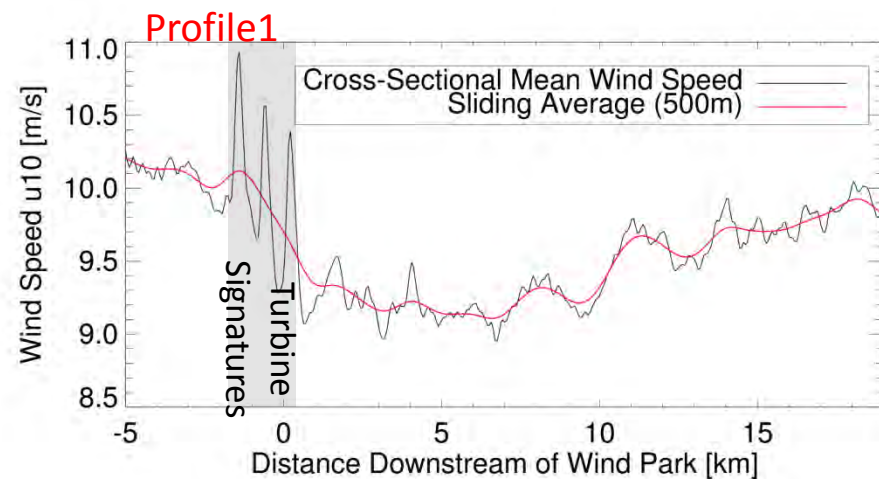
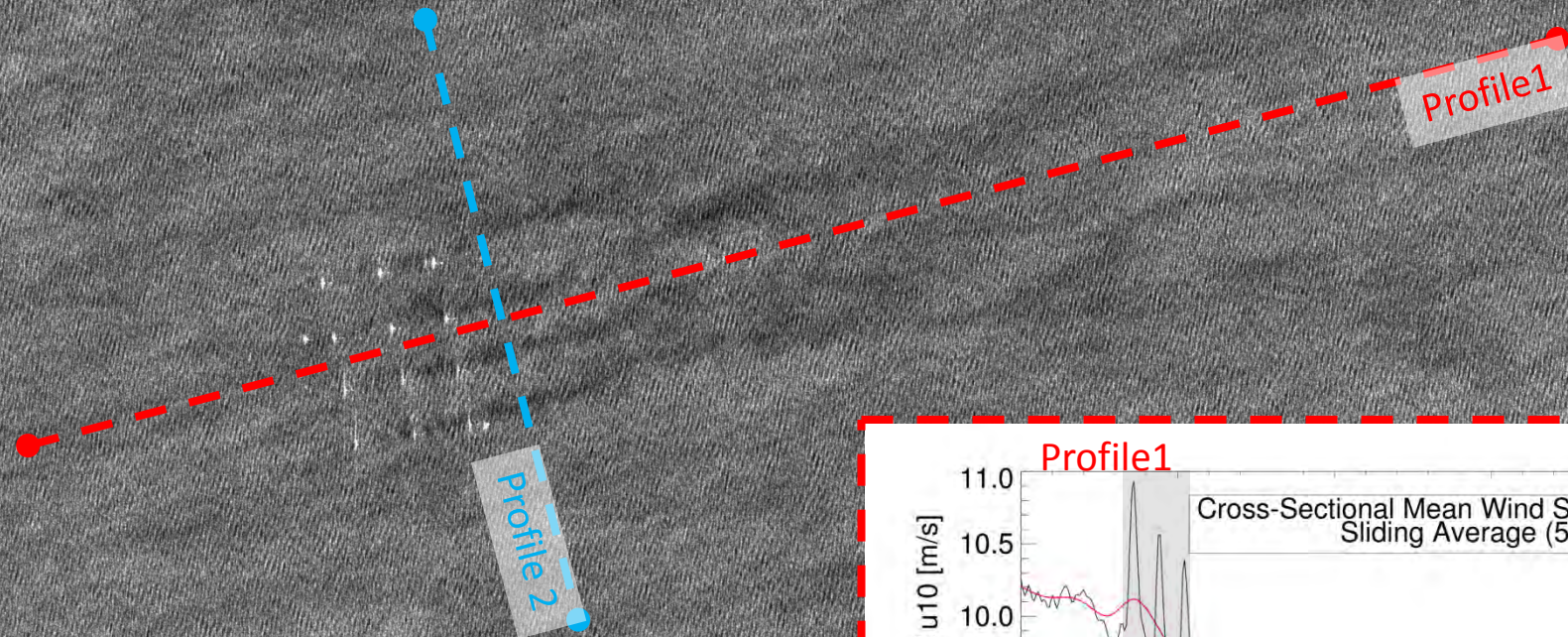
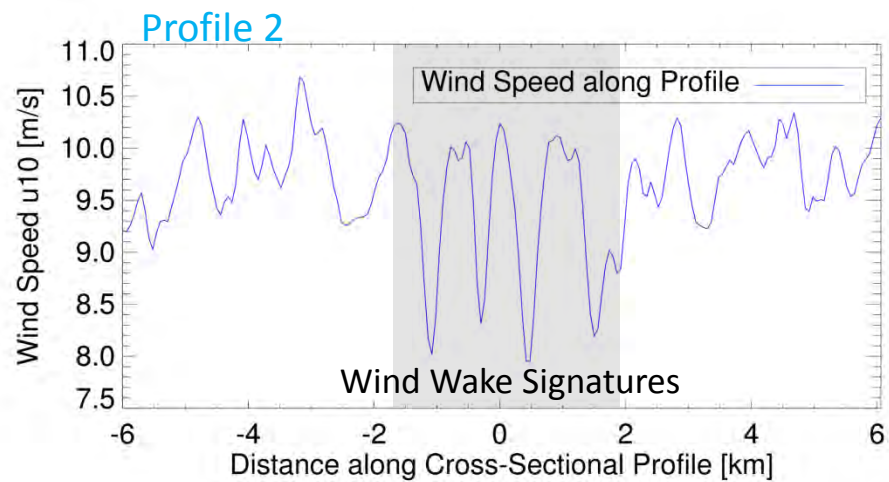




