THE OPTIMIZED BLOCK-REGRESSION-BASED FUSION ALGORITHM FOR PAN-SHARPENING OF VERY HIGH RESOLUTION SATELLITE IMAGERY

J. X. Zhang a, J. H. Yang a, b, *, P. Reinartz b

a Chinese Academy of Surveying and Mapping (CASM), 100830 Beijing, China - (jx.zhang, jhy.yang)@casm.ac.cn
b Remote Sensing Technology Institute, German Aerospace Center (DLR), 82234 Wessling, Germany - peter.reinartz@dlr.de

Commission VII, WG VII/6

KEY WORDS: Remote sensing, Satellite imagery, Very high resolution, Image fusion

ABSTRACT:

Pan-sharpening of very high resolution remotely sensed imagery need enhancing spatial details while preserving spectral characteristics, and adjusting the sharpened results to realize the different emphases between the two abilities. In order to meet the requirements, this paper is aimed at providing an innovative solution. The block-regression-based algorithm (BR), which was previously presented for fusion of SAR and optical imagery, is firstly applied to sharpen the very high resolution satellite imagery, and the important parameter for adjustment of fusion result, i.e., block size, is optimized according to the two experiments for Worldview-2 and QuickBird datasets in which the optimal block size is selected through the quantitative comparison of the fusion results of different block sizes. Compared to five fusion algorithms (i.e., PC, CN, AWT, Eilers, BDF) in fusion effects by means of quantitative analysis, BR is reliable for different data sources and can maximize enhancement of spatial details at the expense of a minimum spectral distortion.

1. INTRODUCTION

Pan-sharpening has a specific interest, i.e., the lower resolution multispectral image’s spatial details are enhanced by adopting the higher resolution panchromatic image corresponding to the multispectral image. The key issues concentrate on a maximum enhancement of its spatial details at the expense of a minimum multispectral distortion for the multispectral images. Recent advances for pan-sharpening are briefly reviewed in the literature (Yang et al., 2014; Yang et al., 2010). Some already published studies show that some pan-sharpening algorithms, to some extent, decrease their ability of enhancement of spatial details in order to keep the spectral features in the sharpened image highly consistent with those in multispectral image, especially for very high resolution images (Alparone et al., 2007; Dahiy et al., 2013; Ghosh and Joshi, 2013; Nikolakopoulos, 2008; Witharana et al., 2013; Yuhendra et al., 2012). In other words, it is a trade-off between maintenance of spectral characteristics and enhancement of spatial details for some pan-sharpening algorithms.

In order to address the problem, some researchers presented pan-sharpening methods with the capacity of adjustment (Chen et al., 2013; Choi, 2006; Fasbender et al., 2008; Möller et al., 2012; Te-Ming et al., 2012; Yee et al., 2014). Fusion results can be adapted by adjusting specific parameters to realize the different emphases between preservation of color characteristics and enhancement of spatial details. In order to achieve the adjustment, some of the existing algorithms need amendment of multiple parameters (Fasbender et al., 2008; Möller et al., 2012; Te-Ming et al., 2012). It is difficult to apply these algorithms in actual engineering projects. A block-regression-based algorithm (BR) (Zhang et al., 2010) can achieve the different emphases by tuning one parameter (i.e., block size) and is workable for applications. According to the literature (Zhang et al., 2010), the difference in block size acting as an important parameter in BR can lead to differences in spatial detail extracted from the higher resolution image, and further lead to different fusion effects (Yang and Zhang, 2014).

In existing works, experiments and analysis based on BR primarily concentrate on fusion of SAR and optical imagery (Zhang et al., 2010) and the desirable ability of adjustment provided by BR is not applied to sharpen the very high resolution satellite imagery. In this paper, eight different configurations of the important parameter, i.e., block sizes, are tested. The optimal block size, which can achieve a satisfying trade-off between preservation of spectral characteristic and enhancement of spatial details, is determined by assessment of fusion quality. The optimal selection is followed by the comparative analysis with other algorithms’ results.

