

1 **GBAS Ground Monitoring Requirements from an Airworthiness Perspective**

2 Michael Felux*, Jiyun Lee[†], Florian Holzapfel[#]

3
4 * German Aerospace Center (DLR), Oberpfaffenhofen, 82234 Wessling, Germany, e-mail:
5 michael.felux@dlr.de, phone: +49-8153-28-2993, fax: +49-8153-28-2328 (corresponding author),

6 [†] Korea Advanced Institute of Science and Technology (KAIST), 291 Daehak-ro.

7 Daejeon 305-701, Republic of Korea

8 [#] Technische Universität München, Lehrstuhl für Flugsystemdynamik, Boltzmannstrasse 15,
9 85748 Garching bei München, Germany

10 **Abstract**

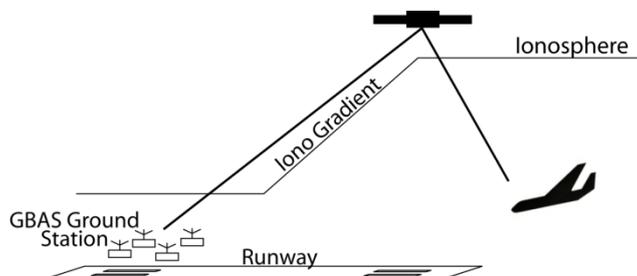
11 The Ground Based Augmentation System (GBAS) provides corrections for satellite navigation signals together
12 with integrity parameters to aircraft and enables precision approach guidance. It will eventually replace the
13 currently used Instrument Landing System (ILS). GBAS Approach Service Type (GAST) C stations supporting
14 CAT-I operations have been fully developed and certified and first stations are operational. For the service type
15 D, which is intended to support CAT-III operations including automatic approaches and landings, requirements
16 have been drafted and are currently undergoing validation. One remaining issue is the requirement for
17 monitoring of ionospheric anomalies in the ground subsystem. Large gradients in the concentration of free
18 electrons in the ionosphere can lead to significant positioning errors when navigation is based on differential
19 methods. We give a review of the derivation of currently proposed performance requirements for such a monitor.
20 Next, we show that the required level of safety from an airworthiness perspective can be achieved even with
21 relaxed monitoring requirements compared to the currently drafted standards. These relaxations result from
22 satellite geometry assessments on the ground and actual approach characteristics towards a runway. We show
23 that with this method it is sufficient to monitor for gradients in the range of about 450-550 mm/km while current
24 standards require detection already from 300 mm/km. A remote monitoring receiver near the touchdown point
25 can monitor the post-correction differential range error and use it as test statistic for GBAS performance
26 monitoring and protection against ionospheric disturbances.

27
28 **Keywords**

29 GBAS, Ionosphere monitoring, airworthiness assessment

30 **Introduction and background**

31 In March 2012 the first GBAS Approach Service Type C (GAST C) ground station achieved full certification.
32 This service type supports operations equivalent to a CAT-I ILS with a minimum decision height of 200 ft and a
33 runway visual range of at least 550 m. It is located in Bremen (ICAO identifier EDDW) in northern Germany
34 and since then is regularly used by Air Berlin which has equipped a large portion of their B737-NG fleet with the
35 GBAS Landing System (GLS). GBAS stations in Newark and Houston have become operational as well and are
36 used by United Airlines with their B787 fleet and part of their B737-NG fleet. Other airports like Zurich and
37 Frankfurt are currently installing systems. A number of trial GBAS stations with different levels of progress
38 towards certification have been set up in several countries including Spain, France, Australia, Germany and
39 Russia. After having reached this important milestone of GAST C certification for ground stations, current
40 research and development effort is focusing on meeting all necessary requirements to support operations also
41 under CAT-II/III weather conditions. The set of airborne and ground requirements for these operations based on
42 single frequency GPS navigation is summarized as GAST D. With the main concern being decorrelation of
43 ionospheric effects between the ground station and a user, most of the additional monitoring built into the GAST
44 D architecture is dedicated to detection and mitigation of this threat (Murphy 2006). A potentially hazardous
45 situation is shown in Figure 1 which illustrates a worst case ionospheric front situation.



46

47 **Fig. 1 Illustration of a potentially hazardous situation caused by an ionospheric front**

48

49 In the Contiguous United States (CONUS) the currently valid threat model assumes a largest value for the spatial
50 decorrelation of 425 mm/km in pseudoranges while the German threat model only considers 140 mm/km based
51 on measurements over one solar cycle (Pullen 2009; Mayer 2009). Despite the fact that those threat models have
52 been established, long-term monitoring is still on-going to validate these values (Jung 2012).

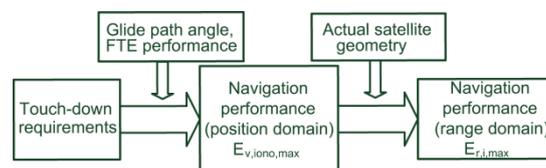
53 A GBAS ground station is required to protect users from potentially dangerous ionospheric situations.
54 Gradients up to 300 mm/km are accounted for in the protection level risk together with a siting limit which
55 restricts the largest distance between the GBAS reference point and a runway threshold to 5 km. Larger gradients

56 have to be identified by an ionospheric gradient monitor. There have been two proposals how to address this
 57 task. The first one uses double-differenced carrier phase measurements (Khanafseh 2012). This method,
 58 however, requires a pre-defined prior probability of occurrence of an ionospheric anomaly and antenna phase
 59 center stability in the order of millimeters. The 5 km siting limit is necessary with this architecture to protect
 60 users from the effect of worst case gradients. The second method is a carrier phase based, code aided technique
 61 (Fujita 2010). It is based on resolving carrier phase ambiguities, but correct fixing of the integer ambiguity with
 62 the required level of integrity is still a challenge.