# Alpha Ventus Offshore Wind Park

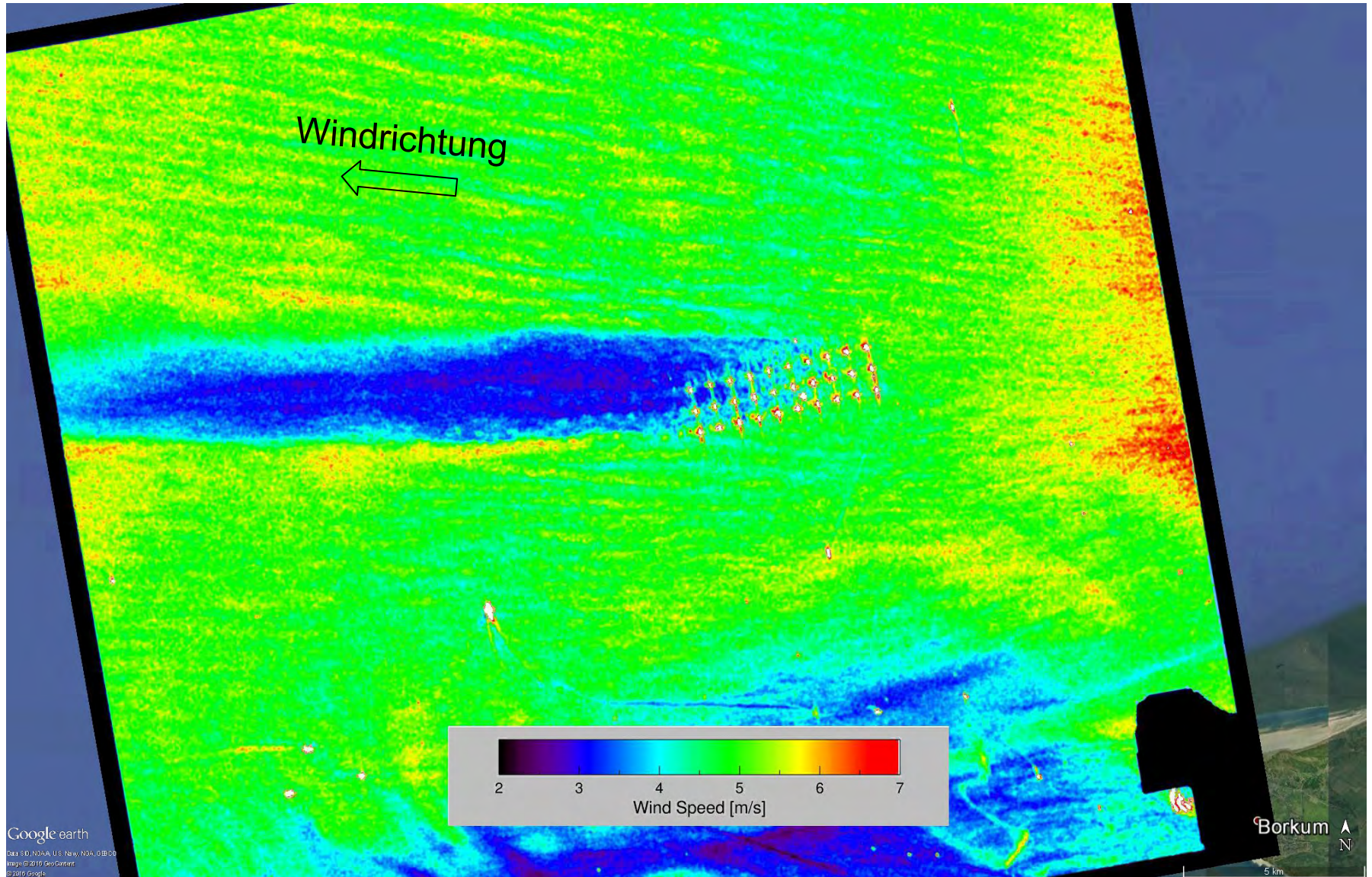






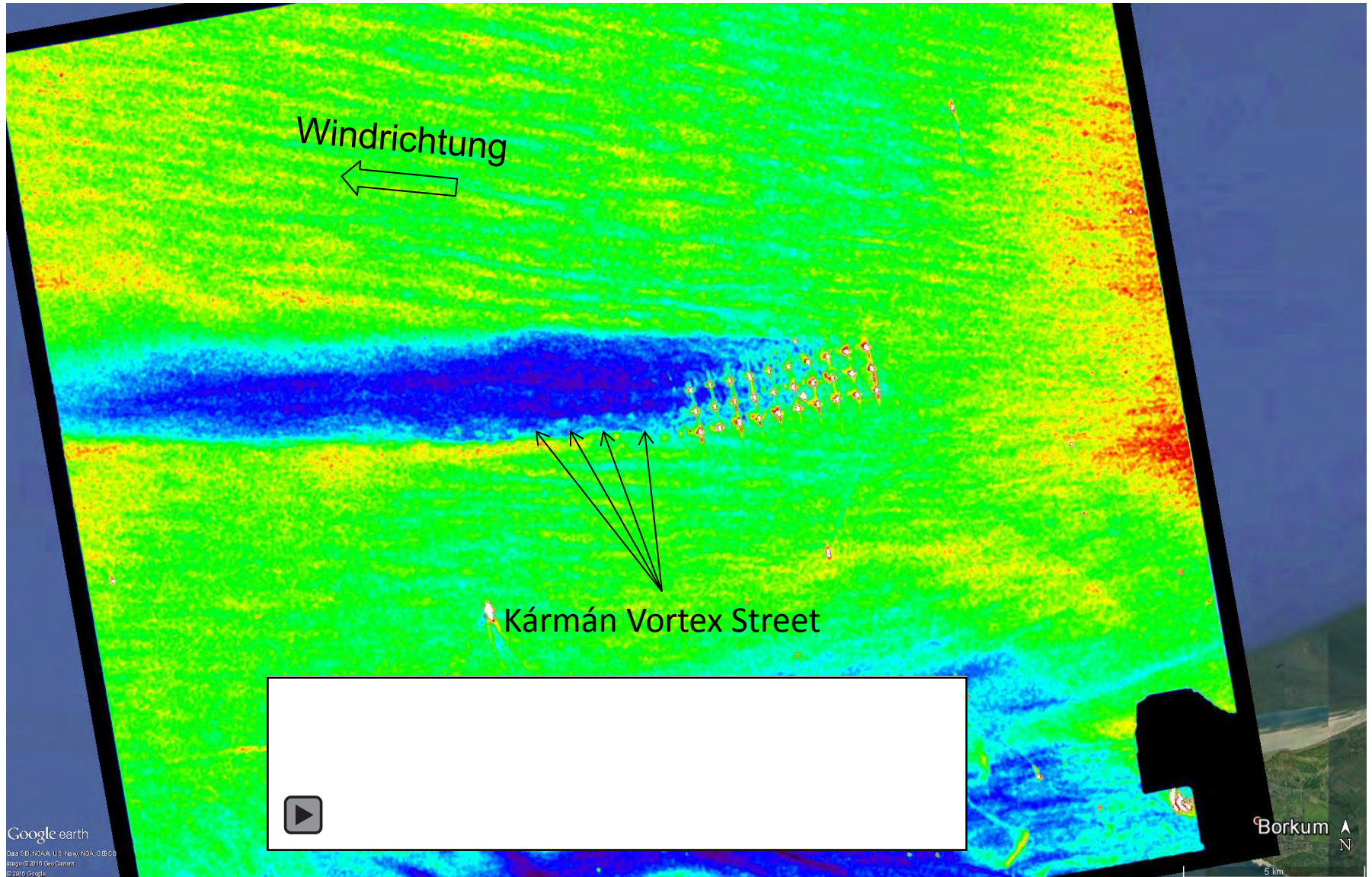


# TS-X StripMap (20150820); Riffgat Windpark vor Borkum





# TS-X StripMap (20150820); Riffgat Windpark vor Borkum





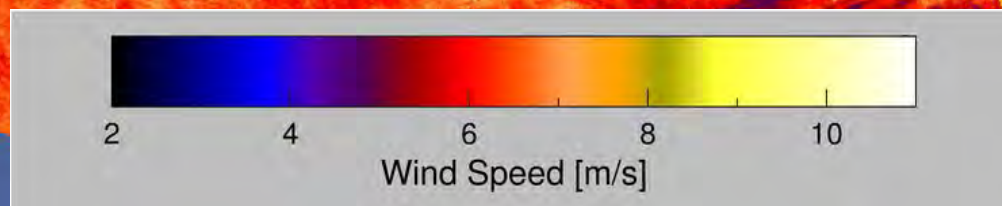
# Example for large-scale phenomena: Sentinel-1 IW of the German Bight Oct 27, 2016

Long and intense wind shadows  
lead to important questions:

Are there effects on the environment  
(onshore/offshore)?

How are wind shadows included in  
power yield predictions?

Are operators reimbursed for loss  
caused by wind shadows from other  
parks?





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Image Landsat / Copernicus  
Data SIO, NOAA, U.S. Navy, NGA, GEBCO



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**SAR-Based Wind fields are a reliable  
complementary data source to  
study and measure wind variations  
on local and regional scale!**