2. THE BLOCK-REGRESSION-BASED (BR) FUSION ALGORITHM

BR (Zhang et al., 2010) algorithm adopts block-based processing. In order to generate fusion results, the algorithm derives a synthetic block as a linear function of blocks of multispectral bands that has the maximum correlation with the corresponding block of the panchromatic band for every block of images. The maximum correlation with the block of the panchromatic image results in the maximum enhancement of the spatial details at the expense of the minimum spectral distortion derived from the fusion operations. The block-regression fusion algorithm can be expressed by Equation 1.

\[
x^u_{(k,i,j)} = x^l_{(k,i,j)} + \frac{x^i_{(k,i,j)}}{\sum(x^i_{(k,i,j)})}[\text{pan}_{(i,j)} - \sum(e^k \cdot x^i_{(k,i,j)})].
\]

In Equation 1, \(x^u_{(k,i,j)}\) and \(x^l_{(k,i,j)}\) are the pixel values before and after fusion, respectively, \(\text{pan}_{(i,j)}\) is the pixel value of the panchromatic image, \(k\) is the band number, \((i, j)\) represents the

* Corresponding author

This contribution has been peer-reviewed.
doi:10.5194/isprsarchives-XLI-B7-739-2016 739
pixel location, and \( c_k \) is the linear regression coefficient in the multiple linear regression of the block region containing the pixel located at \((i, j)\).

In order to eliminate the mutation of fusion effects in the connected regions between neighboring blocks, the panchromatic and multispectral image data used for multiple linear regression requires to be extended to its neighboring blocks. For example, it expands a block size on each direction, i.e., a central block together with \(3 \times 3\) neighboring blocks is used to linearly regress. Thus, two thirds of data used to regress between two neighboring blocks are the same, which ensures that mutation in fusion result of the connected region on two blocks does not occur.

### 3. QUALITY METRICS FOR ASSESSMENT

The fusion quality is assessed in two aspects, i.e., preservation of spectral characteristics and enhancement of spatial details (Möller et al., 2012; Saeedi and Faez, 2011; Witharana et al., 2013; Zhou et al., 2014). The used metrics for preservation of spectral characteristics are correlation coefficients (CC), root mean squared error (RMSE) (Möller et al., 2012; Saeedi and Faez, 2011) and spectral angle mapper (SAM) (Alparone et al., 2007; Möller et al., 2012; Witharana et al., 2013; Zhou et al., 2014) between multispectral and fused images. The formula for calculating RMSE is as follows:

\[
RMSE = \sqrt{\frac{1}{KLI} \Sigma_{i=1}^{K} \Sigma_{j=1}^{I} \Sigma_{l=1}^{J} \sum_{k=1}^{L} (x_{lij}^l - x_{lij}^l)^2},
\]

where \( K, I, J \) are band count, columns and rows of the image, respectively; while the metric, SAM, is calculated through the following equations:

\[
\beta_{(i,j)} = \cos^{-1} \left( \frac{\sum_{k=1}^{L} x_{lij}^l x_{lij}^k}{\sqrt{\sum_{k=1}^{L} x_{lij}^l \sum_{k=1}^{L} x_{lij}^k}} \right),
\]

\[
SAM = \frac{\sum_{i=1}^{K} \sum_{j=1}^{I} \beta_{(i,j)}}{KLI},
\]

where \( \beta_{(i,j)} \) is the spectral angle of two spectral vectors at the pixel-location represented as \((i, j)\). The spatial details of sharpened images are assessed through a correlation coefficient between the high-pass filtered panchromatic and the high-pass filtered sharpened images, named Laplacian correlation coefficient (LCC) (Möller et al., 2012; Saeedi and Faez, 2011; Zhou et al., 1998). The Laplacian filter is illustrated here:

\[
\text{Laplacian filter} = [-1, -1, -1; -1, 8, -1; -1, -1, -1].
\]

These abovementioned metrics are commonly used in the field of remote sensing image fusion.

### 4. THE OPTIMAL SELECTION OF THE BLOCK SIZE FOR VERY HIGH RESOLUTION SATELLITE IMAGE FUSION

Two experimental datasets, one is Worldview-2 panchromatic and multispectral images and the other is QuickBird panchromatic and multispectral images, are used in the following experiments.

#### 4.1 The Worldview-2 dataset

Pan-sharpened Worldview-2 images using BR with different block size are shown in Figure 1. The fusion results of 8 different configurations of block sizes not only enhance the spatial details but also preserve the spectral characteristics. It is difficult to find the obvious difference caused by different block sizes through visual comparison.

In order to further compare the fusion results of different block sizes, we calculate the quality metrics for image fusion described in Section 3. The correlation coefficients between Worldview-2 multispectral and sharpened images are indicated in Table 1, while RMSE and SAM between them in Table 2. Table 3 shows correlation coefficients between the high-pass filtered panchromatic and the high-pass filtered sharpened images, named Laplacian correlation coefficient (LCC) because of Laplacian filter.