63 In the next section we propose a different approach to this task by reviewing the origin of the currently
 64 proposed requirements and suggesting possible relaxations at certain steps where unnecessarily conservative
 65 assumptions are made. At all times the safety targets are maintained but instead of taking worst case
 66 assumptions, the actual approach geometry as well as the current satellite geometry are considered. In the
 67 following two sections we present a scheme for ground monitoring which applies these relaxations and is
 68 designed to fulfill the ionosphere monitoring task. Finally, an investigation of the monitor performance is carried
 69 out for various potential GBAS locations showing that the proposed architecture can protect users at the required
 70 level of integrity.

71 Derivation of Ground Monitoring Requirements

72 After initial attempts to derive requirements for GBAS from the performance of current ILS, the adopted strategy
 73 is to derive requirements from an airworthiness point of view (Schuster 2010). A schematic overview is given in
 74 Figure 2.



76
 77 **Fig. 2 Derivation of range-domain requirements from airworthiness considerations**
 78

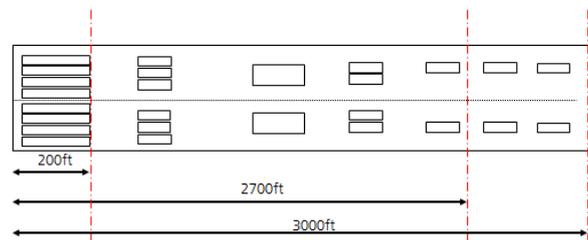
79 A limit on the largest vertical positioning error is determined from the touch-down requirements. It is sufficient
 80 to consider only the vertical requirements since they are more difficult to fulfill than the lateral ones. This is
 81 done making assumptions about the performance of autopilot systems and the glide path angle of the approach.
 82 The proposed monitor would not be operating in the position domain, but rather in the range domain and thus
 83 depend on the actual current satellite geometry. We now discuss the way how the thresholds are derived and

84 propose changes to the assumptions where appropriate, in order to relax the requirements without compromising
 85 safety. The derivation and assumptions follow the steps described by Burns (2009).

86 The drafted and frozen standards and recommended practices (SARPs) (ICAO 2010) result from the
 87 definition of a safe landing, as given in European certification specifications for all-weather operations CS-AWO
 88 131 (EASA 2003) and similarly in AC 120-28D (FAA 1999). The most relevant part for GBAS relates to the
 89 requirement that the aircraft lands inside the so-called touchdown box with a sensible attitude and sink rate. It is
 90 an area on the runway where the main landing gear of the aircraft has to touch down. The longitudinal
 91 dimensions and tolerable probabilities of not meeting the requirement are summarized in Table 1 and depicted in
 92 Figure 3. All distances are given in direction of flight behind the runway threshold. The lateral limit is 5 ft (1.5
 93 m) from the edge of the runway for the outer main landing gear.

94 **Table 1 Touchdown requirements according to EASA (2003) and FAA (1999)**
 95

Case	Land short limit (ft)	Land long limit (ft)	Allowable probability of exceeding the limit
Nominal	200	2700	10^{-6}
Limit	200	3000	10^{-5}
Malfunction	200	3000	0



96

97 **Fig. 3 Longitudinal touchdown requirements (EASA 2003, FAA 1999)**
 98

99

100 CS-AWO distinguishes three different cases for which the aircraft has to land in the touchdown box: the nominal
 101 case, the limit case, and the malfunction case. In the nominal case all influencing parameters vary according to
 102 their nominal distributions. In the limit case one parameter is kept at its most critical value while all other
 103 parameters vary according to their nominal distributions. In the malfunction case, one parameter exceeds its
 104 threshold value without detection or mitigation within the system. An undetected ionospheric gradient resulting
 105 in large positioning errors can be treated as a malfunction. Further discussion is thus limited to this case. In the
 106 malfunction case the aircraft has to land in the touchdown box with complete certainty for any effect occurring
 107 and not being detected with a higher probability than 10^{-9} .

108 This requirement limits the Total System Error (TSE) of the aircraft. It is assumed that a vertical
 109 position error E_v on the approach would translate to an along-track touchdown error E_{ark} which is the relevant
 110 parameter in terms of the requirements mentioned. The relation is described based on the glide path angle
 111 (GPA) of the approach as:

$$112 \quad E_v = E_{ark} \cdot \tan(GPA) \quad (0)$$

113 This description originates from the autopilot logic which switches vertical guidance from ILS or GLS to the
 114 radar altimeter at a defined radar altitude, typically between 30 and 100 ft depending on the aircraft. Glide path
 115 angles of precision approaches can be in the range of 2.5° to 3.5° . For a conservative assessment of the
 116 maximum allowable vertical error, a GPA of 2.5° is assumed. This is usually unnecessarily conservative, since
 117 most approaches have a 3° or even higher GPA. In any case, it is a known parameter which is transmitted in the
 118 type 4 GBAS message (RTCA 2008a) and can be used for the derivation of a monitoring requirement for each
 119 individual GBAS approach.