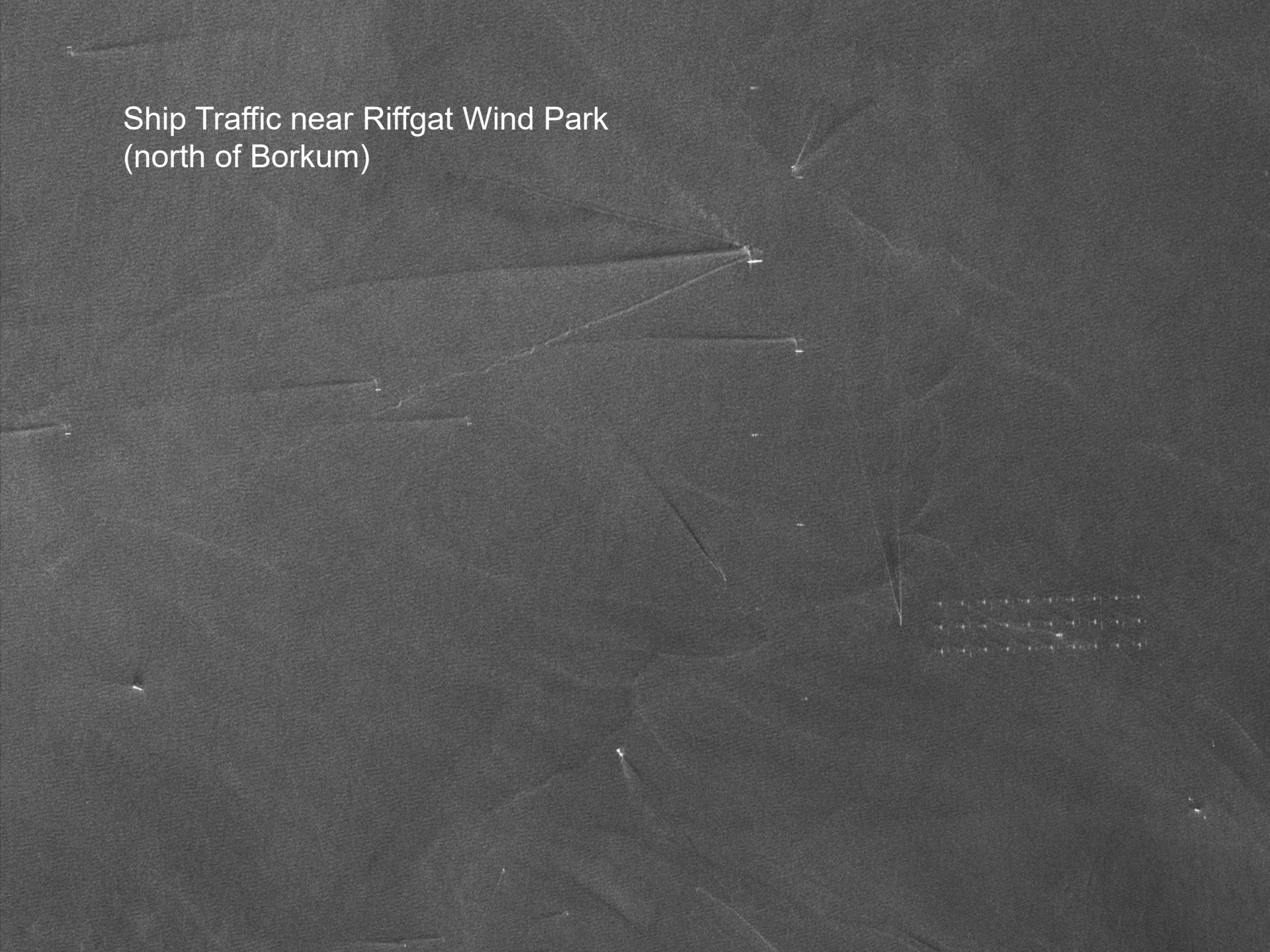


123 km

Image Landsat / Copernicus  
Data SIO, NOAA, U.S. Navy, NGA, GEBCO

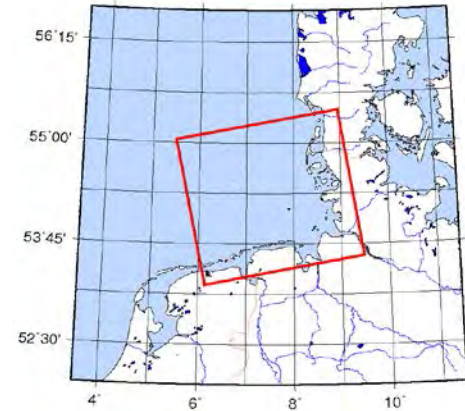
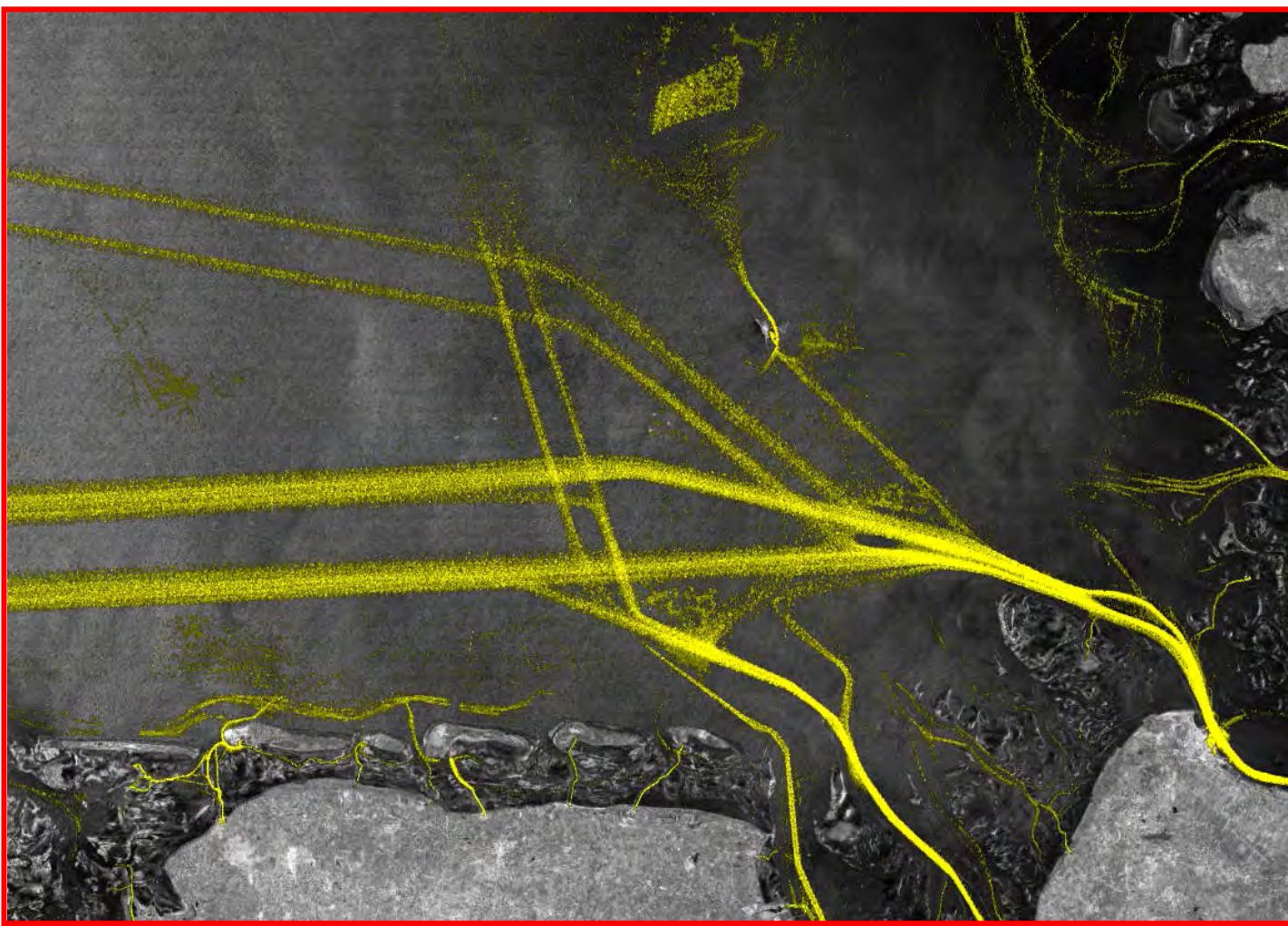


Ship Traffic near Riffgat Wind Park  
(north of Borkum)





# Ship traffic in the German Bight









# Sea state important for situation awareness and operation planning!



Wave height can be 10-12m during storms



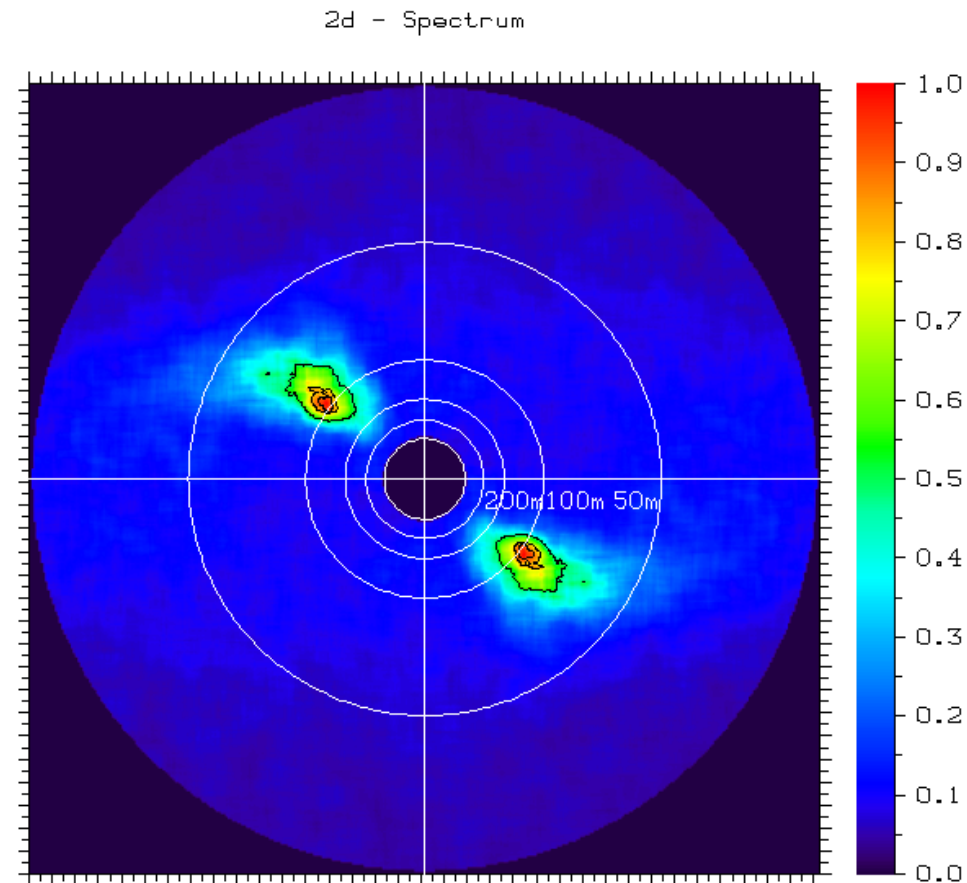
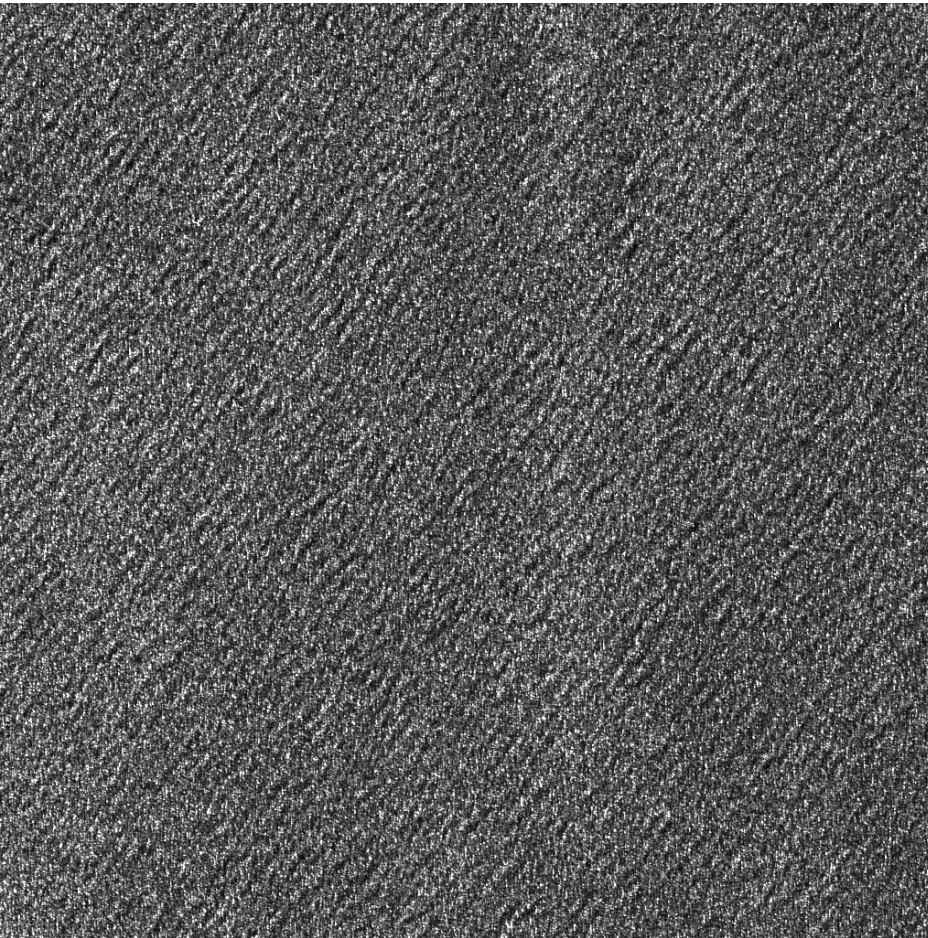
Helgoland today