The results in Table 1 reveal that the correlation coefficients between Worldview-2 multispectral and sharpened images of different block size are higher than those between panchromatic and multispectral images, especially for band 6, 7, and 8. The SAM values for different block size in Table 2 are the same. With an increase in the block size from \(8 \times 8\) to \(1024 \times 1024\), the correlation coefficients for each band slightly decrease and the RMSE values in Table 2 slightly increase, which indicate that the ability of spectral preservation slightly decreases. In contrast, the LCC values between Worldview-2 panchromatic and fused images in Table 3 indicate that the ability of enhancement of spatial details increases with an increase in the block size from \(8 \times 8\) to \(1024 \times 1024\). This is evidenced by the fact that the LCC values slightly decrease with the rising block size, except a case where the LCC values of the block size, \(1024 \times 1024\), are 0.0001 less than those of the block size, \(512 \times 512\), for band 2, 3, and 5. Meanwhile, all LCC values between Worldview-2 panchromatic and fused images are largely higher than the corresponding values between Worldview-2 panchromatic and multispectral images.

The results in Table 1 - 3 show that with the rising block size BR slightly decreases the ability of spectral preservation whereas the ability of enhancement of spatial details for high resolution Worldview-2 images slightly increases. The fusion results of four configurations of block sizes, i.e., \(64 \times 64\), \(128 \times 128\), \(256 \times 256\), and \(512 \times 512\), are relatively better, and BR with the block size, \(128 \times 128\) (bold in tables), can achieve a satisfactory trade-off between preservation of spectral characteristics and enhancement of spatial details.

#### 4.2 The QuickBird dataset

Pan-sharpened QuickBird images using BR with different block size are shown in Figure 2. Like the results for the Worldview-2 dataset, these results of QuickBird images can enhance the spatial details while preserving the spectral characteristics through visual scrutiny. The quality metrics for image fusion are indicated in Table 4 - 6.

In terms of correlation coefficient, the difference between those of Worldview-2 and QuickBird images is that the correlation coefficients between QuickBird multispectral and panchromatic images are relatively average (i.e., about 0.6 - 0.7) for the four bands while those of Worldview-2 images are relatively low for two bands (bands 7 and 8). As indicated in Table 6, the LCC values of the two configurations of block sizes, \(8 \times 8\) and \(16 \times 16\) are far lower than those of other configurations. They do not realize the expected results.
Figure 1. Pan-sharpened Worldview-2 images using BR with different block size (color composites of band 5, 3, and 2, true color for display, display scale 1:1, the result of 1024 × 1024 is not shown because of page limit)
Figure 2. Pan-sharpened QuickBird images using BR with different block size (color composites of 4, 3, and 2 bands, false infrared (IR) color for display, display scale 1:1, the result of 1024 × 1024 is not shown because of page limit)

<table>
<thead>
<tr>
<th>XS</th>
<th>8</th>
<th>16</th>
<th>32</th>
<th>64</th>
<th>128</th>
<th>256</th>
<th>512</th>
<th>1024</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.9107</td>
<td>0.9467</td>
<td>0.9437</td>
<td>0.9421</td>
<td>0.9418</td>
<td>0.9416</td>
<td>0.9414</td>
<td>0.9412</td>
</tr>
<tr>
<td>2</td>
<td>0.9073</td>
<td>0.9680</td>
<td>0.9665</td>
<td>0.9657</td>
<td>0.9656</td>
<td>0.9655</td>
<td>0.9654</td>
<td>0.9653</td>
</tr>
<tr>
<td>3</td>
<td>0.9353</td>
<td>0.9729</td>
<td>0.9719</td>
<td>0.9714</td>
<td>0.9712</td>
<td>0.9712</td>
<td>0.9711</td>
<td>0.9711</td>
</tr>
<tr>
<td>4</td>
<td>0.9112</td>
<td>0.9749</td>
<td>0.9742</td>
<td>0.9738</td>
<td>0.9738</td>
<td>0.9737</td>
<td>0.9737</td>
<td>0.9736</td>
</tr>
<tr>
<td>5</td>
<td>0.8791</td>
<td>0.9773</td>
<td>0.9767</td>
<td>0.9764</td>
<td>0.9764</td>
<td>0.9763</td>
<td>0.9763</td>
<td>0.9763</td>
</tr>
<tr>
<td>6</td>
<td>0.7748</td>
<td>0.9597</td>
<td>0.9584</td>
<td>0.9577</td>
<td>0.9576</td>
<td>0.9575</td>
<td>0.9574</td>
<td>0.9572</td>
</tr>
<tr>
<td>7</td>
<td>0.2805</td>
<td>0.9666</td>
<td>0.9661</td>
<td>0.9657</td>
<td>0.9657</td>
<td>0.9657</td>
<td>0.9656</td>
<td>0.9656</td>
</tr>
<tr>
<td>8</td>
<td>0.2643</td>
<td>0.9630</td>
<td>0.9625</td>
<td>0.9621</td>
<td>0.9621</td>
<td>0.9620</td>
<td>0.9620</td>
<td>0.9619</td>
</tr>
</tbody>
</table>