120 The largest allowable error in along-track direction is given by the land short and long limits
 121 summarized in Table 1 and the assumption that the nominal touchdown point (NTDP) is located at a distance of
 122 1290 ft (393.2 m) behind the runway threshold. The TSE budget, however, has to be split between the
 123 Navigation System Error (NSE) and the Flight Technical Error (FTE). The assumption used in the derivation of
 124 requirements is that they are statistically independent with a standard deviation of the along-track touchdown
 125 dispersion due to the flight technical error of $\sigma_{FTE} = 180$ ft (54.9 m). A study about the touchdown performance
 126 was conducted by Boeing (2005) and this value is expected to apply to all aircraft types which are likely to be
 127 equipped with GLS. The NSE budget must contain the nominal GBAS noise plus an undetected vertical error
 128 $E_{v,iono}$ due to the ionosphere. The standard deviation of the fault-free vertical NSE $\sigma_{NSE,vert,ff}$ for GBAS is
 129 limited by the Vertical Alert Limit (VAL) and the associated integrity risk of 10^{-7} such that

$$130 \quad \sigma_{NSE,vert,ff} \leq VAL / K_{ffmd} = 10\text{m} / 5.81 = 1.72\text{m} \quad (0)$$

131 The K_{ffmd} is based on three reference receivers according to Table 2-16 in DO-253C. Since the nominal NSE and
 132 FTE are usually described as Gaussian distributed random variables, complete certainty cannot be achieved
 133 unless the stochastic nature is not considered. To show compliance with the requirement, fixed, non-variable
 134 values for the FTE and NSE are used. What is done in the requirement derivation and shown to be sufficient by
 135 Clark (2006) in this context is taking NSE and FTE at their 95th percentiles corresponding to 1.96 times their
 136 standard deviation for the fault-free case (indicated as subscript $ff,95\%$). With the condition that the aircraft has

137 to land not less than 200 ft (61.0 m) behind the runway threshold, the condition for the land-short case can be
 138 formulated as

$$139 \quad 61\text{m} \leq \text{NTDP} - \frac{NSE_{\text{vert},ff,95\%} + E_{v,iono}}{\tan(GPA)} - \text{FTE}_{ff,95\%} \quad (0)$$

140 With all the above described values solving (3) for $E_{v,iono}$ yields a maximum tolerable value of
 141 $E_{v,iono,max} = 6.44$ m . However, changing the GPA to a value of 3° results in $E_{v,iono,max} = 8.40$ m .

142 Now this limit in the position domain has to be transformed into a limit in the range domain. The
 143 relation between the two domains is given by the projection matrix S which is defined as

$$144 \quad S = (G^T \cdot W \cdot G)^{-1} \cdot G^T \cdot W \quad (0)$$

145 The geometry matrix G contains the normalized line-of-sight vectors from each of the N satellites to the user
 146 and a “1” in the fourth column for the receiver clock offset. The weighting matrix W , as defined in DO-253C
 147 (RTCA 2008b), is a diagonal matrix containing the inverse of the expected fault-free variance of the
 148 pseudorange measurement associated with each satellite as non-zero elements. These variances σ_i^2 are given as

$$149 \quad \sigma_i^2 = \sigma_{pr,gnl,i}^2 + \sigma_{pr,air,i}^2 + \sigma_{tropo,i}^2 + \sigma_{iono,i}^2 \quad (0)$$

150 where $\sigma_{pr,gnl}$ describes the standard deviation of the ground station contribution, $\sigma_{pr,air}$ the airborne contribution
 151 due to noise and airframe multipath, σ_{tropo} the residual tropospheric uncertainty and σ_{iono} the residual ionospheric
 152 uncertainty (McGraw 2000, RTCA 2008b). The residual ionospheric and tropospheric uncertainties depend on
 153 the horizontal and vertical distance between the GBAS station and the user, while the other two parameters
 154 depend on the quality classification of the ground station and airborne receiver and the smoothing time. Thus, for
 155 calculation of the individual error contributions assumptions have to be made. In this study the Ground Accuracy
 156 Designator (GAD) and Airborne Accuracy Designator (AAD) were chosen as “C” and “B”, respectively, in
 157 order to represent the expected equipment classification for GAST-D operations. For the ionospheric uncertainty
 158 a distance to the GBAS reference point of 6 km was assumed due to the 5 km siting limit between the reference
 159 point and the threshold plus 1 km for the distance between the aircraft at 200 ft and the threshold. The position
 160 estimation is accomplished by a linearization around an initial position estimate and then a standard iterative
 161 least-squares solution which can be described as

$$162 \quad \Delta x = S \cdot \Delta y \quad (0)$$

163 where Δx is the 4-dimensional position and receiver clock increment for an initial position and Δy the N-
 164 dimensional vector containing difference between the corrected and smoothed pseudoranges and the expected

165 ranges based on the position estimate. The contribution of one satellite i to the position estimate vertical to the
 166 approach track is given by

$$167 \quad S_{vert,i} = s_{3,i} + s_{1,i} \cdot \tan(GPA) \quad (0)$$

168 where $S_{vert,i}$ is a scalar parameter describing the weight which is given to the measurement of satellite i , s are
 169 the elements of S from (6) and the coordinates are given in an approach coordinate system moving with the
 170 aircraft along the approach track. In the airborne geometry screening process in GAST-D the $S_{vert,i}$ are assumed
 171 to be limited to a maximum of 4 (Harris 2007), i.e. the contribution of a single satellite to a vertical position error
 172 with respect to the approach track cannot be larger than 4 times the corresponding post correction range error.
 173 Hence, according to the draft SARPs a single pseudorange error may not be larger than

$$174 \quad E_{r,i,max} = \frac{E_{v,iono,max}}{S_{vert,i,max}} = \frac{6.4m}{4} = 1.6m \quad (0)$$