Alpha Ventus:  
Offshore Windpark in der Nordsee

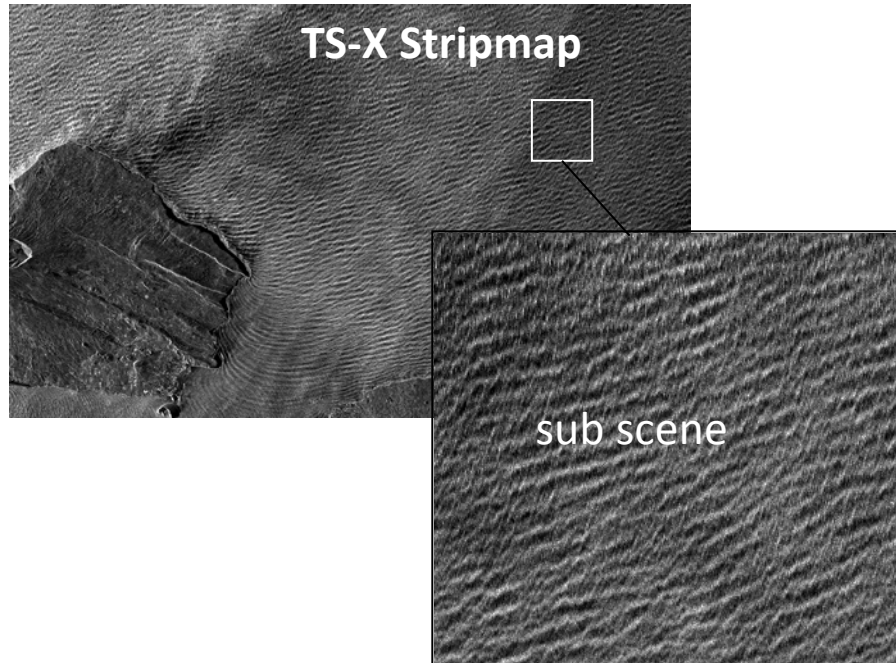


# Sea State important for situation awareness and operation planning!

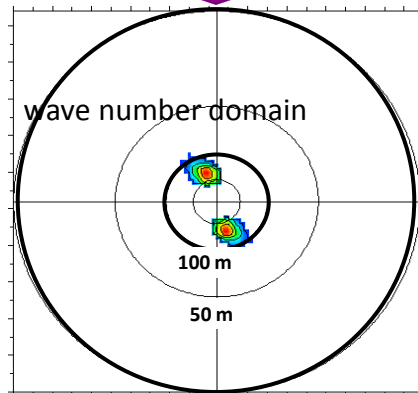




# XWAVE empirical algorithm: GMF principle and structure



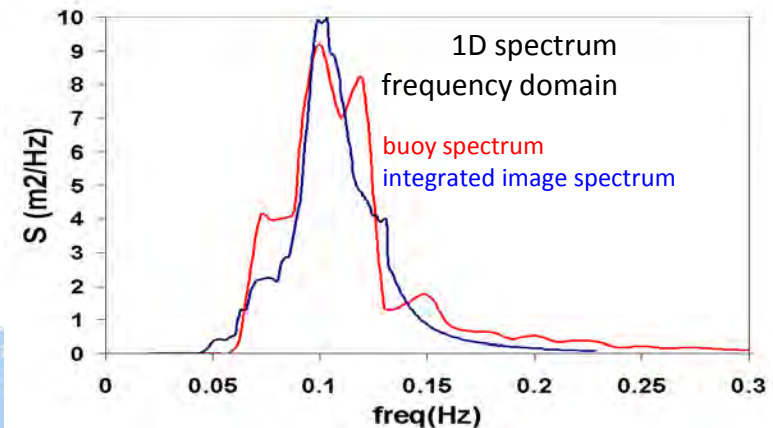
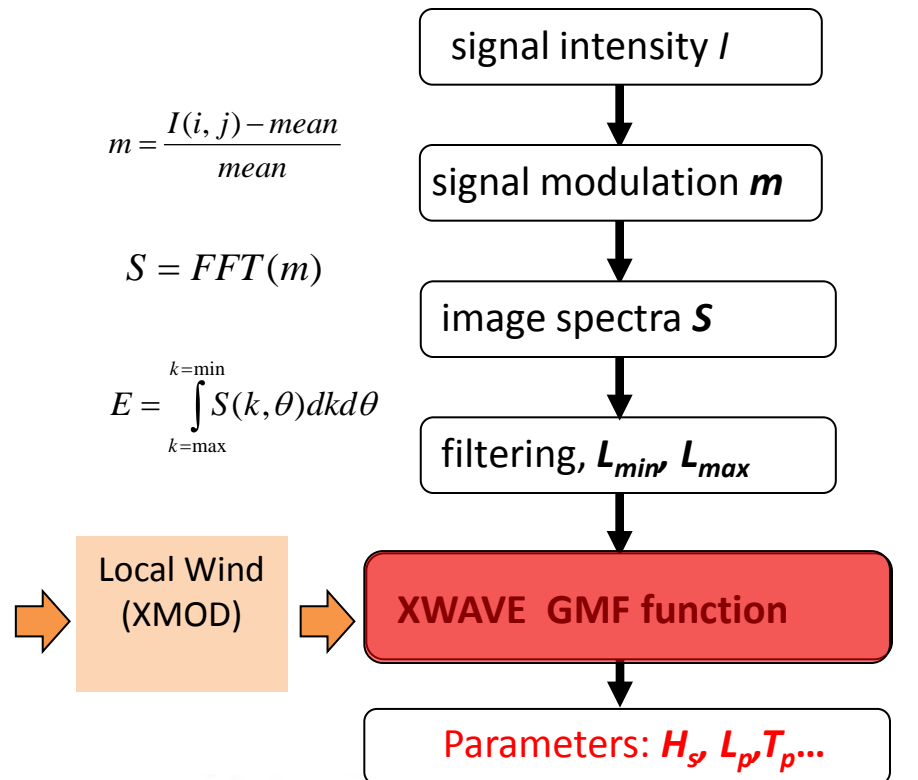
2D image spectrum



$$m = \frac{I(i, j) - \text{mean}}{\text{mean}}$$

$$S = \text{FFT}(m)$$

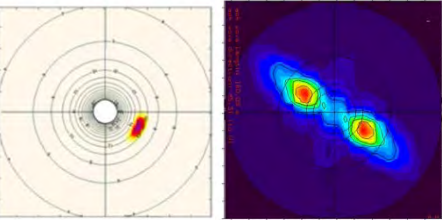
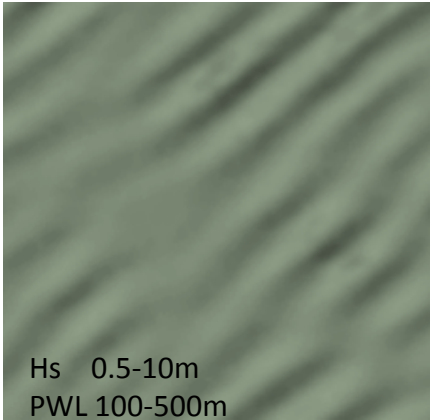
$$E = \int_{k=\max}^{k=\min} S(k, \theta) dk d\theta$$



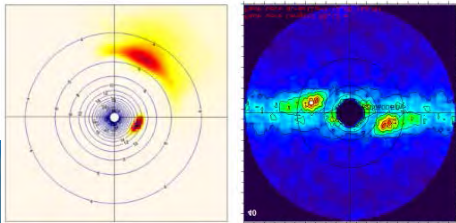
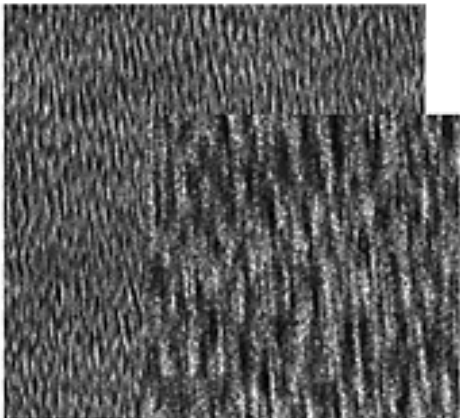
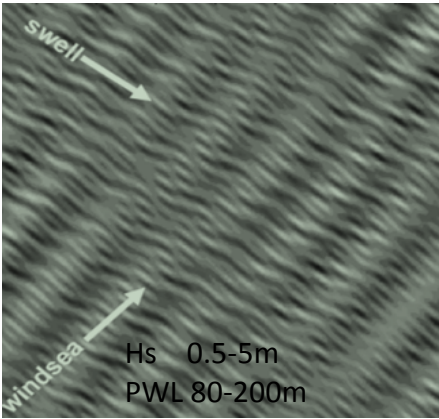