Table 1. CC between Worldview-2 multispectral and fused images using BR with different block size

<table>
<thead>
<tr>
<th>XS</th>
<th>8</th>
<th>16</th>
<th>32</th>
<th>64</th>
<th>128</th>
<th>256</th>
<th>512</th>
<th>1024</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.7644</td>
<td>0.9824</td>
<td>0.9871</td>
<td>0.9887</td>
<td>0.9890</td>
<td>0.9891</td>
<td>0.9892</td>
<td>0.9892</td>
</tr>
<tr>
<td>2</td>
<td>0.7595</td>
<td>0.9853</td>
<td>0.9896</td>
<td>0.9909</td>
<td>0.9912</td>
<td>0.9914</td>
<td>0.9914</td>
<td>0.9915</td>
</tr>
<tr>
<td>3</td>
<td>0.7570</td>
<td>0.9890</td>
<td>0.9928</td>
<td>0.9940</td>
<td>0.9943</td>
<td>0.9943</td>
<td>0.9944</td>
<td>0.9944</td>
</tr>
<tr>
<td>4</td>
<td>0.7435</td>
<td>0.9828</td>
<td>0.9862</td>
<td>0.9872</td>
<td>0.9873</td>
<td>0.9873</td>
<td>0.9873</td>
<td>0.9873</td>
</tr>
<tr>
<td>5</td>
<td>0.7291</td>
<td>0.9690</td>
<td>0.9723</td>
<td>0.9734</td>
<td>0.9736</td>
<td>0.9737</td>
<td>0.9737</td>
<td>0.9738</td>
</tr>
<tr>
<td>6</td>
<td>0.7569</td>
<td>0.9809</td>
<td>0.9850</td>
<td>0.9863</td>
<td>0.9866</td>
<td>0.9886</td>
<td>0.9867</td>
<td>0.9867</td>
</tr>
<tr>
<td>7</td>
<td>0.7224</td>
<td>0.9381</td>
<td>0.9426</td>
<td>0.9441</td>
<td>0.9445</td>
<td>0.9447</td>
<td>0.9448</td>
<td>0.9449</td>
</tr>
<tr>
<td>8</td>
<td>0.7246</td>
<td>0.9397</td>
<td>0.9442</td>
<td>0.9456</td>
<td>0.9459</td>
<td>0.9460</td>
<td>0.9460</td>
<td>0.9460</td>
</tr>
</tbody>
</table>

Table 2. RMSE and SAM (radian) between Worldview-2 multispectral and fused images using BR with different block size

<table>
<thead>
<tr>
<th>XS</th>
<th>8</th>
<th>16</th>
<th>32</th>
<th>64</th>
<th>128</th>
<th>256</th>
<th>512</th>
<th>1024</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.6537</td>
<td>0.8351</td>
<td>0.8028</td>
<td>0.8435</td>
<td>0.8415</td>
<td>0.8407</td>
<td>0.8403</td>
<td>0.8402</td>
</tr>
<tr>
<td>2</td>
<td>0.7012</td>
<td>0.8775</td>
<td>0.8683</td>
<td>0.8808</td>
<td>0.8792</td>
<td>0.8784</td>
<td>0.8780</td>
<td>0.8777</td>
</tr>
<tr>
<td>3</td>
<td>0.6751</td>
<td>0.9271</td>
<td>0.9115</td>
<td>0.9243</td>
<td>0.9232</td>
<td>0.9227</td>
<td>0.9224</td>
<td>0.9221</td>
</tr>
<tr>
<td>4</td>
<td>0.6298</td>
<td>0.9303</td>
<td>0.9179</td>
<td>0.9246</td>
<td>0.9235</td>
<td>0.9230</td>
<td>0.9226</td>
<td>0.9222</td>
</tr>
</tbody>
</table>

Table 4. CC between QuickBird multispectral and fused images using BR with different block size