175 The values assumed in (8) are, however, usually unnecessary conservative. Apart from the $E_{v,iono,max}$, as
 176 described before, the other conservative assumption is the value of 4 for the $S_{vert,i,max}$. The ground station is
 177 generally unaware of the satellite subset used by the aircraft, and thus the S-factors an airborne receiver may
 178 apply. Hence, the most conservative value which can be associated with each satellite has to be used for
 179 monitoring purposes. However, depending on the set of satellites, for which GBAS corrections are provided, the
 180 $S_{vert,i,max}$ is limited to values generally smaller than 4. In order to be conservative a monitoring receiver must
 181 determine all possible and valid subsets of satellites for which corrections are provided. Together with
 182 conservative assumptions about distance, speed and the aircraft's AAD, a worst case $S_{vert,i}$ for each satellite can
 183 be calculated as maximum over all valid satellite subsets. A subset of satellites is valid if it contains at least four
 184 satellites, the $S_{vert} \leq 4$ limit is fulfilled for each of those satellites and at the same time the Vertical Protection
 185 Level (VPL) is smaller than the VAL. From this information the largest projection factor can be calculated for
 186 each satellite. This will be done considering the current, given geometry. Only the case of a single affected
 187 satellite is considered since a large gradient affecting more than one satellite is considered to be sufficiently
 188 unlikely. Due to the fact that the speeds of the ionospheric pierce points of different satellites are practically
 189 always different, such a gradient would not appear stationary on different pseudorange measurements (Lee 2011)
 190 and could thus be detected by other monitors like the CCD or DSIGMA (Simili 2006, Murphy 2006). By
 191 increasing the numerator of (8) and decreasing the denominator at the same time as proposed in this section, the

192 actual $E_{r,i,\max}$ for each satellite will almost always be larger than 1.6 m and thus relax the currently proposed
 193 requirements (ICAO 2010).

194 **Differential Range Error Monitor**

195 A method for ensuring this post correction range error limit in general, and mitigating the ionospheric threat in
 196 particular, can be based on positioning an additional GNSS receiver at a surveyed location close to the
 197 touchdown point of the runway to which approach service is provided. This monitor plays the role of a pseudo
 198 user, i.e. it receives and applies GBAS corrections received from the GBAS ground station to correct its own
 199 GNSS measurements. The basic idea behind this kind of monitoring is that if an error source, such as an
 200 ionospheric disturbance, affects an arriving aircraft in a potentially dangerous way, then the monitor would be
 201 affected in a similar way. This is justified since spatial decorrelation between the user and the monitor is
 202 minimal, data processing of the raw measurements is the same and the effect does not depend on receiver
 203 implementation and airframe characteristics. The smoothed and corrected pseudorange $P_{smt,corr}$ for each satellite
 204 can be described as sum of the theoretical range r , an undesired residual range error E_{range} , the user clock bias
 205 $c \cdot \Delta t_{user}$ and a noise term η as

206
$$P_{smt,corr} = r + E_{range} + c \cdot \Delta t_{user} + \eta \quad (0)$$

207 The theoretical range term can be calculated by precise knowledge of the monitor receiver location and is thus a
 208 known parameter. The user clock term is common to all pseudorange measurements. It can therefore be removed
 209 from the measurements in the same way as it is done in the calculation of the pseudorange corrections in the
 210 ground systems. This process is called “smoothed clock adjust” and is described in chapter 3.7.1.2.8.3.5 of ED-
 211 114A (Eurocae 2013). After removing the geometrical range portion of $P_{smt,corr}$ for all satellites in view, the
 212 average residual range over all satellites is treated as receiver clock bias. While this is usually not exactly true, it
 213 does not influence the performance of the monitor since a range bias which is common to all pseudoranges is
 214 mapped into the user clock offset. The remaining parts are the sum of the residual range error and noise. These
 215 two cannot be separated and their sum shall be called E_{test} , which can be described as

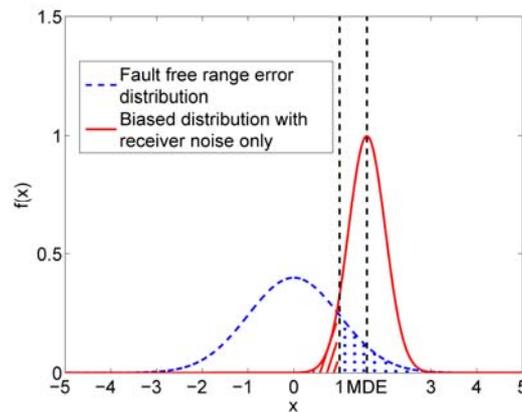
216
$$E_{test} = P_{smt,corr} - r - \frac{1}{N} \sum_{i=1}^N E_{r,i} \quad (0)$$

217 with N the number of satellites used. This quantity will be the monitored parameter.

218

219 Monitor design

220 In a next step the threshold value for the monitored parameter, i.e. the largest value for which E_{test} is still
221 considered nominal, has to be derived. This limit has to fulfill the condition that a possible threat is detected with
222 the required probability of missed detection, and at the same time have a sufficiently low probability of false
223 alarm. The trade-off is shown in the following plot.



224

225 **Fig. 4 Example trade-off between probability of missed detection (red shaded) and probability of false**
226 **alarm (blue dotted). The dashed blue curve shows the expected range error pdf while the red curve shows**
227 **the expected noise and multipath of the monitoring receiver centered at the minimum detectable error**
228 **(MDE)**
229

230 The properties of this monitor depend on four parameters:

- 231 • The *actual expected distribution of the post-corrected range errors* is illustrated by the dashed blue
232 curve in the plot above. In the case of the monitor under discussion it is represented by a non-biased
233 Gaussian distribution for each pseudorange measurement with standard deviation σ_i and was
234 described in (5). This model depends on geometry, satellite elevation, altitude difference and distance
235 between the airborne receiver and the GBAS reference point, as well as speed of the aircraft and
236 equipment classification. The parameters used in determining the uncertainty should correspond to the
237 expected error distribution of the signal, i.e. for GAST D using $\sigma_{pr_gnd_30}$ from the Type 11 GBAS
238 message (RTCA, 2008a) and a time constant $\tau = 30$ seconds to represent the residual noise from the
239 ground system and the appropriate contribution to the ionospheric uncertainty in (5).
- 240 • The next influencing parameter is the *minimum detectable error (MDE)* of the monitor where the red
241 curve of the monitor noise probability density function (pdf) is centered. The MDE in this monitoring

242 scheme corresponds to $E_{r,i,\max}$ from (8) for each satellite. As shown earlier, it depends on airworthiness
 243 considerations and is the largest tolerable range error which is attributed to one satellite, such that the
 244 aircraft can still operate safely.