# waves: swell, windsea, short windsea in costal areas

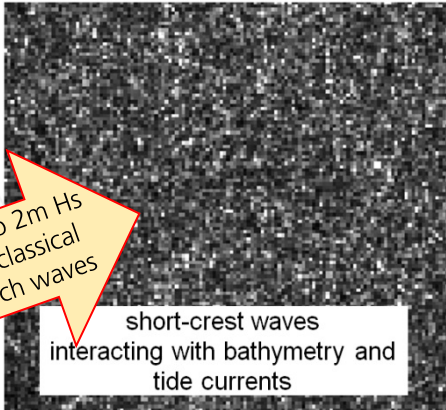
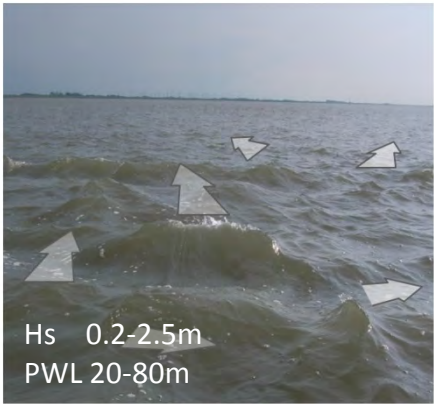
one peak, long waves



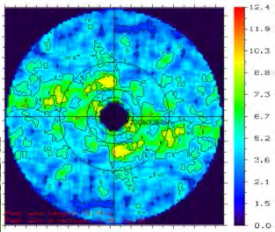
two peaks (two wave systems)



Very short crest waves, current and bathymetry interaction



Errors in order up to 2m Hs  
possible based on classical  
scheme due to such waves



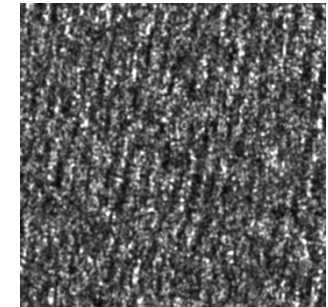
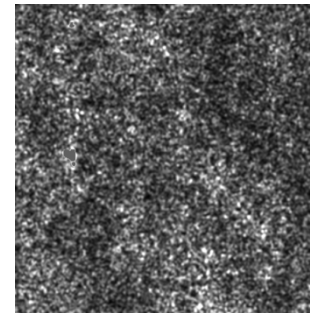


# TerraSAR-X X-band and Sentinel 1 C-Band SAR



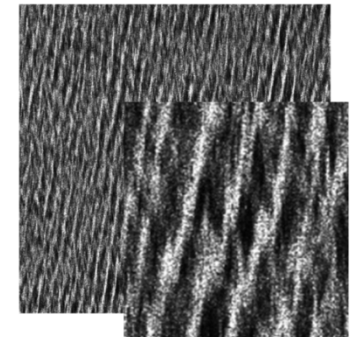
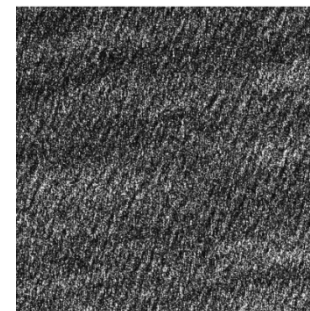
Differences: resolution and bands

Sentinel-1 A/B IW 250km 10m pixel res.



$H_s \sim 1.5\text{m}$   
 $L_p \sim 80\text{m}$

$H_s \sim 3.5\text{m}$   
 $L_p \sim 250\text{m}$



TerraSAR-X StripMap 30km 1.2m pixel res.



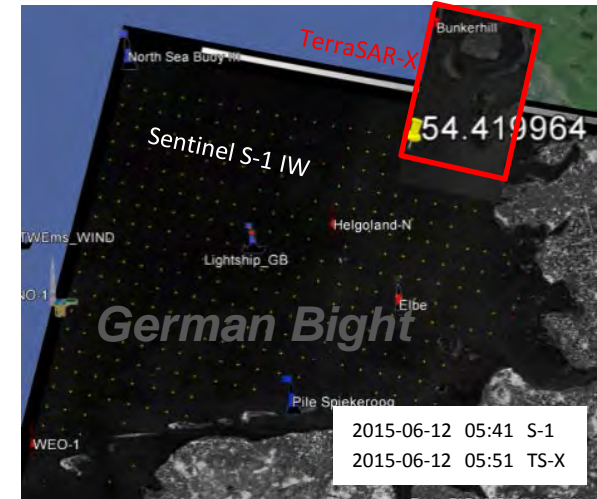
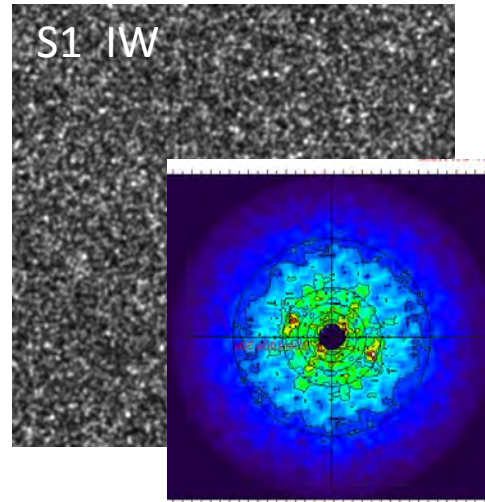
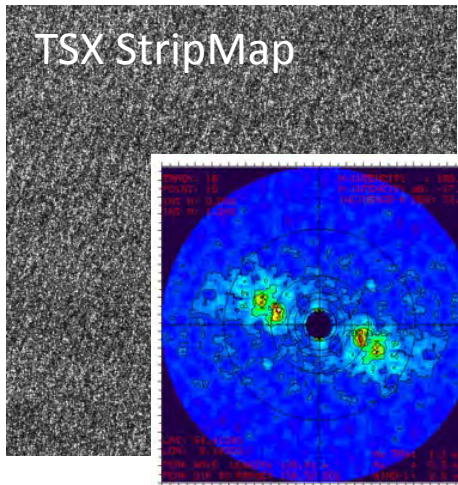


# Sea Surface by different Sensors

The same time and location

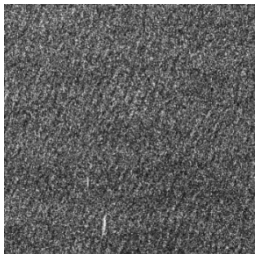
SENTINEL S-1 IW VV 10m Pixel, C-band

TerraSAR-X StripMap VV 1.25m Pixel, X-band



## sea state parameters estimation

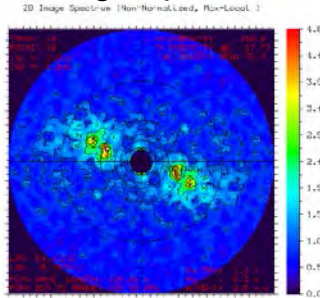
SAR subscene



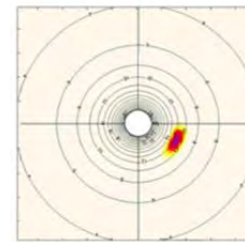
FFT



Image spectra



Transfer functions



empirical functions

using also local wind information

integration



Integrated parameters:

Wave height,  
mean period,  
etc.

Image feature analysis **GLCM (Grey Level Co-occurrence Matrix)**

Entropy, Contrast, Dissimilarity, etc.,



# Coastal applications: “contamination” impacts spectral analysis

## Contamination in SAR image spectra

## Removing contaminations

- Sand banks
- Wave breaking
- Ships, Buoys, Wind farms
- Current fronts, ship wakes

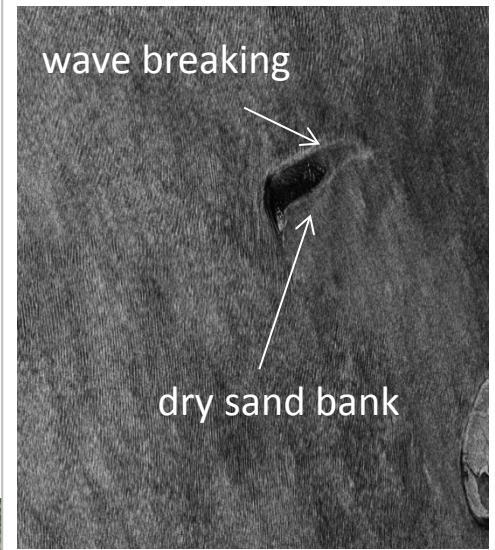
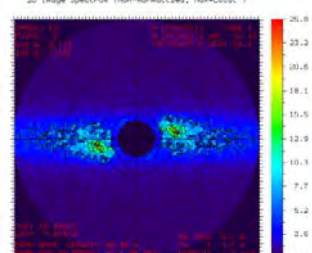
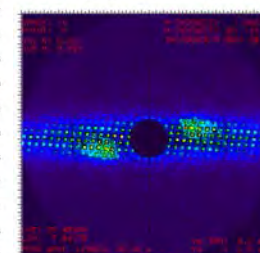
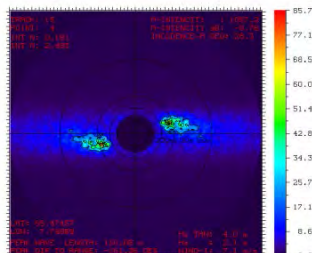
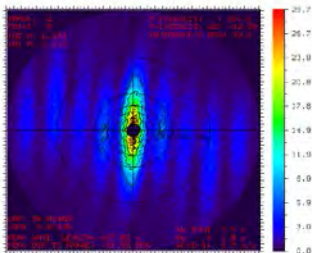
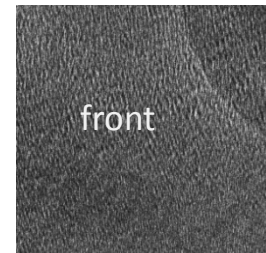
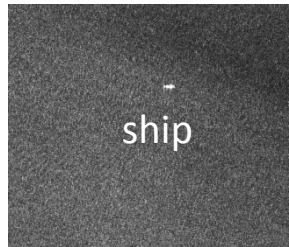
1. Before analysis