This contribution has been peer-reviewed.
doi:10.5194/isprsarchives-XLI-B7-739-2016
At the same time, these two configurations violate the law caused by the increase of block size according to the results in Table 4 and 5. Thus, they are exempted. With an increase in block size from 32 × 32 to 1024 × 1024, the law which is disclosed by the values of quality metrics of the pan-sharpened QuickBird image is the same as that corresponding to Worldview-2 image, i.e., ability of spectral preservation slightly decreases while the ability of enhancement of spatial details slightly increases. Similar to the results of Worldview-2 dataset, the QuickBird results of four configurations of block sizes, i.e., 64 × 64, 128 × 128, 256 × 256, and 512 × 512, are relatively better, and BR with the block size, 128 × 128 (bold in tables), can achieve a satisfactory trade-off between preservation of spectral characteristics and enhancement of spatial details.

According to the assessment of the fusion results of the two very high resolution datasets using different configurations of block sizes, the conclusion can be drawn that BR can adjust the fusion results by tuning a parameter (i.e., block size) to realize the different emphases between preservation of color characteristics and enhancement of spatial details. The block size, 128 × 128, is optimal for BR which can generate a satisfactory fusion results with this size. It means that BR with this size can maximize enhancement of spatial details at the expense of a minimum spectral distortion derived from the fusion operations and achieves a satisfactory balance between preservation of spectral characteristics and enhancement of spatial details. In the following comparisons of different fusion algorithms, the blocks size for BR is 128 × 128.

5. COMPARING WITH OTHER PAN-SHARPENING ALGORITHMS IN FUSION QUALITY

In this paper, three typical pan-sharpening algorithms from different types of fusion techniques (i.e., PC (Shetgitara, 1992), CN Brovey (Vrabel, 2000) and AWT (Núñez et al., 1999)) and the two state-of-the-art algorithms presented in recent years (i.e., Ehlers (Ling et al., 2007) and BDF (Fasbender et al., 2008)) are selected to be compared with the optimized BR in fusion quality. Among them, the versions of PC and Ehlers implemented in the commercial software ERDAS IMAGINE and the version of CN Brovey implemented in the software ENVI, which only fuses 3 bands of the multispectral and panchromatic images, are exploited. BDF in the open source software OTB is used, and the used version of AWT is implemented by us using the C++ program language.

5.1 The Worldview-2 dataset

The fusion results of these algorithms for Worldview-2 are shown in Figure 3, while the corresponding fusion metrics are listed in Table 7 - 9. The best values are indicated as bold in these tables and the worst values as italic and red.
Figure 3. Pan-sharpened Worldview-2 images using different pan-sharpening algorithms

Figure 4. Pan-sharpened QuickBird images using different pan-sharpening algorithms
In terms of the experimental results of QuickBird, the conclusion can be drawn that PC causes serious color distortion. Ehlers is worst in enhancement of spatial details, CN is ordinary and is stable for different data sources.

By analyzing the above two experimental results, we can find that PC and CN are inferior for one dataset in spectral preservation, while AWT, BDF and Ehlers are inferior for one dataset in enhancement of spatial details. Only BR is better for two datasets in both spectral preservation and enhancement of spatial details, and is stable for different data sources.

### 6. CONCLUSIONS

In this paper we carried out the optimal selection of the block size of the block-regression-based fusion algorithm for pansharpening of very high resolution satellite imagery by qualitative and quantitative assessment. BR not only enhances spatial details while preserving spectral characteristics, but also adjusts the sharpened results to realize the different emphases between the two abilities. The algorithm with the optimized block size is more reliable than the other five fusion algorithms for different data sources, and can fully achieve the fusion goal, i.e., a maximum enhancement of the spatial details at the expense of a minimum spectral distortion.
According to the above experimental results of different algorithms and discussions, a more general finding can be drawn as follows: it is quite difficult (or almost impossible) to enhance the spatial details and preserve spectral characteristics at the same time, both to the maximum extent; a more practical option is to realize the different emphases in the light of actual requirements. Therefore, it is extremely important for pansharpening algorithms to adjust the fusion results by setting a simple parameter and to achieve a satisfactory trade-off between preservation of spectral characteristics and enhancement of spatial details in practice.

ACKNOWLEDGEMENTS

Thanks are given to the data provider, i.e., Siwei Worldview Technology (Beijing) Co, Ltd, for the provision of the Worldview-2 dataset. The authors thank Sheida Rahmani, Melissa Strait and Daria Merkurjev who provide the Matlab programs to calculate RMSE, SAM and LCC quality metrics.

REFERENCES