245 • Next, the *probability of missed detection* which is attributed to the monitor has an impact on the overall
 246 performance. It is shown as the red shaded area in Figure 4. Since in airworthiness considerations the
 247 requirement for the fault case is specified for each error with a probability of occurrence greater than
 248 10^{-9} , the product of a probability of occurrence and probability of not detecting such a disturbance has
 249 to be smaller than 10^{-9} .

250 • Finally, the *noise and multipath characteristics of the monitoring receiver* have a significant impact on
 251 the overall performance of the monitor. The lower the noise and multipath characteristics, the larger the
 252 monitoring threshold becomes. According to several studies which were performed for evaluation of the
 253 GBAS error models, the main concern for ground based receivers is multipath from ground reflections.
 254 Hence, strict siting criteria for GBAS reference antennas were developed and multipath limiting
 255 antennas are used (FAA 2010).

256
 257 The expected error distribution is defined in (5). For the derivation of the MDE from (8) we propose to use the
 258 actual GPA transmitted in the GBAS message which is typically 3° . When taking a Gaussian noise model for the
 259 monitor performance, the detection threshold E_{monthr} with the respective p_{md} requirement and the standard
 260 deviation of the monitor noise $\sigma_{monitor}$ can be written as

$$261 \quad E_{monthr} = MDE - k_{md} \cdot \sigma_{monitor} \quad (0)$$

262 with

$$263 \quad k_{md} = -\Phi^{-1}(0.5 \cdot p_{md}) = -\sqrt{2} \operatorname{erf}^{-1}(p_{md} - 1) \quad (0)$$

264 and $\Phi(x)$ the standard normal distribution. According to (12) the corresponding missed detection multiplier for
 265 $p_{md} = 10^{-9}$ is $k_{md} = 6.1$. The monitoring condition thus becomes

$$266 \quad E_{monthr} < \frac{E_{v,iono,\max}}{S_{vert,i}} - 6.1 \cdot \sigma_{monitor,i} \quad (0)$$

267 for each satellite i . When allowing some credit to be taken for the fact that those events are very rare, a prior
 268 probability p_{iono} can be defined such that instead of requiring a missed detection probability $p_{md} = 10^{-9}$, only the
 269 product of prior probability for an ionospheric event and the probability of missed detection has to meet the

270 requirement $p_{md} \cdot p_{iono} = 10^{-9}$. If a meaningful prior probability can be established, e.g. by external monitoring of
 271 the state of the ionosphere, we propose to use it in order to achieve better false alarm properties.

272 For the derivation of the monitoring threshold we propose that the projection factors $S_{vert,i}$ in (13)
 273 should be calculated for each satellite individually as described in the previous section. The resulting largest
 274 $S_{vert,i}$ at each epoch will always be smaller or equal to 4 which leads to relaxed monitoring requirements since
 275 E_{monthr} increases with decreasing $S_{vert,i}$.

276 For $\sigma_{monitor}$ we suggest to use a model which conforms to the GAD C requirement intended for GAST-D
 277 operations. We use the same model as used in (RTCA 2008b) which describes the noise as

$$278 \quad \sigma_{noise} = \min(0.24\text{m}, 0.15\text{m} + 0.84\text{m} \cdot e^{-\theta/15.8^\circ}) \quad (0)$$

279 It is represented by an elevation dependent function which remains constant for satellite elevation angles below
 280 35° to reflect the characteristics of multipath limiting antennas (MLAs) and the siting criteria for GBAS.
 281 Although the noise restrictions required for this kind of monitoring are the most stringent ones in terms of GBAS
 282 Ground Facility Classification, meeting this requirement has been shown to be possible by Dautermann (2012)
 283 with choke-ring antennas and standard receivers in a non-optimal environment which does not meet the GBAS
 284 siting criteria.

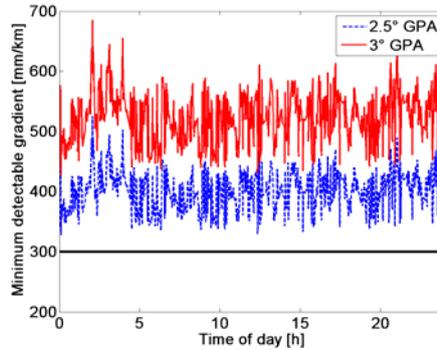
285 **Monitor performance**

286 In this section an analysis of the different parameters influencing the monitor performance is carried out. Figure
 287 5 shows the minimum gradient which will be detected by this monitor. It is a function of the MDE of the monitor
 288 and the distance $d_{monitor}$ between the GBAS reference point and the location of the monitoring receiver. The
 289 minimum slope g_{min} of a gradient which has to be detected can then be written as

$$290 \quad g_{min} = \frac{MDE}{d_{monitor}} = \frac{E_{v,iono,max}}{S_{vert,i}} \cdot \frac{1}{d_{monitor}} \quad (0)$$

291 with the same notation as in the previous equations. The $S_{vert,i}$ are calculated according to (7), based on a
 292 standard GBAS weighting and assuming an aircraft speed of 70 m/s, which is a typical approach speed. Out of
 293 all satellites in view the $S_{vert,i}$ for the most limiting satellite, i.e. the satellite with the largest expected test
 294 statistic, is taken for a GBAS located at Braunschweig/Wolfsburg airport in northern Germany. The monitor
 295 receiver is assumed to be located 5 km from the GBAS reference point in runway direction towards the east.