2. Function term

3. Results control

**GMF is applicable for “pure” sea state case only:  
Pre-filtering of images is necessary for raster analysis**

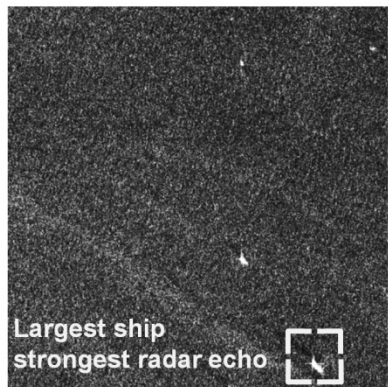
Without pre-filtering Integrated energy and  $H_s$  can > 10 times overestimate real value





# Sea State Processor for SENTINEL-1 and TerraSAR-X

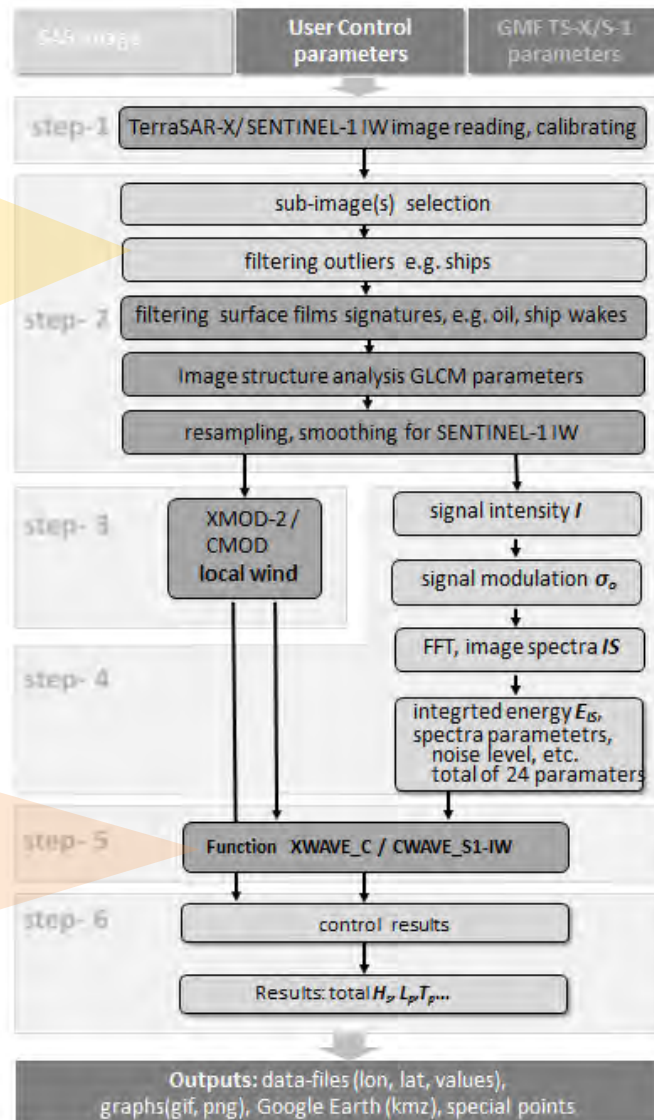
artefact pre-filtering



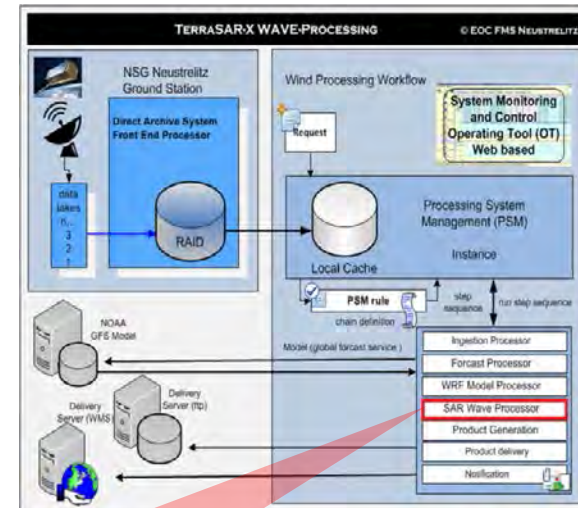
Sea State Functions  
**TerraSAR-X**  
**Sentinel-1**