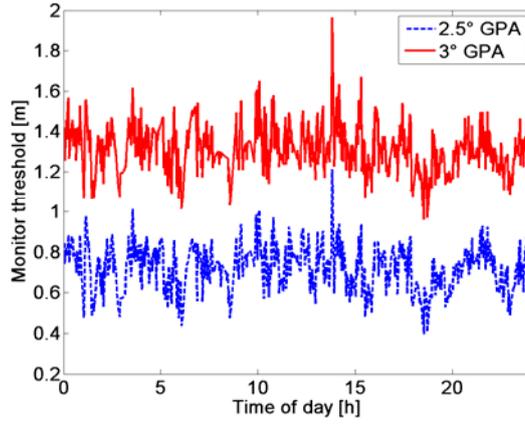
296 Two different values for the GPA are plotted to show the possible relaxations of the monitoring requirement in
 297 comparison to the current 300 mm/km limit. The larger GPA relaxes the constraint on the largest tolerable
 298 vertical error as described in (1) and thus enlarges the tolerable slope of an ionospheric gradient.



299
 300 **Fig. 5 Minimum size of gradients which will be detected by a monitor located 5km away from the GBAS**
 301 **reference point. The dashed blue curve shows the values for a 2.5° GPA while the red curve shows the**
 302 **required detection for a standard 3° GPA. The black line shows the currently required value**
 303

304 The minimum slope of a gradient which needs to be detected considering a 2.5° GPA increases to values
 305 typically varying between 350 and 450 mm/km over a day, while at a 3° GPA only gradients as large as 450 to
 306 550 mm/km are serious enough to create a potentially dangerous vertical error. At all times the monitoring
 307 thresholds are significantly larger than the currently required 300 mm/km.

308 Figure 6 shows the corresponding monitoring threshold over one day which was described in (13) with
 309 a missed detection probability $p_{md} = 10^{-9}$. For comparison two curves are plotted showing again the different
 310 monitoring thresholds for a 2.5° or 3.0° GPA. The monitor threshold over the day typically varies between 0.5 m
 311 and 1 m for the 2.5° GPA and between 1 m and 1.6 m for the 3° GPA. The peak shortly before 14 h represents a
 312 situation where for a short time the largest possible S_{vert} is as small as 2.57 and the corresponding monitor
 313 threshold increases to almost 2 m.



314

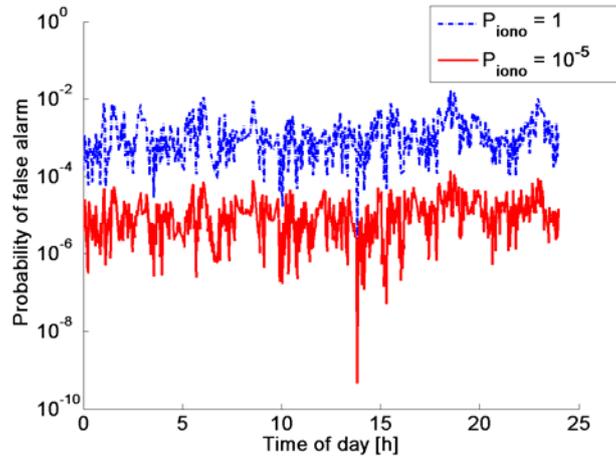
315 **Fig. 6 Monitor thresholds for Braunschweig airport over one day depending on the glide path angle of the**
 316 **approach for the most limiting satellite in view**

317

318 It can be seen that the higher glide path angle increases the monitor threshold by a factor of roughly 1.6 and thus
 319 reduces the false alarm probability of the system accordingly. It is therefore very beneficial to consider the actual
 320 GPA for an airport, rather than a general worst approach angle for precision approaches. The false alarm
 321 probability is another important parameter since the rate of false alarms impacts the availability and continuity of
 322 the system. It is represented as the dotted blue surface in Figure 4 and can be modeled as

323
$$p_{fa} = 2 \cdot \Phi \left(\frac{-E_{monthr}}{\sigma_i} \right) \quad (0)$$

324 with the standard normal distribution Φ , the monitoring threshold E_{monthr} from (13) and the expected standard
 325 deviation of the smoothed, corrected pseudorange σ_i from (5) for the most critical satellite i . Note that Figure 4
 326 only shows the one-sided probability. The factor 2 in (16) takes into account the fact that the errors can be
 327 positive or negative. Figure 7 shows the false alarm probability over a day corresponding to the monitoring
 328 thresholds from Figure 6 for a 3° GPA.

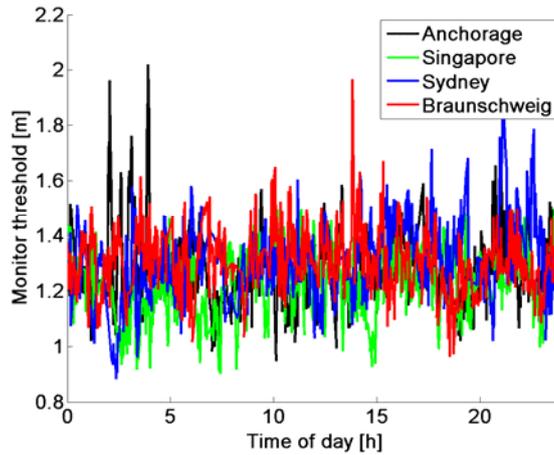


329

330 **Fig. 7 Probability of false alarm depending on prior probability P_{iono} of occurrence of an ionospheric**
 331 **disturbance. Both results assume a 3° GPA for the approach**
 332