$$H_S = a_1 \sqrt{B_1 E_{JS} \tan(\theta)} + \sum_{i=2,n} a_i B_i$$

## Sea State Processor



NRT chain, Ground Station Neustrelitz



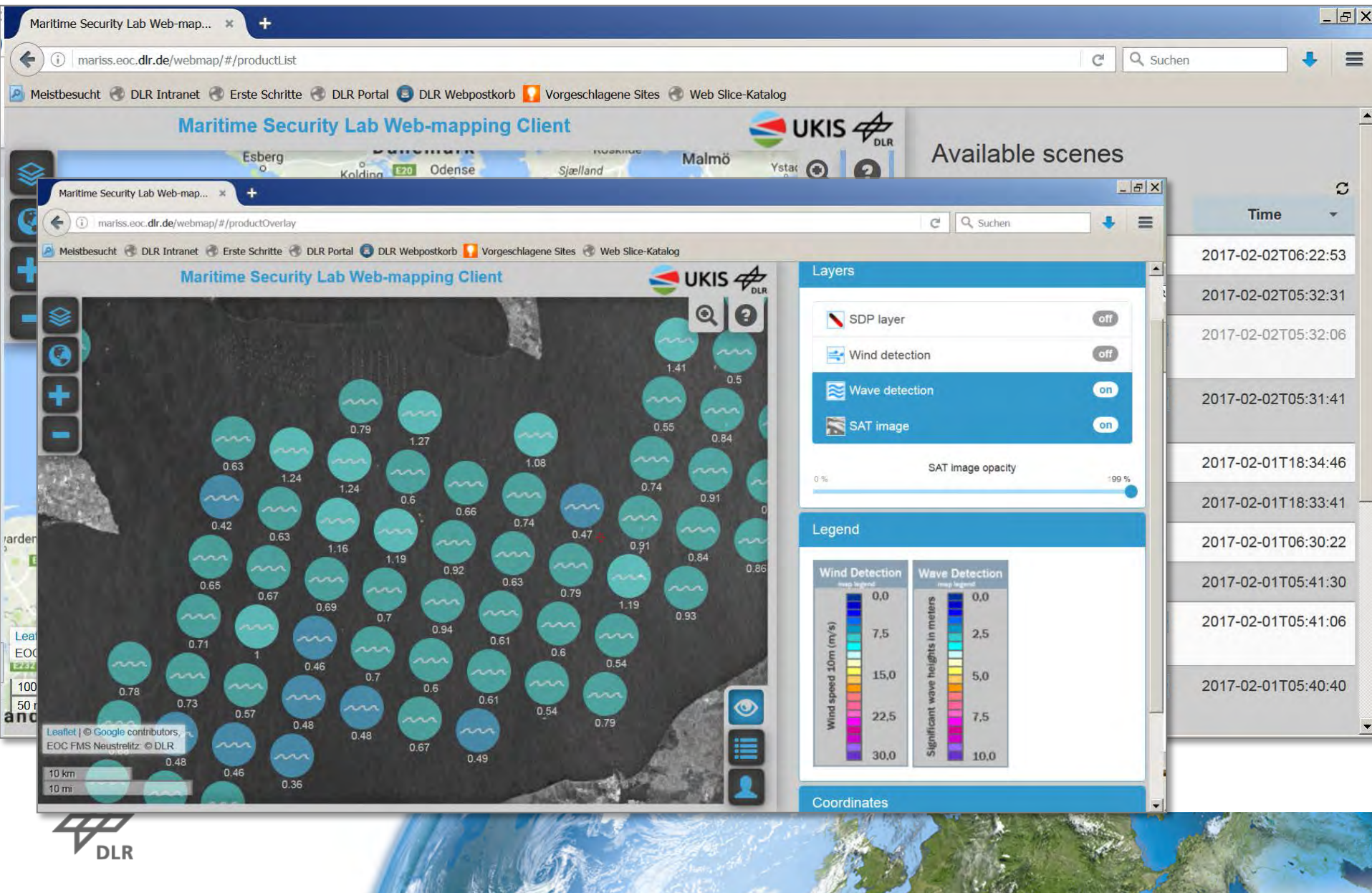


# Maritime situation awareness

NRT services: waves, wind ships

Raster: 6 km,

Subscenes: 2.5kmx2.5km

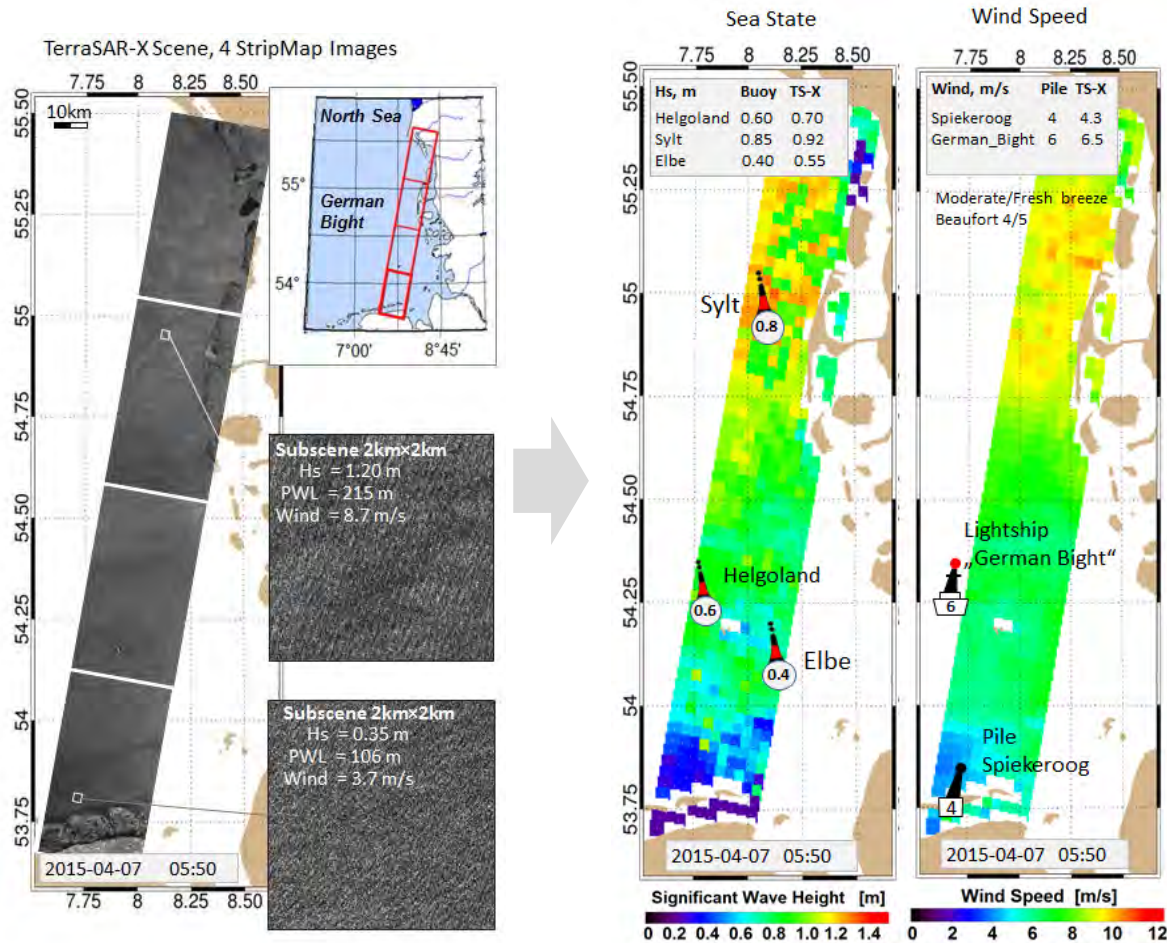




# Sea State Processor for TerraSAR-X

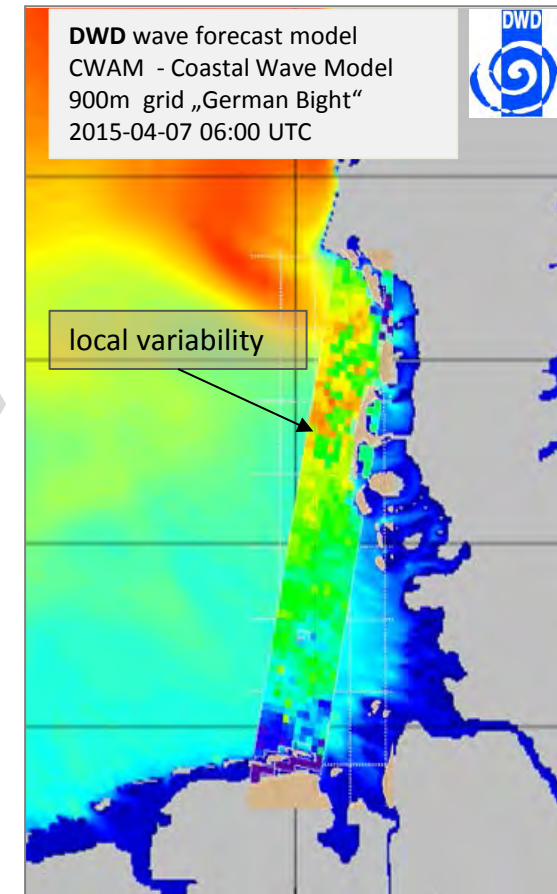
## Example: German Bight

TerraSAR-X acquisition 07.04.2015 05:51 UTC



**Accuracy:**  
RMSE=0.24m  
for total wave height  $H_s$   
for coastal waters

best delivery performance – 10min





# Sea State Processor for Sentinel-1

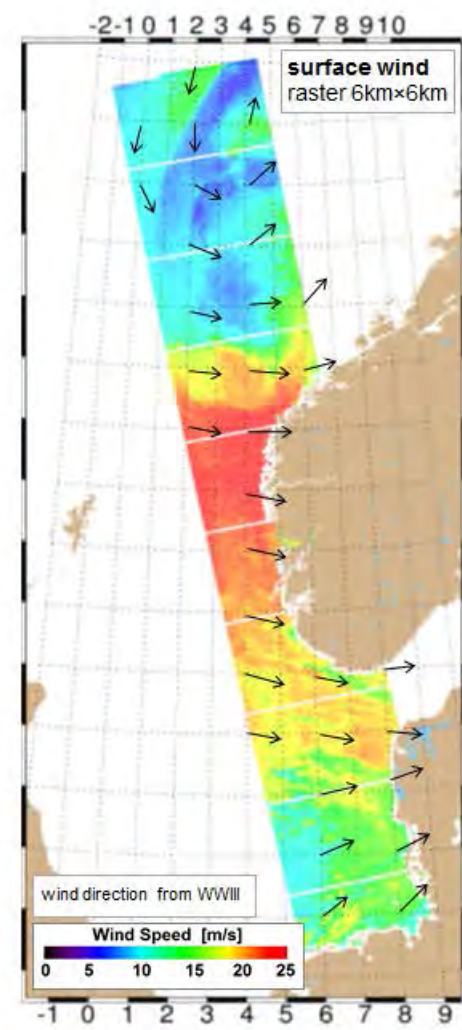
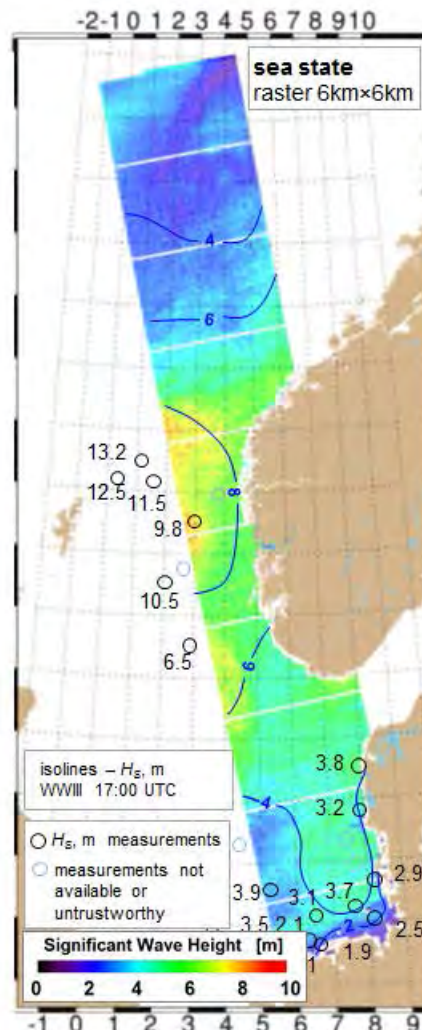
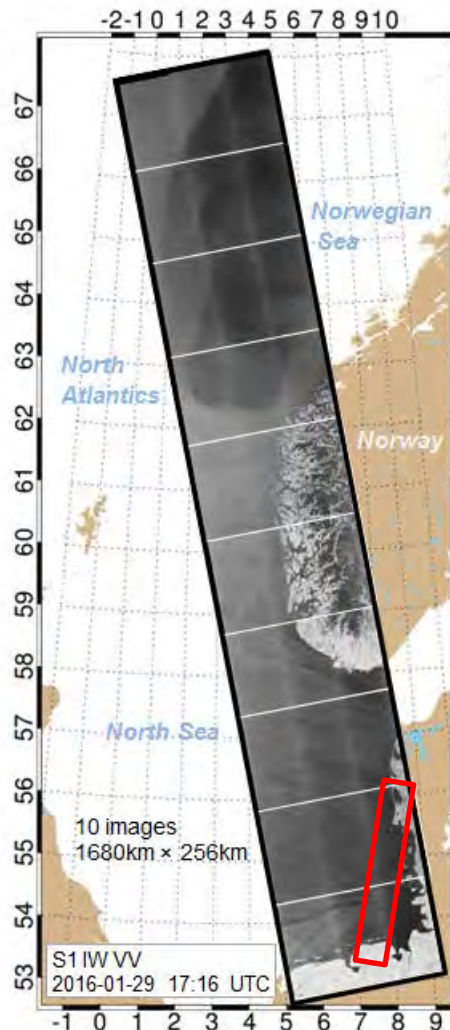
## Example: German Bight