333 The dashed blue curve shows the case if no prior probability for the occurrence of an ionospheric disturbance is
 334 defined. In this case the false alarm probability mostly stays in the range of 10^{-2} to 10^{-5} . For an operational
 335 system these values are large, despite the fact that flagging one satellite would not necessarily limit the
 336 availability of the whole GBAS, since usually there are between 6 and 12 satellites available for navigation.
 337 However, if some credit is taken for the fact that these storms happen very rarely, performance of this monitor
 338 improves significantly. Previous work on this issue, such as (Khanafseh 2012) or (Belabbas 2012) used a value
 339 of 10^{-5} for the assumed probability of occurrence. This limits the required probability of missed detection to just
 340 10^{-4} and reduces the k-factor in (11) from 6.1 to 3.7 and thus relaxes the monitoring problem. The results for the
 341 false alarm probability assuming this prior probability for an ionospheric disturbance is shown in red in Figure 7.
 342 It decreases down to values in the range of 10^{-4} to 10^{-6} . However, a standardized way of determining such a
 343 probability has not yet been developed and needs to be investigated. Due to the more frequent observation of
 344 ionospheric storms, scintillations and plasma bubbles in the high and low latitudes as opposed to the mid-
 345 latitudes, such a probability should be defined locally together with the ionospheric threat model or determined
 346 by external information, such as ionospheric parameters from a space based augmentation system (SBAS).

347 The results shown above are an example for performance at a certain location. However, the monitoring
 348 thresholds and the associated false alarm probabilities do not change substantially in different locations around
 349 the earth and at different latitudes. This is a result of the selection of the worst possible geometry at any location
 350 for the derivation of a conservative monitor threshold. Figure 8 shows the simulated thresholds over one day for
 351 three selected airports in different regions.



352

353 **Fig. 8 Monitor thresholds for the most limiting satellite in view over one day at different latitudes. Anchorage as**
 354 **example for a polar region airport (Latitude 61°N), Singapore for an equatorial airport (1°N) and Sydney as example**
 355 **for mid-latitude (33°S) compared to Braunschweig assuming a 3° GPA**
 356

357 Anchorage is located at a northern latitude of 61°, Singapore is located very close to the equator at 1° North and
 358 Sydney is located at 33°S in the equatorial to mid-latitude region. Together with Braunschweig (at 52°N) those
 359 airports represent the typical range in which GBAS stations are expected to be located. The monitor thresholds
 360 tend to be the lowest in Singapore (green curve). The times when the monitoring threshold decreases below 1 m
 361 for the most critical satellite correspond to epochs when many satellites are visible (13 or 14). At these epochs it
 362 is possible to select subsets which create larger values for S_{vert} than at times when there are fewer satellites
 363 available. Sydney shows a monitoring threshold very similar to that of Braunschweig. Only for one short period
 364 of about 20 minutes around 2 h 30 min it drops below a value of 1 m. During this time there are also 13 satellites
 365 visible while at most other epochs the number is mostly between 12 and 8. For Anchorage there are four spikes
 366 visible between 2 h and 4 h where the threshold becomes very large. At these epochs there are 11, 9, 7 and 10
 367 satellites visible while most of the time there are at least 10 satellites available, sometimes up to 14. In a similar
 368 way as could be observed at the other locations, a smaller number of available satellites generally increases the
 369 monitoring threshold. However, the effect is not as visible as in the other example sites because due the location
 370 far up north more satellite subsets are excluded in the geometry screening process.

371

372 **Discussion / Conclusion**

373 We showed a method to meet the monitoring requirements for an automatic landing system based on GBAS
 374 navigation from an airworthiness perspective. Instead of specific requirements concerning ionospheric gradients
 375 only, it targets the actual requirement of ensuring a safe landing in the touchdown box given the current actual

376 satellite constellation and approach geometry. The detection threshold can be increased compared to the draft
377 SARPS by modifying some overly conservative assumptions and taking into account the actual satellite
378 geometry. This method has the advantage of significantly relaxing the ground monitoring requirement for
379 ionospheric disturbances. Furthermore, any errors which do not relate to receiver implementation and airframe
380 characteristics of arriving aircraft can be detected by placing the monitoring receiver close to the touchdown
381 point, irrespective of their source. This method makes the siting limit of 5 km irrelevant because it can limit
382 potential differential ranging errors to a safe level, irrespective of the distance to the GBAS ground station.
383 Reference receiver siting at airports is a very challenging task because of required obstacle clearance near
384 runways and taxiways, as well as protection of the reference antennas against potential sources of multipath. The
385 elimination or relaxation of the 5 km limit would simplify reference receiver siting significantly and make
386 GBAS usable at more airports. At large capacity and environmentally constrained airports GBAS is expected to
387 provide approach service on advanced and possibly curved trajectories and thus to contribute to reduce emissions
388 and increase capacity. The monitoring receiver can furthermore check the validity and provide independent
389 monitoring of the broadcast corrections and runway reference coordinates by means of its known position
390 relative to the approach track.

391

392 **Acknowledgment**

393 Michael Felux' activities were conducted within the internal projects TOPGAL and GRETA. Jiyun Lee was
394 supported by MLTM under grant 10AVI-NAV01

395 **References**

396 Belabbas B and Meurer M (2012) Carrier Phase and Code Based Absolute Slant Ionosphere Gradient Monitor
397 for GBAS, Proc. ION GNSS 2012, Institute of Navigation, Nashville, TN, USA, pp. 2201-2208

398
399 Boeing (2005), Determining the Vertical Alert Limit Requirements for a Level of GBAS Service that is
400 Appropriate to Support CAT II/III Operations, D6-83447-4

401
402 Burns J, Clark B, Cassell R, Shively C, Murphy T, Harris M, (2009), Conceptual Framework for the Proposal for
403 GBAS to Support CAT III Operations, ICAO NSP WGW November 2009

404
405 Clark B, DeCleene B, (2006) Alert Limits: Do we Need Them for CAT III? Deriving GBAS Requirements for
406 Consistency with CAT III Operations, Proc. ION GNSS 2006, Institute of Navigation, Fort Worth, TX, USA, pp.
407 3070-3081

408
409 Dautermann T, Felux M, Grosch A (2012) Approach service type D evaluation of the DLR GBAS testbed. GPS
410 SOL. doi: 10.1007/s10291-011-0239-3.

411
412 Eurocae, (2013), Minimum Operational Performance Specification for Global Navigation Satellite Ground
413 Based Augmentation System Ground Equipment to Support Category I Operations, ED-114A, EUROCAE.

414
415 European Aviation Safety Agency (EASA), (2003), Certification Specifications for All Weather Operations (CS-
416 AWO)

417
418 Federal Aviation Administration (FAA), (1999), Criteria for Approval of Category III Weather Minima for
419 Take-off, Landing, and Rollout (AC 120-28D), US Department of Transportation, Washington, DC, USA

420
421 Federal Aviation Administration (FAA), (2010), Siting Criteria for Ground Based Augmentation Systems
422 (GBAS), Order 6884.1, US Department of Transportation, Washington, DC, USA

423
424 Fujita S, Takayuki Y, Saito S, (2010) Determination of Ionosphere Gradient in Short Baselines by Using Single
425 Frequency Measurements. J AERO ASTRO AVI, A-42:269-275

426
427 Harris M, Murphy T, (2007), Geometry Screening for GBAS to Meet CAT III Integrity and Continuity
428 Requirements, Proc. ION NTM 2007, Institute of Navigation, San Diego, CA, USA, pp. 1221-1233

429
430 ICAO (2010), GBAS CAT II/III Development Baseline SARPs - Draft proposed changes to Annex 10, Volume I,
431 ICAO NSP WGW

432

433 Jung S, Lee J, (2012), Long-term ionospheric anomaly monitoring for ground based augmentation systems,
434 RADIO SCI, 47, RS4006, doi:10.1029/2012RS005016.
435
436 Khanafseh S, Pullen S, Warburton J, (2012) Carrier phase ionospheric gradient ground monitor for GBAS with
437 experimental validation. Navigation 59: 51-60. doi: 10.1002/navi.3.
438
439 Lee J, Seo J, Par YS, Pullen S, Enge P (2011) Ionospheric threat mitigation by geometry screening in Ground-
440 Based Augmentation Systems. J Aircraft 48(4):1422-1433. doi:10.2514/1.C031309
441
442 Mayer C, Belabbas B, Jakowski N, Meurer M, Dunkel W, (2009), Ionosphere threat space model assessment for
443 GBAS, Proc. ION GNSS 2009, Institute of Navigation, Savannah, GA, USA, pp. 1091-1099
444
445 McGraw GA, Murphy T, Brenner M, Pullen S, Van Dierendonck AJ, (2000) Development of the LAAS
446 accuracy models. Proc. ION GPS 2000, Institute of Navigation, Salt Lake City, UT, USA, pp. 1212-1223
447
448 Murphy T, Harris M, (2006), Mitigation of the Ionospheric Gradient Threats for GBAS to Support CATII/III,
449 Proc. ION GNSS 2006, Institute of Navigation, Fort Worth, TX, USA, pp. 449-461
450
451 Pullen S, Park YS, Enge P, (2009) Impact and mitigation of ionospheric anomalies on ground-based
452 augmentation of GNSS, RADIO SCI, 44, RS0A21, doi:10.1029/2008RS004084.
453
454 Schuster W, Washington O, (2010) Harmonisation of Category-III Precision Approach Navigation System
455 Performance Requirements. J Navigation, doi:10.1017/S0373463310000287
456
457 Simili DV, Pervan B. (2006), Code-carrier divergence monitoring for the GPS local area augmentation system,
458 Proc. ION/IEEE Position, Location, and Navigation Symposium 2006, pp. 483-493, ION/IEEE, San Diego, CA,
459 USA
460
461 RTCA (2008a), GNSS-Based Precision Approach Local Area Augmentation System (LAAS) Signal-in-Space
462 Interface Control Document (ICD), RTCA DO-246D, Washington, DC.
463
464 RTCA (2008b), Minimum Operational Performance Standards for GPS Local Area Augmentation System
465 Airborne Equipment, RTCA DO-253C, Washington, DC.
466
467
468
469

470 **Author Biographies**

471

472 **Michael Felux** is a research associate at the German Aerospace Center (DLR). He graduated in technical
473 mathematics at Technische Universität München in 2009. The same year he joined DLR specializing on GBAS
474 integrity issues for CAT II/III operations. He is active in different GBAS working groups.

475 **Jiyun Lee** is an Associate Professor in Aerospace Engineering at Korea Advanced Institute of Science and
476 Technology. She has supported the GBAS and SBAS program over the past seven years as a consulting
477 professor at Stanford University and a Principal GPS Systems Engineer at Tetra Tech AMT. She received her
478 Ph.D. from Stanford University in aeronautics and astronautics.

479 **Florian Holzappel** is a senior Member of AIAA, Member DGLR and a Full Professor and Director of the
480 Institute of Flight System Dynamics of Technische Universität München (TUM). He received his Doctorate from
481 TUM working on nonlinear adaptive controls of unmanned aerial vehicles. He is chairman of the DGLR Flight
482 Control TC and a member of the GNC TCs of CEAS and AIAA. Furthermore, he serves on the senate of DGLR.
483