TerraSAR-X acquisition 29.01.2016 17:16 UTC

Accuracy:

RMSE=0.80

for total wave height  $H_s$   
worldwide

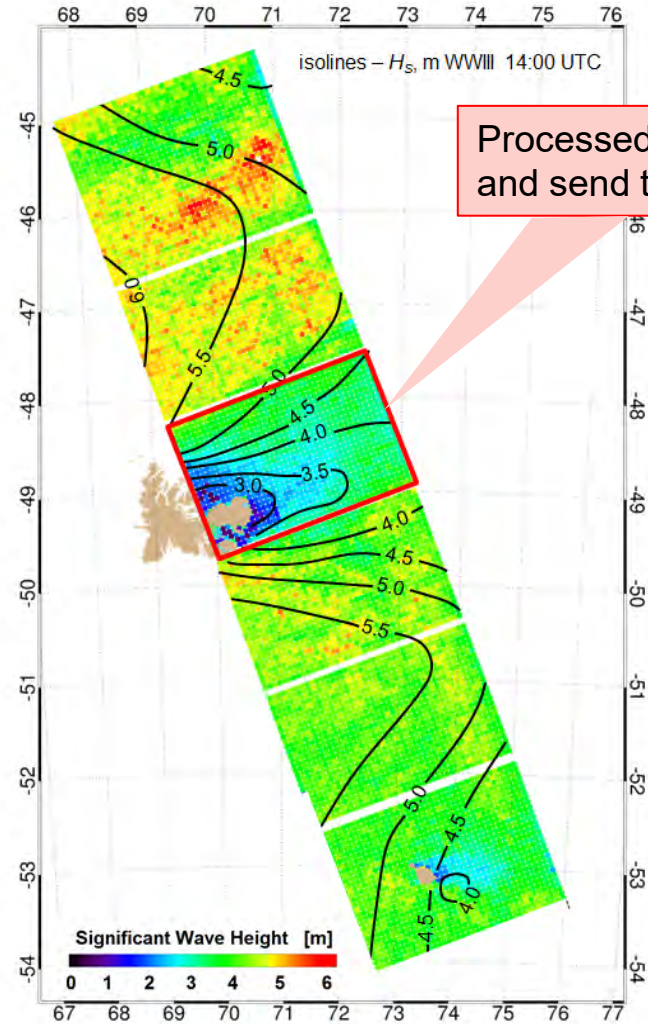
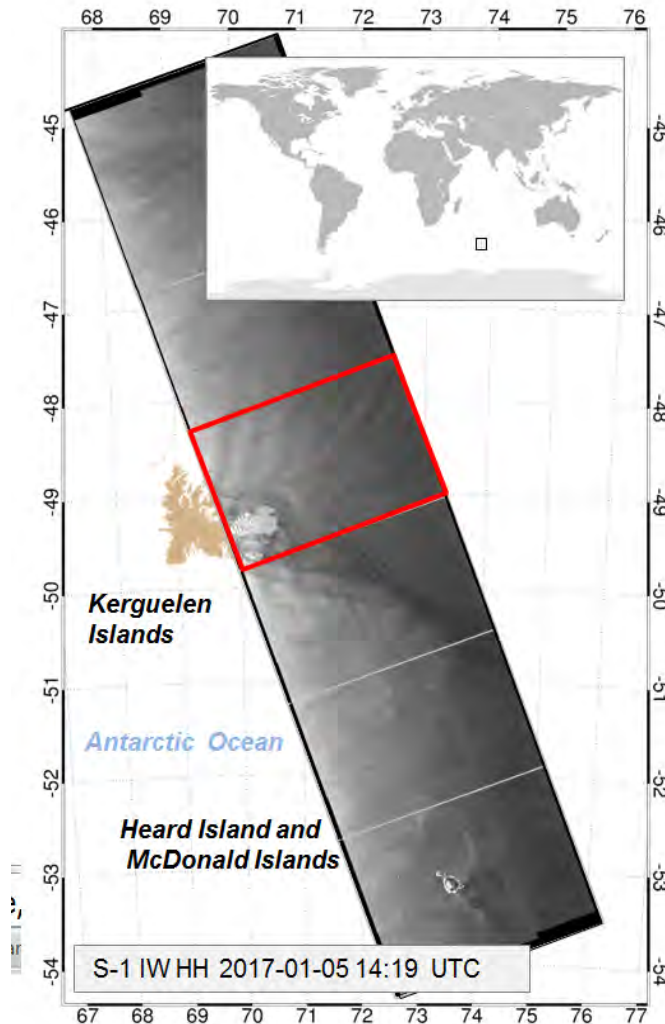




# Sea State Processor for Sentinel-1

Example: Arctic Sea, 05.01.2017

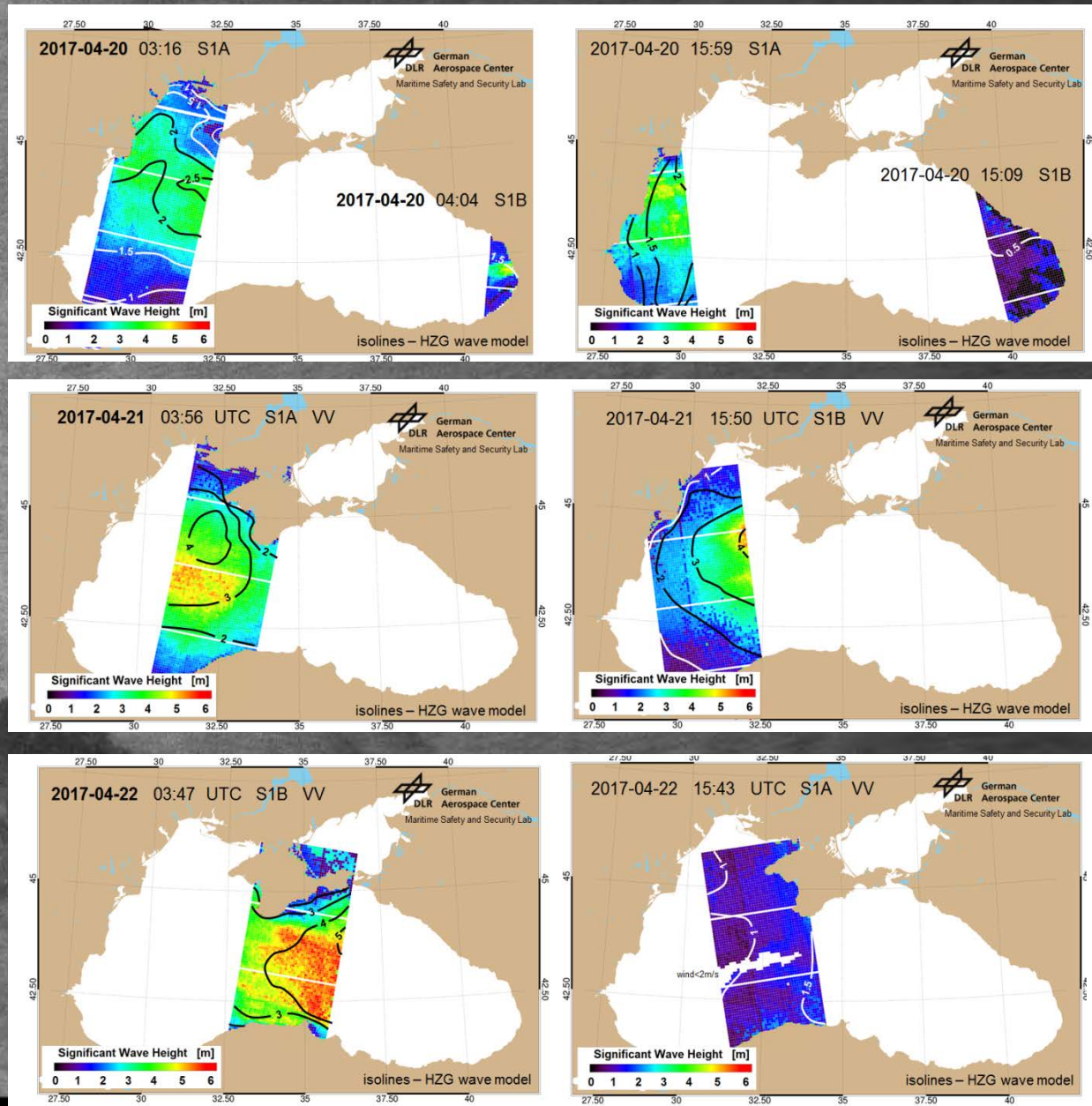
Support of a research cruise





# Following a storm in the Black Sea

Total Significant Wave Height | Black Sea storm 20-23.04.2017 | SENTINEL -1 SAR C-band IW mode | processing mesh 6km×6km





# Conclusions

- SAR-based met-ocean parameters are a reliable source to complement model predictions and buoy data
- Available in Near-Real-Time and for long-term analysis
- Important for offshore construction/maintenance operations planning
- Optimize power production estimates for offshore wind farms (including wind shadows and turbulent effects)

